

## Original Article

# Prognostic value of the texture analysis parameters of the initial computed tomographic scan for response to neoadjuvant chemoradiation therapy in patients with locally advanced rectal cancer



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## ABSTRACT

**Background and purpose:** Baseline contrast-enhanced computed tomography (CT)-derived texture analysis in locally advanced rectal cancer could help offer the best personalized treatment. The purpose of this study was to determine the value of baseline-CT texture analysis in the prediction of downstaging in patients with locally advanced rectal cancer.

**Patients and methods:** We retrospectively included all consecutive patients treated with neoadjuvant chemoradiation therapy (CRT) followed by surgery for locally advanced rectal cancer. Tumor texture analysis was performed on the baseline pre-CRT contrast-enhanced CT examination. Based on the selected model of downstaging with a penalized logistic regression in a training set, a radiomics score (Radscore) was calculated as a linear combination of selected features. A multivariable prognostic model that included Radscore and clinical factors was created.

**Results:** Of the 121 patients included in the study, 109 patients (90%) had T3-T4 cancer and 99 (82%) had N+ cancer. A downstaging response was observed in 96 patients (79%). In the training set (79 patients), the best model (ELASTIC-NET method) reduced the 36 texture features to a combination of 6 features. The multivariate analysis retained the Radscore (odds ratio [OR] = 13.25; 95% confidence interval [95% CI], 4.06–71.64;  $p < 0.001$ ) and age (OR = 1.10/1 year; 1.03–1.20;  $p = 0.008$ ) as independent factors. In the test set, the area under the curve was estimated to be 0.70 (95% CI, 0.48–0.92).

**Conclusion:** This study presents a prognostic score for downstaging, from initial computed tomography-derived texture analysis in locally advanced rectal cancer, which may lead to a more personalized treatment for each patient.

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The standard treatment for locally advanced rectal cancer is neoadjuvant chemoradiation therapy (CRT) followed by total mesorectal excision (TME) with a 6–10-week interval between these two treatments [1,2]. Patients with a complete pathological response (pCR) after CRT have better long-term outcomes than

those without a pCR. pCR might be indicative of a favorable biological tumor profile with a lower propensity for local or distant recurrence and an improved survival [3]. The tumor response to CRT may influence the prognosis after surgery. Beddy et al reported that the 5-year disease-free survival after CRT was significantly better in tumor regression patients than in those with no tumor regression [4]. Computed tomography (CT) is commonly used for tumor staging and dosimetric radiotherapy study. It may provide prognostic information based on texture analysis (TA) [5]. TA is an emerging method that can reflect the degree of tumor heterogeneity. It is indeed difficult to visually assess tumor heterogeneity on a CT scan, because of photon noise and inter-observer variabil-

**Abbreviations:** TA, texture analysis; SSF, spatial scale filtration; Mpp, mean positive pixel; CEA, carcinoembryonic antigen; CI, confidence interval.

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ity in the interpretation of imaging. CT texture analysis software can address these drawbacks and quantify heterogeneity in a defined area objectively [6,7]. CT texture analysis is an important research focus in many types of cancer [8,9,10]. A recent study predicted complete response after neo-adjuvant chemoradiation for locally advanced rectal cancer using radiomics from initial CT using a deep neural network approach involving 1683 radiological features [11]. Textural analysis of baseline <sup>18</sup>F-FDG PET/CT provides strong independent prognostic factors of survival in patients with locally advanced rectal cancer [12]. MRI-based texture analysis of rectal cancers may be associated with poor response to pre-operative treatment and could potentially help in patient selection for individualized therapy [13]. Contrast-enhanced CT of the thorax, abdomen, and pelvis is always performed on patients with rectal cancer before the start of CRT and during follow-up, whereas MRI is only indicated for patients with low and middle rectal tumors.

The present study aimed to develop and validate a prognostic model that includes a radiomics score based on baseline contrast-enhanced CT texture analysis, performed by a single expert radiologist, for downstaging in patients with locally advanced rectal cancer.

## Patients and methods

### Patients

This retrospective bicentric study complies with the “reference methodology” MR003 adopted by the French Data Protection Authority (CNIL) and patients did not object to the use of their clinical data for the research purpose.

All consecutive patients with locally advanced rectal cancer who received CRT based on fluorouracil followed by surgery between 2011 and 2017 at the Oscar Lambret Centre or Jean Godinot Institute were eligible for this study. Patients were identified from the hospital databases. CRT involved delivery of 45–50 Gy in 5 fractions per week combined with administration of capecitabine only, both capecitabine and metformine, or both leucovorine and 5-fluorouracil (LV-5FU<sub>2</sub>). Patients with a metastatic disease at baseline, who underwent a different chemotherapy regimen, or who were finally not operated on were not eligible for participation in this study. Additionally, patients for whom texture analysis of the baseline CT scan was not feasible or pathological evaluation of the surgical specimen was not performed, and patients who refused to participate in the study were excluded. Clinical data were retrospectively extracted from the hospital medical records.

### Study endpoint

The study endpoint of the prognostic factor analysis was downstaging (yes versus no), which is defined as a decrease in T-staging and/or N-staging without an increase of the second component, when comparing the pathological staging (ypTNM) to the initial staging (TNM).

Candidate variables evaluated in the prognostic models were age, initial tumor size, initial tumor and nodal staging (TNM 7th edition), initial staging, time interval between CRT and surgery ( $\leq 7$  versus  $> 7$  weeks), tumor site, World Health Organization Performance status (WHO: 0 versus 1), and radiomic features.

### Texture analysis

Tumor texture analysis was performed on contrast-enhanced CT images acquired at a portal phase (diagnostic or dosimetric

CT), using TexRAD<sup>®</sup> software (TexRAD<sup>®</sup> Ltd), by a single expert radiologist. A radiologist specialized in gastro-intestinal imaging chose the CT slice where the tumor was best visualized. The chosen slices were then exported in DICOM format and anonymized. The region of interest was manually delineated around the tumor. CT texture analysis was performed in a two-step process including image filtration and statistical quantification. The principle behind texture analysis is detailed in [Supplementary data-1](#). Five spatial scale image filtrations (SSF) features were selectively extracted corresponding to different anatomic scales: fine (SSF2, object radius of 2 mm), medium (SSF3-5, object radius of 3–5 mm), and coarse (SSF6, object radius of 6 mm) texture scales, by using a Laplacian of Gaussian special band pass filter ([Fig. 1](#)). In addition, the unfiltered images (SSF0) corresponded to conventional CT images. For each filtration, six measures were used to summarize the distribution of pixels and tumor heterogeneity: mean gray-level intensity (mean), standard deviation (sd), entropy (irregularity of pixel intensities in space), mean positive pixel (mpp), skewness (symmetry of the histogram distribution), and kurtosis (measure of whether the data are heavy-tailed or light-tailed relative to a normal distribution) [14].

### Statistical analysis

The association between clinicopathologic data and downstaging was assessed, using the chi-square or Fisher exact test for categorical variables and the Student *t*-test for continuous variables. Radiomics features were also compared between the two groups. Additionally, correlation between the 36 radiomic features was evaluated (correlation matrix).

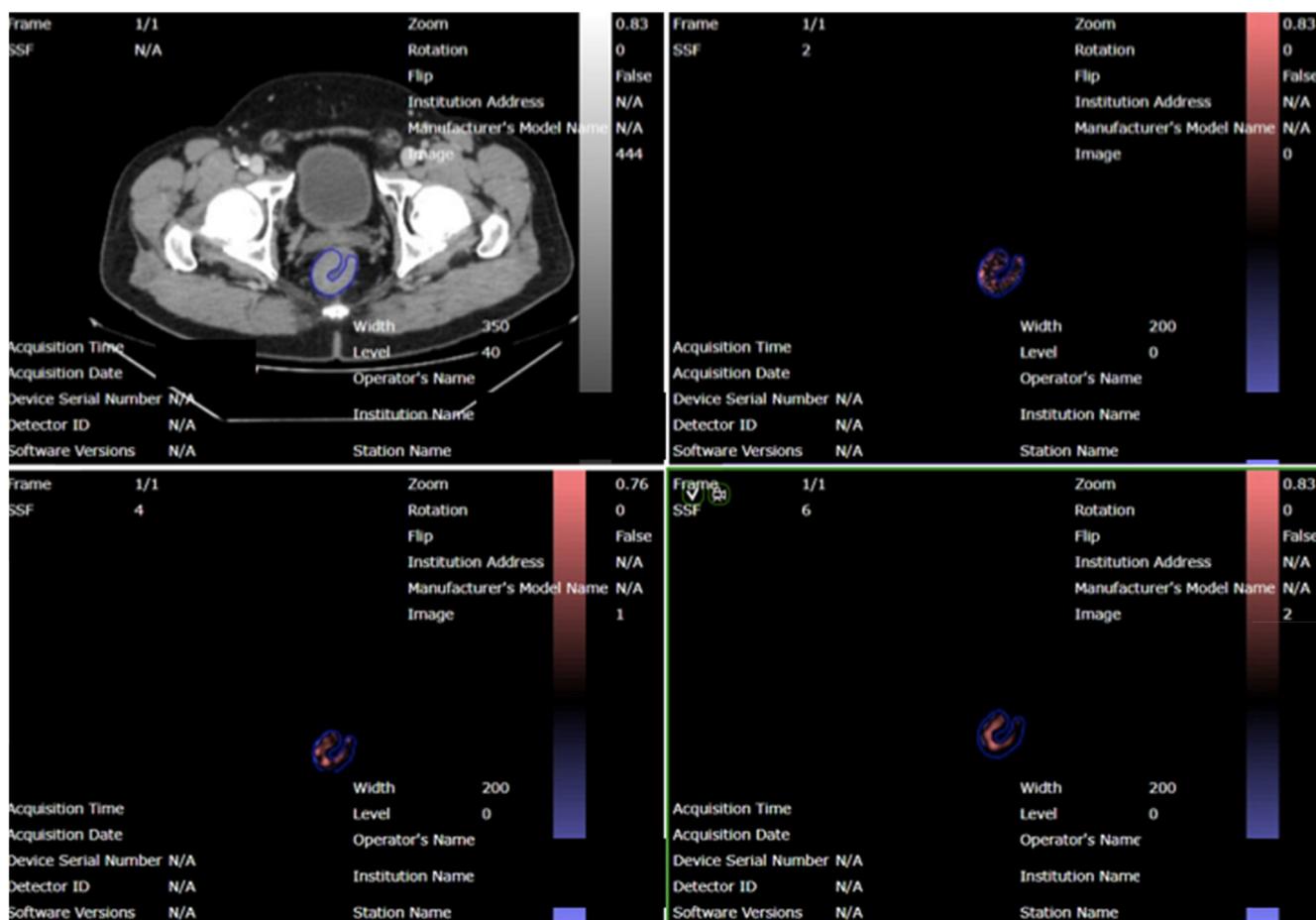
The prognostic model was developed on a training sample, and then tested on a “test” sample. Although this study was bicentric and involved two independent cohorts, a random sampling of the population was needed to obtain a training set representing 2/3 of the overall study population, as only 19 patients were recruited in the second center.

Considering the high-dimensional data and correlation between these data, selection of radiomic features associated with downstaging was first performed using penalized logistic regression in the training set [15]. We searched the best penalized regression between the Ridge ( $\alpha = 0$ ), ELASTIC-NET (with  $\alpha$  varying from 0.1 to 0.9), and least absolute, shrinkage, and selection operator (LASSO;  $\alpha = 1$ ) methods. A seven-fold cross validation provided the  $\alpha$ -value and minimum value of the tuning parameter  $\lambda$  of these methods and maximized the area under the curve (AUC) of the receiver operating characteristic curve (ROC). Based on the selected model, a radiomics score (Radscore) was calculated as a linear combination of selected features weighted by their regression coefficients.

The association of the radiomics score (Radscore) with downstaging was assessed in the training sample and in the overall cohort by using the Student *t*-test. The ROC curve was plotted to estimate the AUC in the training data set.

Univariable logistic regression analysis was performed to select variables for the first step of the multivariable model ( $p < 0.20$ ). Fractional polynomial models were used to evaluate log-linearity of continuous variables. A step-wise selection provided the best regression model based on Akaike’s information criterion. All odds ratios (OR) were estimated with their 95% confidence interval (95% CI).

Performance of the final model built on the training set was then evaluated on the test data set through the ROC curve and the corresponding AUC with its 95% CI. The calibration of the final model was estimated with the Hosmer–Lemeshow test (a significant test statistic implies that the model does not calibrate perfectly) [16].



**Fig. 1.** Texture analysis method. (A) Delineation of the region of interest. (B) Texture analysis image at fine texture scale (SSF2). (C) Texture analysis image at medium texture scale (SSF4). (D) Texture analysis image at coarse texture scale (SSF6). *Abbreviations:* SSF, spatial scale filtration.

Statistical analysis was conducted with Stata software (version 15.0) and R software (version 3.5.1). The packages used in R in this study are reported in [Supplementary data-2](#). Unless otherwise mentioned, the reported statistical significance levels were all two-sided, with statistical significance set at 0.05.

## Results

### Patient characteristics

Of the 179 patients who were eligible for participation in the study, 121 were finally included: 102 from the Oscar Lambret Centre and 19 from the Jean Godinot Institute ([Supplementary data-3](#)). Patient and tumor characteristics are presented in [Table 1](#). The median age of the cohort was 62 (range, 28–82) years, and there were more men ( $n = 70$ , 58%). The tumor classification according to the tumor site was as follows: 58 (48%) tumors in the low rectum, 55 (45%) in the middle rectum, and 8 (7%) in the upper rectum. At diagnosis, 109 patients (90%) had T3 or T4 cancer and 99 (82%) had a lymph node involvement (N+) according to the TNM 7th edition. A total of 50 Gy was delivered in 114 patients (94%), with concomitant administration of capecitabine or LV5FU2 in 115 patients (95%). The median time interval between CRT and surgery was 8 (2.0–19.0) weeks. Ninety-nine patients (82%) underwent an anterior resection with total mesorectal excision (TME). The resection was deemed complete for 114 patients (94%). A downstaging was obtained in 96 patients (79%), including 24 patients with a complete response (ypT0N0, 20%) [Table 2](#).

The population set was split between the training set that included 79 patients (62 with downstaging) and the test set that included 42 patients (34 with downstaging). Description of the two samples is available in [Supplementary data-4](#).

### Radiomic features

The radiomic features are summarized in a correlation matrix ([Supplementary data-5](#)). Overall, there were few correlations between extracted features from unfiltered images (SSF0) and extracted features from filtered images (SSF 2–6). Conversely, for the filtered images (SSF 2–6), each measured parameter (mean, sd, entropy, mpp, skewness, kurtosis) was highly correlated between the different filtrations. Some features were associated with the downstaging status ([Supplementary data-6](#)).

### Radiomics feature selection and radiomics score (Radscore) building

Based on the training set (79 patients), the best model (ELASTIC-NET with  $\alpha = 0.9$ ) reduced the 36 texture features to a combination of 6 potential prognostic factors ([Fig. 2A, B](#)): mean0, mpp0, mean2, entropy4, kurtosis5 and mean6. The formula of the resulting Radscore is defined in [Supplementary data-7](#).

### Validation of radiomics score (Radscore) in the training data set

As expected, there was a significant difference in Radscore between patients with a downstaging and those without a downstaging, in the entire cohort ( $p < 0.001$ ) ([Table 1](#) and [Supplemen-](#)

**Table 1**  
Patient and treatment characteristics, overall and according to the response to chemoradiotherapy (downstaging).

Characteristics	Downstaging				Total N = 121	p-Value <sup>1</sup>
	No N = 25		Yes N = 96			
Sex						0.48
Male	16	22.9%	54	77.1%	70	
Female	9	17.6%	42	82.4%	51	
<b>Age (years)</b>						0.12
Median – (Range)	64.0	(28.0–80.0)	61.5	(38.0–82.0)	62.0	(28.0–82.0)
<b>Center</b>						0.99
Lille	21	20.6%	81	79.4%	102	
Reims	4	21.1%	15	78.9%	19	
<b>Performance Status</b>						0.99
WHO-0	21	21.2%	78	78.8%	99	
WHO-1	4	18.2%	18	81.8%	22	
<b>Tumor site</b>						0.22/0.36 <sup>2</sup>
Low rectum	14	24.1%	44	75.9%	58	
Middle rectum	8	14.5%	47	85.5%	55	
High rectum	3	37.5%	5	62.5%	8	
<b>Initial tumor size (mm), 1 missing data</b>						0.78
Median – (Range)	45.0	(9.0–80.0)	50.0	(4.5–120.0)	50.0	(4.5–120.0)
<b>Tumor infiltration</b>						0.85
Mesorectum	21	21.0%	79	79.0%	100	
Mucosal	0	0.0%	1	100.0%	1	
Muscular	3	25.0%	9	75.0%	12	
Regional organ (prostate/uterus)	0	0.0%	4	100.0%	4	
Serosal	1	25.0%	3	75.0%	4	
<b>Initial tumor staging (T)</b>						0.66/0.71 <sup>3</sup>
T2	3	25.0%	9	75.0%	12	
T3	22	21.0%	83	79.0%	105	
T4	0	0.0%	4	100.0%	4	
<b>Initial nodal staging (N)</b>						0.054/0.076 <sup>4</sup>
N0	8	36.4%	14	63.6%	22	
N1	12	14.5%	71	85.5%	83	
N2	5	33.3%	10	66.7%	15	
Nx	0	0.0%	1	100.0%	1	
<b>Initial staging TNM<sup>5</sup></b>						0.18
T2N0M0	1	25.0%	3	75.0%	4	
T2N1M0	2	25.0%	6	75.0%	8	
T3N0M0	7	38.9%	11	61.1%	18	
T3N1M0	10	13.9%	62	86.1%	72	
T3N2M0	5	35.7%	9	64.3%	14	
T3NxM0	0	0.0%	1	100.0%	1	
T4N1M0	0	0.0%	3	100.0%	3	
T4N2M0	0	0.0%	1	100.0%	1	
<b>Initial staging UICC</b>						0.087
Stage 2	8	33.3%	16	66.7%	24	
Stage 3	17	17.5%	80	82.5%	97	
<b>Radscore<sup>6</sup></b>						<0.001
Median – (Range)	0.78	(–1.09–2.72)	1.57	(–1.28–5.11)	1.37	(–1.28–5.11)

<sup>1</sup> p-Value of the comparison tests between the two groups (downstaging yes versus no) using an appropriate test (Chi-square or Fisher exact test for categorical variables, Student *t*-test for continuous variables).

<sup>2</sup> p-Value of the comparison test between low or middle versus high rectum tumor site.

<sup>3</sup> p-Value of the test of the distribution of T2 versus T3 or T4.

<sup>4</sup> p-Value of the test of the distribution of N0 versus N+.

<sup>5</sup> Staging according to the TNM 7th edition.

<sup>6</sup> Distribution of Radscore between the two groups is illustrated by a boxplot in [Appendix-Fig. 3](#).

tary data-8) and in the training data set ( $p < 0.001$ ). The AUC in the training sample was good: 0.86 (95% CI: 0.73–0.98).

#### Development of a prognostic model for downstaging status in the training data set

In univariable analysis, the potential factors associated with the downstaging status were Radscore, age, initial tumor size, and initial nodal staging. The multivariate logistic regression retained the Radscore (OR = 13.25; 95% CI, 4.06–71.64;  $p < 0.001$ ) and age (OR = 1.10/1 year; 95% CI, 1.03–1.20;  $p = 0.008$ ) (Table 3) as independent prognostic factors, whereas initial tumor size and initial

nodal staging were no longer associated with downstaging ( $p$ -value = 0.99 and 0.98, respectively).

#### Performance of the final prognostic model in the training and test data sets

As expected, a good performance was observed in the training data set, with an AUC of 0.90 (95% CI: 0.83–0.97). In the test data set, the AUC was estimated to be 0.70 (95% CI: 0.48–0.92) (Fig. 3). The Hosmer–Lemeshow test yielded a nonsignificant statistic ( $p = 0.89$ ), which suggested that there was no departure from perfect fit. Regarding the calibration in the test sample, we

**Table 2**  
Association of chemoradiotherapy, surgery, and response to treatment with downstaging.

Characteristics	Downstaging				Total N = 121	p-Value <sup>1</sup>	
	No N = 25		Yes N = 96				
<b>Radiation dose</b>						0.15	
45 or 46 Gy	3	42.9%	4	57.1%	7		
50 Gy	22	19.3%	92	80.7%	114		
<b>Type of chemotherapy regimen</b>						0.34	
Capecitabine or LV5FU2	25	21.7%	90	78.3%	115		
Capecitabine + metformine	0	0.0%	6	100.0%	6		
<b>Time interval between CRT and surgery (weeks)</b>							
Median – (Range)	8.0	(4.0–15.0)	8.0	(2.0–19.0)	8.0	(2.0–19.0)	0.68
≤7 weeks	7	21.2%	26	78.8%	33		0.93
>7 weeks	18	20.5%	70	79.5%	88		
<b>Type of surgery</b>						0.076	
Abdominoperineal resection	8	36.4%	14	63.6%	22		
Total mesorectal excision	17	17.2%	82	82.8%	99		
<b>Surgical margin (mm)</b>						0.033	
R0	21	18.4%	93	81.6%	114		
R1	4	57.1%	3	42.9%	7		
<b>Post-operative tumor staging (pT)</b>						<0.001	
pT0	0	0.0%	26	100.0%	26		
pT1	0	0.0%	14	100.0%	14		
pT2	2	5.7%	33	94.3%	35		
pT3	23	51.1%	22	48.9%	45		
pTis	0	0.0%	1	100.0%	1		
<b>Post-operative nodal staging (pN)</b>						<0.001	
pN0	3	3.5%	82	96.5%	85		
pN1	12	46.2%	14	53.8%	26		
pN2	10	100.0%	0	0.0%	10		
<b>Post-operative staging ypTNM</b>						0.004 <sup>2</sup>	
ypT0N0M0	0	0.0%	24	100.0%	24		
ypT0N1M0	0	0.0%	2	100.0%	2		
ypT1N0M0	0	0.0%	12	100.0%	12		
ypT1N1M0	0	0.0%	2	100.0%	2		
ypT2N0M0	0	0.0%	28	100.0%	28		
ypT2N1M0	1	16.7%	5	83.3%	6		
ypT2N2M0	1	100.0%	0	0.0%	1		
ypT3N0M0	3	15.0%	17	85.0%	20		
ypT3N1M0	11	68.8%	5	31.3%	16		
ypT3N2M0	9	100.0%	0	0.0%	9		
ypTisN0M0	0	0.0%	1	100.0%	1		

<sup>1</sup> p-Value of the comparison tests between the two groups (downstaging yes versus no).

<sup>2</sup> p-Value of the comparison test between ypT0N0M0 versus other.

found a nonsignificant statistic ( $p = 1.0$ ), likely related to a lack of power.

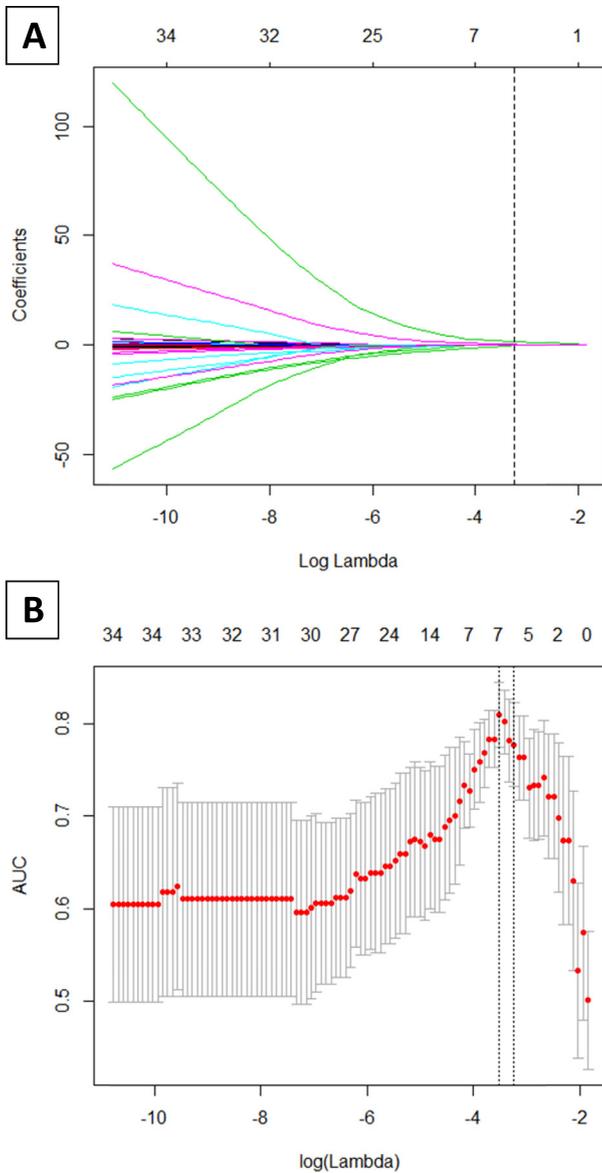
## Discussion

We developed a prognostic model for downstaging including a radiomics score based on baseline contrast-enhanced CT texture analysis performed by a single expert radiologist in patients with locally advanced rectal cancer. We validated the radiomics score on a test population, which is recommended in good practices but is rather uncommon in the literature [17]. The advantage of CT is that it is commonly used for tumor staging and dosimetric radiotherapy study.

Tumors are heterogeneous on gross and cellular levels, as well as on genetic and phenotypic levels, with spatial heterogeneity in cellular density, angiogenesis, and necrosis. This heterogeneity may affect prognosis and treatment, as more heterogeneous tumors may be associated with more biologically aggressive behavior and increased resistance to treatment. This heterogeneity cannot be taken into account by profiles based on the analysis of tumors performed on a sample of the tumor volume. Furthermore, the most abundant cell type might not necessarily predict the properties of mixed populations [5]. Texture analysis provides an objective and quantitative assessment of tumor heterogeneity by

analyzing the distribution and relationship of pixel or voxel gray levels in the image [18]. It is performed on a sample of the tumor, but this sample is chosen to be as representative as possible of the tumor heterogeneity. CT texture analysis was performed in the largest cross-sectional area of the lesions instead of in the whole tumor. Whole-tumor analysis may allow a more precise evaluation of tumor heterogeneity and may also improve reproducibility. However, underestimation of entropy values by using the largest cross-sectional area seems to be less than 7% when compared whole-tumor analysis [19]. Moreover, single slice CT-based texture analysis is a more simple tool to be used in daily routine practice. Texrad software has already proven its value in other tumor settings such as melanoma, or hepatocellular carcinoma [20,21].

Studies using MRI [22] and PET/CT [12] with logistic regression or a simpler artificial neural network to predict treatment response have been conducted, and these studies have reported a good accuracy (AUC = 0.9756, for the MRI model). Using a non-penalized Cox modeling, Jalil et al. observed that a lower mpp at fine texture from pre-treatment MRI texture analysis was an independent predictor for overall survival, disease-free survival, and relapse-free survival [13]. Bibault et al. recently conducted a study using deep learning and radiomics to predict complete response after neo-adjuvant chemoradiation for locally advanced rectal cancer using 1683 features and reported an AUC of 0.72 (0.65–0.87). The primary endpoint they considered, i.e. complete response, can be useful to



**Fig. 2.** Texture features selection using the best penalized binary logistic regression method. (A) Tuning parameter  $\lambda$  selection in the ELASTIC-NET model using 7-fold cross validation via minimum criteria. The AUC of the ROC curve was plotted against  $\log(\lambda)$ . The first dotted vertical line corresponds to the minimum  $\lambda$  parameter maximizing the AUC ( $\lambda_{\min}$ ). The selected model was built with a ( $\lambda_{\min} + 1$  se) value of 0.0392, which is equivalent to a  $\log(\lambda)$  value of  $-3.239$  (second dotted vertical line). (B) ELASTIC-NET coefficient profiles of the 36 texture features. A coefficient profile plot was generated against the  $\log(\lambda)$  sequence. A dotted line was drawn at the value selected in cross-validation ( $\lambda_{\min} + 1$  se), resulting in 6 nonzero coefficients. These plots correspond to the ELASTIC-NET model with  $\alpha = 0.9$ .

promote organ preservation, but is not useful to propose an intensification of the therapeutic regimen, because it does not differentiate responders from non-responders. In addition, they used a different statistical approach to model the texture features, which were based on 1683 parameters. Notably, the sample size is slightly larger in our study (121 versus 95) and we split the study population to validate the model in a test set. Finally, the region of interest was not delineated by a radiologist specialized in rectal cancer [11].

After a TME, 20% of patients have sexual complications, 44% consider the functional result after surgery as bad because of stool fractionation, urge incontinence, or real fecal incontinence [23],

which is why a prospective American study suggests that the quality of life (QoL) of patients may be better after an abdominoperineal resection than after a TME [24]. Constant impotence and definitive colostomy occur as functional consequences of TME and of the pelvic radiation. The anterior resection syndrome is now considered as a real handicap, with an associated score [25]. For the abovementioned reasons, many studies are attempting to identify a solution to avoid a systematic surgery.

Some studies have tested a strategy of therapeutic intensification for select patients.

GRECCAR-12 trial and PRODIGE-23 trial compare neoadjuvant CT with folfoxir followed by preoperative CRT versus preoperative CRT alone in patients with locally advanced rectal cancer in terms of 3-year disease-free survival.

Our final model, which included Radscore and age, provides interesting information that could be used to develop a personalized therapeutic strategy. CT-based texture analysis could allow upstream selection of the poor responders who may benefit from intensification of neoadjuvant treatment. Therefore, after a validation of our model including the Radscore in a larger study, we could use it to predict downstaging, possibly enabling a wait-and-see approach if a cCR is confirmed after CRT. Conversely, if no downstaging is predicted, we could propose neo-adjuvant chemotherapy or higher radiation dose after validation of this approach with the GRECCAR12 (NCT02514278) and PRODIGE23 (NCT01804790) studies.

Our study has some limitations. It was a retrospective study with a relatively small size. Following the recommendations, the study population was split into a training set and a test set, representing 2/3 and 1/3 of the whole sample. Even if the estimated AUC in the test set (AUC = 0.70) is deemed satisfactory, the confidence interval is wide. This should be considered as an exploratory study and validation on a larger and independent cohort is needed. In addition, as all patients were treated with chemoradiation therapy, we cannot disentangle the prognostic value from the predictive value of the model. Inter-observer reproducibility was not taken into account, as the CT slice was chosen by only one expert radiologist. CT texture analysis was performed in the largest cross-sectional area of the tumor and not on the whole tumor, which is probably more representative of the tumor heterogeneity. We did not include the infiltration of the mesorectal fascia and the tumor regression grade (TRG) into the variables in the model. Indeed, regarding infiltration of the mesorectal fascia, some patients had undergone their imaging examinations (CT-scan and MRI) outside of the University Hospital and the imaging results would have been missing for too many patients. Moreover, we know that CT is limited for the assessment of circumferential margin, particularly in case of low rectal tumors. TRG is predictive of therapeutic response in rectal cancer patients after chemoradiotherapy. However, TRG classification is not routinely used. Furthermore, various TRG systems have been suggested, and the categorization is prone to relevant interobserver variability [26]. For example Kim et al compared the prognostic validity of four different TRG systems in order to identify the ideal TRG system [27]. The modified Dworak TRG system for evaluation of entire tumors including regional lymph nodes is a better predictor of survival than current TRG systems for evaluation of the primary tumor alone [28].

Finally, pre-treatment carcinoembryonic antigen (CEA) level  $>5$  ng/mL is significantly associated with a lower pathological complete response rate [29]. However, this association was not considered in our analysis because the CEA level was not available for all patients.

In conclusion, our study presents a prognostic model for downstaging status after CRT, which includes a radiomics score based on pre-treatment contrast-enhanced CT, in patients with locally

**Table 3**

Univariable and multivariable analyses of downstaging in the training set (79 patients, 62 with downstaging).

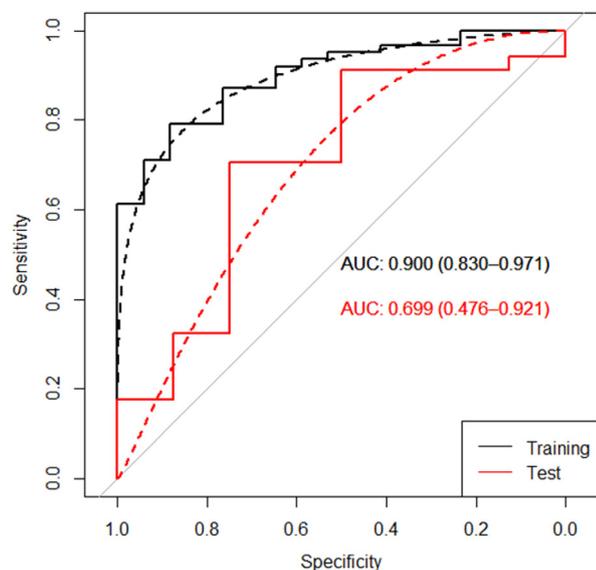
Characteristics	Univariate analysis		Multivariate analysis	
	OR (95% CI)	p-Value	OR (95% CI)	p-Value
Radscore	7.96 (2.30–31.98)	<0.001	13.25 (4.06–71.64)	<0.001
Age (year)	1.05 (1.00–1.10)	0.054	1.10 (1.03–1.20)	0.008
Initial tumor size (cm)	1.19 (0.95–1.55)	0.140	1.00 (0.71–1.43)	0.99**
Initial tumor staging	T2	1		
	T3–4	1.68 (0.33–6.95)		
Initial nodal staging	N0	1	1	0.98**
	N+	2.45 (0.66–8.54)		0.98 (0.17–4.97)
Initial staging	Stage 2	1		
	Stage 3	2.17 (0.59–7.38)	0.223	
Time interval CRT-Surgery	≤7 weeks	1		0.871
	>7 weeks	1.10 (0.31–3.48)		
Tumor site	Low/Middle	1		0.636
	High	0.66 (0.13–4.91)		
Performance status	WHO 0	1		0.335
	WHO 1	2.19 (0.53–14.94)		

OR (95% CI): odds ratio (95% confidence interval).

RadScore, age, and initial tumor size were entered in the model as continuous variables, after checking the loglinearity. The estimated odds ratios correspond to a 1-unit increment (OR associated with a 1 unit of RadScore, a 1 year of age, or with 1 cm for tumor size).

\* Backward step-wise selection was applied by using Akaike's information criterion as the stopping rule. The final model includes only RadScore and age, leading to the corresponding estimates (OR, 95% CI, and p-value).

\*\* In the multivariable model, the estimates (OR, 95% CI, and p-value) for initial tumor size and initial nodal staging were computed by separately adding each variable to the final model.



**Fig. 3.** Receiver operating characteristic (ROC) curves of the final model in the training and in the test sets Legend: The final model is  $\text{logit}(p) = -7.48 + 2.58 \times \text{RadScore} + 0.10 \times \text{Age (in year)}$ . The plain curves correspond to the observed results. The dotted curves correspond to the smoothed curves, obtained by bootstrap replicates. Area under the curve (AUC) values are computed with the observed results. The gray line corresponds to the performance that would be obtained at random.

advanced rectal cancer. This may be a useful tool to select patients with an initially poorer downstaging probability and to propose to them an intensification of treatment via neo-adjuvant chemotherapy or higher radiation dose. After prospective evaluation of this approach in a randomized clinical study, this method could be implemented to better personalize treatment.

### Conflicts of interest

None.

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### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.radonc.2019.03.011>.

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