

## The association of frontal plane alignment to MRI-defined worsening of patellofemoral osteoarthritis: the MOST study



E.M. Macri <sup>†‡</sup>, D.T. Felson <sup>§||</sup>, M.L. Ziegler <sup>¶</sup>, T.D.V. Cooke <sup>#</sup>, A. Guermazi <sup>††</sup>,  
F.W. Roemer <sup>††‡‡</sup>, T. Neogi <sup>§§</sup>, J. Torner <sup>||||</sup>, C.E. Lewis <sup>||||</sup>, M.C. Nevitt <sup>¶¶</sup>, J.J. Stefanik <sup>##†††\*</sup>

<sup>†</sup> Department of Physical Therapy, University of Delaware, Newark, DE, USA

<sup>‡</sup> Department of General Practice, Erasmus MC, Rotterdam, NL

<sup>§</sup> Clinical Epidemiology Research and Training Unit, School of Medicine, Boston University, Boston, MA, USA

<sup>||</sup> Division of Musculoskeletal & Dermatological Sciences, University of Manchester, Manchester, UK

<sup>¶</sup> Biostatistics Core, College of Health Sciences, University of Delaware, Newark, DE, USA

<sup>#</sup> School of Rehabilitation Therapy, Queen's University, Kingston, ON, Canada

<sup>††</sup> Quantitative Imaging Center, Department of Radiology, School of Medicine, Boston University, Boston, MA, USA

<sup>‡‡</sup> Department of Radiology, University of Erlangen-Nuremberg, Erlangen, Germany

<sup>§§</sup> Department of Epidemiology, University of Iowa, Iowa City, IA, USA

<sup>||||</sup> Division of Preventive Medicine, University of Alabama, Birmingham, AL, USA

<sup>¶¶</sup> Department of Epidemiology and Biostatistics, University of California, San Francisco, San Francisco, CA, USA

<sup>##</sup> Department of Physical Therapy, Movement and Rehabilitation Sciences, Northeastern University, Boston, MA, USA

<sup>†††</sup> Department of Physical Therapy, University of Delaware, Newark, USA

### ARTICLE INFO

#### Article history:

Received 26 July 2018

Accepted 8 November 2018

#### Keywords:

Alignment

Patellofemoral joint

Knee osteoarthritis

Pain

Epidemiology

### SUMMARY

**Objective:** To determine the sex-specific relation of frontal plane alignment (FPA) to magnetic resonance imaging (MRI)-defined features of patellofemoral osteoarthritis, and also to tibiofemoral osteoarthritis and knee pain.

**Method:** The Multicenter Osteoarthritis Study is cohort study comprised of individuals with or at risk of knee osteoarthritis. We determined the sex-specific dose-response relation of baseline FPA to MRI-defined patellofemoral and tibiofemoral structural worsening, and incident knee pain, over 7 years.

**Results:** In women only, greater varus alignment was associated with medial patellofemoral osteophytes (risk ratio [RR] 1.7 [95% CI 1.2, 2.6]) and valgus with lateral patellofemoral osteophytes (RR 1.9 [1.0, 3.6]). In men, greater varus increased risk for medial tibiofemoral cartilage worsening (RR 1.7 [1.1, 2.6]), and valgus for lateral tibiofemoral cartilage worsening (RR 1.8 [1.6, 2.2]). In women, findings were similar for tibiofemoral cartilage, but varus also increased risk for medial bone marrow lesions [BMLs] (RR 2.2 [1.6, 3.1]) and medial osteophytes (RR 1.8 [1.3, 2.5]), and valgus for lateral BMLs (RR 3.3 [2.2, 4.5]) and osteophytes (RR 2.0 [1.2, 3.2]). Varus increased risk of incident pain in men (RR 1.7 [1.4, 2.2]) and women (RR 1.3 [1.0, 1.6]), valgus did so in men only (RR 1.5 [1.1, 1.9]).

**Conclusion:** FPA was associated with patellofemoral osteophyte worsening in women, though overall was more strongly associated with tibiofemoral than patellofemoral osteoarthritis feature worsening. FPA in women was more consistently associated with structural worsening, yet men had higher associations with incident pain.

© 2018 Osteoarthritis Research Society International. Published by Elsevier Ltd. All rights reserved.

### Introduction

Patellofemoral osteoarthritis (OA) affects 25%<sup>1</sup> to 50%<sup>2</sup> of the general population, based on radiographic and magnetic resonance imaging (MRI) features, respectively. Knee OA most commonly begins in the patellofemoral joint<sup>3–5</sup> and is an important source of pain<sup>3,6,7</sup>. Therefore, identifying risk factors for patellofemoral OA is warranted, as this could help identify high risk individuals or guide

\* Address correspondence and reprint requests to: Josh Stefanik, Department of Physical Therapy, Movement and Rehabilitation Sciences, Northeastern University, 360 Huntington Ave, 301 Robinson Hall, Boston, MA 02115, USA. Tel: 617-373-8934.

E-mail addresses: [e.macri@erasmusmc.nl](mailto:e.macri@erasmusmc.nl) (E.M. Macri), [dfelson@bu.edu](mailto:dfelson@bu.edu) (D.T. Felson), [mlz@udel.edu](mailto:mlz@udel.edu) (M.L. Ziegler), [derek@cookes.ca](mailto:derek@cookes.ca) (T.D.V. Cooke), [Ali.Guermazi@bmc.org](mailto:Ali.Guermazi@bmc.org) (A. Guermazi), [Frank.Roemer@uk-erlangen.de](mailto:Frank.Roemer@uk-erlangen.de) (F.W. Roemer), [tneogi@bu.edu](mailto:tneogi@bu.edu) (T. Neogi), [james-torner@uiowa.edu](mailto:james-torner@uiowa.edu) (J. Torner), [celewis@uabmc.edu](mailto:celewis@uabmc.edu) (C.E. Lewis), [MNevitt@psg.ucsf.edu](mailto:MNevitt@psg.ucsf.edu) (M.C. Nevitt), [j.stefanik@northeastern.edu](mailto:j.stefanik@northeastern.edu) (J.J. Stefanik).

clinical interventions<sup>8,9</sup>. Frontal plane alignment (FPA) has consistently been identified as a risk factor for tibiofemoral OA using both radiographic and MRI-based OA definitions<sup>10–15</sup>. This is believed to occur, in part, via elevated focal joint stress<sup>16</sup> that leads to cartilage damage in either the medial (i.e., with varus alignment) or lateral (i.e., with valgus alignment) tibiofemoral compartment<sup>11</sup>. The association between FPA and patellofemoral OA is not as well understood<sup>17–23</sup>. Clarification of the role of alignment in patellofemoral OA may enable detection of risk factors for knee OA in general while potentially shifting focus to earlier detection of OA when it is still isolated to the patellofemoral joint.

FPA may cause patellofemoral OA through a similar mechanism of elevated joint stress by altering the angle of pull on the patella through the extensor mechanism. This could increase lateral patellofemoral joint stress in cases of valgus alignment and vice versa. One study found valgus alignment was associated with higher risk of lateral patellofemoral OA and varus was associated with medial patellofemoral OA<sup>19,20</sup>. To date, only two relatively small studies have investigated the longitudinal relation of FPA to patellofemoral OA<sup>18,20</sup>, with at most 23-months of follow-up. One of these measured radiographic patellofemoral joint space narrowing<sup>20</sup>, and the other used MRI to measure change in patellofemoral cartilage volume<sup>18</sup>. Results of these two studies were conflicting in that the former study<sup>20</sup> found baseline alignment predicted joint space narrowing, while the latter study<sup>18</sup> found baseline alignment did not predict loss of cartilage volume, but rather that a change in alignment co-occurred with cartilage volume loss. Moreover, no study has evaluated the association of FPA to patellofemoral OA worsening by directly evaluating MRI-defined structural features (e.g., cartilage damage, bone marrow lesions [BMLs], osteophytes). Given that MRI is more sensitive than radiography in detecting early OA lesions<sup>24</sup>, the role of FPA in patellofemoral OA worsening would be better assessed using MRI for more definitive insights.

To comprehensively understand the association of FPA with knee OA outcomes, it is important to evaluate the relationship between alignment and patellofemoral OA, but also tibiofemoral OA and knee pain. Understanding whether these associations differ anatomically (patellofemoral vs tibiofemoral) or by outcome (structure vs symptoms) may offer insights as to whether certain knee OA phenotypes are at higher risk of worsening, and thus who may benefit from alignment assessment and targeted interventions. Moreover, FPA differs by sex<sup>25–27</sup>, as do knee OA outcomes in general, though reasons for this sex disparity are unclear. Thus, we have an opportunity to additionally address whether FPA accounts for this disparity. Finally, previous studies have used varying cut-points to define varus and valgus malalignment, often without clear biological justification. The use of such cut-points may result in misclassification that could statistically mask true biological relationships, and more robust methods for determining dose–response patterns exist that can overcome this methodological limitation<sup>28</sup>.

We therefore aimed to investigate the sex-specific dose-response relationship of FPA to (i) worsening MRI-defined structural features of patellofemoral OA, (ii) worsening MRI-defined structural features of tibiofemoral OA, and (iii) incident frequent knee pain, over 7 years.

## Methods

The Multicenter Osteoarthritis Study (MOST) is a NIH-funded prospective cohort study. MOST provides a unique opportunity to assess the longitudinal relation of FPA to worsening of MRI-defined features of patellofemoral and tibiofemoral OA in a cohort of individuals with, or at risk for, knee OA. Participants were recruited

from Iowa City, Iowa, or Birmingham, Alabama<sup>10,29,30</sup>. Ethical approval was provided by the institutional review boards at participating sites and complied with the Helsinki Declaration. Inclusion and exclusion criteria and sample characteristics have been previously described<sup>29,30</sup>.

For the present study, we included data from baseline and 84-month (i.e., 7 year) follow-up visits. Of the 3026 participants enrolled in the MOST study, 2933 had bilateral full-limb radiographs at baseline (used to measure frontal plane alignment), and 1101 had MRI acquired and read in one knee at baseline and 84-month visits (Fig. 1), making this subsample eligible for structure-related analyses. Pain-related questions were answered at both visits by 2144 participants for at least one knee (most answered questions for both knees separately), and 1862 did not have our primary definition of knee pain at baseline in at least one knee, making this subsample eligible for pain-related analyses.

### Frontal plane alignment

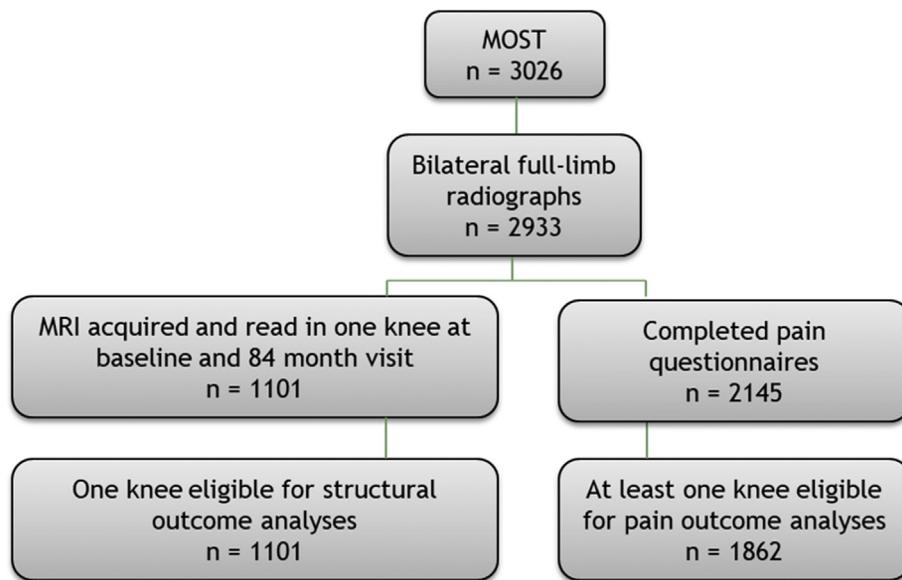
Weight-bearing bilateral full-limb AP radiographs were acquired at baseline using standardized procedures<sup>12,31</sup>. Hip-knee-ankle (HKA) angle was calculated (to the nearest degree) as the angle formed by the intersection of the femoral line (connecting the centers of the femoral head and intercondylar notch) to the tibial line (connecting the centers of the ankle talus and tibial spines)<sup>11,31</sup>. Angles less than 180° were in a varus direction, and greater than 180° a valgus direction. The inter-reader intraclass correlation coefficient was 0.995 in a subsample from the MOST cohort ( $n = 200$  knees), with a standard error of measure (SEM) of 0.4<sup>32</sup>.

### MRI-defined features of knee OA

MRI was acquired at baseline and 84 months using a 1.0-T extremity MRI (OrthOneTM; ONI Medical Systems Wilmington, MA, US) with a phased-array knee coil. Images were acquired using a fast spin echo fat-suppressed proton density-weighted sequence in the sagittal plane (repetition time (TR) ms/echo time (TE) ms 4800/35; slice thickness 3 mm; intersection gap 0 mm; slices 32; matrix 288 × 192; signals acquired 2; field of view (FOV) 140 mm<sup>2</sup>; echo train length 8) and axial plane (TR/TE 4680/13; slice thickness 3 mm; intersection gap 0 mm; slices 20; matrix 288 × 192; signals acquired 2; FOV 140 mm<sup>2</sup>; echo train length 8), and using a short tau inversion recovery sequence in the coronal plane (TR/TE 6650/15; inversion time 100 ms; slice thickness 3 mm; intersection gap 0 mm; slices 28; matrix 256 × 192; signals acquired 2; FOV 140 mm<sup>2</sup>; echo train length 8).

One randomly-determined knee per participant ( $n = 1101$ ) was read and scored (right knee 55%). Images were scored by two musculoskeletal radiologists (AG, FWR) using the Whole Organ MRI Score (WORMS)<sup>33</sup>. Cartilage damage was scored on a scale from 0 to 6, BMLs from 0 to 3, and osteophytes from 0 to 7. Each feature was assessed in 14 sub-regions of the knee, two of which are relevant to the medial patellofemoral compartment, two to the lateral patellofemoral compartment, five to the medial tibiofemoral compartment, and five to the lateral tibiofemoral compartment. Inter-reader weighted  $\kappa$  coefficients for WORMS scores, based on 30 knees randomly selected and read by both readers, ranged from 0.66 (for BMLs) to 0.78 (for cartilage morphology)<sup>34</sup>.

Our primary outcome was worsening of cartilage morphology, for each subregion, from baseline to 84 months. Worsening encompasses both incidence and progression<sup>35</sup> and is defined as any increase in score from baseline to follow-up. Subregions with the worst possible score at baseline (e.g., grade 6 for cartilage) were excluded from analyses. We defined worsening of BMLs and osteophytes in a similar manner.



**Fig. 1.** Flow chart for eligibility for analyses for structural outcomes ( $n = 1101$ ) and pain outcomes ( $n = 1862$ ).

## Pain

Participants answered knee-specific pain-related questions at both visits. Participants were asked, “Did you have pain, aching or stiffness on most days of the past month?”<sup>36</sup>. In knees where participants answered ‘yes’ to this question, on two occasions approximately 1 month apart (a telephone interview prior to the clinic visit, plus the clinic visit), the knee was determined to have consistent frequent knee pain<sup>37</sup>. Knees with consistent frequent knee pain at baseline (or missing data at one or both time points) were excluded from analyses. Knees without consistent frequent knee pain at baseline that developed it by the 84-month visit were determined to have incident consistent frequent knee pain, reflecting new development of knee pain in one or both knees.

## Statistical analyses

As with most health outcome measures<sup>38</sup>, there is substantial overlap in FPA values in individuals with and without knee OA<sup>27</sup>. Defining malalignment using suggested cut-points<sup>28</sup> can result in statistical masking of true effects, particularly at extreme values of alignment where we would expect risk to be higher<sup>28</sup>. We therefore examined FPA as a continuous variable, and evaluated the dose–response pattern between baseline alignment and worsening of MRI features using a multivariable restricted cubic spline mixed effects models<sup>39</sup>, with three knots (10<sup>th</sup>, 50<sup>th</sup> and 90<sup>th</sup> percentile). We used mixed effects models to account for the within-person correlations of the multiple subregions for structural outcomes in each compartment<sup>40</sup>, and ran separate models for medial and lateral patellofemoral compartments, and medial and lateral tibiofemoral compartments. We used a robust variance estimation, and log link function to obtain risk ratios for each outcome at the 84-month visit based on baseline FPA<sup>41</sup>. We included age and BMI in each model, and created separate models for men and women<sup>25,26</sup>.

We estimated risk ratios (95% confidence intervals) of all FPA values (i.e., for every degree of alignment) for each outcome, using the median alignment value of the sample (men or women, separately) as the reference<sup>39,42</sup>. We then plotted line graphs of risk ratios across all FPA values to illustrate dose–response patterns. From these results, we extracted risk ratios reflecting + and - 1.96

standard deviations from the mean for men and women separately. This was to aid in interpretation of the dose–response curves, reflecting risk of structural outcomes at the extreme of distribution-based values of varus and valgus. To be clear, these cut-points were not used in the analyses themselves – all models evaluated FPA as a continuous variable.

To determine the association between FPA and incident consistent frequent knee pain, we created similar models. Up to two knees per person were eligible for inclusion in the analyses depending on baseline presence of pain. The mixed effects model accounted for the correlation between knees within each participant. We included age and BMI as covariates, and also included study site (Alabama or Iowa) in each model to account for possible sociodemographic differences between sites. We ran separate models for men and women. Finally, we performed sensitivity analyses adjusting for the presence of radiographic OA (at least Kellgren and Lawrence Grade 2), recognizing that radiographic OA may influence knee pain.

All statistical analyses were done using SAS version 9.4 (SAS Institute Inc, Cary, NC).

## Results

We included 1101 participants (1101 knees) in the structural analyses: 690 (62%) women, average age and BMI were 61 (SD 8) years and 29.3 (4.5) kg/m<sup>2</sup>, respectively (Table I). The pain-related analyses (incident consistent frequent knee pain) included 1862 participants (3169 knees): 1107 (59%) women, average age and BMI were 62 (8) years and 30.4 (5.6) kg/m<sup>2</sup>, respectively (Table I). Mean FPA was slightly more valgus in women than men. FPA at 1.96 SD from the mean in men was 173 varus and 183° valgus, and in women was 174° varus and 185° valgus (these values are reported in row 3 of Table I). Unadjusted prevalence of structural worsening and incident pain was generally higher in women than men (though unadjusted values were not statistically evaluated) (Table II).

## Patellofemoral compartments

In women only, greater valgus was associated with lateral patellofemoral osteophyte worsening, and greater varus was

**Table I**

Baseline participant characteristics in (i) subsample with magnetic resonance imaging (MRI) images scored at baseline and 84 months ( $n = 1101$ ) – left two columns; and (ii) subsample with pain questions answered ( $n = 1862$ ) – right two columns

	Structural worsening subsample		Incident consistent frequent knee pain subsample	
	Women ( $n = 690$ )	Men ( $n = 411$ )	Women ( $n = 1107$ )	Men ( $n = 755$ )
Age (y)	61.5 (7.5)	60.4 (7.6)	62.1 (7.7)	61.6 (7.9)
BMI ( $\text{kg}/\text{m}^2$ )	29.0 (4.8)	29.8 (4.0)	30.4 (6.1)	30.3 (4.8)
Hip-Knee-Ankle angle* ( $^\circ$ )	179.5 (2.8)	178.1 (2.7)	179.3 (3.2)	177.8 (3.2)
Site $n$ (%)				
Alabama	–	–	478 (43.2)	337 (44.6)
Iowa	–	–	629 (56.8)	418 (55.4)

All values are mean (SD) unless otherwise noted.

\* Varus-directed is  $< 180^\circ$ , valgus-directed is  $> 180^\circ$ ; nb MRI-knee is reported in structural subsample (55% right knee), and all eligible knees (i.e., all knees without pain at baseline) are reported in pain subsample.

**Table II**

Unadjusted prevalence of outcomes: structural worsening of subregions within each knee compartment, and incident knee pain

Structural worsening*	Women ( $n = 690$ )	Men ( $n = 411$ )
Medial PF (2 subregions)		
Cartilage damage worsening	251/1312 (19.1%)	110/794 (13.9%)
BML worsening	135/945 (14.3%)	48/593 (8.1%)
Osteophyte worsening	133/920 (14.5%)	54/578 (9.3%)
Lateral PF (2 subregions)		
Cartilage damage worsening	225/1278 (17.6%)	109/786 (13.9%)
BML worsening	141/947 (14.9%)	71/594 (12.0%)
Osteophyte worsening	93/918 (10.1%)	34/578 (5.9%)
Medial TF (5 subregions)		
Cartilage damage worsening	548/3414 (16.1%)	329/2041 (16.1%)
BML worsening	234/2437 (9.6%)	162/1525 (10.6%)
Osteophyte worsening	473/2337 (20.2%)	197/1463 (13.5%)
Lateral TF (5 subregions)		
Cartilage damage worsening	455/3441 (13.2%)	168/2050 (8.2%)
BML worsening	144/2440 (5.9%)	34/1521 (2.2%)
Osteophyte worsening	273/2337 (11.7%)	82/1465 (5.6%)
Incident pain	Women ( $n = 1107$ )	Men ( $n = 755$ )
Incident consistent frequent knee pain	337/1848 (18.2%)	191/1321 (14.5%)

\* Denominators for structural outcomes are equivalent to the sample size times the number of subregions, minus missing subregions, maximal scores at baseline, or missing covariates. Note, cartilage scores were read in all participants but BMLs and osteophytes were scored in a smaller subsample.

Denominators for pain take into account sample size, number of knees without frequent knee pain at baseline minus missing covariates.

associated with medial patellofemoral osteophyte worsening (Figs. 2 and 3, Table III).

#### Tibiofemoral compartments

Greater valgus was associated with lateral tibiofemoral cartilage worsening in men and women, and lateral tibiofemoral BML and osteophyte worsening in women only (Figs. 2 and 3, Table III). Greater valgus was protective against medial tibiofemoral BML worsening in men and women, and medial tibiofemoral osteophyte worsening in men only. Greater varus was associated with medial tibiofemoral cartilage worsening in men and women, and medial tibiofemoral BML and osteophyte worsening in women only. Greater varus was protective against lateral tibiofemoral cartilage worsening in women only.

#### Pain

There was a U-shaped relationship between frontal plane alignment (i.e., both increased valgus and increased varus) and increased risk for incident consistent frequent knee pain in men (Fig. 2, Table III). In women, only increased varus was associated

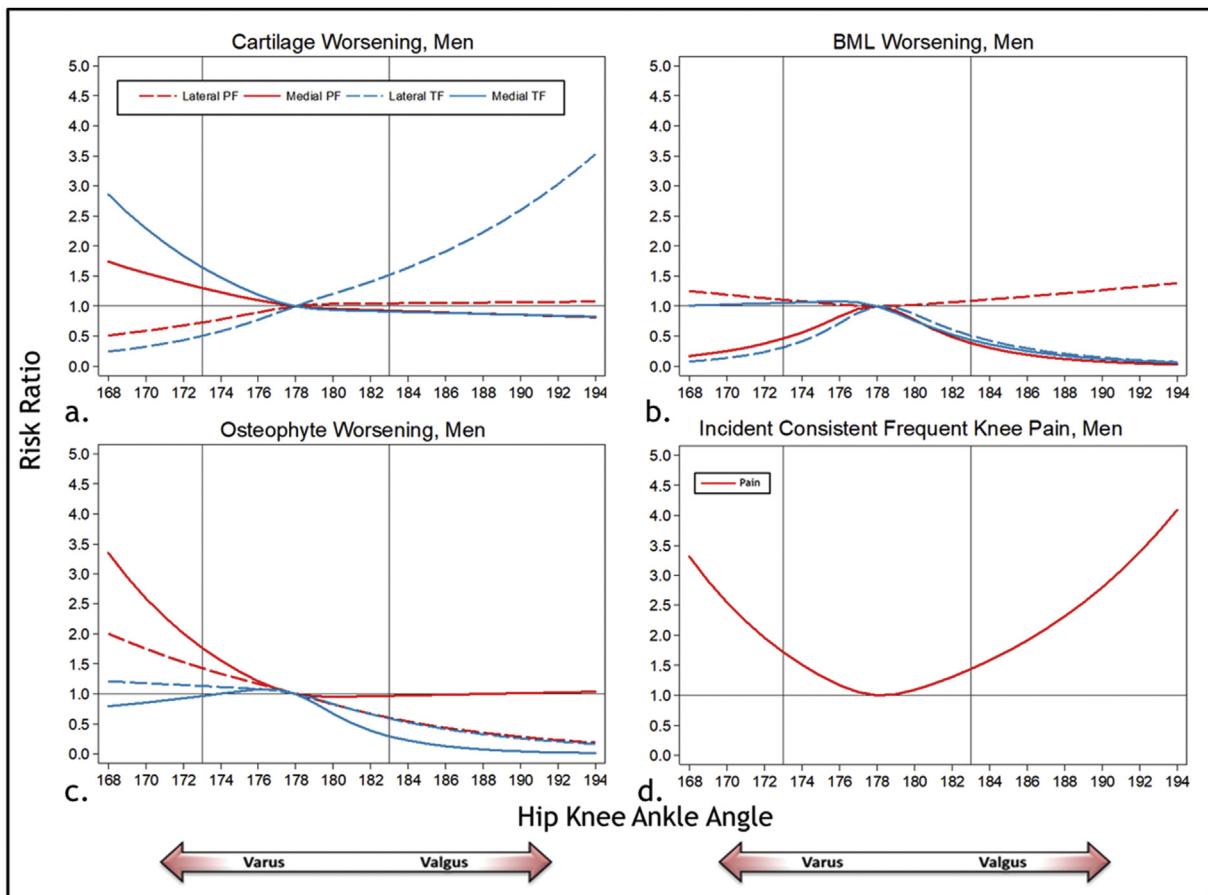
with increased risk for incident consistent frequent knee pain (Fig. 3, Table III). Risk ratios were larger in men than in women.

#### Discussion

Our study presents the sex-specific dose–response patterns of FPA to risk of worsening of MRI-defined features of knee OA in both the patellofemoral and tibiofemoral compartments, as well as incident knee pain, over 7 years. Comparisons of the associations in both patellofemoral and tibiofemoral compartments have not been previously reported. As may be expected, results suggest that FPA may be more strongly associated with MRI-detected features of tibiofemoral OA than patellofemoral OA (with the exception of osteophytes, which were similar). In addition, FPA was more consistently associated with structural worsening in women than in men, although the association with pain may be larger in men than in women.

Our findings expand on the existing literature by enabling direct comparison of patellofemoral and tibiofemoral compartments as well as incident pain, by comparing sex-specific patterns, and by investigating multiple MRI-defined features of OA (cartilage, BMLs, and osteophytes). Moreover, we applied a statistical approach that enabled exploration of the curvilinear dose–response patterns of FPA, rather than categorizing the exposure variable without an underlying biological justification<sup>28</sup>. Importantly, the dose–response curves suggest that there is no threshold effect for malalignment (i.e., no natural biological cut-point exists), but rather that risk for structural worsening and incident pain is graded. The clinician seeking meaningful cut-points for defining malalignment and interpreting associated risk can use the distribution-based cut-points reported in Table III. Notably, the further beyond these values their patient's alignment is, the higher (or lower) the risk of OA worsening or incident pain (as is illustrated in Figs. 2 and 3).

FPA may play a more important role in structural worsening in the tibiofemoral joint than in the patellofemoral joint. This could be explained by the direct influence of FPA on load distribution in the frontal plane, and biomechanical studies extend this into a dynamic environment where increased knee adduction moment is seen in those with tibiofemoral OA<sup>43</sup>. However, the relative absence of associations at the patellofemoral joint was unexpected. We found an association in women with osteophyte worsening only. Previous studies reporting associations were most often cross-sectional and used radiographs to define OA<sup>17–23</sup>. Importantly, most of these studies targeted tibiofemoral OA for inclusion into their studies – it is unknown to what extent this would have influenced study findings. Our results support the findings of one of two longitudinal studies that found baseline FPA was not associated with patella cartilage volume loss at 23 months follow up<sup>18</sup>. In the absence of a



**Fig. 2.** Risk Ratio dose–response patterns, in men, across frontal plane alignment (FPA) values for: cartilage worsening (a.); BML worsening (b.); osteophyte worsening (c.); and incident consistent frequent knee pain (d.). Vertical lines represent 1.96 standard deviations below (i.e., varus) and above (i.e., valgus) mean FPA in men ( $173^\circ$ ,  $183^\circ$ ) – risk ratios at these values are reported in Table III.

strong association between FPA and patellofemoral OA, it may be that alignment in a different plane (e.g., patella height in the sagittal plane) more directly influences patellofemoral OA worsening<sup>17</sup>. This is supported by biomechanics studies, where in contrast to the frontal plane kinematic changes in tibiofemoral OA, patellofemoral OA seems to be more strongly associated with sagittal plane gait changes<sup>44</sup>. Moreover, while ‘dynamic valgus’ is associated with patellofemoral pain<sup>45</sup>, it is likely that this apparent valgus is comprised largely of femoral internal rotation<sup>46</sup>, suggesting axial plane kinematics may influence patellofemoral outcomes more than frontal plane kinematics.

Clinically, patients generally seek care because of pain rather than structural changes. Interestingly, the association between FPA and incident knee pain differed by sex in our study. Specifically, in men, incident pain was associated with both varus and valgus alignment. For women, incident pain was generally only associated with varus alignment and the association was not as high as in men. These results could be explained by: a different background rate of pain in men and women, making the relative risk appear higher in men than in women; or due to pain being experienced or reported differently by sex (e.g., due to cultural or other psychosocial reasons, or different central pain processing); or by contributors to pain differing by sex (e.g., different structures as source of pain, loading or activity profiles, or joint stresses due to knee size or shape). Future studies are warranted to clarify the mechanism underlying the sex-related differences in these associations.

Identifying individuals with frontal plane malalignment may help identify those at higher risk of structural knee OA worsening

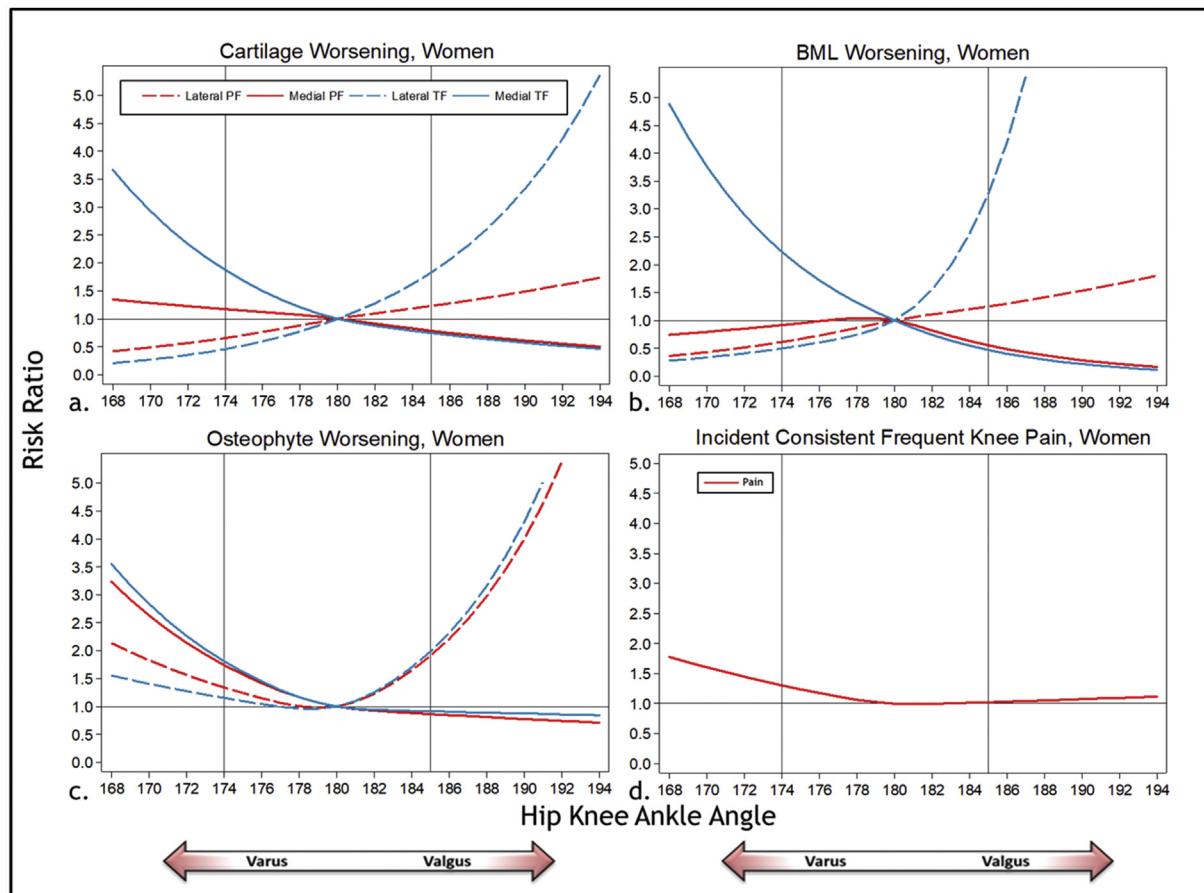
or future pain, and studies are needed to evaluate the predictive accuracy of malalignment with longitudinal outcomes. Malaligned individuals may benefit from targeted mechanical interventions such as knee bracing<sup>47</sup>, exercise therapy<sup>48</sup> or gait retraining<sup>49</sup>. However, our study results suggest that associations with structure outcomes may differ from pain outcomes – it may be that certain individuals require a more comprehensive pain management approach that considers multiple mechanical and non-mechanical contributors to pain.

#### Limitations

FPA is a static, two-dimensional measure. In reality, the lower extremity is a complex three-dimensional system, thus HKA may only capture a portion of true alignment and its influence on load distribution. Other factors that may influence load distribution and joint stress include bony morphology or geometry<sup>27</sup>, joint health, post-traumatic joint instability, quality and types of movements in daily activities, or pain avoidance behaviours.

There are currently no validated, agreed upon criteria for defining patellofemoral or tibiofemoral OA using MRI<sup>50</sup>. Further, the extent to which differences in WORMS scores represent meaningful clinical differences is still poorly understood. Nonetheless, we chose to use MRI for this study because it enables direct evaluation of cartilage, and is more sensitive at identifying OA-related lesions compared to radiographs<sup>51</sup>.

We acknowledge that previously published studies reporting the association of varus and valgus with incident tibiofemoral



**Fig. 3.** Risk Ratio dose-response patterns, in women, across FPA values for: cartilage worsening (a); BML worsening (b.); osteophyte worsening (c.); and incident consistent frequent knee pain (d.). Vertical lines represent 1.96 standard deviations below (i.e., varus) and above (i.e., valgus) mean FPA in women (174°, 185°) – risk ratios at these values are reported in Table III.

**Table III**

Estimated risk ratios (95% confidence intervals) for structural and pain outcomes, at 1.96 standard deviations below (i.e., varus) and above (i.e., valgus) mean alignment values for men (left two columns) and women (right two columns)

		Men		Women	
		Varus (173°)	Valgus (183°)	Varus (174°)	Valgus (185°)
<b>Cartilage*</b>	PF lateral	0.73 (0.40, 1.32)	1.05 (0.60, 1.82)	0.66 (0.39, 1.11)	1.23 (0.80, 1.90)
	PF medial	1.30 (0.74, 2.28)	0.92 (0.46, 1.84)	1.17 (0.77, 1.79)	0.79 (0.50, 1.25)
<b>BMLs</b>	TF lateral	0.50 (0.24, 1.04)	<b>1.84</b> (1.56, 2.17)	<b>0.46</b> (0.27, 0.78)	<b>1.83</b> (1.35, 2.48)
	TF medial	<b>1.65</b> (1.06, 2.56)	0.91 (0.63, 1.31)	<b>1.87</b> (1.38, 2.55)	0.75 (0.49, 1.13)
<b>Osteophytes</b>	PF lateral	1.11 (0.51, 2.42)	1.09 (0.61, 1.95)	0.61 (0.31, 1.20)	1.25 (0.70, 2.24)
	PF medial	0.46 (0.15, 1.42)	0.39 (0.10, 1.47)	0.92 (0.53, 1.60)	0.55 (0.27, 1.12)
<b>Incident consistent frequent knee pain</b>	TF lateral	0.31 (0.02, 4.04)	0.51 (0.11, 2.40)	0.50 (0.17, 1.43)	<b>3.26</b> (2.23, 4.78)
	TF medial	1.06 (0.34, 3.23)	<b>0.44</b> (0.21, 0.93)	<b>2.23</b> (1.59, 3.13)	<b>0.47</b> (0.26, 0.85)
	PF lateral	1.43 (0.48, 4.21)	0.60 (0.15, 2.36)	1.34 (0.53, 3.43)	<b>1.90</b> (1.01, 3.57)
	PF medial	1.77 (0.70, 4.47)	0.96 (0.33, 2.85)	<b>1.74</b> (1.15, 2.64)	0.87 (0.45, 1.66)
	TF lateral	1.13 (0.29, 4.40)	0.59 (0.23, 1.50)	1.15 (0.62, 2.15)	<b>1.98</b> (1.22, 3.22)
	TF medial	0.96 (0.36, 2.54)	<b>0.29</b> (0.11, 0.78)	<b>1.81</b> (1.30, 2.52)	0.91 (0.60, 1.40)
<b>Incident consistent frequent knee pain</b>		<b>1.74</b> (1.40, 2.16)	<b>1.46</b> (1.13, 1.88)	<b>1.29</b> (1.03, 1.60)	1.02 (0.73, 1.43)
		<b>1.31</b> (1.02, 1.68)	<b>1.32</b> (1.06, 1.64)	1.07 (0.85, 1.34)	0.92 (0.66, 1.28)

PF = patellofemoral joint; TF = tibiofemoral joint.

Note, **bold** indicates statistically significant. Risk ratios have been calculated for every value (degree) of frontal plane alignment relative to the median reference value, however only the risk ratio for two values (men 173° and 183°, women 174° and 185°) are reported here to simplify interpretation. Risk will increase or decrease at values beyond those reported here - dose-response patterns can be seen in Figs. 2 and 3.

\* ORs for models with radiographic OA presence (at least KL Grade 2) included as a covariate.

\* Structural worsening models all include age and BMI as covariates; incident pain models include age, BMI, depression, pain catastrophizing, and study site as covariates (radiographic OA see below).

cartilage damage uses the same MOST cohort as in the present study<sup>10,11</sup>. However, the present study builds on these previous works by evaluating both the patellofemoral and tibiofemoral compartments, by evaluating incident pain, by evaluating sex-specific patterns, by including worsening using MRI-defined OA including BMLs and osteophytes in addition to cartilage morphology, by evaluating over a 7 year period, and by evaluating dose–response patterns across the range of FPA values without explicitly categorizing alignment into varus or valgus based on cut-points.

Finally, the MOST cohort represents an enriched sample of older individuals who were selected for the parent study based on risk factors other than FPA. This may have resulted in biased estimates of the associations between FPA and structural and symptomatic outcomes. This could result in conservative estimates in our study, since individuals with no other risk factors for OA who developed OA because of FPA may not have been included in the cohort.

In summary, FPA was associated with patellofemoral joint osteophyte worsening in women, though overall was more strongly associated with tibiofemoral than patellofemoral compartment OA feature worsening. Alignment was also more consistently associated with structural worsening in women than in men. Both varus and valgus alignment were associated with incident knee pain in men, while only varus was associated with incident pain in women. Identifying individuals with frontal plane malalignment may help identify those at higher risk of knee OA worsening or pain, and those who may benefit from targeted interventions.

#### Author contributions

E. Macri was involved in conception and design of the present study, conducted analyses, interpreted results, drafted and edited the article, and approved the final version of the manuscript. D. Felson, T. Neogi, J. Torner, C. Lewis and M. Nevitt were involved in original conception and design of the parent study (i.e., MOST study), assisted with interpretation of results, contributed intellectually to manuscript revisions, and approved the final version of the manuscript. M. Ziegler provided guidance for statistical design and analyses, contributed intellectually to manuscript revisions, and approved the final version of the manuscript. T. Cooke conducted data acquisition, assisted in study design and interpretation of results, contributed intellectually to manuscript revisions, and approved the final version of the manuscript. A. Guermazi and F. Roemer were involved in original conception and design of the parent study (i.e., MOST study), conducted data acquisition, assisted with interpretation of results, contributed intellectually to manuscript revisions, and approved the final version of the manuscript. J. Stefanik was involved in conception and design of the present study, conducted analyses, interpreted results, contributed intellectually to manuscript revisions, and approved the final version of the manuscript. J. Stefanik and E. Macri take responsibility for the integrity of the work as a whole.

#### Conflict of interest

A Guermazi is the president of BICL, LLC, and a consultant to Merck Serono, TissueGene, Genzyme, AstraZeneca, OrthoTrophixs, Pfizer and GE Healthcare. F Roemer is CMO and shareholder of BICL. TDV Cooke is the president of OAISYS Inc.

#### Role of funding source

The Multicenter Osteoarthritis Study was funded by the NIH (U01-AG18820, U01-AG18832, U01-AG18947, U01-AG19069 and AR-47785). J. Stefanik and E. Macri were supported by NIH/NIGMS U54-GM104941, and J. Stefanik was also supported by NIH/NIAMS K23AR070913. T. Neogi was supported by NIH AR070892. Funding sources had no role in the study design, collection, analysis and

interpretation of the data or the decision to submit the manuscript for publication.

#### Acknowledgments

We thank the Multicenter Osteoarthritis Study participants, clinic staff as well as the coordinating center at the University of California San Francisco.

#### References

1. Kobayashi S, Pappas E, Fransen M, Refshauge K, Simic M. The prevalence of patellofemoral osteoarthritis: a systematic review. *Osteoarthritis Cartilage* 2015;23:A189.
2. Hart HF, Stefanik JJ, Wyndow N, Machotka Z, Crossley KM. The prevalence of radiographic and MRI-defined patellofemoral osteoarthritis and structural pathology: a systematic review and meta-analysis. *Br J Sports Med* 2017;51(16):1195–208. [bjssports-2017-097515](https://doi.org/10.1136/bjsports-2017-097515).
3. Stefanik JJ, Guermazi A, Roemer FW, Peat G, Niu J, Segal NA, et al. Changes in patellofemoral and tibiofemoral joint cartilage damage and bone marrow lesions over 7 years: the Multicenter Osteoarthritis Study. *Osteoarthritis Cartilage* 2016;24: 1160–6.
4. Duncan R, Peat G, Thomas E, Hay EM, Croft P. Incidence, progression and sequence of development of radiographic knee osteoarthritis in a symptomatic population. *Ann Rheum Dis* 2011;70:1944–8.
5. Lankhorst N, Damen J, Oei E, Verhaar J, Kloppenburg M, Bierma-Zeinstra S, et al. Incidence, prevalence, natural course and prognosis of patellofemoral osteoarthritis: the Cohort Hip and Cohort Knee study. *Osteoarthritis Cartilage* 2017;25: 647–53.
6. Duncan R, Peat G, Thomas E, Wood L, Hay E, Croft P. How do pain and function vary with compartmental distribution and severity of radiographic knee osteoarthritis? *Rheumatology* 2008;47:1704–7.
7. Farrokhi S, Piva SR, Gil AB, Oddis CV, Brooks MM, Fitzgerald GK. Association of severity of coexisting patellofemoral disease with increased impairments and functional limitations in patients with knee osteoarthritis. *Arthritis Care Res* 2013;65:544–51.
8. Conaghan PG, Kloppenburg M, Schett G, Bijlsma JW, committee Eoah. Osteoarthritis research priorities: a report from a EULAR ad hoc expert committee. *Ann Rheum Dis* 2014;73: 1442–5.
9. van Middelkoop M, Bennell KL, Callaghan MJ, Collins NJ, Conaghan PG, Crossley KM, et al. International patellofemoral osteoarthritis consortium: consensus statement on the diagnosis, burden, outcome measures, prognosis, risk factors and treatment. *Semin Arthritis Rheum* 2017;47(5):666–75.
10. Sharma L, Song J, Dunlop D, Felson D, Lewis CE, Segal N, et al. Varus and valgus alignment and incident and progressive knee osteoarthritis. *Ann Rheum Dis* 2010;69:1940–5.
11. Sharma L, Chmiel JS, Almagor O, Felson D, Guermazi A, Roemer F, et al. The role of varus and valgus alignment in the initial development of knee cartilage damage by MRI: the MOST study. *Ann Rheum Dis* 2013;72:235–40.
12. Sharma L, Song J, Felson DT, Shamiyeh E, Dunlop DD. The role of knee alignment in disease progression and functional decline in knee osteoarthritis. *J Am Med Assoc* 2001;286: 188–95.
13. Tanamas S, Hanna F, Cicuttini F, Wluka A, Berry P, Urquhart D. Does knee malalignment increase the risk of development and

progression of knee osteoarthritis? A systematic review. *Arthritis Rheum* 2009;61:459–67.

14. Felson DT, Niu J, Gross KD, Englund M, Sharma L, Cooke TD, et al. Valgus malalignment is a risk factor for lateral knee osteoarthritis incidence and progression: findings from the Multicenter Osteoarthritis Study and the Osteoarthritis Initiative. *Arthritis Rheum* 2013;65:355–62.
15. Hunter DJ, Niu J, Felson DT, Harvey WF, Gross KD, McCree P, et al. Knee alignment does not predict incident osteoarthritis: the Framingham osteoarthritis study. *Arthritis Rheum* 2007;56:1212–8.
16. Felson DT. Osteoarthritis as a disease of mechanics. *Osteoarthritis Cartilage* 2013;21:10–5.
17. Macri EM, Stefanik JJ, Khan KM, Crossley KM. Is tibiofemoral or patellofemoral alignment or trochlear morphology associated with patellofemoral osteoarthritis? A systematic review. *Arthritis Care Res* 2016;68:1453–70.
18. Teichtahl AJ, Wluka AE, Cicuttini FM. Frontal plane knee alignment is associated with a longitudinal reduction in patella cartilage volume in people with knee osteoarthritis. *Osteoarthritis Cartilage* 2008;16:851–4.
19. Elahi S, Cahue S, Felson DT, Engelman L, Sharma L. The association between varus-valgus alignment and patellofemoral osteoarthritis. *Arthritis Rheum* 2000;43:1874–80.
20. Cahue S, Dunlop D, Hayes K, Song J, Torres L, Sharma L. Varus-valgus alignment in the progression of patellofemoral osteoarthritis. *Arthritis Rheum* 2004;50:2184–90.
21. Im GI, Kim MK, Lee SH. Relationship between knee alignment and radiographic markers of osteoarthritis: a cross-sectional study from a Korean population. *Int J Rheum Dis* 2013;19(2):178–83.
22. Otsuki S, Nakajima M, Okamoto Y, Oda S, Hoshiyama Y, Iida G, et al. Correlation between varus knee malalignment and patellofemoral osteoarthritis. *Knee Surg Sports Traumatol Arthrosc* 2014;1:1–6.
23. Harrison MM, Cooke TD, Fisher SB, Griffin MP. Patterns of knee arthrosis and patellar subluxation. *Clin Orthop Relat Res* 1994;56–63.
24. Gudbergsen H, Lohmander L, Jones G, Christensen R, Bartels EM, Danneskiold-Samsøe B, et al. Correlations between radiographic assessments and MRI features of knee osteoarthritis—a cross-sectional study. *Osteoarthritis Cartilage* 2013;21:535–43.
25. Bellemans J, Colyn W, Vandenneucker H, Victor J. The Chitraranjan Ranawat Award: is neutral mechanical alignment normal for all patients?: the concept of constitutional varus. *Clin Orthop Relat Res* 2012;470:45–53.
26. Laxafoss E, Jacobsen S, Gosvig KK, Sonne-Holm S. The alignment of the knee joint in relationship to age and osteoarthritis. *Skeletal Radiol* 2013;42:531–40.
27. Cooke D, Scudamore A, Li J, Wyss U, Bryant T, Costigan P. Axial lower-limb alignment: comparison of knee geometry in normal volunteers and osteoarthritis patients. *Osteoarthritis Cartilage* 1997;5:39–47.
28. Greenland S. Dose-response and trend analysis in epidemiology: alternatives to categorical analysis. *Epidemiology* 1995;356–65.
29. Felson DT, Niu J, Guermazi A, Roemer F, Aliabadi P, Clancy M, et al. Correlation of the development of knee pain with enlarging bone marrow lesions on magnetic resonance imaging. *Arthritis Rheum* 2007;56:2986–92.
30. Multicenter Osteoarthritis Study. Multicentre Osteoarthritis Study Public Data Sharing. vol. 20172009.
31. Cooke TD, Sled EA, Scudamore RA. Frontal plane knee alignment: a call for standardized measurement. *J Rheumatol* 2007;34:1796–801.
32. Sled EA, Sheehy LM, Felson DT, Costigan PA, Lam M, Cooke TDV. Reliability of lower limb alignment measures using an established landmark-based method with a customized computer software program. *Rheumatol Int* 2011;31:71–7.
33. Peterfy CG, Guermazi A, Zaim S, Tirman PF, Miaux Y, White D, et al. Whole-organ magnetic resonance imaging score (WORMS) of the knee in osteoarthritis. *Osteoarthritis Cartilage* 2004;12:177–90.
34. Roemer FW, Felson DT, Wang K, Crema MD, Neogi T, Zhang Y, et al. Co-localisation of non-cartilaginous articular pathology increases risk of cartilage loss in the tibiofemoral joint—the MOST study. *Ann Rheum Dis* 2013;72:942–8.
35. Zhang Y, Niu J, Felson DT, Choi HK, Nevitt M, Neogi T. Methodologic challenges in studying risk factors for progression of knee osteoarthritis. *Arthritis Care Res* 2010;62:1527–32.
36. Stefanik JJ, Gross KD, Guermazi A, Felson DT, Roemer FW, Zhang Y, et al. The relation of MRI-detected structural damage in the medial and lateral patellofemoral joint to knee pain: the Multicenter and Framingham Osteoarthritis Studies. *Osteoarthritis Cartilage* 2015;23:565–70.
37. Neogi T, Felson D, Niu J, Nevitt M, Lewis CE, Aliabadi P, et al. Association between radiographic features of knee osteoarthritis and pain: results from two cohort studies. *BMJ* 2009;339:b2844.
38. Bahr R. Why screening tests to predict injury do not work—and probably never will...: a critical review. *Br J Sports Med* 2016;50(13):776–80. bj sports-2016-096256.
39. Harrell F. Regression Modeling Strategies: With Applications to Linear Models, Logistic and Ordinal Regression, and Survival Analysis. New York: Springer; 2001.
40. Garson GD. Hierarchical Linear Modeling: Guide and Applications. Sage; 2012.
41. Barros AJ, Hirakata VN. Alternatives for logistic regression in cross-sectional studies: an empirical comparison of models that directly estimate the prevalence ratio. *BMC Med Res Methodol* 2003;3:21.
42. Song X, Jousilahti P, Stehouwer CD, Soderberg S, Onat A, Laatikainen T, et al. Cardiovascular and all-cause mortality in relation to various anthropometric measures of obesity in Europeans. *Nutr Metabol Cardiovasc Dis* 2015;25:295–304.
43. Foroughi N, Smith R, Vanwanseele B. The association of external knee adduction moment with biomechanical variables in osteoarthritis: a systematic review. *Knee* 2009;16:303–9.
44. Teng HL, MacLeod TD, Link TM, Majumdar S, Souza RB. Higher knee flexion moment during the second half of the stance phase of gait is associated with the progression of osteoarthritis of the patellofemoral joint on magnetic resonance imaging. *J Orthop Sports Phys Ther* 2015;45:656–64.
45. Petersen W, Ellermann A, Gösele-Koppenburg A, Best R, Rembitzki IV, Brüggemann G-P, et al. Patellofemoral pain syndrome. *Knee Surg Sports Traumatol Arthrosc* 2014;22:2264–74.
46. Souza RB, Draper CE, Fredericson M, Powers CM. Femur rotation and patellofemoral joint kinematics: a weight-bearing magnetic resonance imaging analysis. *J Orthop Sports Phys Ther* 2010;40:277–85.
47. Petersen W, Ellermann A, Zantop T, Rembitzki IV, Semsch H, Liebau C, et al. Biomechanical effect of unloader braces for medial osteoarthritis of the knee: a systematic review (CRD 42015026136). *Arch Orthop Trauma Surg* 2016;136:649–56.

48. Bennell KL, Kyriakides M, Metcalf B, Egerton T, Wrigley TV, Hodges PW, et al. Neuromuscular versus quadriceps strengthening exercise in patients with medial knee osteoarthritis and varus malalignment: a randomized controlled trial. *Arthritis Rheum* 2014;66:950–9.
49. Simic M, Wrigley TV, Hinman RS, Hunt MA, Bennell KL. Altering foot progression angle in people with medial knee osteoarthritis: the effects of varying toe-in and toe-out angles are mediated by pain and malalignment. *Osteoarthritis Cartilage* 2013;21:1272–80.
50. Hunter DJ, Arden N, Conaghan PG, Eckstein F, Gold G, Grainger A, et al. Definition of osteoarthritis on MRI: results of a Delphi exercise. *Osteoarthritis Cartilage* 2011;19:963–9.
51. Amin S, LaValley MP, Guermazi A, Grigoryan M, Hunter DJ, Clancy M, et al. The relationship between cartilage loss on magnetic resonance imaging and radiographic progression in men and women with knee osteoarthritis. *Arthritis Rheum* 2005;52:3152–9.