



Healthcare

Simplified risk prediction indices do not accurately predict 30-day death or readmission after discharge following colorectal surgery^{☆,☆☆}

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ARTICLE INFO

Article history:

Accepted 3 December 2018

Available online 29 January 2019

ABSTRACT

Background: Risk-prediction indices are one category of the many tools implemented to guide efforts to decrease readmissions. However, using fied models to predict a complex process can prove challenging. In addition, no risk-prediction index has been developed for patients undergoing colorectal surgery. Therefore, we evaluated the performance of a widely utilized simplified index developed at the hospital level - LACE (length of stay, acute admission, Charlson comorbidity index score, and emergency department visits) and developed and evaluated a novel index in predicting readmissions in this patient population. **Methods:** Using a retrospective split-sample cohort, patients discharged after colorectal surgery were identified within the inpatient databases of the Healthcare Cost and Utilization Project for the states of New York, California, and Florida (2006–2014). The primary outcome was death or readmission within 30 days after discharge. Multivariable logistic regression models incorporated patient comorbidities, postoperative complications, and hospitalization details, and were evaluated using the C statistic.

Results: A total of 440,742 patients met eligibility criteria. The rate of death or readmission within 30 days after discharge was 14.0% ($n=61,757$). When applied to surgical patients, the LACE index demonstrated a poor model fit ($C=0.631$). The model fit improved significantly—but remained poor ($C=0.654$; $P < .001$)—with the addition of the following variables, which are known to be associated with readmission after colorectal surgery: age, indication for surgery, and creation of a new ostomy. A novel, simplified model also yielded a poor model fit ($C=0.660$).

Conclusion: Postdischarge death or readmission after colorectal surgery is not accurately modeled using existing, modified, or novel simplified risk prediction models. Payers and providers must ensure that quality improvement efforts applying simplified models to complex processes, such as readmissions following colorectal surgery, may not be appropriate, and that models reflect the relevant patient population.

Published by Elsevier Inc.

Introduction

Readmission rates have become a critical measure of quality for patients, physicians, hospitals, and payers. In a 2009 study, nearly 20% of more than 11 million Medicare beneficiaries were readmitted within 30 days of discharge after an inpatient hospitalization.¹ The cost of these readmissions was estimated to exceed \$17 billion.¹ Addressing readmission rates has quickly become a primary focus of quality-improvement efforts, in line with the goal of the Centers for Medicaid & Medicare Services (CMS) to improve the quality of health care and decrease costs and pressure from public reporting and payers who tie reimbursement to quality measures, including readmissions.^{2,3}

One common approach to identifying and addressing readmissions has been the development and incorporation of risk prediction models. Patient variables are entered into a scoring sys-

[☆] Supported in part by a National Cancer Institute National Research Service Award to the Department of Surgery at Washington University School of Medicine (T32 CA009621), the Foundation for Barnes-Jewish Hospital, and the Washington University Center for Administrative Data Research and the Institute of Clinical and Translational Sciences, which are supported in part by the National Center for Advancing Translational Sciences of the National Institutes of Health (UL1 TR000448), the Agency for Healthcare Research and Quality (R24 HS19455), and the National Cancer Institute at the National Institutes of Health (KM1CA156708). The content of this manuscript is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health.

^{☆☆} Presented at the 2017 annual meeting of the American Society of Colon and Rectal Surgeons.

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Table 1
ICD-9 procedure codes for included operations of the colon, rectum, and small bowel.

| Procedure | ICD-9 procedure codes |
|--|---|
| Colon | |
| Colectomy, partial or total, including laparoscopic | 17.31/2/3/4/5/6/9;45.72/3/4/5/6/9;45.81/2/3 |
| Other, including exteriorization, revision, or closure of stoma* | 46.04; 46.40/3; 46.50/2 |
| Colostomy | 46.03; 46.10/1/3/4 |
| Rectal | |
| Abdominoperineal resection | 48.50/1/2/9 |
| Other | 48.61/2/3/4/5/9 |
| Small bowel | |
| Ileostomy | 46.01; 46.20/1/2/3/4 |
| Other, including ileal pouch or closure of stoma* | 45.62, 45.95, 46.02, 46.51 |

* Stoma closures (46.50/1/2) were included only if billed with another procedure on this list. Note: We excluded any cases involving small or large intestine resections for trauma (45.61, 45.71).

tem or index derived from a model of varying complexity and specificity to the patient population being studied. The score then allows providers to stratify patients by patient-specific risk, allowing for quality-improvement teams to target resources such as pre- or postdischarge interventions to patients who are most likely to benefit.^{4,5} One such readmission risk-prediction index, the LACE index,⁶ composed of 4 variables - length of stay, acute admission, Charlson Comorbidity Index score, and the number of emergency department [ED] visits during the 6 months prior to the index admission - was developed at the hospital level from a combined medical and surgical patient population and has been validated and utilized across a number of specialties.^{7–10} The appeal of this index is its simplicity, because it uses only these 4 variables to model the predicted risk of death or unplanned readmission within 30 days after discharge.

Patients undergoing colorectal surgery experience high readmission rates. In a recent, large, multi-institutional cohort, about 11% of such patients were readmitted, with a cost of approximately \$9,000 per event.¹¹ Despite this high burden, to date, no model of readmission risk prediction or index specific to this patient population has been developed. Applying existing simplified models, such as the LACE index, may be attractive to quality-improvement groups, but we hypothesized 2 major limitations to this approach. First, many models, including the LACE index, were developed at the hospital level and may not be reflective of the risk factors unique to certain subsets of patients despite validation in other patient groups. Second, we believe that the LACE model is more complex than it is being presented due to added complexity within specific variables. Therefore, we hypothesized that this model may not be appropriate or pragmatic for implementation into quality improvement efforts in colorectal surgery. To address these potential limitations, we evaluated a spectrum of risk-prediction models for postoperative, postdischarge 30-day death or readmission for patients undergoing colorectal surgery. We began by evaluating the LACE index, with the hypothesis that this model would improve with additional variables, presumably those with known associations with readmissions after intestinal surgery. Based on these results, we then developed a novel risk-prediction index in an attempt devising a simple risk-prediction index that could be employed pragmatically at the time of discharge.

Materials and Methods

This study was approved as exempt from review by the Institutional Review Board at Washington University, St. Louis. Reporting follows the guidelines of the Transparent Reporting of a multivariable prediction model for Individual Prognosis or Diagnosis (TRIPOD) statement for model development.¹²

Data source and study population

Patients 18 years of age and older who were discharged after operations of the colon, rectum, or small intestine for any indication were identified from the State Inpatient Databases (SID) of the Agency for Healthcare Research and Quality (AHRQ) Healthcare Cost and Utilization Project (HCUP) for California (2006–2011), Florida (2006–2014), and New York (2006–2013).¹³ The included operations were identified using procedure codes from the International Classification of Diseases, 9th Revision, Clinical Modification (ICD-9-CM; Table 1). To allow for adequate postdischarge follow-up, patients were ineligible if they were not a resident of the state in which they were admitted or if they were admitted in the final quarter of the final year of sourced SID data. Records with overlapping admission and discharge dates were collapsed into a single admission. Patients were excluded if their index postoperative length of stay was less than 2 days or greater than 21 days. Lesser durations of hospitalization were assumed to represent coding errors because the expected length of stay for all included procedures would be a minimum of 2 days, and patients staying more than 21 days were assumed to have a severity of illness that would not inform a generalizable model of risk prediction. Patients discharged to hospice were excluded.

Follow-up occurred up to the earliest of 30 days after discharge, date of discharge to hospice, or date of death. The primary outcome was death or readmission within 30 days after discharge. Readmission was defined as any inpatient admission of any duration to any hospital within the SID after discharge from the index surgical hospitalization. Readmissions were omitted if they involved codes for trauma (Supplementary Table). Postindex discharge death was identified if it occurred during a SID or if it was recorded in an ED visit, using the HCUP State Emergency Department Databases (SEDD).

Statistical analysis

Between-group comparisons were made using χ^2 tests or Wilcoxon Mann-Whitney test. Multivariable logistic regression was used to model for death or readmission within 30 days after discharge after intestinal surgery. Model fit was calculated using the true C statistic. Nonhierarchical logistic regression models were used initially to allow for comparison with the methods of the original LACE report. Goodness of fit was assessed using the Hosmer-Lemeshow test for nonhierarchical logistic regression. Hierarchical modeling at the hospital level was used for the novel model, and model fit was, therefore, calculated using an estimated C statistic.¹⁴

Variable selection was based on published and hypothesized associations among the variables and readmission after discharge af-

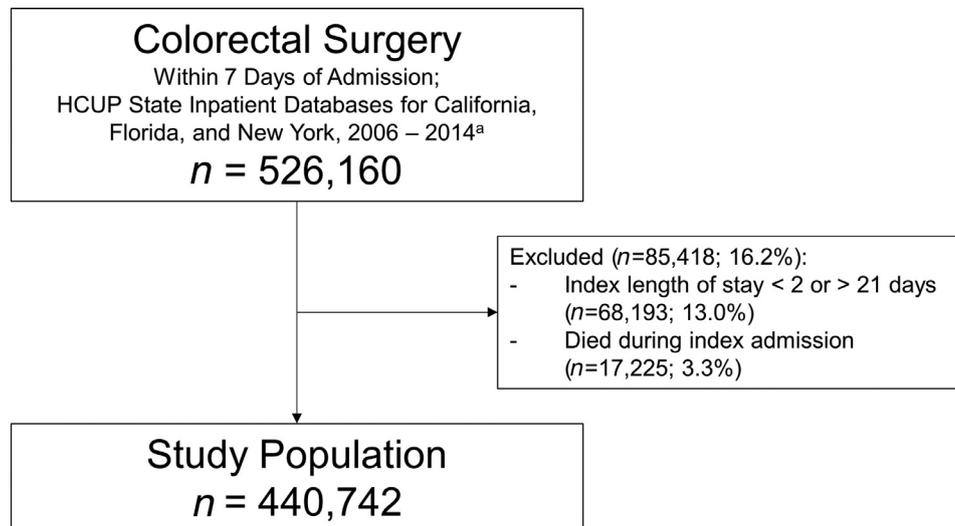


Fig. 1. Inclusion scheme. Note: The Methods section of this report discusses state-specific inclusion periods.

ter colorectal surgery. Variables with known associations included age, new colostomy or ileostomy, length of stay, comorbidity, discharge destination, and surgical site infection.^{1,11,15} Other variables tested included the following: indication for surgery (coded hierarchically and exclusively starting with malignancy, followed by diverticulitis, inflammatory bowel disease, and all others), variables for postoperative complications, select Agency for Healthcare Research and Quality Patient Safety Indicators,¹⁶ and select HCUP comorbidity and complication diagnoses from the Clinical Classifications Software.¹⁷ Refer to the supplementary table for ICD-9 codes for all variables. Comorbidities were defined using the standardized ICD-9 codes from the Elixhauser comorbidity index.¹⁸ Although the Charlson Comorbidity Index was used in the LACE model, the Elixhauser comorbidity index has shown greater discrimination when applied to administrative data.^{6,19}

Variables were added sequentially based on the following: (1) association with the outcome of $P < .001$ on univariate logistic regression and (2) pragmatism based on perceived ease of assessing and scoring at the bedside at the time of discharge. Variables, including interactions, were not incorporated into the model if they did not improve the C statistic by at least 0.001. Once the C statistic was maximized, a scoring index was developed following the methods of Sullivan et al.²⁰ Because a substantial body of literature is available about the risk of readmissions associated with colorectal surgery for cancer,^{21,22} a baseline point value of 1 was assigned to the parameter estimate for the risk associated with cancer as the indication for surgery. The expected probability was calculated using the same method as van Walraven et al.¹⁰ and confidence intervals for observed probabilities were determined using the exact method.²³ All analyses were performed in SAS v 9.4 and SAS Enterprise Guide v 7.1 (SAS Institute, Cary, NC).

Results

A total of 440,742 eligible patients underwent intestinal surgery in 795 hospitals across 3 states between 2006 and 2014 (Fig. 1). The procedures included for colon, small bowel, and rectal are presented in Table 1. The most frequent indication for operation was primary or secondary malignancy (41.4%, $n = 182,412$). Demographic and clinical variables are reviewed in Table 2.

A total of 61,757 patients (14.0%) experienced the primary outcome of death or readmission within 30 days after postoperative discharge. Death made up a small number of these events ($n = 1,806$; 2.6% of all patients experiencing the outcome; 0.4% of all discharged patients). The median number of days from

discharge to death or readmission was 8 days (interquartile range, 4–16 days). Patients experiencing death or readmission were older and had a greater postoperative length of stay, among other significant differences (Table 2).

LACE evaluation

To evaluate the performance of the LACE index, a split-sample cohort was created randomly with separate derivation and validation populations ($n = 220,371$ for each). The rate of death or readmission within 30 days after discharge was not different between the groups (14.0% for each; $P = .494$).

The C statistic of the LACE index in its original publication was 0.700 when applied to a combined cohort of medical and surgical patients at the hospital level.¹⁰ In our colorectal derivation cohort, all 4 LACE variables were significantly associated with 30-day readmission or death (Table 3); however, the C statistic of the LACE index in this derivation cohort was poor at 0.631. The calibration curve of this model in our derivation cohort demonstrated that the LACE index underestimated the risk of death or readmission by approximately 5% for the majority of patients (Fig. 2, A).

Next, we evaluated whether the addition of variables with known associations between colorectal surgery and postoperative readmissions could improve the performance of the LACE model. These variables included age, indication for operation, and creation of a new ostomy. The addition of these variables to the LACE model increased the model discrimination, but the model fit remained poor ($C = 0.654$ from 0.631; $P < .001$; Table 3).

Finally, after observing that increasing the complexity through a modified LACE model with eight variables resulted in a complex and poor model, we then evaluated whether a new, simple, and pragmatic model could achieve equal or greater discrimination. The primary aim of this model was pragmatism (ie, the model should be able to be applied at the bedside at the time of discharge without relying on complex systems of scoring or searching a patient's history via the medical record). After sequential model building (all tested variables are presented in the Supplementary Table), a final model—the COILED index—was derived. The COILED index incorporated the following six variables (Table 4):

- Comorbidity (limited to chronic lung disease or heart failure, as defined by the ICD-9 codes from the Elixhauser comorbidity measures)¹⁹
- Ostomy created at the index surgery
- Indication for surgery

Table 2

Demographic and clinical variables for the index surgical admission, stratified by death or readmission within 30 days after discharge after intestinal surgery.

| | Total cohort | | Readmission or death within 30 d | | No event | | Univariate <i>P</i> value |
|----------------------------------|--------------------|----------|----------------------------------|----------|--------------------|----------|---------------------------|
| | <i>n</i> or median | % or IQR | <i>n</i> or median | % or IQR | <i>n</i> or median | % or IQR | |
| <i>n</i> | 440,742 | | 61,757 | 14.0% | 378,985 | 86.0% | |
| Age (years) | 65 | 53–76 | 66 | 54–77 | 65 | 53–75 | < .001 |
| Female | 240,945 | 54.7% | 34,246 | 55.5% | 206,699 | 54.5% | .001 |
| Charlson comorbidity index score | 2 | 0–3 | 2 | 0–5 | 2 | 0–3 | < .001 |
| Race/ethnicity | | | | | | | < .001 |
| White | 316,113 | 71.7% | 43,882 | 71.1% | 272,231 | 71.8% | |
| Black | 36,528 | 8.3% | 5,955 | 9.6% | 30,573 | 8.1% | |
| Hispanic | 51,416 | 11.7% | 7,606 | 12.3% | 43,810 | 11.6% | |
| Other | 27,012 | 6.1% | 3,593 | 5.8% | 23,419 | 6.2% | |
| Missing | 9,673 | 2.2% | 721 | 1.2% | 8,952 | 2.4% | |
| Primary payer | | | | | | | < .001 |
| Private | 167,389 | 38.0% | 19,317 | 31.3% | 148,072 | 39.1% | |
| Medicare | 219,817 | 49.9% | 34,124 | 55.3% | 185,693 | 49.0% | |
| Medicaid | 29,537 | 6.7% | 5,219 | 8.5% | 24,318 | 6.4% | |
| Other | 23,999 | 5.4% | 3,097 | 5.0% | 20,902 | 5.5% | |
| Procedure* | | | | | | | |
| Colon | 286,157 | 64.9% | 39,831 | 64.5% | 246,326 | 65.0% | .016 |
| Small intestine | 107,496 | 24.4% | 21,074 | 34.1% | 86,422 | 22.8% | < .001 |
| Rectal | 56,861 | 12.9% | 8,780 | 14.2% | 48,081 | 12.7% | < .001 |
| Includes colostomy | 55,929 | 12.7% | 10,199 | 16.5% | 45,730 | 12.1% | < .001 |
| Includes ileostomy | 27,015 | 6.1% | 7,523 | 12.2% | 19,492 | 5.1% | < .001 |
| Indication | | | | | | | < .001 |
| Malignancy | 182,412 | 41.4% | 22,544 | 36.5% | 159,868 | 42.2% | |
| Diverticulitis | 86,468 | 19.6% | 2,376 | 3.8% | 11,109 | 2.9% | |
| Inflammatory bowel disease | 13,485 | 3.1% | 27,666 | 44.8% | 130,711 | 34.5% | |
| Other | 158,377 | 35.9% | 9,171 | 14.9% | 77,297 | 20.4% | |
| Emergent admission | 161,118 | 36.6% | 27,278 | 44.2% | 133,840 | 35.3% | < .001 |
| Length of stay (days) | 7 | 5–10 | 8 | 6–13 | 7 | 5–10 | < .001 |
| Discharge destination | | | | | | | < .001 |
| Home | 282,444 | 64.1% | 30,509 | 49.4% | 251,935 | 66.5% | |
| Home with home health | 104,695 | 23.8% | 18,703 | 30.3% | 85,992 | 22.7% | |
| Other facility | 52,651 | 11.9% | 12,328 | 20.0% | 40,323 | 10.6% | |
| Other | 952 | 0.2% | 217 | 0.4% | 735 | 0.2% | |

IQR, interquartile range.

* Percentages may add up to more than 100%, because the coding allowed for multiple procedure types to occur in a single case.

Table 3

Comparison of LACE model and a model with additional variables specific to readmissions after colorectal surgery.

| | Intestinal surgery, derivation cohort | | Original LACE cohort* | |
|--|---------------------------------------|------------|-----------------------|-----------|
| | Odds ratio | 95% CI | Odds ratio | 95% CI |
| Original LACE variables | | | | |
| Length of stay [†] | 1.87 | 1.82–1.92 | 1.47 | 1.25–1.73 |
| Acute Admission | 1.07 | 1.04–1.10 | 1.84 | 1.29–2.63 |
| Charlson comorbidity index score | 1.08 | 1.07–1.08 | 1.21 | 1.10–1.33 |
| Visits to emergency department during previous 6 months [‡] | 1.34 | 1.31–1.37 | 1.56 | 1.27–1.92 |
| | C statistic = 0.631 | | C statistic = 0.700 | |
| Modified LACE, adding variables specific to colorectal surgery | | | | |
| Length of stay | 1.66 | 1.61–1.70 | | |
| Acute admission | 0.97 | 0.94–0.996 | | |
| Charlson comorbidity index score | 1.09 | 1.08–1.10 | | |
| Visits to emergency department during previous 6 months | 1.32 | 1.29–1.35 | | |
| Age (5-year increments) | 1.02 | 1.01–1.02 | | |
| Indication for surgery | | | | |
| Diverticulitis | 1.00 | — | | |
| Malignancy | 0.95 | 0.92–0.99 | | |
| Inflammatory bowel disease | 1.44 | 1.34–1.56 | | |
| Other | 1.49 | 1.44–1.53 | | |
| Colostomy creation | 1.22 | 1.18–1.27 | | |
| Ileostomy creation | 2.18 | 2.09–2.27 | | |
| | C statistic = 0.654 | | | |

CI, confidence interval.

* Data from the original medical and surgical population from van Walraven et al.¹⁰† Retained original transformation of continuous variables from van Walraven et al.¹⁰ Note: Three of the four original LACE variables were not as strongly associated with death or readmission within 30 days after discharge for patients undergoing intestinal surgery because they were for the original LACE population.¹⁰ The addition of variables specific to readmissions after colorectal surgery improved the model fit (C statistic increased from 0.631 to 0.654).

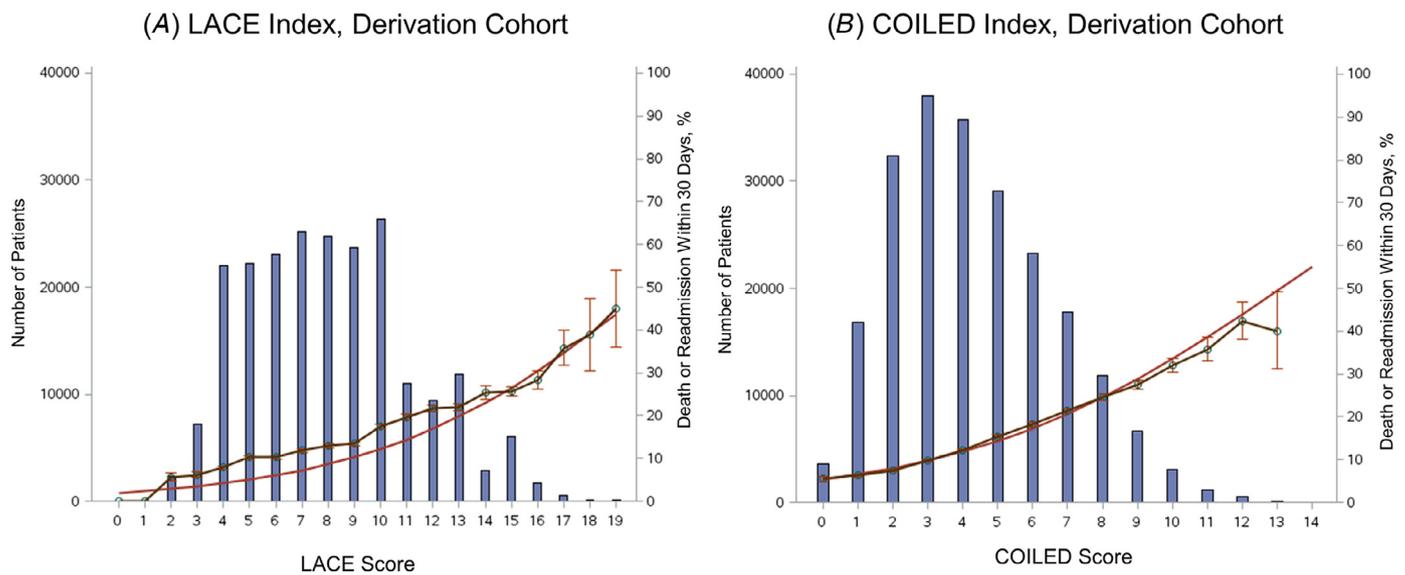


Fig. 2. Calibration curves for death or readmission within 30 days after discharge after intestinal surgery. Circles represent the observed values and the solid line represents the expected values from the respective models: (A) The original LACE index,¹⁰ and (B) the novel COILED index. When applied to the intestinal surgery derivation population, underestimates the likelihood of death or readmission for the majority of the possible scores. The COILED index demonstrates improved fit of observed versus expected incidence of the outcome when compared with the LACE model.

Table 4

COILED index¹⁹ for the risk of death or readmission within 30 days after discharge after intestinal surgery.

| Variable | Points | Odds ratio | 95% CI |
|---|-----------|------------|-----------|
| C Comorbidity: chronic pulmonary disease or heart failure* | 1 | 1.29 | 1.25–1.33 |
| O Ostomy creation | | | |
| Colostomy | 1 | 1.15 | 1.11–1.19 |
| Ileostomy | 3 | 1.99 | 1.90–2.08 |
| I Indication for surgery | | | |
| Diverticulitis | 0 | 1.00 | - |
| Cancer | 1 | 1.23 | 1.19–1.28 |
| Inflammatory bowel disease | 1 | 1.33 | 1.24–1.44 |
| Other | 2 | 1.51 | 1.46–1.57 |
| L Length of stay (days postoperative) | | 1.56 | 1.52–1.60 |
| 2–3 | 0 | | |
| 4–5 | 1 | | |
| 6–7 | 2 | | |
| 8–14 | 3 | | |
| 15–21 | 4 | | |
| E ER visits in the 6 months before the index surgical admission | 1 | 1.33 | 1.29–1.37 |
| D Discharge destination | | | |
| Home | 0 | 1.00 | - |
| Home with home health | 1 | 1.27 | 1.23–1.32 |
| Facility: skilled nursing, rehab, or other | 2 | 1.63 | 1.56–1.70 |
| Total possible points | 14 | | |

* From the Elixhauser ICD-9 coding system.¹⁹ Chronic pulmonary disease includes diagnoses such as chronic obstructive pulmonary disease (ICD-9 code 491.20), emphysema (492.8), and asthma (493).

- Length of stay
- ED visits within the 6 months before surgical admission
- Discharge destination

Postoperative complications did not significantly impact the model fit.

Although the model discrimination was improved compared with the original LACE index ($C=0.652$; Fig. 2, B), this model still poorly fit the data, as confirmed by the Hosmer-Lemeshow goodness-of-fit statistic ($P < .001$). The C statistic of the COILED model in the validation cohort was 0.654 and for the entire cohort was 0.653. Hierarchical modeling at the hospital level made no significant improvement to the model fit (estimated C statistic of 0.660).

Discussion

The use of risk prediction models to decrease readmissions has become a rapidly expanding area of study in quality improvement

and implementation research. Of course, the primary driver of these efforts is to improve the outcomes of our patients, but the impact of external pressure from payers to tie reimbursement to quality measures, such as readmissions, and the public reporting of these measures cannot be denied. Simplified models, such as the LACE index, are attractive because they potentially offer high-yield information with relative ease of use. When our institution identified these advantages and sought to apply the LACE model to decrease high readmission rates for patients undergoing intestinal surgery, we challenged the appropriateness of this plan, knowing that LACE was developed at the hospital level from a cohort containing few patients undergoing colorectal surgery. Accordingly, many variables known to be associated with readmission after intestinal surgery were not represented in LACE. Therefore, here we evaluated the performance of the LACE model in this specific population of patients undergoing intestinal surgery and determined that LACE was a poor predictor of readmissions. Enhancement of the LACE model—by adding variables known to

be associated with readmission after intestinal surgery—did not improve the model fit. Finally, we created a novel model designed for ease of application at the bedside at the time of discharge, but this also resulted in a poor model fit. We concluded that, in this large, multistate, administrative database of patients undergoing colorectal surgery, we could not reliably predict readmissions after intestinal surgery using simplified models.

Acknowledging the limitations of simplified readmissions models has been reported frequently. A 2011 systematic review of models of readmissions risk prediction determined that many indices for the prediction of readmission risk performed poorly, and “efforts to improve their performance are needed as [their] use becomes more widespread.”⁵ In this same systematic review, the LACE index was highlighted as having good performance for a four-variable model and has since been cited widely and modified.^{7–9, 24,25} Our institution identified the LACE index for possible implementation in our efforts at quality improvement, but we had concerns regarding two perceived limitations of the LACE model.

The first limitation was that the variables we perceived to be major contributors to readmissions after colorectal surgery were not represented in the LACE index. This determination led us to a logical, but perhaps often overlooked conclusion, that model performance cannot be assumed when intending to apply a model to a different patient population. Although the LACE index had reasonably good performance ($C = 0.700$), it was developed at the hospital level, using a combined medical and surgical patient population representing a large diversity of principal diagnoses and procedures, of which very few were intestinal operations. Indeed, only 1.7% ($n = 81$) of the patients in the LACE data set underwent colorectal surgery, and of these, only 5 (6.2%) experienced postoperative death or readmission, far below the published rates of death or readmission after colorectal surgery of 10%–17%.^{11,21,22,26} In our study, we demonstrated that this model does not perform well when applied to patients undergoing colorectal surgery.

The second limitation we addressed was that we suspected that the LACE model, when incorporated, is more complex than promoted. An ideal simple model, in our opinion, should be pragmatically designed for ease of use at the time of discharge by minimizing the complexity of variables and not requiring access to databases or the electronic medical record (EMR). Within the original LACE index, the Charlson Comorbidity Index is considered a single variable; however, the scoring system is quite complex, with 19 diagnoses scored on a tiered system.⁶ Without access to an EMR, reliable coding, or recall of a patient's comorbidities, the Charlson Comorbidity Index would be challenging to implement efficiently at the time of discharge. We addressed this limitation by evaluating individual comorbidities, particularly those known to be associated with high rates of readmissions, such as chronic cardiac or pulmonary conditions, and interaction variables.¹ A second hidden complexity of the LACE model is the inherent challenge of recall bias. Using the number of visits to an emergency room in the 6 months before the index admission would be difficult to assess based on patient recall without the aid of an EMR or large administrative data sets like the one used here. To address this limitation, we converted this original LACE variable to a binary variable for any number of emergency room visits to simplify assessment and decrease error by recall bias.^{27,28}

The impact of this work can be far-reaching. Locally, after sharing our findings, the implementation of LACE into our EMR and processes of discharge screening has been stopped, impacting our 1,400 annual colorectal postoperative admissions. Other surgical subspecialties searching for strategies to decrease readmission rates have similarly declined the use of simplified models at this time. We suspect that the maximal effect of interventions targeting the reduction of readmissions will require models designed to predict readmission risk that are specific to both the relevant patient population and the setting of the delivery of care, be it specific

geographic regions, hospitals, or providers. Efforts are underway at our institution to examine these and other models. We hope the findings presented here and the subsequent actions taken at our institution can be generalized to others and further hope our findings will result in action on a larger scale. Although intestinal surgery is not covered in the current formula for calculating the Payment Adjustment Factor for the Readmissions Reduction Program,³ it is easy to envision a future in which such efforts to tie performance to reimbursement will extend to many aspects of our practices within our specialty. Surgeons must be vigilant and active participants in the derivation and implementation of these quality measures and formulas. To that end, our results have been shared with the Quality Assurance and Safety Committee of the American Society of Colon and Rectal Surgeons. From committees like this one and through further dissemination, we hope that these data can be used to shape policy by demonstrating that the application of generalized models to specific patient populations may not be effective and that simplified models may not predict complex processes accurately, such as postoperative readmissions. Enforced application of imprecise models or formulas, particularly through rewards or penalties as crucial as reimbursement, may mislead the efforts of quality-improvement specialists and may not result in benefit to patients.

Our work has a number of limitations. First, we used both objective and subjective criteria to select variables. Our hypothesis was to evaluate simple and pragmatic models. We therefore limited our study to those variables that could be evaluated easily at the bedside at the time of discharge, a definition for which there is no singular objective criteria. Second, we anticipate criticism regarding our finding that complications did not affect a model predicting readmissions. We thoroughly evaluated complications by incorporating validated complication coding systems,^{17,19} nationally standardized quality measures,¹⁶ and an extensive novel list of ICD-9 diagnoses. Although we assume that certain complications are critical in assessing readmission risk, we assume that the effect size of single complications or larger categories of complications are quite small in such a large database. In addition, the coding of complications specific to colorectal surgery in an administrative database may not be as robust as the coding of complications within a quality-improvement database and, similarly, the severity of these complications cannot be elucidated easily in an administrative database. Additional limitations include the unknown rate of postdischarge mortality if it occurred as an outpatient and not evaluating the indication for readmission, which is not easily accomplished with administrative data.²⁹ Finally, patient socioeconomic variables known to be important when considering readmission rates and targeting interventions to patients to reduce postdischarge readmissions^{30,31} were not evaluated fully in this model because they are not well represented in administrative billing data.

In conclusion, using hospital-based data, we determined that 30-day readmission or death after discharge after intestinal surgery is not well-modeled using existing, modified, or novel, simple, pragmatic indices for the prediction of readmission. Providers must evaluate simplified models carefully when planning and implementing efforts of quality improvement to address complex issues, such as postoperative readmissions, and must be active participants in ensuring appropriate derivation and application of such models at the local and national level.

Acknowledgments

We thank Margie Olsen, PhD, MPH, Professor of Medicine in the Department of Medicine and Director of the Center for Administrative Data Research at Washington University, St. Louis, MO, for her invaluable guidance in data collection, analysis, and interpretation. We also thank the Alvin J. Siteman Cancer Center at Washington University School of Medicine and Barnes-Jewish Hospital,

St. Louis, MO, for use of the Biostatistics Shared Resource, which provided analytic support services supported in part by a [National Cancer Institute Cancer Center Support Grant \(P30 CA091842\)](#).

Supplementary materials

Supplementary material associated with this article can be found, in the online version, at doi:[10.1016/j.surg.2018.12.007](https://doi.org/10.1016/j.surg.2018.12.007).

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