



# Timing of anticoagulation after recent ischaemic stroke in patients with atrial fibrillation

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## Summary

**Background** About 13–26% of all acute ischaemic strokes are related to non-valvular atrial fibrillation, the most common cardiac arrhythmia globally. Deciding when to initiate oral anticoagulation in patients with non-valvular atrial fibrillation is a longstanding, common, and unresolved clinical challenge. Although the risk of early recurrent ischaemic stroke is high in this population, early oral anticoagulation is suspected to increase the risk of potentially harmful intracranial haemorrhage, including haemorrhagic transformation of the infarct. This assumption, and current treatment guidelines, are based on historical, mostly observational data from patients with ischaemic stroke and atrial fibrillation treated with heparins, heparinoids, or vitamin K antagonists (VKAs) to prevent recurrent ischaemic stroke. Randomised controlled trials have subsequently shown that direct oral anticoagulants (DOACs; ie, apixaban, dabigatran, edoxaban, and rivaroxaban) are at least as effective as VKAs in primary and secondary prevention of atrial fibrillation-related ischaemic stroke, with around half the risk of intracranial haemorrhage. However, none of these DOAC trials included patients who had experienced ischaemic stroke recently (within the first few weeks). Clinicians therefore remain uncertain regarding when to commence DOAC administration after acute ischaemic stroke in patients with atrial fibrillation.

**Recent developments** Prospective observational studies and two small randomised trials have investigated the risks and benefits of early DOAC-administration initiation (most with a median delay of 3–5 days) in mild-to-moderate atrial fibrillation-associated ischaemic stroke. These studies reported that early DOAC treatment was associated with a low frequency of clinically symptomatic intracranial haemorrhage or surrogate haemorrhagic lesions on MRI scans, whereas later DOAC-administration initiation (ie, >7 days or >14 days after index stroke) was associated with an increased frequency of recurrent ischaemic stroke.

**Where next?** Adequately powered randomised controlled trials comparing early to later oral anticoagulation with DOACs in ischaemic stroke associated with atrial fibrillation are justified to confirm the acceptable safety and efficacy of this strategy. Four such randomised controlled trials (collectively planned to include around 9000 participants) are underway, either using single cutoff timepoints for early versus late DOAC-administration initiation, or selecting DOAC-administration timing according to the severity and imaging features of the ischaemic stroke. The results of these trials should help to establish the optimal timing to initiate DOAC administration after recent ischaemic stroke and whether the timing should differ according to stroke severity. Results of these trials are expected from 2021.

## Introduction

Cerebral embolism attributable to non-valvular atrial fibrillation accounts for 13–26% of ischaemic strokes;<sup>1–3</sup> this proportion increases with age.<sup>4</sup> Historical observational data (including data from the control groups of randomised control trials) show that, without anticoagulation, the risk of early recurrence in the first 14 days after atrial fibrillation-related ischaemic stroke is between about 0·5% and 1·3% per day.<sup>5</sup> A meta-analysis of subgroups of patients with atrial fibrillation from randomised controlled trials using heparins within 48 h of ischaemic stroke did not find a significant reduction in the risk of recurrent ischaemic stroke but did find a significantly increased risk of intracranial haemorrhage.<sup>6</sup> Vitamin K antagonists (VKAs) are still recommended as first-line therapy in patients with atrial fibrillation in many countries, although there is scarce evidence from randomised controlled trials of VKA efficacy in acute ischaemic stroke. Since 2010, four direct oral anticoagulants (DOACs; ie, apixaban,<sup>7</sup> dabigatran,<sup>8</sup> edoxaban,<sup>9</sup> and rivaroxaban<sup>10</sup>) have been approved for use in patients

with non-valvular atrial fibrillation. Cochrane reviews and meta-analyses<sup>11,12</sup> found that these DOACs have similar efficacy to VKAs in primary and secondary prevention of stroke but are associated with about half the frequency of intracranial haemorrhage. However, none of the randomised controlled trials comparing DOACs and VKAs included patients with recent ischaemic stroke associated with atrial fibrillation (within the first few weeks)—presumably because of concerns about the risk of haemorrhagic transformation of the ischaemic brain tissue or of other intracranial haemorrhage.

In acute atrial fibrillation-related ischaemic stroke, the risk of both early recurrent ischaemic stroke<sup>5</sup> and haemorrhagic transformation<sup>13</sup> is highest in the days immediately after the index stroke. Integrity of the microvasculature is lost, partly because of the degradation of the basal lamina and extracellular matrix,<sup>14,15</sup> which leads to the disruption of the blood–brain barrier<sup>16</sup> and the haemorrhagic transformation of ischaemic brain tissue, which ranges from petechial haemorrhage to

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more severe parenchymal haematoma.<sup>17</sup> Haemorrhagic transformation (termed petechial haemorrhage or parenchymal haematoma) is reported in about 9% of patients with acute ischaemic stroke and, like ischaemic stroke recurrence, is associated with large ischaemic lesions; parenchymal haematoma is associated with large cardioembolic lesions and acute recanalisation therapies given for the index stroke.<sup>18</sup> It is suspected (though not supported by evidence) that early initiation of anticoagulation might exacerbate or cause parenchymal haemorrhage, with potentially serious clinical consequences.<sup>18</sup> This concern has led clinicians to delay anticoagulation, although the independent contribution of haemorrhagic transformation of the infarct to clinical worsening remains uncertain<sup>19</sup> and evidence from randomised controlled trials is not available. A lack of consensus for when to start oral anticoagulation (particularly with DOACs, the current most common standard of care) was shown in an online survey<sup>20</sup> among UK stroke physicians, 95% of whom were uncertain about the optimal timing. In this Rapid Review, we summarise and critically review current guidelines and new published data from observational and small randomised studies, and give an overview of ongoing investigator-initiated randomised controlled trials of oral anticoagulation timing after ischaemic stroke associated with atrial fibrillation.

### Guidelines

Current guidelines are imprecise and inconsistent with regards to when and how to start oral anticoagulation after the onset of atrial fibrillation-related ischaemic stroke. The “1–3–6–12 days rule” was introduced in 2013 by the European Heart Rhythm Association of the European Society of Cardiology (EHRA-ESC)<sup>21</sup> because of evidence that large infarcts (causing severe stroke syndromes) are more likely to undergo haemorrhagic transformation than small infarcts.<sup>18</sup> Although the timepoints and definitions of stroke severity are based only on expert consensus, this guideline has been adopted, with some variations, by various associations (including EHRA-ESC and the European Stroke Organisation) and countries (including Canada, Australia, Middle Eastern countries, and north African countries; panel). The 2018 guidelines of the American Heart Association/American Stroke Association (AHA/ASA) on early management of patients with ischaemic stroke recommend starting oral anticoagulation 4–14 days after onset of neurological symptoms.<sup>22</sup> This recommendation was based on findings from the Early Recurrence and Cerebral Bleeding in Patients With Acute Ischemic Stroke and Atrial Fibrillation (RAF) prospective observational study<sup>29</sup> of 1029 consecutive patients with acute ischaemic stroke and known or newly diagnosed atrial fibrillation, only 93 (12%) of whom were treated with DOACs. UK guidelines<sup>30</sup> recommend that oral anticoagulant administration should be deferred until at least 14 days from onset in patients with disabling

ischaemic stroke, but could start earlier for non-disabling stroke, at the discretion of the clinician. A German guideline<sup>31</sup> states that the efficacy of DOACs has not been proven within less than 14 days after a stroke, but does not make any recommendations on the basis of this statement. We found only guidelines that predated the use of DOACs for Japan (2011),<sup>32</sup> whereas in India<sup>33</sup> and Latin America,<sup>34</sup> ESC, EHRA, and AHA/ASA guidelines are widely used. Repeated brain imaging before starting anticoagulation in patients with moderate and severe stroke to evaluate haemorrhagic transformation is recommended only by the ESC guidelines,<sup>23</sup> without supporting evidence. Bridging treatment (ie, treatment after stroke onset until start of oral anticoagulation) with low molecular-weight heparins is not recommended by most guidelines, whereas the UK guidelines recommend the use of aspirin (300 mg/day) before starting oral anticoagulant treatment. All guidelines state that the level of evidence is low (mainly grade C—ie, expert opinion) and that additional studies are needed. Only the European Stroke Organisation-Karolinski Stroke Update (ESO-KSU)<sup>27</sup> and the ASA/AHA<sup>22</sup> guidelines cite observational data (from the RAF study)<sup>29</sup> to support their recommendations. Importantly, none of the guidelines distinguish between use of VKAs and DOACs, despite the substantial differences in the pharmacodynamics of these compounds. For example, it could take 2–4 days after the first intake of a VKA to achieve a therapeutic international normalised ratio greater than 2.0, whereas therapeutic anticoagulation is achieved on the first day after initiating DOAC treatment.

### Early anticoagulation in atrial fibrillation-related ischaemic stroke

Data from observational studies and randomised-trial control groups suggest that early recurrence after atrial fibrillation-related ischaemic stroke is between about 0.5% and 1.3% per day in the first two weeks.<sup>5,6</sup> In observational studies, age, large ischaemic-lesion size, and atrial enlargement are risk factors for recurrent atrial fibrillation-related ischaemic stroke.<sup>29,35,36</sup> The presence of an atrial thrombus is rare<sup>35,37</sup> but, if detected, is associated with a high frequency of recurrence.<sup>35</sup> Large infarction is also a risk factor for haemorrhagic transformation.<sup>18</sup> Clinical scores, such as CHA<sub>2</sub>DS<sub>2</sub>-VASc<sup>38</sup> (which predicts the risk of ischaemic-event occurrence) and HAS-BLED<sup>39</sup> (which predicts the risk of haemorrhagic-event occurrence), are commonly used to determine the risk of occurrence of ischaemic or haemorrhagic events in patients with atrial fibrillation who are receiving oral anticoagulants. However, these scores are not designed for use in the acute-stroke setting, have modest predictive value, and share many component risk factors (eg, hypertension, age, previous ischaemic stroke) that are highly prevalent in patients with ischaemic stroke. Indeed, a central clinical challenge in stroke medicine is the assessment, differentiation, and balancing of the

risks of occurrence of ischaemic and haemorrhagic brain injury.

In patients with atrial fibrillation and a recent ischaemic stroke (who are at high risk for both recurrent ischaemia and haemorrhagic transformation), DOAC treatment—which should reduce ischaemia and has a lower bleeding risk than VKAs—is a promising strategy. However, individual net clinical benefit will vary according to the absolute risk of these events occurring in such patients, since the risk might differ depending on the timing of treatment (with early treatment being likely to reduce the risk of ischaemic stroke but potentially increasing the risk of intracranial haemorrhage). The generally higher mortality and morbidity associated with intracranial haemorrhage than with recurrent ischaemic stroke is also an important consideration.

Previous studies focused on heparins for early anticoagulation because of the rapid onset of anticoagulant activity with these drugs compared with VKAs (which take several days to achieve full therapeutic anticoagulation), but did not find a significant reduction in early recurrence and found, instead, a significant increase in haemorrhagic transformation.<sup>6</sup> On the basis of data from studies done soon after use of DOACs entered clinical practice (RAF study,<sup>29</sup> 12% of patients on DOACs) or studies that predated DOAC use (VISTA collaboration,<sup>40</sup> no patients treated with DOACs), the optimal timepoint to start anticoagulant treatment might be between 4–14 days after stroke onset. However, this conclusion must be interpreted cautiously since the RAF study included mixed treatment protocols with low molecular-weight heparin and warfarin as well as non-vitamin-K oral anticoagulants (NOACs), and had insufficient statistical power to evaluate the benefit of early anticoagulation with NOACs.<sup>29</sup> Moreover, early (2–3 days after stroke onset) initiation of VKA administration was associated with fewer recurrent ischaemic strokes than late (>3 days after stroke onset) initiation of VKA treatment in the VISTA prospective cohort study of 1644 patients with ischaemic stroke and atrial fibrillation.<sup>40</sup>

#### Randomised controlled trials of early DOAC treatment in ischaemic stroke or transient ischaemic attack

Two small randomised controlled trials have focused on the early use of DOACs. In a trial<sup>41</sup> of 195 patients with mild stroke (median National Institutes of Health Stroke Scale [NIHSS] score of 2 [IQR 0–4]), rivaroxaban had similar efficacy and safety to warfarin, when the treatment was initiated within 5 days after a mild atrial fibrillation-related ischaemic stroke (defined as infarct size on diffusion weighted imaging [DWI] of less than a third of middle cerebral artery territory, half of anterior cerebral artery territory, half of posterior cerebral artery territory, or half of one cerebellar hemisphere). The primary outcome of new ischaemic or haemorrhagic lesions on follow-up MRI scans did not differ between groups (occurrence frequency was 49.5% in the rivaroxaban

#### Panel: National and international guideline recommendations on timing of anticoagulation administration after ischaemic stroke associated with atrial fibrillation

##### American Heart Association/American Stroke Association (AHA/ASA)

The AHA/ASA guidelines<sup>27</sup> recommend that starting oral anticoagulation within 4–14 days after ischaemic stroke onset is reasonable for most patients. However, a later treatment start might be considered for patients with haemorrhagic transformation.

##### European Society of Cardiology (ESC)<sup>23</sup> and European Heart Rhythm Association (EHRA)<sup>24</sup>

The ESC 2016<sup>23</sup> and EHRA 2018<sup>24</sup> recommendations are endorsed by the European Stroke Organisation. The EHRA-ESC recommend giving anticoagulants 1 day after onset of transient ischaemic attack, after 3 days in patients with minor stroke (defined in these guidelines as National Institutes of Health Stroke Scale [NIHSS] score <8), after 6 days in those with mild stroke (NIHSS score 8–15), and after 12 days in those with severe stroke (NIHSS score >15).

##### Canadian stroke best practice recommendations 2017<sup>25</sup>

Follow EHRA-ESC recommendations.

##### Australian guidelines for stroke management 2017

The Australian guidelines recommend giving anticoagulants 1 day after onset of transient ischaemic attack, after 5–7 days in patients with moderate stroke (not defined), and after 10–14 days in those with severe stroke (not defined).

##### Middle Eastern and north African consensus statement 2017<sup>26</sup>

This consensus statement recommends giving anticoagulants 12 days after stroke onset in patients with moderate-to-severe ischaemic stroke (not defined), and after 2–3 weeks in patients with a large infarct (not defined).

##### European Stroke Organisation and Karolinska Stroke Update 2016<sup>27</sup>

These guidelines recommend giving anticoagulants 4 days after stroke onset in patients with mild stroke (not defined) and small infarct size (lesion  $\leq$ 1.5 cm in the anterior or posterior circulation), after 7 days in those with moderate stroke (not defined) and medium infarct size (lesion in a cortical superficial branch of middle cerebral artery [MCA], in the MCA deep branch, in the internal border zone territories, in a cortical superficial branch of posterior cerebral artery, or in a cortical superficial branch of the anterior cerebral artery), and after 14 days in those with severe stroke (not defined) and large infarct size (lesion involves the complete territory of MCA, the posterior cerebral artery, or the anterior cerebral artery, or is in two cortical superficial branches of MCA, in a cortical superficial branch of MCA associated to the MCA deep branch, or in more than one arterial territory).

##### Royal College of Physicians (UK) national clinical guideline for stroke<sup>28</sup>

This guideline recommends giving anticoagulants 2 weeks after onset of disabling stroke (not defined). Earlier treatment can be considered in patients with minor, non-disabling stroke (not defined), and a low risk of haemorrhagic transformation (not defined).

group vs 54.5% in the warfarin group;  $p=0.49$ ). There was no difference in clinical outcomes (each group had one clinical ischaemic stroke, whereas there were no symptomatic haemorrhages), but this study had insufficient statistical power because of the small sample size, so the results should be considered hypothesis-generating. The Dabigatran Following Acute Transient Ischemic Attack and Minor Stroke II trial (DATAS II, NCT02295826)<sup>42</sup> randomly assigned 301 patients with transient ischaemic attack or minor stroke (NIHSS score <9, DWI lesions <25 mL) but without diagnosed

For more on the Australian Guidelines for stroke management see [www.strokefoundation.org.au](http://www.strokefoundation.org.au)

Study population	Patients' median age, median stroke severity, and infarct size*	Median timing of anticoagulation administration initiation	Follow-up period	Recurrent ischaemic stroke†	Intracranial haemorrhage‡	
<b>Observational studies with clinical follow-up of ≥3 months</b>						
Seiffge et al <sup>44</sup> (NOACISP)	204 (155 DOAC treated)	79 years; NIHSS score 4; no information on infarct size	5 days (≤7 days for 65% [n=100] of DOAC-treated patients)	At least 3 months	7.7% per year (5.1% per year for DOAC administration ≤7 days vs 9.3% per year for DOAC administration >7 days, p=0.53)	1.3% per year
Arihiro et al <sup>45</sup> (SAMURAI-NVAF)	1192 (466 DOAC treated)	78 years; NIHSS score 3; 24% small, 48% medium, and 28% large infarcts	5 days for DOAC	3 months	8.5% per year (VKA) and 10.1% per year (DOAC, p>0.05)	1.2% per year (VKA) vs 0.8% per year (DOAC)
Paciaroni et al <sup>46</sup> (RAF-NOAC)	1127 (all DOAC treated)	76 years; NIHSS score 8; 41% small, 33% medium, and 22% large infarcts	No overall median reported (8 days for dabigatran and rivaroxaban, 7 days for apixaban)	3 months	7.8% per year	6.4% per year
Wilson et al <sup>51</sup>	1355 (475 DOAC)	76 years; NIHSS score 4; 18% large infarcts	11 days (≤4 days for 26% [n=358] of patients)	90 days	5.7% per year (combined DOAC and VKA)	0.6% per year (combined DOAC and VKA)
<b>Observational studies with clinical follow-up within 3 months or with surrogate outcome imaging markers</b>						
Macha et al <sup>47</sup>	243 (all DOAC treated)	78 years; NIHSS score 5; 17% small infarct or TIA, 70% medium, and 13% large infarcts	From 1.7 days for small infarct or TIA to 6.7 days for large infarcts (≤7 days for 89.7% [n=218] of DOAC-treated patients)	In hospital	Not reported	1 case of symptomatic and 2 cases of asymptomatic intracranial haemorrhage
Cappellari et al <sup>48</sup>	147 (all DOAC treated)	79 years; NIHSS score 8; 54% small, 22% medium, and 24% large infarcts	3.3 days (≤3 days for 66% [n=97] of patients; ≤7 days for all patients)	CT scan at 7 days	No case observed	8 cases of asymptomatic intracranial haemorrhage (7 new, 1 before DOAC treatment)
Gioia et al <sup>49</sup>	60 (all rivaroxaban treated)	74 years; NIHSS score 2; median DWI lesion volume 7.9 mL	3 days	MRI scan at 7 days	1 case	No cases of symptomatic intracranial haemorrhage, 8 cases of asymptomatic petechial haemorrhage
Deguchi et al <sup>50</sup>	300 (186 DOAC treated)	77 years; NIHSS score 7; no information on infarct size	3 days for DOAC, 7 days for VKA	In hospital	No case observed	2 cases of intracranial haemorrhage, 1 case of extracranial haemorrhage
<b>Observational studies with a majority of patients receiving VKAs or heparins</b>						
Abdul-Rahim et al <sup>40</sup>	1300 (no DOAC-treated patients)	73 years; NIHSS score 14; no information on infarct size	2 days	90 days	8.2% of patients (107 events in 1300 patients)	2.3% of patients had symptomatic intracranial haemorrhage (30 events in 1300 patients)
Paciaroni et al <sup>29</sup>	1029 (93 DOAC treated)	77 years; NIHSS score 9; 37% small, 36% medium, and 27% large infarct	8.5 days for DOAC, 12.1 days for VKA	3 months	77 events (including TIA and systemic embolism)	37 events (including major extracranial haemorrhages)
<b>Randomised controlled trials with patients receiving DOACs</b>						
Hong et al <sup>41</sup>	195 (95 rivaroxaban treated)	70 years; NIHSS score 2; median DWI lesion volume 2.6 mL	2 days	MRI scan at 4 weeks	1 case	30 new haemorrhagic lesions (all asymptomatic)

DOAC=direct oral anticoagulant. NIHSS=National Institutes of Health Stroke Scale. VKA=vitamin K antagonist. TIA=transient ischaemic attack. DWI=diffusion weighted imaging. \* Although definitions of infarct size might differ slightly between studies, small infarct size was commonly defined by lesions smaller than 1.5 cm in the anterior or posterior circulation; medium infarct size by lesions in a cortical superficial branch of middle cerebral artery (MCA), in the MCA deep branch, in the internal border zone territories, in a cortical superficial branch of posterior cerebral artery, or in a cortical superficial branch of the anterior cerebral artery; large infarct size by lesions that involve the complete territory of MCA, posterior cerebral artery, or anterior cerebral artery, in two cortical superficial branches of MCA, in a cortical superficial branch of MCA associated to the MCA deep branch, or in more than one artery territory. †Annualised event frequencies (% per year) were calculated whenever possible using published data (number of observed events divided by follow-up period).

**Table 1: Summary of studies on early initiation of anticoagulant treatment in patients with recent atrial fibrillation-related ischaemic stroke**

atrial fibrillation to receive either aspirin or dabigatran within 72 h of stroke onset for 30 days. The primary outcome was symptomatic parenchymal haemorrhage on MRI scan at 5 weeks follow-up. There were no primary-outcome events in either group (asymptomatic haemorrhage occurred in 7.8% of the dabigatran group vs 3.5% of the aspirin group).<sup>43</sup> However, since patients in DATAS II did not have diagnosed atrial fibrillation, these data do not provide direct evidence for risk of recurrent ischaemic stroke and haemorrhagic transformation with use of dabigatran in patients with recent ischaemic stroke and atrial fibrillation. Nevertheless, these small

trials<sup>41-43</sup> provide some reassurance about the safety of early initiation of administration of rivaroxaban or dabigatran in patients with mild-to-moderate ischaemic stroke (NIHSS score <9).

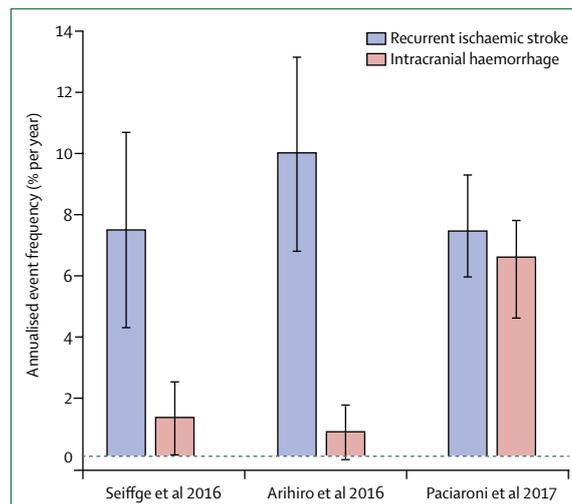
**Prospective observational studies**

Several non-randomised, prospective observational studies<sup>44-51</sup> have explored the potential risks and benefits of early DOAC anticoagulation in patients with atrial fibrillation-related ischaemic stroke. Three studies<sup>44-46</sup> included patients with a recent ischaemic stroke and atrial fibrillation who were followed up for at least 3 months for

clinical-outcome events (ie, recurrent ischaemic stroke and intracranial bleeding). The Swiss NOACISP<sup>44</sup> study included 204 participants. The Japanese SAMRUAI-NVAF study<sup>45</sup> included 1192 Japanese patients. The international (Europe and Asia) RAF-NOAC study<sup>46</sup> included 1127 patients. All of these studies enrolled a considerable proportion of patients receiving DOACs (155 [75%] in the NOACISP study, 475 [41%] in the SAMRUAI-NVAF study, and 1127 [100%] in the RAF-NOAC study). Key study characteristics are summarised in table 1. All studies included older patients (median age 76–79 years) mainly with minor-severity to medium-severity strokes (median NIHSS score 3–8) and had a median delay between ischaemic stroke and start of DOAC administration of 5 days. The annualised risk of recurrent ischaemic stroke was consistently between 7.7% per year to 8.5% per year (figure 1). The annualised proportion of symptomatic intracranial haemorrhage was low in the NOACISP (1.3% per year) and SAMRUAI-NVAF (0.9% per year) studies (figure 1), whereas it was considerably higher in the RAF-NOAC study (6.4% per year). However, although the majority of intracranial haemorrhages in the RAF-NOAC study occurred in patients that started receiving the treatment less than 3 days after ischaemic stroke, the association of these haemorrhages with early treatment is uncertain because the haemorrhages occurred after 30 days. Two of the studies reported increased frequencies of recurrent ischaemic stroke when DOAC administration was started late: 5.1% per year, if started before 7 days versus 9.3% per year if started after 7 days ( $p=0.53$ );<sup>44</sup> 2.1% per year (within the first 3 months) if started between 3–14 days versus 9.1% per year (within the first 3 months) if started after 14 days ( $p<0.001$ ).<sup>46</sup>

Four single-centre observational studies<sup>47–50</sup> reported on the timing of starting DOAC administration and short-term (ie, before discharge from the acute-care hospital) clinical and radiological outcomes. All studies reported early initiation (1–3 days) of DOAC treatment in patients with mild-to-moderate stroke (NIHSS score  $<9$ <sup>44</sup> or NIHSS score  $<8$ )<sup>41,42,44</sup> or small-to-medium sized infarcts (less than a third of the affected arterial territory)<sup>45</sup> with low frequency of symptomatic and asymptomatic intracranial haemorrhage or recurrent ischaemic stroke (table 1). Two additional studies<sup>48,49</sup> of early DOAC-treatment initiation found that haemorrhagic transformation of the infarct (present before the start of DOAC administration) worsened in only a few patients with no new symptomatic intracranial bleeding (1 of 15 patients<sup>48</sup> and 5 of 25 patients<sup>49</sup> with haemorrhagic transformation before initiation).

All of the reviewed observational studies have limitations: they were prone to selection bias (ie, patients judged to be at low risk of haemorrhage are more likely to start receiving treatment early, whereas patients considered to be at higher risk start receiving treatment later); initiation of DOAC administration was not standardised (although timing in most studies was guided by infarct size and



**Figure 1: Risk of recurrent ischaemic stroke and intracranial haemorrhage in patients with atrial fibrillation and a recent ischaemic stroke**

Annualised event frequencies (percentage per year with 95% CIs) of recurrent ischaemic stroke and intracranial haemorrhage in prospective observational studies<sup>44–46</sup> of patients treated with direct oral anticoagulants with at least 3 months of clinical follow-up.

stroke severity); and they were biased towards mild stroke (median NIHSS scores 3–8) and small infarcts (generally smaller than one third of the affected arterial territory). Nevertheless, these studies all suggest that early DOAC administration in patients with mild ischaemic stroke across different countries and ethnicities has a low frequency of intracranial haemorrhage (including haemorrhagic transformation).

### Future directions

The persisting clinical uncertainty and the promising results of observational studies of DOAC treatment early after onset of stroke have prompted several randomised, controlled clinical trials investigating early versus late initiation of DOAC administration in patients with atrial fibrillation-related ischaemic stroke (table 2): ELAN (NCT03148457; Switzerland), OPTIMAS (EudraCT, 2018-003859-38; UK), TIMING (NCT02961348; Sweden), and START (NCT03021928; USA). A key challenge for these trials is to include a sufficiently large sample size to assess differences in the risk of occurrence of adverse-outcome events related to the timing of oral anticoagulation. All four trials have composite primary outcomes that include combinations of cerebral ischaemic or haemorrhagic events, or both, and three of them include either vascular or all-cause mortality. While using a composite endpoint that includes ischaemic stroke and intracranial haemorrhage as an outcome should increase the number of outcome events (thereby potentially increasing the statistical power), early DOAC administration might have opposite effects on these components (ie, it might reduce recurrent ischaemia, but possibly increase intracranial haemorrhage). These

	Planned sample size	Intervention (early initiation of anticoagulant treatment)	Control (late initiation of anticoagulant treatment)	Follow-up period	Primary outcome	Patients with haemorrhagic transformation included	NIHSS exclusion criteria	Estimated end of study
ELAN (NCT03148457)	2000	<48 h after symptom onset (minor and moderate stroke) or at day 6 ( $\pm 1$ day) after symptom onset (major stroke)*	Current recommendations (ie, minor stroke after day 3 [ $\pm 1$ day], moderate stroke after day 6 [ $\pm 1$ day] and major stroke after day 12 [ $\pm 2$ days])*	30 days (secondary outcomes after 90 days)	Composite outcome (major bleeding, recurrent ischaemic stroke, systemic embolism, or vascular death, or a combination of these outcomes)	Yes	No exclusion criteria	October 2021
OPTIMAS (EudraCT, 2018-003859-38)	3474	$\leq 4$ days after acute ischaemic stroke	7–14 days after acute ischaemic stroke	90 days	Composite outcome at 90 days (combined incidence of recurrent symptomatic ischaemic stroke, symptomatic intracranial haemorrhage [including extradural, subdural, subarachnoid and intracerebral haemorrhage, and haemorrhagic transformation of the qualifying infarct], and systemic embolism)	Yes	No exclusion criteria	2021–22
TIMING (NCT02961348)	3000	$\leq 4$ days after acute ischaemic stroke	5–10 days after acute ischaemic stroke	90 days	Composite outcome (recurrent ischaemic stroke, symptomatic intracerebral haemorrhage, or all-cause mortality, or a combination of these outcomes)	Yes	No exclusion criteria	December 2020
START (NCT03021928)	1500 (1000 patients with mild or moderate stroke, 500 with severe stroke)†	Time-to-treatment delay of 3, 6, 10, or 14 days for mild or moderate stroke; 6, 10, 14, or 21 days for severe stroke†	Time-to-treatment delay of 3, 6, 10, or 14 days for mild or moderate stroke; 6, 10, 14, or 21 days for severe stroke†	30 days (secondary outcomes after 90 days)	Composite of any CNS haemorrhagic or other major haemorrhagic events and the ischaemic events of stroke or systemic embolism within 30 days of the index stroke	Yes	Score $>3$ and score $<23$	August 2021

NIHSS= National Institutes of Health Stroke Scale. ELAN=Early Versus Late Initiation of Direct Oral Anticoagulants in Post-ischaemic Stroke Patients with Atrial fibrillation. OPTIMAS=Optimal TIMING of Anticoagulation after AF-associated acute cardioembolic ischaemic Stroke. TIMING=Timing of oral anticoagulant therapy in acute ischemic stroke with atrial fibrillation. START=Optimal Delay Time to Initiate Anticoagulation After Ischemic Stroke in Atrial Fibrillation. \*Small infarct size is defined by lesions smaller than 1.5 cm in the anterior or posterior circulation; medium infarct size by lesions in a cortical superficial branch of middle cerebral artery (MCA), in the MCA deep branch, in the internal border zone territories, in a cortical superficial branch of posterior cerebral artery, or in a cortical superficial branch of the anterior cerebral artery; large infarct size by lesions that involve the complete territory of MCA, posterior cerebral artery, or anterior cerebral artery, in two cortical superficial branches of MCA, in a cortical superficial branch of MCA associated to the MCA deep branch, or in more than one artery territory. †Minor stroke is defined by a NIHSS score  $<8$ , mild stroke by a NIHSS score of 8–15, and severe stroke by a NIHSS score  $>15$ , as per the European Society of Cardiology and European Heart Rhythm Association definitions (panel 1).

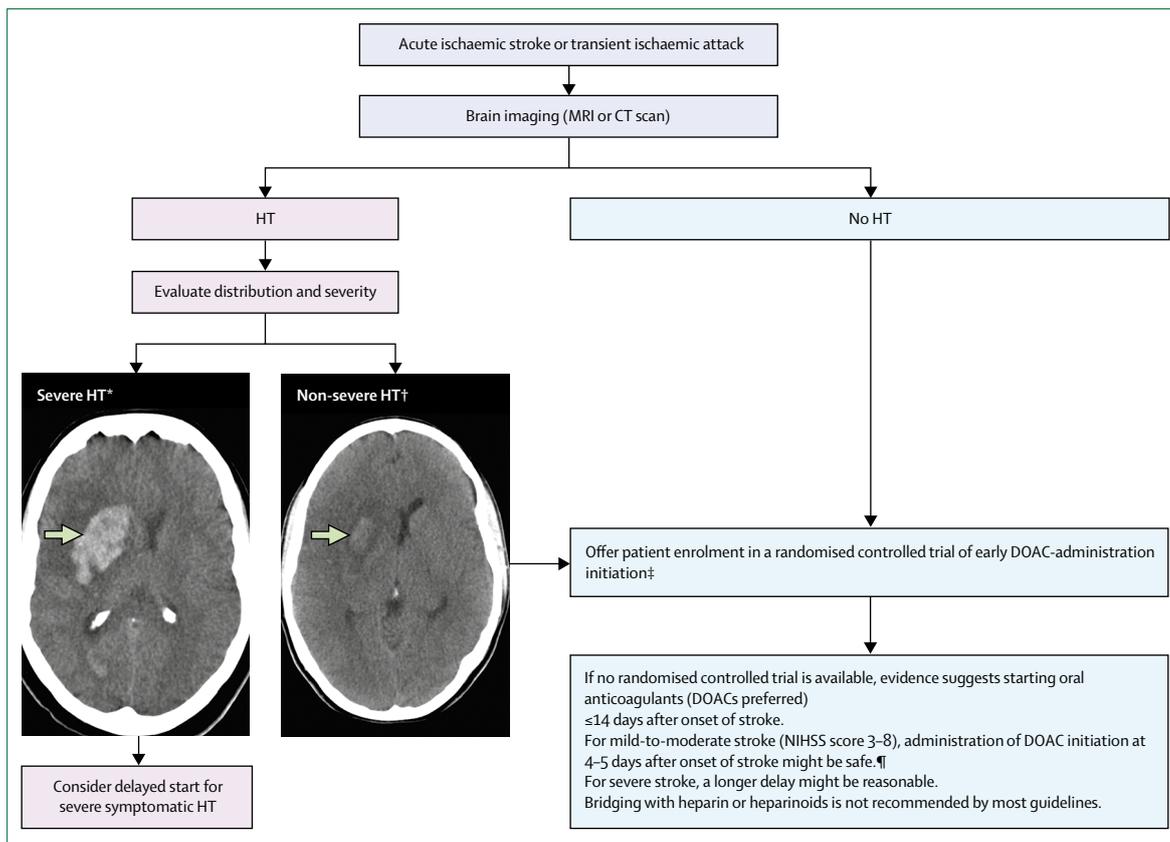
**Table 2: Summary of ongoing randomised controlled trials investigating early versus late initiation of direct oral anticoagulant treatment in patients with recent atrial fibrillation-related ischaemic stroke.**

contrasting effects could reduce any net treatment effect, potentially increasing the probability of a neutral primary-outcome result. Nevertheless, if early DOAC treatment were shown to have the same degree of safety and efficacy as late treatment, clinical practice would probably change in favour of early treatment, given the greater convenience for patients (ie, earlier hospital discharge) and physicians (ie, improved compliance and continuation of anticoagulant medication started in the acute-care hospital), and the economic advantage for health-care systems.

There are some important differences between these four trials. While the OPTIMAS and TIMING trials use a binary definition of early treatment ( $\leq 4$  days) in all participants across the range of stroke severity, timing of DOAC administration in the ELAN trial differs depending on the severity of the index stroke, according to the EHRA-ESC and ESO-KSU guidelines (panel), which inform practice in Switzerland and other participating countries. The START trial applies a response-adaptive randomisation design with four pre-defined time-to-treatment intervals from 2–14 days;

ischaemic-outcome and haemorrhagic-outcome events will be modelled with an interim analysis after 100 patients have been assigned to different groups, to calculate new randomisation probabilities which favour the groups with the lowest-risk profiles. Together, these trials aim to recruit up to 9000 patients; all trials are ongoing and results are expected from 2021. Differences in the trial designs will need to be considered when it comes to the interpretation and comparison of the results (eg, variation in how early DOAC-administration initiation is defined). A pooled individual patient data analysis including data from all of these randomised controlled trials is planned, which should provide an ideal opportunity to assess the safety and efficacy of early DOAC treatment.

Important yet unresolved questions concern starting anticoagulation in patients for whom DOACs are considered unsuitable (eg, those with severely impaired renal function; with contraindications to oral anti-coagulants; or with severe, symptomatic haemorrhagic transformation, such as parenchymal haematoma Type 2; figure 1). As DOACs are eliminated by the kidneys (renal



**Figure 2: Timing for initiation of direct oral anticoagulant administration**

Enrolment of eligible patients with ischaemic stroke and atrial fibrillation in ongoing randomised controlled trials is suggested on the basis of current guidelines and evidence from observational studies and clinical trials. If enrolment in a trial is not possible, clinicians will need to use the data to weigh potential risks and benefits of early DOAC administration although evidence is currently scarce to make strong recommendations. DOAC=direct oral anticoagulant. HT=haemorrhagic transformation. NIHSS= National Institutes of Health Stroke Scale. \*Parenchymal haematoma Type 2 (homogeneous hyperdensity with mass effect >30% of infarct volume or extending beyond the infarct). †Petechial haemorrhage Type 1 (petechial heterogeneous hyperdensity), petechial haemorrhage Type 2 (diffuse heterogeneous hyperdensity), or parenchymal haemorrhage Type 1 (homogeneous hyperdensity <30% of infarct).<sup>56</sup> ‡If oral anticoagulants are not contraindicated. ¶Prospective observational data and one small randomised controlled trial; grade of recommendation B, level of evidence 2a.

excretion ranges from 80% for dabigatran to 27% for apixaban), caution is warranted in patients with renal impairment. The reduced bleeding risk associated with DOAC treatment as compared with warfarin treatment can be maintained with mild-to-moderate renal impairment (with appropriate dose reduction). However, patients with creatinine clearance less than 30 mL/min were excluded from the RE-LY trial<sup>8</sup> (which used dabigatran), the ROCKET AF trial<sup>10</sup> (which used rivaroxaban), and the ENGAGE AF-TIMI trial<sup>9</sup> (which used edoxaban); those with creatinine clearance less than 25 mL/min were excluded from the ARISTOTLE trial<sup>7</sup> (apixaban). Thus, without adequate understanding of the risks associated with DOAC treatment in patients with severe renal impairment or undergoing dialysis, DOACs should be avoided and VKAs should be used instead, until future trials (to our knowledge, NCT02942407 is the only ongoing trial) can provide further insights. However, in patients with end-stage renal disease or who are undergoing dialysis, the indication and the benefit-to-risk

ratio of therapy with warfarin is also unclear, as randomised controlled trials have typically excluded such patients.

The potential roles of low-dose DOACs, VKAs, combined antiplatelet therapy, or other antithrombotic strategies in patients with large infarcts also remain uncertain. Left atrial-appendage occlusion might be a promising option for patients with ischaemic stroke considered to have a contraindication to any form of oral anticoagulant.<sup>52</sup> Planned randomised controlled trials are unlikely to answer these questions in the near future, and there is no expert consensus on how these patients should be managed. Further evidence might emerge from observational studies but, in the meantime, decisions for individual patients must be made on the basis of careful consideration of the risks of ischaemic stroke and intracranial haemorrhage.

Another topic for investigation is the use of neuro-imaging biomarkers to stratify and differentiate the risks of future ischaemia and haemorrhage. In 1490 patients

### Search strategy and selection criteria

We identified references for this Rapid Review by searches of PubMed between Jan 1, 2016, and July 20, 2018, using the search terms “ischaemic stroke” OR “ischemic stroke” AND “atrial fibrillation” OR “non-valvular atrial fibrillation” OR “AF” AND “oral anticoagulation” OR “direct oral anticoagulants” OR “new oral anticoagulants” OR “novel oral anticoagulants” OR “DOAC” OR “NOAC” OR “dabigatran” OR “rivaroxaban” OR “apixaban” OR “edoxaban”. Only papers published in English were considered. Additionally, we searched our personal records and abstracts from international conferences in the past five years (2013–18; eg, the European Stroke Organisation Conference 2018 and others) for relevant publications or data. For the section on early anticoagulation, we selected publications presenting original data and published in English. In addition, we searched PubMed, personal records, and an internet search engine (ie, Google) using the search terms “guidelines”, “secondary prevention”, “stroke”, “atrial fibrillation”, and “oral anticoagulation” OR “DOAC” OR “direct oral anticoagulants” to identify guidelines from relevant organisations (national or international expert committees).

who received anticoagulation treatment soon after ischaemic stroke or transient ischaemic attack associated with atrial fibrillation, the baseline presence of cerebral microbleeds (seen on gradient-recalled echo T2<sup>w</sup>-weighted or magnetic resonance images), which were found in 311 (21%) participants, was independently associated with an increased risk of symptomatic intracranial haemorrhage (adjusted hazard ratio 3·67, 95% CI 1·27–10·60;  $p=0\cdot016$ ).<sup>53</sup> These findings support the hypothesis that cerebral microbleeds are a neuro-imaging biomarker of a bleeding-prone arteriopathy specifically relevant for intracranial haemorrhage associated with anticoagulation. Cerebral microbleeds might also have relevance for risk of haemorrhagic-transformation occurrence, and for the optimal timing of oral anticoagulants soon after ischaemic stroke, but there are no studies investigating this hypothesis. The relevance of cortical superficial siderosis, a much less common putative marker of cerebral amyloid angiopathy and associated risk of intracranial haemorrhage,<sup>54</sup> has not yet been investigated. Markers of cardiac anatomy and function might have relevance for the risk of recurrent cerebral embolism (eg, transthoracic or transoesophageal echocardiography) and deserve investigation to help select patients for early DOAC treatment. For example, left-atrial enlargement is an independent predictor of recurrent stroke of embolic subtype in patients with ischaemic stroke (even in patients without evidence of atrial fibrillation).<sup>54,55</sup> Subgroup analysis of completed randomised controlled trials could help further understand the role of brain and cardiac biomarkers to guide oral anticoagulant treatment.

### Conclusions

Anticoagulation using DOACs effectively prevents recurrent ischaemic stroke in patients with atrial fibrillation. However, how soon DOACs should be administered after ischaemic stroke in these patients is unclear; current guidelines vary between different organisations and countries and have been created on the basis of expert consensus rather than on empirical evidence (panel). Observational data show that many stroke physicians start DOAC treatment earlier than the timeframe tested in large randomised controlled trials and that although early treatment might be effective and acceptably safe, its safety and efficacy need to be confirmed in randomised trials. Where possible, people who had atrial fibrillation-related ischaemic stroke within the previous 2–4 days and who have no contraindications to anticoagulation should be recruited to participate in a suitable randomised controlled trial (figure 2). Where enrolment in a randomised controlled trial is not possible, clinicians will need to weigh potential risks and benefits of early DOAC administration and work within the imprecise and inconsistent advice offered by the guidelines. The currently accepted practice, based on consensus rather than empirical evidence, suggests delaying treatment with oral anticoagulants in people with severe stroke and large areas of infarction. A brain CT scan before commencing administration of oral anticoagulants can also clarify the severity and pattern of haemorrhagic transformation, so is often used in clinical practice, but whether haemorrhagic transformation should guide treatment with oral anticoagulants, and how, remains unknown.

The ongoing clinical trials should help to establish whether early initiation of DOAC treatment in patients with atrial fibrillation is safe, prevents recurrent ischaemic stroke, shortens hospital stay, and improves the continuity of anticoagulant treatment. These trials will also highlight whether adjusting the timing of anticoagulation according to infarct size or clinical severity of the index stroke is necessary to reduce the risk of clinically harmful haemorrhagic transformation, the occurrence of which might be lower than previously estimated since use of DOACs entered clinical practice. We suggest that every effort should be made to include patients with recent ischaemic stroke and atrial fibrillation into these trials to answer the important open clinical questions.

#### Contributors

DJS and DJW came up with the concept and the design of the Rapid Review and wrote the first draft. All authors revised the text.

#### Declaration of interests

DJS reports speaker honoraria from Bayer AG and Pfizer and compensation for educational efforts from STAGO. DJW reports speaker honoraria from Bayer AG. MP reports honoraria as a member of the speaker bureau of Aspen, Sanofi-Aventis, Boehringer Ingelheim, Bayer AG, Bristol-Myers Squibb, Medtronic, and Pfizer. STE reports funding for travel or speaker honoraria from Bayer AG and Boehringer Ingelheim, an educational grant from Pfizer, and compensation for

educational efforts from Stago, and is on the scientific advisory boards of BayerAG, Boehringer Ingelheim, and BMS/Pfizer. UF is a consultant for Medtronic and Stryker, a Co-PI of the SWITCH trial and the ELAN trial (both supported by the Swiss National Science Foundation and the Swiss Heart Foundation) and of the SWIFT DIRECT trial (supported by Medtronic). BN received honoraria for serving on data monitoring committees from Astra Zeneca (SOCRATES and THALES trials) and Bayer AG (NAVIGATE-ESUS trial). JD reports speaker honoraria from Boehringer Ingelheim, Bayer AG, Bristol-Myer Squibb, Daiichi Sankyo, and Pfizer, and a research grant for the ELAN trial from the UK Stroke Association. TJM reports speaker honoraria from Janssen; a K23 Career Development Grant from the National Heart, Lung and Blood Institute; a research grant from the Texas Department of Health and Human Services; and an honorarium for serving on the executive committee of the ANNEXA-4 trial from McMaster's Public Health Research Institute. TM is a member of the steering committee of the Lex-209 trial (supported by Octapharma). SW has received a research grant from Texas Department of Health and Human Resources.

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See Online for appendix

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