

Stroke Volume Predicts Nocturnal Hypoxemia in the Acute Ischemic Stroke after Intravenous Thrombolysis

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The Goal: The aim of the study was to investigate whether stroke volume or the presence of ischemic stroke lesion on follow-up computed tomography 1 day after admission had association with sleep apnea among ischemic stroke patients undergoing thrombolysis. *Materials and Methods:* We prospectively recruited 110 consecutive ischemic stroke patients and performed computed tomography on admission and after 24 hours after intravenous thrombolysis. Stroke volume was measured from post-thrombolysis computed tomography scans. Unattended cardiorespiratory polygraphy with a 3-channel device was performed during 48 hours after admission. *Findings:* Of 110 ischemic stroke patients treated with thrombolysis 65.5% were men. Mean age was 65.8 years and body mass index 27.5 kg/m². The mean Epworth sleepiness scale score was 4.7. Eight patients (12.7%) with visible acute stroke after thrombolysis and none in the other group had hemorrhage as complication ($P < .001$). Sleep apnea, determined as a respiratory event index greater than or equal to 5/hour, was diagnosed in 96.4% patients. Respiratory event index greater than 15/h was found in 72.8% of patients. Both mean baseline oxygen desaturation index (23.9 versus 16.5, $P = .028$) and obstructive apneas/hour (6.2 versus 2.7, $P = .007$) were higher in visible stroke group. Stroke volume (mean 15.9 mL) correlated with proportion of time spent below saturation less than 90%, $P = .025$. *Conclusions:* Acute ischemic stroke patients treated with thrombolysis with visible stroke were more likely to have nocturnal hypoxemia than patients with not visible strokes. Stroke volume correlated with time spent below saturation of 90%.

Key Words: Thrombolysis—computed tomography—cardiorespiratory polygraphy—visible stroke—sleep apnea.

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Abbreviations: AHI, apnea hypopnea index; REI, respiratory event index; ODI, oxygen desaturation index; NIHSS, National Institutes of Health Stroke Scale; BMI, body mass index; PAD, peripheral arterial disease; ESS, Epworth Sleepiness Scale; mRS, modified Rankin scale; HI, hemorrhagic infarction; PH, parenchymal hematoma; AASM, American Academy of Sleep Medicine; ODI4, oxyhemoglobin decrease of greater than or equal to 4%; SD, standard deviation; t-PA, intravenous recombinant tissue plasminogen activator

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We studied stroke volume and visible stroke association with sleep apnea among ischemic stroke patients with thrombolysis treatment in Oulu University Hospital, Department of Neurology, Finland.

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Introduction

Sleep apnea is characterized by repetitive episodes of upper airway closing, decreases in oxygen saturation and increased sympathetic activation during sleep. In general population, it is present in 14% of middle-aged men and 5% of women,¹ whereas among stroke patients the prevalence estimates of sleep apnea range from 62.5% to 91.2%.²⁻⁴ Sleep apnea may increase the risk of developing cardiovascular diseases⁵ through several pathways, such as intermittent hypoxia, sleep fragmentation, chronic sympathetic activation, and systemic inflammation.^{6,7}

The severity of sleep apnea is usually defined by the apnea hypopnea index (AHI) or respiratory event index (REI). Oxygen desaturation index (ODI) reflects frequency of drops in oxygen saturation and is a measure of intermittent hypoxia. The recording time spent with oxygen saturation SaO₂ less than 90% reflects the total time exposed to marked hypoxemia. After stroke 20% of patients develop oxygen desaturation within first few hours and nearly two-thirds are affected within the first 48 hours.⁸ Stroke lesion can alter the central regulation of respiration, leading to sleep apnea or producing weakness of respiratory muscles.⁸ Sleep apnea patients with nocturnal hypoxemia (oxygen saturation below 90%) are at risk of stroke, especially when desaturations exist more than 10% of nocturnal time.⁹ A systematic review reported obstructive sleep apnea after stroke to be a risk factor for both recurrent vascular event and all-cause mortality.¹⁰ Among stroke patients, oxygen desaturation,¹¹ AHI,¹² Scandinavian Stroke Scale,¹² and stroke size on admission¹³ have been reported to independently predict impaired functional outcome among stroke patients.

Our study had 3 aims. First, we investigated whether stroke volume is associated with sleep apnea during the first 48 hours in ischemic stroke patients, treated with thrombolysis. Second, we examined differences in nocturnal recording results of stroke patients with or without a visible lesion in follow-up imaging. Third, we also studied the association between the measures of sleep disordered breathing and the presence of hemorrhage after thrombolysis. As far the knowledge about the association between stroke size and sleep apnea related hypoxia is limited.

Materials and Methods

We recruited 204 consecutive ischemic stroke patients of the age of 18 years or older, who were admitted to the Stroke Unit at the Department of Neurology of the Oulu University Hospital during April 2013 and January 2015. This study was performed in Oulu, Finland. Of those we included all 110 stroke patients receiving thrombolysis in this study. We classified ischemic strokes into 2 size categories: patients with (n = 63) or without (n = 47) visible acute ischemic stroke 24 hours after intravenous thrombolysis. The thrombolysis was administered within 4.5 hours from the onset of symptoms for patients who

were independent, had the National Institutes of Health Stroke Scale scores (NIHSS) over 2, and did not have any contraindications for intravenous thrombolysis. The exclusion criteria for thrombolytic therapy for stroke were defined according to the Finnish Current Care Guideline for Ischemic stroke.¹⁴ All study patients participated voluntarily and gave their written informed consent. Our study protocol was approved by the ethics committee of the Northern Ostrobothnia Hospital District (approval number 21/2013).

The demographic data included age, sex, body mass index, smoking habits, consumption of alcohol, snoring, hypertension, hypercholesterolemia, coronary artery disease, atrial fibrillation, peripheral arterial disease, hemorrhage as complication, and deaths. Smoking habits were expressed as pack years and whether a patient was a current smoker or not. Daytime sleepiness was assessed by the Epworth Sleepiness Scale (scale 0-24).¹⁵ Stroke outcome was estimated by the modified Rankin scale (scale 0-5).¹⁶ The stroke severity was assessed by the NIHSS (scale 0-35).¹⁷ We classified patients into 2 groups depending if they had or did not have a visible lesion in follow-up imaging.

The experienced neuroradiologist assessed all computed tomography (CT) scans or magnetic resonance imaging (MRI) available for the acute ischemic stroke lesions and stroke volume measurements. Depending on the CT-machine, sequential technique with 5 mm axial slices or helical acquisition providing volumetric data was used, the latter being reformatted to 3-5 mm thick slices on axial plane. Area of each infarction was determined by tracing their boundaries on each slice on a clinical workstation. The obtained area was multiplied with the slice thickness, and the volume of each slice was summed. One patient had only MRI images available, and the volume was measured from diffusion weighted images in a similar manner. Multiple infarctions were combined as 1 volume. The hemorrhagic events were classified as hemorrhagic infarction types 1 and 2 and parenchymal hematoma (PH) types 1 and 2 according to previous definitions.¹⁸

An unattended cardiorespiratory polygraphy with portable type 4 device¹⁹ (a 3-channel portable device, Apnea-Link Plus, Resmed, Sydney, Australia) was performed on study patients. The nocturnal recordings were scored manually (American Academy of Sleep Medicine, AASM criterion)²⁰ by the same scorer without blinding. We used the threshold for arterial oxyhemoglobin decreases of greater than or equal to 4% per hour (ODI4). Nocturnal recording data with minimum 4 hours successful recording were accepted for analyses. The number of apneas and hypopneas per hour of nocturnal recording was expressed by REI. Hypopnea was defined as a greater than 30% decrease of the baseline amplitude of breathing lasting greater than or equal to 10 seconds that is associated with SaO₂ drop of greater than 3%. Sleep apnea was defined as an REI greater than or equal to 5/h. Desaturation was described with measures of mean SaO₂, lowest

Table 1. Characteristics of patients at baseline, all data were recorded on admission

Characteristics of patients	Patients with visible acute ischemic stroke after thrombolysis treatment n = 63	Patients without visible acute ischemic stroke after thrombolysis treatment n = 47	Total n = 110	P value
Men, n (%)	43 (68.3)	29 (61.7)	72 (65.5)	.475
Mean age, years (SD)	64.7 (14.4)	67.4 (14.8)	65.8 (14.6)	.345
Mean BMI, kg/m ² (SD)	27.4 (5.3)	27.5 (4.3)	27.5 (4.9)	.937
Current smoking, n (%)	13 (20.6%)	7 (14.9%)	20 (18.2)	.440
Mean pack years, years (SD)	9.5 (15.5)	7.6 (12.7)	8.7 (14.4)	.392
Alcohol users, n (%)	11 (17.5)	8 (17.0)	19 (17.3)	.952
Heavy alcohol users, ≥30 g/d, n (%)	1 (1.6)	2 (4.3)	3 (2.7)	.575
Mean neck circumference, cm (SD)	43.0 (5.9)	41.1 (4.9)	42.2 (5.6)	.084
Mean waist circumference, cm (SD)	103.4 (15.5)	103.2 (15.2)	103.3 (15.3)	.942
Snoring, n (%)	46 (73.0%)	33 (70.2)	79 (71.8)	.746
Mean ESS, score (SD)	4.5 (2.9)	5.0 (3.1)	4.7 (3.0)	.455
Mean NIHSS (scale 0-30) (SD)	8.5 (5.5)	5.2 (3.5)	7.1 (5.0)	<.001
Rankin scale (scale 0-5) n (%)				
Rankin scale 0	49 (44.5)	31 (28.2)	80 (72.7)	.081
Rankin scale 1	8 (7.3)	7 (6.4)	15 (13.6)	.085
Rankin scale 2	2 (1.8)	1 (.9)	3 (2.7)	.693
Rankin scale 3	4 (3.6)	6 (5.5)	10 (9.1)	.632
Rankin scale 4	0 (0)	2 (1.8)	2 (1.8)	.285
Rankin scale 5	0 (0)	0 (0)	0 (0)	1.000
Hypertension n (%)	36 (57.1)	29 (61.7)	65 (59.1)	.630
Hypercholesterolemia n (%)	25 (39.7)	21 (44.7)	46 (41.8)	.599
Diabetes mellitus n (%)	13 (20.6)	8 (17.0)	21 (19.1)	.633
Coronary artery disease n (%)	11 (17.5)	11 (23.4)	22 (20.0)	.441
Atrial fibrillation n (%)	3 (4.8)	2 (4.3)	5 (4.5)	1.000
PAD n (%)	3 (4.8)	2 (4.3)	5 (4.5)	1.000
Hemorrhage as complication, n (%)	8 (12.7)	0 (.0)	8 (7.3)	<.001
Death n %	3 (4.8)	1 (2.1)	4 (3.6)	.634

BMI, body mass index; ESS, Epworth sleepiness scale; PAD, peripheral arterial disease.

SaO₂, and percentage of nocturnal recording time with SaO₂ below 90%.

Demographic and nocturnal recording data are reported as means and standard deviations (SD). The chi-square test or the Fisher exact test was used to categorical variables, whereas normally distributed variables were assessed by the Student's *t* test. We used the 2-sample test of proportion to evaluate the equality of proportions for both the modified Rankin scale scores and sleep apnea severity. Group comparisons were performed using the Mann-Whitney *U* test when the data were not normally distributed. Spearman correlation coefficient was used to correlations between stroke volume and REI, ODI4, and percent of time spent below saturation 90%. *P* values of less than .05 were considered statistically significant. Statistical analyses were computed using IBM SPSS (IBM Corp. Released 2013; IBM SPSS Statistics for Windows, Version 22.0, Armonk, NY: IBM Corp.).

Results

In the entire cohort 65.5% were male, and the sex distribution did not differ between the groups as shown in Table 1. There were no between-group differences in age, body mass

index, smoking, pack years, alcohol users, neck- and waist circumference, snoring, Epworth Sleepiness Scale scores, Rankin scale scores, hypertension, hypercholesterolemia, diabetes, coronary artery disease, atrial fibrillation, peripheral arterial disease and deaths. In the whole group, the mean volume of stroke was 15.9 mL (median .6 mL, SD 40.8). Patients with visible stroke had severer strokes measured by NIHSS score. Hemorrhage as complication was detected only in the group with a CT lesion (8/12.7%, *P* < .001). There were 3 (4.8%) deceased patients in the visible stroke group and 1 (2.1%) in the other group. The mean time interval from baseline to death was 67.3 (SD 51.9) days.

The results of unattended cardiorespiratory polygraphy of patients with or without a CT lesion after thrombolysis are shown in Table 2. Sleep apnea (REI ≥ 5/h) was diagnosed in 106 (96.4%) patients. At least moderate (REI > 15/h) was found in 80 (72.8%) of patients. REI per hour, central apneas and hypopneas per hour, average saturation, lowest saturation, percentage of nocturnal recording time with SaO₂ below 90% and sleep apnea severity did not differ between the groups. The patients with visible stroke had higher ODI4/h (23.9 versus 16.5, *P* = .028) and more obstructive apneas/h (6.2 versus 2.7, *P* = .007) as compared to the patients without visible stroke.

Table 2. Unattended cardiorespiratory polygraphy results of patients with or without visible acute ischemic stroke after thrombolysis treatment

Outcome	Patients with visible stroke n = 63	Patients without visible stroke = 47	Total n = 110	P value
Sleep apnea n (%)	59 (93.7)	47 (100.0)	106 (96.4)	.134
Mean REI/h (SD)	35.8 (25.1)	30.9 (20.0)	33.7 (23.1)	.257
Mean ODI4/h (SD)	23.9 (21.3)	16.5 (13.4)	20.7 (18.7)	.028
Mean OAI/h (SD)	6.2 (9.3)	2.7 (3.0)	4.7 (7.5)	.007
Mean CAI/h (SD)	4.9 (8.7)	2.5 (4.3)	3.9 (7.3)	.053
Mean MAI/h (SD)	.05 (.2)	.06 (.4)	.1 (.3)	.799
Mean hypopneas/h (SD)	24.6 (19.3)	25.6 (18.5)	25.0 (18.9)	.774
Mean average oxygen saturation (SD)	92.5 (2.0)	92.9 (2.3)	92.7 (2.1)	.362
Mean lowest oxygen saturation (SD)	79.6 (8.7)	82.3 (6.9)	80.8 (8.0)	.074
Saturation <90%, % (SD)	19.6 (22.2)	12.9 (21.6)	16.7 (22.1)	.114
Sleep apnea severity				
No sleep apnea n (%)	4 (3.6%)	0 (0)	4 (3.6)	.189
Mild (REI 5-15/h) n (%)	12 (10.9)	14 (12.7)	26 (23.6)	.771
Moderate (REI 15-30/h) n (%)	18 (16.4)	10 (9.1)	28 (25.5)	.264
Severe (REI ≥ 30/h) n (%)	29 (26.4)	23 (20.9)	52 (47.3)	.504

CAI, central apnea index; MAI, mixed apnea index; OAI, obstructive apnea index; ODI4, oxygen desaturation index 4%; REI, respiratory event index.

The infarct volume correlated positively with time spent SaO₂ below 90% ($P = .025$; Fig 1). Stroke volume did not correlate with Rankin scale score, REI or ODI4. We could not analyze if stroke size predicted sleep apnea, because 96.4% of patients had sleep apnea (AHI ≥ 5/h), and the confidence interval was too wide. There was no correlation between volume ($P = .904$) or visibility ($P = .385$) of stroke and diagnosis of sleep apnea with cut-off REI greater than or equal to 15. The stroke was located in middle cerebral artery in 60.9% of cases, 17.3% in lacunar region, and 21.7% in other region. The severity of sleep apnea in study patients, jointly with their stroke locations are illustrated in Fig 2.

Those 8 patients having hemorrhagic transformation all had sleep apnea. They had mean REI 43.5 (SD 19.8)/h, ODI4 29.1 (20.8)/h, obstructive apneas 6.6 (10.3)/h, central apneas 9.5 (11.6)/h, hypopneas 27.4 (15.7)/h, average oxygen saturation 92.5 (1.9)%, lowest oxygen saturation 81.0 (2.4)%, and saturation below 90% 22.5 (24.4)% of time.

Discussion

Our main finding was that the ischemic stroke volume and nocturnal time spent below saturation 90% correlated positively. In the study of Iranzo et al²¹ the infarct volume did not differ between patients having AHI under or at least 10. Oxygen desaturation may impair brain damage because the oxygen delivery is reduced to penumbral brain tissue.^{8,22,23} Previous studies have shown that nearly 20% of acute stroke patients develop intermittent hypoxia during first hours after stroke and almost two-thirds within 48 hours.^{8,22,23} Kendzerska et al²⁴ evaluated the association between obstructive sleep apnea related variables and cardiovascular events and observed that the sleep time with SaO₂ below 90% was the strongest

predictor (hazard ratio 1.50) for cardiovascular events. Previous study has shown stroke patients with more severe strokes measured by NIHSS scale and increased age to be more likely to develop oxygen desaturation.²²

In our study, stroke patients with visible lesion on neuroimaging after thrombolysis had higher ODI4 than those without visible lesion. In the study of Turkington et al²⁵ the median ODI4 was even higher (33) than in our study (20.7). In another study, the obstructive events associated with at least 4% drop in saturation and were independently associated with cardiovascular disease, suggesting that intermittent hypoxia is a matter of great importance.²⁶ Untreated sleep apnea causes hypoxia, sympathetic activation, systemic inflammation, oxidative stress, and endothelial dysfunction which may start atherogenesis.²⁷ In a study of 188 ischemic stroke patients, mean oxygen saturation below 94% for 10 minutes on admission was 1 of the positive predictive factors for mortality.²⁸ In this current study, stroke patients with visible stroke had fairly low mean lowest saturation (79.6%). Turkington et al²⁵ found that stroke severity and the minimum saturation were independently associated with disability after 6 months follow-up.

Our patients with visible stroke had significantly more obstructive apneas per hour than those without visible lesion. This is in line with previous studies,^{25,29} which also showed that obstructive apneas were the most common type of apneas among stroke patients. Obstructive sleep apnea has been connected with an elevated risk of cardiovascular disease in numerous observational studies.^{5,30} However, it is still unclear if sleep apnea plays a significant role in the early phase of development of vascular diseases.³⁰ In the Multi-Ethnic Study of Atherosclerosis with more than 6000 participants, obstructive sleep apnea associated with subclinical coronary artery disease, independent

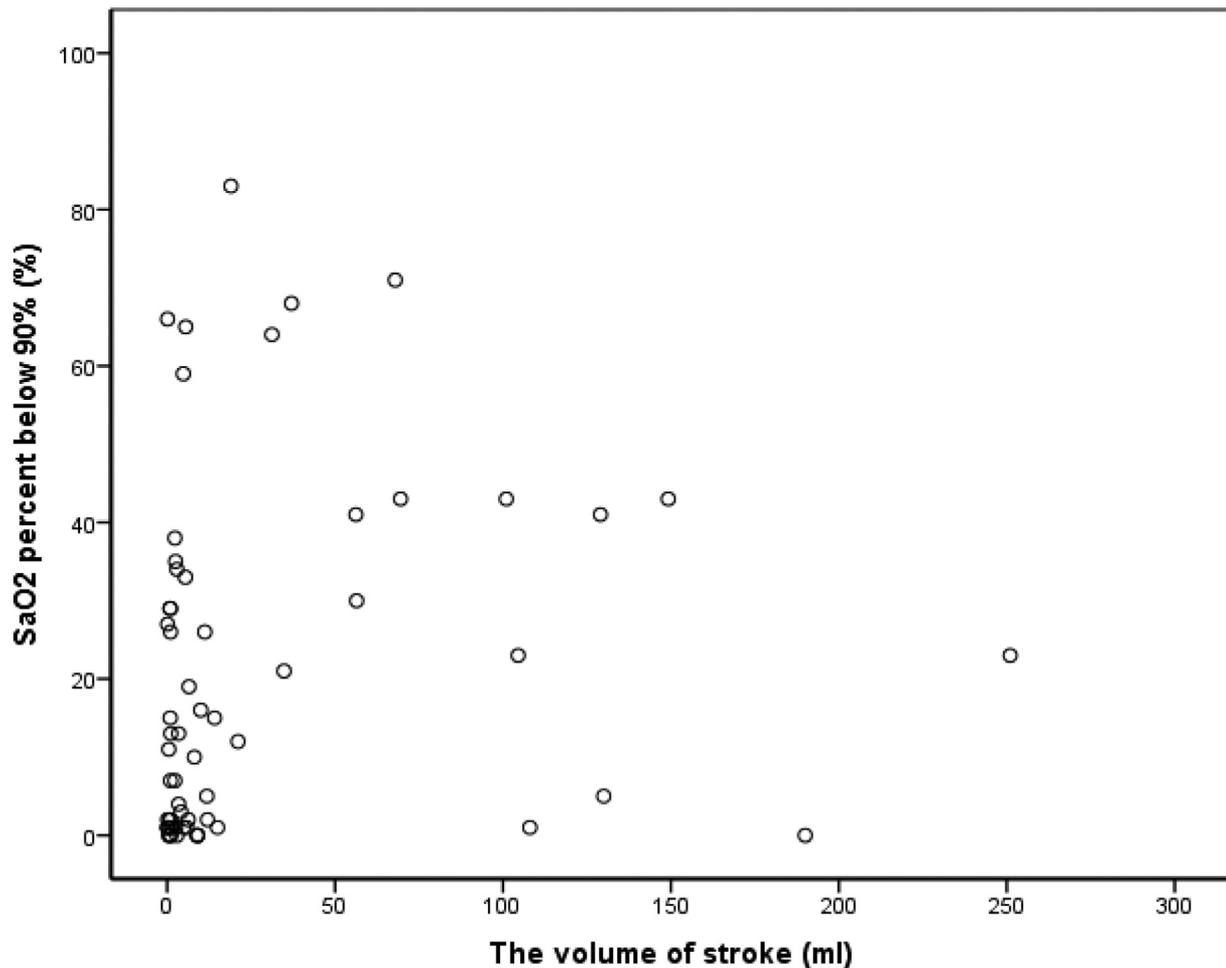


Figure 1. Correlation between stroke volume and SaO₂ percent below 90%.

of obesity and traditional cardiovascular risk factors.³¹ Characteristics of patients were similar across the groups, with the exception of hemorrhage as complication. In previous reports, incidence of spontaneous hemorrhagic transformation (a spectrum of ischemia-related brain hemorrhage) ranged from 13% to 43% in CT studies and symptomatic hemorrhagic transformation from .6% to 20%.^{32,33} The incidence of hemorrhagic event of 12.7% in our cohort fits to those previously reported ranges. Further, OSA severity among those having hemorrhage or not did not differ in our study. A significant predictor of neurological worsening and higher mortality is only PH,³⁴ which is defined as hemorrhagic transformation with dense blood clot(s) exceeding 30% of the infarct volume with significant space-occupying effect.^{18,35} Three of our patients had PH 2 type hemorrhage. Ischemia in stroke patients is a result to inflammatory response,³⁶ which broke normal cerebrovascular anatomy and physiology. When ischemic area is reperfused the blood-brain-barrier is disrupted, which causes impairment of autoregulation of brain vasculature and this can lead to blood extravasation and increased inflammation.³⁷

Ifergane et al³ have reported that obstructive sleep apnea is associated with higher levels of inflammatory

and coagulator biomarkers. Also the thrombolysis treatment of stroke with intravenous recombinant tissue plasminogen activator may result to reperfusion injury and intracerebral hemorrhage.³⁸ An experimental piece of evidence proposes that tissue plasminogen activator advances excitotoxic or ischemic neuronal death.³⁹

In our previous study, we found that NIHSS was associated with sleep apnea severity in terms of REI and ODI.⁴ We could not show correlation between stroke volume and diagnosis of sleep apnea and its severity. There was no correlation between Rankin scale score, ODI4, REI, and ischemic stroke volume. Only 8 patients had hemorrhagic event and the small number of patients did not allow us to analyze correlation between hemorrhage as complication and sleep apnea severity.

This is the first study evaluating the stroke size and sleep apnea among stroke patients who underwent thrombolysis. Our study population was homogeneous since all ischemic stroke patients underwent thrombolysis. Our observational real-life prospective study with consecutive patients, wide age range and high representation of females allows generalization of the results at least in the Finnish population with acute ischemic stroke and

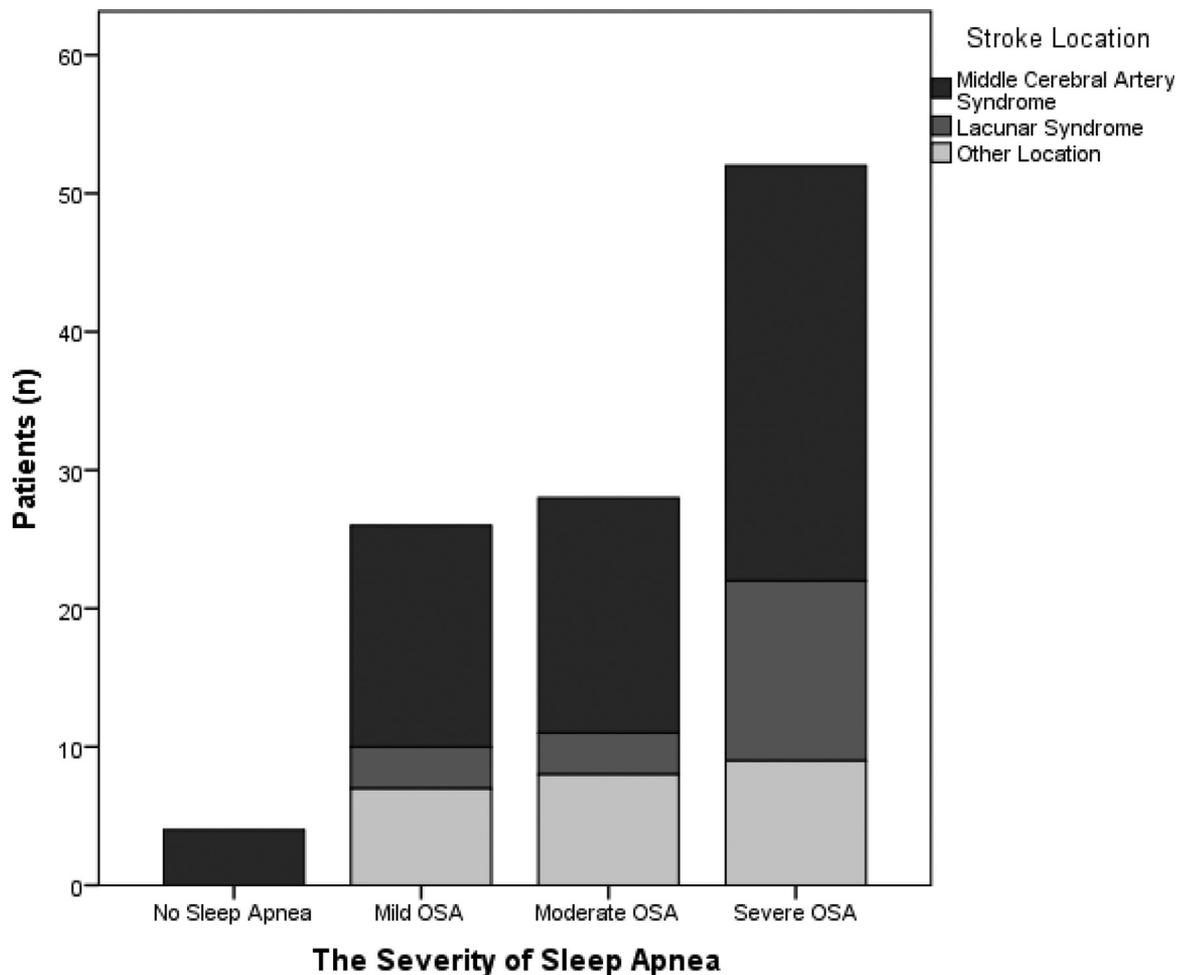


Figure 2. Sleep apnea severity according to stroke location. Sleep apnea severity is divided to mild (REI 5-15/h), moderate (REI 15-30/h), and severe (REI \geq 30/h) sleep apnea.

thrombolytic treatment. However, prior studies have reported that sleep apnea prevalence varies by race among middle-aged adults,⁴⁰ and therefore our results may not represent the situation in other countries.

Brain CT was available in 109 stroke patients. Only 1 patient had only MRI images and the stroke volume was measured in a similar manner from diffusion weighted images. The volume measurements may not be accurate since they are influenced by several factors such as image quality, timing of imaging, location and shape of the lesion, and the amount of edema thus making the method prone to errors. Thus the exact stroke volume was not possible to determine for all the patients. We measured infarct volume approximately 24 hours after thrombolysis. Therefore the influence of time to edema is supposed to be the same in all patients.

We suggest considering to screen sleep apnea even during the stroke unit care in case of thrombolysis therapy if a visible new stroke CT lesion is present 24 hours after thrombolysis. The screening of sleep apnea among those suggested stroke patients leading to continuous positive airway pressure (CPAP) treatment may improve the outcome of their

strokes and decrease comorbidity, although further studies are warranted.

Conclusions

In the current study, the stroke volume correlated with percent of nocturnal time spent below saturation of 90%. Time spent below saturation 90% seems to be more important factor than the conventional severity of sleep apnea defined by frequency of apneic and hypopnoeic events.

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Conflict of Interest

The authors have no conflict of interest to disclose.

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