



## Involved margins after lumpectomy for breast cancer: Always to be re-excised?



Luca Sorrentino<sup>a</sup>, Manuela Agozzino<sup>b</sup>, Sara Albasini<sup>c</sup>, Daniela Bossi<sup>c</sup>, Serena Mazzucchelli<sup>a</sup>, Renzo Vanna<sup>d</sup>, Ourania Papadopoulou<sup>e</sup>, Laura Villani<sup>b</sup>, Fabio Corsi<sup>a,\*</sup>

<sup>a</sup> Department of Biomedical and Clinical Sciences “Luigi Sacco”, University of Milan, via G. B. Grassi 74, 20157, Milan, Italy

<sup>b</sup> Pathology Unit, Istituti Clinici Scientifici Maugeri IRCCS, via S. Maugeri 10, 27100, Pavia, Italy

<sup>c</sup> Surgery Department, Breast Unit, Istituti Clinici Scientifici Maugeri IRCCS, via S. Maugeri 10, 27100, Pavia, Italy

<sup>d</sup> Nanomedicine and Molecular Imaging Lab, Istituti Clinici Scientifici Maugeri IRCCS, via S. Maugeri 10, Pavia, Italy

<sup>e</sup> Service of Breast Radiology, Department of Radiology, Istituti Clinici Scientifici Maugeri IRCCS, via. S. Maugeri 10, Pavia, Italy

### ARTICLE INFO

#### Keywords:

Re-excision  
Breast-conserving surgery  
Breast cancer  
Margins

### ABSTRACT

**Background:** The oncologic benefit of upfront re-excision of involved margins after breast-conserving surgery in the context of current multimodal clinical management of breast cancer is unclear. The aim of the present study was to assess the 5-years locoregional recurrence (LRR)-free and distant metastases (DM)-free survival probabilities in patients not undergoing re-excision of positive margins after lumpectomy for breast cancer.

**Methods:** A cohort of 104 patients with positive margins not undergoing re-excision was matched by propensity score with a cohort of 2006 control patients with clear margins after breast-conserving surgery, treated between 2008 and 2018. A multivariate survival analysis was performed accounting for all variables related to LRR and DM, including adjuvant treatments.

**Results:** After adjusting for potential confounders, avoiding to re-excite a positive margin after lumpectomy had no effect on 5-years LRR-free survival probability (HR 0.98, 95%CI 0.36–2.67,  $p = 0.96$ ) or 5-years DM-free survival probability (HR 0.37, 95%CI 0.08–1.61,  $p = 0.18$ ). No correlation was found between occurrence of LRR and number of involved margins (HR 1.28, 95%CI 0.10–12.4, Log-rank  $p = 0.83$ ), or extension of infiltrating disease (HR 1.21, 95%CI 0.20–7.40, Log-rank  $p = 0.83$ ), but a trend toward higher LRR probability was found for invasive ductal (HR 6.92, 95%CI 0.7–68.8, Log-rank  $p = 0.10$ ) and invasive lobular cancer (HR 12.95, 95%CI 0.79–213.6, Log-rank  $p = 0.07$ ) on positive margins.

**Conclusions:** In the era of multimodal treatment of breast cancer and accurate strategies to reduce the probability of residual disease in the post-lumpectomy cavity, re-excision of positive margins might be omitted in selected patients with low-risk breast cancers.

### 1. Introduction

Lumpectomy with a single line of normal cells between cancer and the resection limit is currently the standard of care of early breast cancer [1]. Indeed, the great majority of updated guidelines clearly state that “no ink on tumor” is the standard adequate margin and involved margins are considered unacceptable [2,3]. Positive margins are related to a higher loco-regional recurrence (LRR) rate, because residual disease is likely to be left in the surrounding tissues [4–6]. But histopathology on re-excisions not always reveals residual disease [7–9]. Furthermore, up to 20–50% of breast cancer patients treated by lumpectomy undergo re-excision of an involved margin and but re-

excision is associated to heavier psychological burden for patients, delay of multidisciplinary case discussion and onset of adjuvant treatments, and increased costs [10,11]. The benefit of upfront re-excision in all cases with involved margins in terms of LRR in current multimodal clinical treatment of breast cancer is not clear, since updated evidences are lacking [12]. The aim of the present study was to compare the 5-years LRR-free and distant metastases (DM)-free survival probabilities between patients with involved margins not re-excised vs. patients with clear margins after breast-conserving surgery.

\* Corresponding author. Department of Biomedical and Clinical Sciences “Luigi Sacco”, University of Milan, Via G. B. Grassi, 74, 20157 Milan, Italy Surgery Department, Breast Unit, ICS Maugeri S.p.A. SB, via Maugeri 10, 27100 Pavia, Italy.

E-mail address: [fabio.corsi@unimi.it](mailto:fabio.corsi@unimi.it) (F. Corsi).

<https://doi.org/10.1016/j.suronc.2019.08.002>

Received 28 April 2019; Received in revised form 14 July 2019; Accepted 5 August 2019

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## 2. Material and methods

### 2.1. Study population

Patients were retrospectively collected from the prospectively-maintained database of the EUSOMA-accredited Breast Unit of ICS Maugeri Hospital from January 2008 to January 2018. Inclusion criteria were proven diagnosis of breast cancer, any size if suitable for lumpectomy and evaluation of margins status on final histopathology. Exclusion criteria were: benign disease, indication for total mastectomy, neoadjuvant treatment, distant metastases at diagnosis, or palliative surgery without curative intent. A total of 2160 patients affected by breast cancer and treated by breast conserving-surgery were reviewed.

### 2.2. Surgical procedure

All patients underwent preoperative radio-guided occult lesion localization. Briefly, 15–25 MBq of <sup>99m</sup>Tc-labelled human serum albumin nanocolloids (Nanocoll, GEHC, Italy) in 0.2 mL saline were injected by ultrasound guidance the day before surgery. A scintigraphy was performed to assess the adequacy of procedure. During surgery, lesion projection on breast skin was localized by a gamma probe (Bluetooth Neoprobe Gamma Detection System) to plan the incision. The excision was continuously guided by the gamma probe, to check the inclusion of the lesion within the specimen. After lesion resection, the gamma probe was used to assess possible residual signal in the cavity. In all cases, the sentinel lymph node biopsy was performed by radioisotope technique. All the procedures were performed by the same surgical equipe.

### 2.3. Evaluation of margins and multidisciplinary management

In all cases margins status was available on final pathology, and all patients were discussed in the weekly multidisciplinary meeting of our institution to plan the adjuvant treatments. The margins status was determined on all six planes of the surgical specimen. According to current guidelines, adequate margins were defined as “no ink on tumor” [2]. A focally positive margin was defined as cancer invading for less than 4 mm in length the inked margin; in case of tumor involvement of more than 4 mm in length on inked margin, this was considered as extensively involved. In case of positive margins, a re-intervention was considered mandatory: a re-excision was proposed in case of extensive involvement of a single margin or multiple focally positive margins, while total mastectomy was preferred for multiple extensive margins or if a misdiagnosed multifocal disease was suggested on histopathology after lumpectomy. Patients who refused the proposal of re-excision despite positive margins were the target population of the study.

### 2.4. Study design and endpoints

Patients were divided in two groups: those with negative margins at first surgery or undergoing a re-intervention after positive margins (control patients, CP) and those with positive margins who were not subjected to re-excision (not re-excised, NR). The two groups were compared in terms of 1) 5-years LRR-free survival probability and 2) 5-years DM-free survival probability. LRR was defined as the occurrence of ipsilateral breast cancer or nodal disease at axillary, internal mammary and/or supraclavicular level, proven on core biopsy. DM was defined as the occurrence of distant lesions with computed tomography and positron emission tomography features suggestive of malignancy. We also evaluated: 1) cancer-related death rate, 2) impact of number of positive margins, extension and histology of infiltrating disease on LRR. Due to the non-random design of the study, a propensity score-matched analysis was performed matching NR and CP, to reduce bias resulting from possible confounders between the two groups. NR and CP were

matched at 1:5 ratio according to the subsequent preoperative variables: age, histological type, pT and pN stages, grading and biomolecular subtype (Luminal A, Luminal B, HER2-positive and triple-negative). Propensity score matching was preferred given the presence of confounding variables which could affect the choice of treatment, to account for this selection bias. However, since propensity score matching could decrease the available sample size, potentially underpowering the study, comparison between groups was also checked by re-analyzing the study population through inverse probability weights (IPW) adjustment, thus including all 2160 patients. A multivariate survival analysis was performed accounting for all variables related to LRR and DM, including adjuvant treatments.

### 2.5. Statistical analysis

Differences between NR and CP after propensity score-matched analysis were assessed to verify the heterogeneity of the study population. Variables were reported as means  $\pm$  standard deviations or as absolute numbers and percentages. Categorical variables were compared using  $\chi^2$  test or Fisher exact test as appropriate, while continuous variables were compared using Student's T test or non-parametric Wilcoxon test. To verify the adequacy of sample size to evaluate the end-points, the ideal sample size was calculated as for an equivalence study model. The null hypothesis was that re-excising or not re-excising a positive margin would have resulted in different outcomes in terms of disease free-survival. Considering a global recurrence rate of about 10% both for invasive cancer and ductal carcinoma in situ (DCIS), and a hypothesized difference between groups of 10–12%, it would have been sufficient to include about 100 patients per group to achieve a study power of 90% with a confidence level equal to 0.05. Based on these considerations, sample size was considered adequate. NR and CP were compared by Cox proportional hazard regression model including variables significantly associated with the outcomes, included type of adjuvant treatment. The 5-years LRR-free and DM-free survival probabilities were estimated by the Kaplan-Meier method. Statistical significance was set at  $p < 0.05$  (two tailed). Data analysis was performed using SAS software (v. 9.4, SAS Institute Inc., Cary, USA).

## 3. Results

### 3.1. Margins status and distribution of baseline variables after propensity score-matching

Of 2160 patients reviewed, 2006 cases (92.9%) had clear margins on final pathology after lumpectomy, while in 154 patients (7.1%) positive margins were found. Of the latter 154 patients, 50 (2.3%) were re-excised while 104 (4.8%) refused re-intervention. Considering re-excised patients, in 18 cases (36.0%) residual disease was found on histopathology after re-intervention, while no evidence of further cancer was encountered in the remaining 32 cases (64.0%). After propensity score matching, a total of 624 patients were included for analysis of the endpoints: 104 NR (16.7%) vs. 520 CP (83.3%). Distribution of baseline variables was balanced between groups, as reported in Table 1. Radiotherapy was offered to 89.4% of NR vs. 81.4% of CP ( $p = 0.05$ ). Radiotherapy was delivered with a conventional 3D conformal technique to the whole breast with two tangential fields, for a total dose of 45 Gy in 20 fractions plus a possible boost of 5 Gy in 4 weekly fractions. Boost was delivered in 90.3% of CP vs. 95.7% of NR patients ( $p = 0.11$ ). Chemotherapy was administered respectively in 22.1% and 21.3% of cases ( $p = 0.86$ ). Chemotherapy regimen was based on anthracyclines with or without taxanes in 58.3% of NR vs. 45.5% of CP, and trastuzumab was administered respectively in 38.9% and 45.5% of cases; in the remaining cases, other regimens (2.8% vs. 9.0%) were offered ( $p = 0.49$ ). Hormone therapy was received by 73.1% of NR and 77.9% of CP ( $p = 0.29$ ).

**Table 1**  
Distribution of baseline variables after propensity score matching.

	Cancer-free margins (n = 520)	Not re-excised (n = 104)	p-value
<b>Age at diagnosis (years)</b>	63.3 ( ± 12.6)	63.2 ( ± 13.6)	0.79
<b>Histological Type</b>			
DCIS	91 (17.5%)	20 (19.2%)	0.34
Ductal invasive carcinoma	381 (73.3%)	70 (67.3%)	
Lobular invasive carcinoma	48 (9.2%)	14 (13.5%)	
<b>Grading</b>			
G1	51 (9.8%)	7 (6.7%)	0.61
G2	315 (60.6%)	66 (63.5%)	
G3	154 (29.6%)	31 (29.8%)	
<b>pT stage</b>			
pT1a/pT1mic	22 (4.2%)	7 (6.7%)	0.52
pT1b	109 (21.0%)	22 (21.2%)	
pT1c	222 (42.7%)	46 (44.2%)	
pT2	76 (14.6%)	9 (8.7%)	
pTis	91 (17.5%)	20 (19.2%)	
<b>pN stage</b>			
pN0/pNmic	388 (74.6%)	78 (75.0%)	0.72
pN1	92 (17.7%)	16 (15.4%)	
pN2-3	40 (7.7%)	10 (9.6%)	
<b>Biomolecular subtype</b>			
Luminal A	317 (70.0%)	62 (59.6%)	0.10
Luminal B	152 (29.2%)	27 (26.0%)	
HER2 +	9 (1.7%)	6 (5.8%)	
TNBC	42 (8.1%)	9 (8.6%)	
<b>Hormone therapy</b>			
No	115 (22.1%)	28 (26.9%)	0.29
Yes	405 (77.9%)	76 (73.1%)	
<b>Chemotherapy</b>			
No	409 (78.6%)	81 (77.9%)	0.86
Yes	111 (21.3%)	23 (22.1%)	
<b>Radiation therapy</b>			
No	97 (18.6%)	11 (10.6%)	0.05
Yes	423 (81.4%)	93 (89.4%)	
<b>Radiation boost</b>			
No	41 (9.7%)	4 (4.3%)	0.11
Yes	382 (90.3%)	89 (95.7%)	

### 3.2. Long-term outcomes and event-free survival probabilities between not re-excised vs. control patients

Mean follow up after breast cancer surgery was 48.6 ( ± 30.6) months in NR patients vs. 44.8 ( ± 36.8) months in CP (p = 0.09). LRR occurred in 4.8% of NR patients and in 5.6% of CP (p = 0.75), while DM were observed respectively in 1.9% and 5.6% of cases (p = 0.12). Of 34 LRR, 23 (67.6%) occurred as in-breast local disease, and 11 (32.4%) as ipsilateral axillary and/or supra-clavicular nodal recurrence. No difference was observed also in cancer-related death rates, being 3.9% both in NR and CP (p = 1.00). All these data are reported in [Table 2](#). NR and CP showed comparable 5-years LRR-free survival probability curves, with a hazard ratio (HR) for not re-excising a positive margin equal to 0.90 (95%CI 0.35–2.35, Log-Rank test p = 0.83), see [Fig. 1a](#). Also 5-years DM-free survival probabilities were similar between NR and CP (HR 0.33, 95%CI 0.08–1.38, Log-Rank test p = 0.11), as showed in [Fig. 1b](#). After adjusting Kaplan-Meier survival analyses by IPW, not re-excising a positive margin still had no impact on 5-years LRR-free (Log-Rank test p = 0.94) or DM-free (Log-Rank test p = 0.14) survival probabilities, as showed in [Supplementary Figs. S1 and S2](#).

### 3.3. Multivariate survival analysis of not re-excising positive margins on LRR-free and DM-free survival probabilities

After adjusting for potential confounding variables by Cox regression model, avoiding to re-excise a positive margin after lumpectomy confirmed no effect on 5-years LRR-free survival probability, with an

**Table 2**  
Long-term outcomes between not re-excised vs. control patients.

	Cancer-free margins (n = 520)	Not re-excised (n = 104)	p-value
<b>Mean follow up (months)</b>	44.8 ( ± 36.8)	48.6 ( ± 30.6)	0.09
<b>Loco-regional recurrence</b>			
No	491 (94.4%)	99 (95.2%)	0.75
Yes	29 (5.6%)	5 (4.8%)	
<b>Type of loco-regional recurrence</b>			
In-breast local recurrence	19 (65.5%)	4 (80.0%)	–
Axillary/Supra-clavicular nodal disease	10 (34.5%)	1 (20.0%)	
<b>Distant metastases</b>			
No	491 (94.4%)	102 (98.1%)	0.12
Yes	29 (5.6%)	2 (1.9%)	
<b>Any first event</b>			
No	462 (88.9%)	96 (92.3%)	0.29
Yes	58 (11.1%)	8 (7.7%)	
<b>Cancer-related death</b>			
No	500 (96.1%)	100 (96.1%)	1.00
Yes	20 (3.9%)	4 (3.9%)	

adjusted HR equal to 0.98 (95%CI 0.36–2.67, p = 0.96). No variable resulted to be independently associated to LRR. Not re-excising positive margins had no impact also on 5-years DM-free survival probability (adjusted HR 0.37, 95%CI 0.08–1.61, p = 0.18). Independent predictors of DM-free survival probability were nodal status (HR 0.22 for N1 vs. N2, 95%CI 0.07–0.72, p = 0.013) and the need for adjuvant chemotherapy (HR 6.12, 95%CI 1.97–18.99, p = 0.002). Cox multivariate analysis is reported on [Table 3](#).

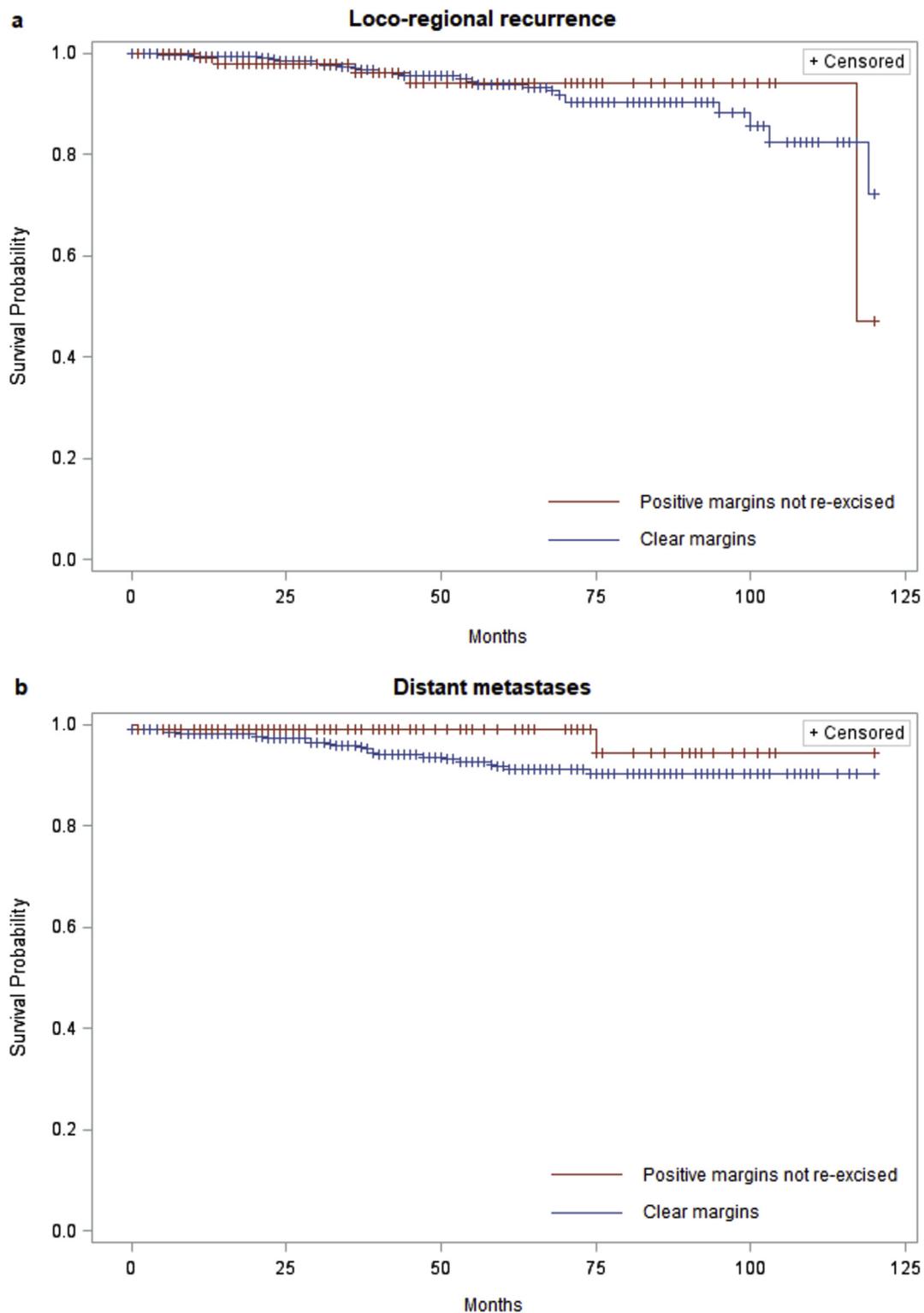
### 3.4. Impact of number of positive margins, extension and histopathology of infiltrating disease on LRR

In 79 NR patients (76.0%) 1 single involved margins was found on final pathology, and 25 cases (24.0%) had > 1 positive margin. A focal involvement was encountered in 70 cases (67.3%), while extensive infiltration was observed in 34 patients (32.7%). No correlation was found between occurrence of LRR and number of involved margins (HR 1.28 for > 1 vs. 1 involved margin, 95%CI 0.10–12.4, Log-rank p = 0.83), or extension of infiltrating disease (HR 1.21 for extensive vs. focal infiltration, 95%CI 0.20–7.40, Log-rank p = 0.83). Histopathology on involved margins revealed DCIS in 68 (65.4%) patients, ductal invasive cancer in 27 (26.0%) and lobular invasive cancer in 9 (8.6%) cases. No correlation was observed with LRR, with HR equal to 6.92 for invasive ductal vs DCIS (95%CI 0.7–68.8, Log-rank p = 0.10) and 12.95 for invasive lobular vs. DCIS (95%CI 0.79–213.6, Log-rank p = 0.07).

## 4. Discussion

An accurate preoperative lesion localization and the adoption of current guidelines on the adequacy of surgical margin in breast-conserving surgery have greatly reduced the re-excision rate and related healthcare costs [10,11]. Since several features of the proposed toolbox for reducing re-operations after breast cancer surgery are adopted in our institution, it is not surprising that only 2.3% of patients were re-excised to achieve adequate margins. Notably, in 4.8% of patients with involved margins a re-excision was refused. This is a major point of controversy, because currently no guideline accepts less than no ink on tumor for invasive cancer, with the only exception of Dutch guidelines which support the avoidance of re-intervention in case of focally positive margins [13].

It should be noted that the great majority of NR patients had pT1 (72.1%), node-negative (75.0%), Luminal A (56.0%) lesions, therefore a large proportion of these patients had a low-risk breast cancer.



**Fig. 1.** Survival probability curves of patients with positive margins not undergoing re-excision vs. patients with clear margins after lumpectomy. a) 5-years loco-regional recurrence-free survival probability; b) 5-years distant metastases-free survival probability.

Interestingly, chemotherapy (22.1% vs. 21.3%,  $p = 0.86$ ) and hormone therapy (73.1% vs. 77.9%,  $p = 0.29$ ) rates were comparable between NR patients and CP, therefore omission of re-excision of positive margins was not associated to enhancement of adjuvant treatments. Conversely, a slight but not significant trend in a higher use of radiotherapy was evident in NR patients (89.4% vs. 81.4%,  $p = 0.05$ ), expectedly to minimize LRR occurrence.

After controlling for baseline features by propensity score matching, omitting to re-excite a positive margin was not related to a higher LRR rate, being 4.8% vs. 5.6% in control group ( $p = 0.75$ ). Also, DM rates were similar ( $p = 0.12$ ). At multivariate analysis accounting also for post-operative treatments, included radiotherapy, still avoiding re-operation for positive margins had no effect on LRR (HR 0.98,  $p = 0.96$ ) or DM (HR 0.37,  $p = 0.18$ ). The absence of any effect on 5-

**Table 3**  
Multivariate analysis of clinical variables associated with loco-regional recurrence and distant metastases.

	Loco-regional recurrence			Distant Metastases		
	Hazard Ratio	95% CI	p-value	Hazard Ratio	95%CI	p-value
<b>Age at diagnosis</b>	1.03	(0.99–1.07)	0.08	1.03	(0.99–1.07)	0.12
<b>Margins</b>						
Positive not re-excised vs. clear	0.98	(0.36–2.67)	0.96	0.37	(0.08–1.61)	0.18
<b>Histological type</b>						
Invasive ductal vs. DCIS	2.09	(0.01–428.14)	0.79	1.18	(0.0–7165.96)	0.97
Invasive lobular vs. DCIS	2.77	(0.01–634.2)	0.71	2.20	(0.0–13,874.6)	0.86
<b>pT stage</b>						
pT1 vs. pTis	1.10	(0.01–225.0)	0.92	1.35	(0.0–8125.8)	0.95
pT2-3 vs. pTis	1.33	(0.01–300.18)	0.92	2.36	(0.0–14,725.9)	0.85
<b>pN stage</b>						
pN0 vs. pN2	1.28	(0.24–6.73)	0.77	0.37	(0.14–0.98)	0.046
pN1 vs. pN2	0.72	(0.12–4.31)	0.72	0.22	(0.07–0.72)	0.013
<b>Grading</b>						
G1 vs. G3	0.59	(0.13–2.64)	0.49	2.43	(0.46–12.9)	0.30
G2 vs. G3	0.57	(0.21–1.58)	0.28	1.72	(0.71–4.17)	0.23
<b>Biomolecular subtype</b>						
Luminal A vs. TNBC	0.63	(0.12–3.40)	0.59	0.65	(0.15–2.81)	0.56
Luminal B vs. TNBC	0.65	(0.14–3.08)	0.58	1.10	(0.32–3.77)	0.88
HER2+ vs. TNBC	0.34	(0.04–4.14)	0.43	NA <sup>a</sup>	NA	NA
<b>Chemotherapy</b>						
Yes vs. no	0.52	(0.14–1.93)	0.33	6.12	(1.97–18.99)	0.002
<b>Hormone therapy</b>						
Yes vs. no	0.51	(0.19–1.41)	0.20	0.50	(0.18–1.40)	0.19
<b>Radiation therapy</b>						
Yes vs. no	0.48	(0.19–1.23)	0.13	1.07	(0.27–4.20)	0.92

<sup>a</sup> NA: not available, due to lack of patients in one category.

years survival probabilities omitting to re-excite a positive margin is puzzling, but some explanations may be hypothesized. First, all patients underwent preoperative localization, therefore residual disease was unlikely to be left in post-lumpectomy cavity, as demonstrated by the fact that 64% of re-excised patients had not residual disease on specimen after re-operation. Several other studies have showed that often residual cancer is not found on final pathology after re-excision for a positive margin [7–9,14,15]. In other words, a margin could be incorrectly considered positive after handling of the specimen for histopathological analysis, since the pathologist might misunderstand its interpretation due to specimen shrinkage [16,17]. Another point to be considered is the type of margin involvement. In the great majority of NR patients, a single (76.0%), focally positive (67.3%) margin involved by intraductal cancer (65.4%) was observed on histopathology. Therefore, the eventuality of a clinically significant residual disease after lumpectomy is unlikely, and radiotherapy together with adjuvant treatments could have been sufficient to control the disease at loco-regional level [18].

Due to the low event rate, the association between histopathology of cancer at inked margin, extension of involvement, number of involved margins and LRR could not be analyzed. However, a non-significant trend in increasing LRR after omitting re-excision was observed for invasive ductal (HR 6.92,  $p = 0.10$ ) and invasive lobular cancer (HR 12.95,  $p = 0.07$ ) compared to DCIS.

Only few studies analyzing oncologic outcomes after not re-excising a positive margin after breast-conserving surgery are available in recent literature [18–21]. Since Dutch breast cancer guidelines do not recommend re-excision for focally positive margins, Vos et al. published a study on 492 patients who were not subjected to re-intervention. LRR rate was 2.9% vs. 1.1% in case of re-excision, but the difference was not statistically significant (0.099), and no association was found with overall or disease-free survival [18]. Similarly, a study from the United States reported that in selected cases omitting re-excision of positive margins are not associated with increased LRR rates, particularly for anterior and posterior margins, if radiotherapy is administered [19]. Another study analyzing a large cohort proposed radiotherapy without re-excision as a viable option to control for LRR after positive margins

on lumpectomy, in case of older patients with low-risk cancer [20]. Furthermore, the effect of radiotherapy could be maximized by boost delivered at the positive margin [21]. A recent meta-analysis confirmed that positive margins after lumpectomy predict a higher LRR (OR 1.96,  $p < 0.001$ ) [22], but it should be noted that most of reviewed evidences were published in another era of breast cancer treatment, when the definition of adequate margins and the availability of adjuvant treatments were quite different from current clinical practice [23].

## 5. Conclusions

In the era of multimodal treatment of breast cancer and accurate strategies to reduce the probability of residual disease in the post-lumpectomy cavity after breast-conserving surgery, re-excision for positive margins might be omitted in selected patients with low-risk breast cancers. Since a single focally positive margin is encountered in the majority of cases with involved margins and considering the absence of residual disease in most re-excised patients, avoiding re-operation could be discussed in such cases.

## Funding

This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.suronc.2019.08.002>.

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