

## Original Article

# Post-mastectomy intensity modulated proton therapy after immediate breast reconstruction: Initial report of reconstruction outcomes and predictors of complications



Na L. Smith<sup>a</sup>, Krishan R. Jethwa<sup>a</sup>, Jason K. Viehman<sup>d</sup>, William S. Harmsen<sup>d</sup>, Karthik Gonuguntla<sup>a</sup>, Sarah M. Elswick<sup>b</sup>, Jennifer N. Grauberger<sup>e</sup>, Adam C. Amundson<sup>a</sup>, Thomas J. Whitaker<sup>a</sup>, Nicholas B. Remmes<sup>a</sup>, Christin A. Harless<sup>b</sup>, Judy C. Boughey<sup>c</sup>, Minh-Doan T. Nguyen<sup>b</sup>, Sean S. Park<sup>a</sup>, Kimberly S. Corbin<sup>a</sup>, Robert W. Mutter<sup>a,\*</sup>

<sup>a</sup> Department of Radiation Oncology; <sup>b</sup> Division of Plastic Surgery; <sup>c</sup> Department of Surgery; <sup>d</sup> Division of Biomedical Statistics and Informatics, Department of Health Sciences Research, Mayo Clinic; and <sup>e</sup> Mayo Clinic School of Medicine, Rochester, USA

## ARTICLE INFO

## Article history:

Received 21 March 2019

Received in revised form 16 May 2019

Accepted 20 May 2019

Available online 8 June 2019

## Keywords:

Breast cancer  
Reconstruction  
Protons  
Post-mastectomy  
Radiotherapy  
Complications

## ABSTRACT

**Purpose:** To report reconstructive outcomes of patients treated with post-mastectomy intensity modulated proton therapy (IMPT) following immediate breast reconstruction (IBR).

**Materials and methods:** Consecutive women with breast cancer who underwent implant-based IBR and post-mastectomy IMPT were included. Clinical characteristics, dosimetry, and acute toxicity were collected prospectively and reconstruction complications retrospectively.

**Results:** Fifty-one women were treated between 2015 and 2017. Forty-two had bilateral reconstruction with unilateral IMPT. The non-irradiated contralateral breasts served as controls. Conventional fractionation (median 50 Gy/25 fractions) was administered in 37 (73%) and hypofractionation (median 40.5 Gy/15 fractions) in 14 (27%) patients. Median mean heart, ipsilateral lung V20Gy, and CTV-IMN V95% were 0.6 Gy, 13.9%, and 97.4%. Maximal acute dermatitis grade was 1 in 32 (63%), 2 in 17 (33%), and 3 in 2 (4%) patients. Surgical site infection (hazard ratio [HR] 13.19, 95% confidence interval [CI] 1.67–104.03,  $p = 0.0012$ ), and unplanned surgical intervention (HR 9.86, 95% CI 1.24–78.67,  $p = 0.0068$ ) were more common in irradiated breasts. Eight of 51 irradiated breasts and 2 of 42 non-irradiated breasts had reconstruction failure (HR 3.59, 95% CI 0.78–16.41,  $p = 0.084$ ). Among irradiated breasts, hypofractionation was significantly associated with reconstruction failure (HR 4.99, 95% CI 1.24–20.05,  $p = 0.024$ ), as was older patient age (HR 1.14, 95% CI 1.05–1.24,  $p = 0.002$ ).

**Conclusions:** IMPT following IBR spared underlying organs and had low rates of acute toxicity. Reconstruction complications are more common in irradiated breasts, and reconstructive outcomes appear comparable with photon literature. Hypofractionation was associated with higher reconstruction failure rates. Further investigation of optimal dose-fractionation after IBR is needed.

© 2019 Elsevier B.V. All rights reserved. Radiotherapy and Oncology 140 (2019) 76–83

The management of breast cancer requires multi-disciplinary collaboration in order to optimize oncologic outcomes and quality of life. Administration of post-mastectomy radiotherapy (PMRT) is indicated for some patients treated with mastectomy with clinical and pathologic risk factors associated with recurrence [1–4]. Recently, the rates of mastectomy and contralateral prophylactic mastectomy for breast cancer have been on the rise for both early stage and locally advanced breast cancer [5–8]. This trend has been accompanied by an increase in immediate breast reconstruction

(IBR), which has a number of psychosocial advantages for patients [9,10]. However, IBR can present unique challenges for PMRT delivery in patients with indications for treatment [11]. Furthermore, PMRT after IBR significantly increases the risk of reconstruction complications, such as infection, wound dehiscence, mastectomy flap necrosis, capsular contracture, or poor esthetic outcome [12–17]. PMRT may also increase the risk reconstruction failure, commonly defined as tissue expander or implant removal, resulting in no final reconstruction or autologous reconstruction [12–18].

Proton therapy is a potentially attractive modality for the delivery of PMRT. The main advantage of proton therapy is believed to be a reduction in radiation exposure to normal tissues, such as the

\* Corresponding author at: 200 First Street SW, Rochester, MN 55905, USA.  
E-mail address: Mutter.Robert@mayo.edu (R.W. Mutter).

heart and lungs, which has been associated with late cardiac events and death from secondary cancers [19,20]. Proton therapy may also enable fewer compromises in clinical target volume (CTV) coverage. For example, internal mammary lymph node target coverage increases the dose to the underlying heart and lungs, often mandating compromises in target coverage to meet normal tissue constraints with conventional techniques [21,22]. These CTV compromises, which may increase the risk of recurrence, are more easily avoided with proton therapy [23–28]. The dosimetric benefits of proton therapy may be even more pronounced in patients with implant-based IBR and particularly those with bilateral reconstruction [29]. However, to date there are limited clinical data describing the reconstructive outcomes and quality of life in women who have undergone mastectomy, alloplastic IBR, and treated with adjuvant proton PMRT.

We previously reported the feasibility of intensity modulated proton therapy (IMPT) for PMRT delivery in women who had undergone IBR with tissue expanders with metallic ports [30]. In contrast with passive scattering proton therapy, IMPT delivered with pencil-beam scanning enables modulation of the proton dose at the skin surface to reduce hot spots over the reconstructed breast mound while still delivering a dose to the dermal lymphatics that would be expected to control microscopic disease. We hypothesized that this treatment approach would result in promising dosimetry, acute and late dermatologic toxicity and reconstruction outcomes. The purpose of this study is to report adverse events, reconstruction complications and predictors of toxicity in women with breast cancer treated with mastectomy, alloplastic IBR and IMPT.

## Materials and methods

### Patient selection

Proton therapy is discussed and offered to patients with IBR and indications for comprehensive regional nodal irradiation as part of clinical trials or our prospective registry. After institutional review board (ethics committee) approval, we identified consecutive women with breast cancer in our prospective registry who underwent immediate implant-based breast reconstruction and post-mastectomy IMPT at our institution between August, 2015 and October, 2017. Patients who underwent either IBR or radiotherapy at an outside facility were excluded.

### Mastectomy and IBR technique

Mastectomy techniques utilized included nipple-sparing, areola-sparing and skin-sparing mastectomy. All patients underwent two-stage tissue expander-based IBR, except two patients who underwent single-stage implant based reconstruction. Our institutional alloplastic IBR technique has been described previously [31]. Briefly, patients underwent mastectomy with subsequent placement of tissue expanders with an integrated metallic injection port either prepectoral or subpectoral. The decision for prepectoral vs subpectoral reconstruction was based on surgeon and patient preferences. Acellular dermal matrices [AlloDerm RTU (LifeCell Corp. Branchburg, N.J.)] were used to support the tissue expander/implant and define the breast pocket. Tissue expander inflation with saline was initiated approximately 2 weeks postoperatively and completed prior to simulation. The second stage permanent implant exchange was usually performed at least 6 months after completion of IMPT. Fat grafting was simultaneously performed at the time of implant exchange in most cases to improve contour and/or mastectomy flap thickness.

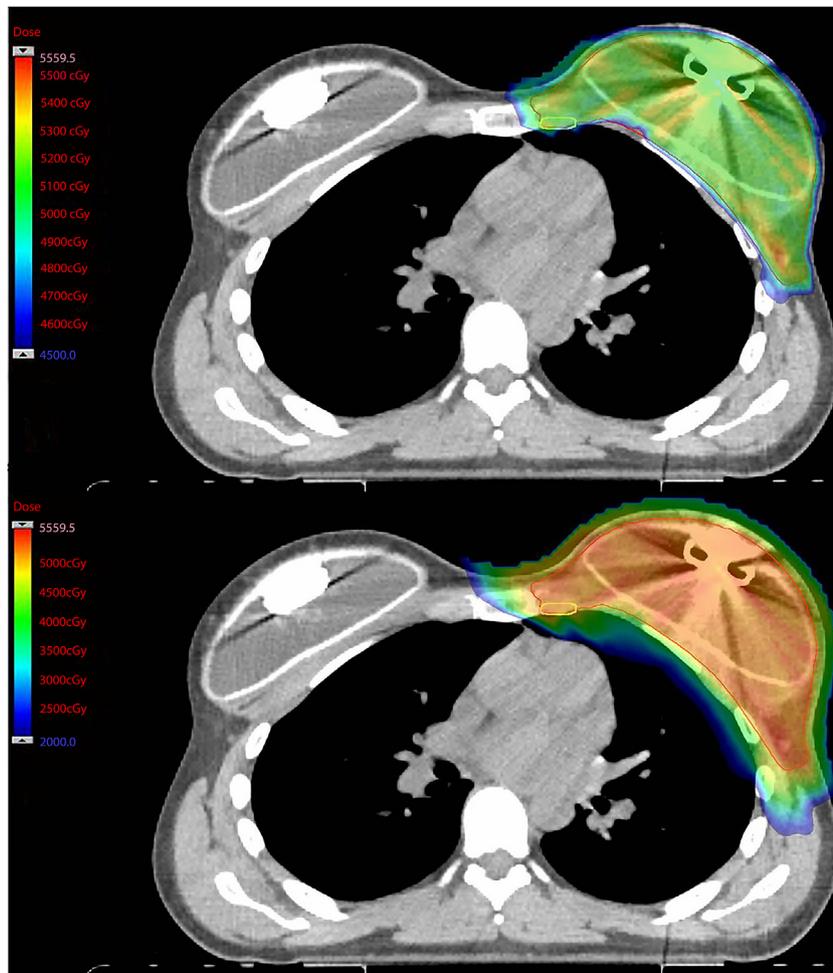
### Radiotherapy techniques

Simulation was typically scheduled approximately 6 weeks after mastectomy and IBR for patients who did not receive postoperative chemotherapy, or 3–4 weeks after last dose of chemotherapy for those who did receive postoperative chemotherapy. Our immobilization, computed tomography (CT) simulation, treatment planning, and techniques to account for the dosimetric impact of metallic ports within tissue expander were described previously [30].

Planning CT scans were routinely obtained in free breathing. However, in cases of unfavorable anatomy a deep-inspiratory breath hold (DIBH) technique was utilized ( $n = 9$ ) in order to displace the heart posteriorly and caudally away from the CTV. The CTV included the reconstructed chest wall, levels one, two, and three of the axilla, the supraclavicular lymph nodes (SCV), and the internal mammary lymph nodes (IMNs). The CTV resembled the Radiation Therapy Oncology Group (RTOG) Breast Cancer Atlas with some notable exceptions based on previously published nodal mapping studies. The chest wall CTV routinely did not extend deeper than the anterior surface of the ribs and intercostal muscles except in the vicinity of the IMNs or if these structures were clinically involved [32]. The chest wall CTV excluded the first 3 mm of tissue under the skin. Supraclavicular target volumes routinely included both the medial and lateral supraclavicular lymph nodes. However, the supraclavicular CTV was not routinely extended medial to the lateral border of the internal carotid artery in order to reduce the dose to midline organs such as the esophagus and trachea as nodal recurrences and presentations are extremely unusual in that location [33–35]. Finally, the internal mammary lymph node (IMN) target volume was defined as a 4–5 mm medial and lateral expansion on the internal mammary vessels and extended from the cranial CT slice of the fourth rib to the most caudal extent of the supraclavicular volume near the junction of the internal mammary and brachiocephalic veins [36].

The median prescription dose was 50 Gy (range 40.5–57.5 Gy radiobiological effectiveness [RBE] = 1.1 delivered in 25 (range 15–25) daily fractions). Patients receiving hypofractionation were treated as part of MC1631, a randomized trial of 15 fraction vs 25 fraction pencil-beam scanning proton radiotherapy (NCT02783690). Treatment planning and delivery were identical for patients treated with conventional or hypofractionated IMPT on or off MC1631. Simultaneous integrated lymph node boosts, most commonly 56.25 Gy in 25 fractions, were permitted for patients with clinically involved and undissected internal mammary, infraclavicular or supraclavicular lymph nodes. Chest wall boosts were not administered. Treatment was delivered with a median of two anterior fields angled 45–60 degrees apart. Plans were evaluated for robustness to ensure CTV coverage under worst case uncertainty scenarios of  $\pm 5$  mm isocenter shifts in x, y, and z directions and  $\pm 3\%$  range uncertainty. Robust optimization features in the nonlinear universal proton optimizer were available to the dosimetrist and used at their discretion to achieve the desired robustness. The target coverage goal for the CTVs was D90% (minimum dose covering 90% of the target volume)  $\geq 90\%$  of the prescription dose under the worst case scenario of the robustness checks and D0.01 cm<sup>3</sup> (maximum dose to 0.01 cm<sup>3</sup> of the target volume)  $\leq 110\%$  of the prescription dose. The priority 2 goal for CTV coverage was D95%  $> 95\%$ . The skin was defined as the first 3 mm of tissue under the body surface and is considered both a target and an organ at risk during treatment planning. To ensure adequate coverage of the dermal lymphatics but to limit the risk of dermatologic toxicity treatment planning objectives for the skin included D90%  $\geq 90\%$ , and D1cc  $\leq 105\%$  for the skin overlying the chest wall and D1cc  $\leq 90\%$  for the skin overlying the supraclavicular CTV (Fig. 1).

All patients were treated with multi-field optimized pencil-beam scanning IMPT on a Hitachi PROBEAT-V proton therapy sys-



**Fig. 1.** Axial CT slice of the pencil-beam scanning proton therapy treatment plan of a representative patient with immediate tissue expander reconstruction. The 4500–5559 cGy color wash (top) and 2000–5559 cGy color wash (bottom) are shown. Chest wall and regional nodal CTV is contoured in red, internal mammary CTV is contoured in yellow.

tem (Hitachi, Tokyo, Japan) [30]. The spot size in air at the treatment beam energy varies depending on range shifter configuration, but is generally between 5 and 10 mm (1-sigma). To treat the shallow depths required, a range shifter was used with a 4.5 cm water-equivalent thickness. All plans were created in the Eclipse (Varian Medical Systems, Inc., Palo Alto, CA, USA) Treatment Planning System (RBE = 1.1), and verified in (1) an in-house graphics processing unit (GPU)-based Monte Carlo physical dose simulation (RBE = 1.1), and (2) an in-house Monte Carlo biologic dose simulation which assumes a linear relationship between RBE and linear energy transfer (LET) [37,38]. As part of the routine treatment planning process, plans generated by Monte Carlo biologic dose simulation were carefully evaluated for target coverage and increased RBE within OARs such as the brachial plexus and the chest wall, and modified as necessary to limit hot spots in these structures. Daily image guidance involved stereoscopic (oblique pair) kilovoltage imaging and 6-degree of freedom matching to the chest wall. Additionally, inter and intra-fraction tracking of the skin and body surface was performed using the AlignRT platform (Vision RT Inc., London, UK) [39].

Patients underwent at least one verification CT scan during the first week of therapy to assess for target volume coverage, dose to organs at risk (OARs), and the need for adaptive re-planning. Re-planning was performed at physician discretion for 5 of 51 patients, most commonly to reduce inhomogeneity and improve target coverage within the CTV.

#### Outcome measures

Acute radiotherapy adverse events were prospectively collected per common toxicity criteria for adverse events (CTCAE) version 4.0. Baseline patient characteristics and dosimetry were also collected prospectively. In addition, the following reconstruction complications were collected through retrospective chart review: surgical-site infection (SSI) and late infection were defined as infection requiring admission for intravenous antibiotics, culture positive infection or infection resulting in tissue expander or implant removal within one year of tissue expander or implant placement, or greater than one year of tissue expander or implant placement, respectively [31]; seroma, defined as a palpable fluid collection requiring intervention, such as aspiration, drainage or unplanned reoperation; mastectomy skin flap necrosis; wound dehiscence; capsular contracture, defined as Baker grade III or IV; hematoma; and tissue expander or implant removal. Reconstruction failure was defined as tissue expander or implant removal, resulting in no final reconstruction or autologous reconstruction [18].

#### Statistical analysis

Reconstruction complications are reported as hazard ratios and 95% confidence intervals with single variable associations using either Fine-Gray's competing risks hazard regression to account for complications due to distant metastasis or Firth's method of

analyzing rare events [40,41], as appropriate. This was only reported among ipsilateral irradiated breasts, as it was determined that ipsilateral breasts have more complications than contralateral non-irradiated breasts. Cumulative incidence of outcomes with respect to IMPT is also presented. This analysis was done using SAS v9.4 (SAS Institute Inc., Cary, NC).

**Results**

*Patient characteristics*

Fifty-one women underwent mastectomy with alloplastic IBR and were treated with IMPT at our institution between 2015 and 2017. Forty-two of 51 women (82%) also underwent contralateral prophylactic mastectomy with IBR. Therefore, the outcomes of 93 implant-based reconstructions were evaluable (Fig. 2). Baseline patient characteristics and comorbidities are shown in Table 1. The median age was 49 (interquartile range [IQR] 44–58). The median body mass index (BMI) was 26 kg/m<sup>2</sup> (IQR 22–30 kg/m<sup>2</sup>). Of the twelve (24%) patients with smoking history, 3 (6%) were current smokers at the time of breast cancer diagnosis, and 9 (18%) were former smokers. All patients were nicotine free for at least 6 weeks at time of surgery.

The majority of patients presented with clinical stage II (49%) or III (39%) breast cancer (Table 1). Invasive ductal carcinoma was the most prevalent histology (82%). Thirty-five (69%) patients had left-sided breast cancer, 14 (28%) had right-sided breast cancer, and 2 (4%) had bilateral breast cancer and underwent unilateral IMPT (one to the right breast, and one to the left breast). Chemotherapy was delivered in 43 (84%) patients. Neoadjuvant chemotherapy was used in 35 (69%) patients, 6 of whom (12%) also received post-operative chemotherapy. Adjuvant chemotherapy alone was used in 8 (16%) patients.

*Treatment characteristics*

Mastectomy and reconstruction techniques are displayed in Supplementary Table 1. Forty of 51 patients (78%) underwent prepectoral tissue expander placement and 11 (22%) underwent subpectoral tissue expander placement. Forty-two patients underwent bilateral mastectomy, among whom 33 (79%) underwent

prepectoral tissue expander placement and 9 (21%) underwent subpectoral tissue expander placement.

Among the 37 patients who did not require post-operative chemotherapy, the median time from surgery to the initiation of IMPT was 56 (IQR 49–62) days. For those undergoing adjuvant chemotherapy, the median time from the completion of chemotherapy to the initiation of IMPT was 37 (IQR 27–69) days. Median follow up from the end of IMPT was 16 months (IQR 12–23).

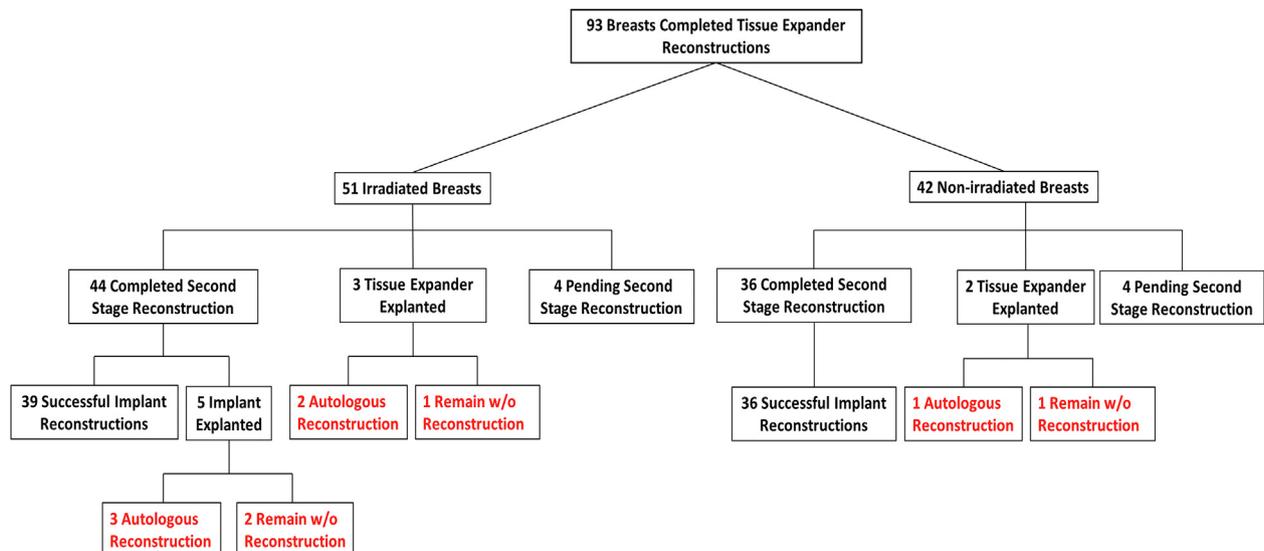
Conventionally fractionated IMPT (median 50 Gy/25 fractions) was administered in 37 (73%) and hypofractionation (median 40.5 Gy/15 fractions) in 14 (27%) patients. Table 2 displays target coverage and doses to OARs. The median mean heart dose was 0.6 Gy for the entire cohort, 0.7 Gy in left-sided cases, and 0.4 Gy in right-sided cases. The median ipsilateral lung V20 Gy was 13.9%. These doses to OARs were achieved while maintaining target coverage to the IMNs (V90% = 98.5%) and the entire chest wall and regional nodal CTV (V95% = 99.7%).

*Acute adverse events*

Acute IMPT related adverse events are presented in Supplementary Table 2. Radiation dermatitis grading was as follows: 63% grade 1, 33% grade 2, and 4% grade 3. One patient developed pain and paresthesia of the ipsilateral upper extremity after the first fraction of IMPT which was classified as grade 1 brachial plexopathy by the treating physician. The etiology was ultimately determined to be prolonged immobilization during radiotherapy, and symptoms completely resolved with expectant management 3 months after completing IMPT. Four patients (3 grade 1, and 1 grade 2) developed esophagitis at the end of IMPT, and all resolved with conservative management.

*Reconstruction complications and outcomes*

The median follow-up was 19 months (IQR 15–26 months) from initial surgery. The median interval between mastectomy and permanent implant placement was 11 months (IQR 10–13 months) and the median interval between completion of IMPT and implant exchange was 7 months (IQR 6–9 months). By last follow-up, 44 (86%, including the two patients who underwent



Red denotes patients scored as reconstruction failure

Fig. 2. Summary of the reconstruction outcomes of the irradiated and the non-irradiated reconstructed breasts.

**Table 1**  
Patient, cancer and treatment characteristics.

Patient characteristics	Number of patient (N = 51)	Percent
<b>Age at diagnosis</b>		
30–39	10	19.6%
40–49	18	35.3%
50–59	14	27.5%
60–69	9	17.6%
<b>BMI at diagnosis</b>		
<18.5	1	2.0%
18.5–24.9	21	41.2%
25–29.9	18	35.3%
30–34.9	6	11.8%
>35	5	9.8%
<b>Tobacco use at diagnosis</b>		
Current smoker	3	5.9%
Former smoker	10	19.6%
Non-smoker	38	74.5%
<b>Medical comorbidities at diagnosis</b>		
Hypertension	7	13.7%
Diabetes	2	3.9%
Coronary artery disease	1	2.0%
Connective tissue disease	1	2.0%
<b>Histology</b>		
Invasive ductal carcinoma	37	72%
Invasive lobular carcinoma	8	16%
Both	5	10%
Mammary carcinoma	1	2%
<b>Hormone receptors at diagnosis</b>		
ER and/or PR+/HER2–	31	61%
ER–/PR–/HER2+	3	6%
ER and/or PR+ /HER2+	9	18%
ER–/PR–/HER2–	8	16%
<b>Clinical stage at diagnosis</b>		
Stage I	6	11.8%
Stage II	25	49.0%
Stage III	20	39.2%
<b>Pathologic stage at surgery</b>		
Stage 0	11	21.6%
Stage I	14	27.5%
Stage II	17	33.3%
Stage III	9	17.6%
<b>Chemotherapy</b>		
Neoadjuvant	29	56.9%
Adjuvant	8	15.7%
Neoadjuvant + Adjuvant	6	11.8%
No chemotherapy	8	15.7%
<b>Axillary surgery</b>		
Sentinel lymph node dissection	13	25.5%
Sentinel and axillary lymph node dissection	11	21.6%
Axillary lymph node dissection	27	52.9%

single-stage implant based reconstruction) of the 51 irradiated breasts and 36 (86%) of the 42 non-irradiated breasts had undergone exchange for a permanent implant. A summary of reconstruction outcomes is presented in Fig. 2.

Reconstruction complications are summarized in Table 3. Of the 51 irradiated reconstructed breasts, 20 (39%) had at least one complication compared with 5 (12%) of the non-irradiated reconstructed breasts. Complications (HR 3.31, 95% CI 1.23–8.94,  $p = 0.01$ ), unplanned reoperation (HR 9.86, 95% CI 1.24–78.67,  $p = 0.0068$ ), and SSI (HR 13.19, 95% CI 1.67–104.03,  $p = 0.0012$ ) were significantly more common in irradiated, compared with non-irradiated reconstructed breasts (Fig. 3A–C). One patient with bilateral TE removal due to bilateral SSI had successful bilateral implant placement within 1 year of TE removal. One patient with removal of the irradiated TE due to late infection also had a new TE placed within 1 year of TE removal (Fig. 2). As a result, 8/51 (16%) irradiated breasts had reconstruction failure, compared with

**Table 2**  
Radiotherapy dosimetric outcomes.

IMPT	Median	IQR
Prescription	50 Gy/25 fx	45 Gy/15 fx–50 Gy/25 fx
Maximum point dose	55 Gy	48–56 Gy
D0.01 cc [%]	109.7%	108.0–111.2%
D0.01 cc [Gy]	54.8 Gy	47.9–55.7 Gy
CTV D90 [%]	97.6%	96.5–99.0%
CTV V90 [%]	99.7%	99.3–99.9%
CTV D95 [%]	96.6%	95.0–98.0%
CTV V95 [%]	97.4%	95.0–99.0%
IMN D90 [%]	95.5%	92.1–97.8%
IMN V90 [%]	98.5%	94.0–99.9%
Ipsilateral lung V20Gy [%]	13.9%	10.1–14.9%
Ipsilateral lung Mean	7.3 Gy	6.5–8.3 Gy
Heart Mean	0.6 Gy	0.4–0.9 Gy
Heart V25 [%]	0.1%	0.0–0.3%
LAD Mean	2.8 Gy	1.5–4.0 Gy
RCA Mean	0.8 Gy	0.4–2.7 Gy

IMPT = intensity modulated proton therapy. Fx = fractions. IMN = internal mammary node. LAD: left anterior descending artery (for left-sided cases). RCA = right coronary artery (for right-sided cases).

**Table 3**  
Reconstruction complications.

Complications	Irradiated breasts		Non-irradiated breasts N = 42 (%)
	Conventional fractionation N = 37 (%)	Hypofractionation N = 14 (%)	
Surgical site infection	7 (18.9%)	7 (50%)	1 (2.4%)
Late infection	1 (2.7%)	1 (7.1%)	0 (0%)
Seroma	2 (5.4%)	2 (14.3%)	1 (2.4%)
Hematoma	1 (2.7%)	0 (0%)	0 (0%)
Flap necrosis	2 (5.4%)	0 (0%)	1 (2.4%)
Contracture	0 (0%)	1 (7.1%)	0 (0%)
Wound dehiscence	0 (0%)	0 (0%)	2 (4.8%)
Reconstruction failure	3 (8.1%)	5 (35.7%)	2 (4.8%)

2/42 (5%) non-irradiated reconstructed breasts in which reconstruction was removed electively for symmetry (HR 3.59, 95% CI 0.78–16.41,  $p = 0.08$ , Fig. 3D).

### Hypofractionation

Among the irradiated reconstructions, hypofractionation (HR 3.12, 95% CI 1.11–8.74,  $p = 0.03$ ) was a significant risk factor for SSI (Supplementary Table 3, Supplementary Fig. 1). Hypofractionation (HR 4.73, 95% CI 1.39–16.11,  $p = 0.01$ ) and elevated BMI (HR 1.11, 95% CI 1.02–1.22,  $p = 0.02$ ) were significantly associated with unplanned reoperation (Supplementary Table 4, Supplementary Fig. 1).

Five of the 14 (36%) patients treated with hypofractionated IMPT experienced reconstruction failure, compared to 3 of 37 (8%) patients with conventionally fractionated IMPT (Table 3, Supplementary Fig. 1). Older age (HR 1.14, 95% CI 1.05–1.24,  $p = 0.0019$ ), and hypofractionation (HR 4.99, 95% CI 1.24–20.05,  $p = 0.024$ ) were significant risk factors for reconstruction failure (Table 4).

### Discussion

We evaluated the reconstruction outcomes for a cohort of 51 women who underwent mastectomy, tissue expander-based IBR, and post-mastectomy IMPT and compared the outcomes with non-irradiated contralateral reconstructions in those with bilateral mastectomies with reconstruction. Consistent with prior dosimet-

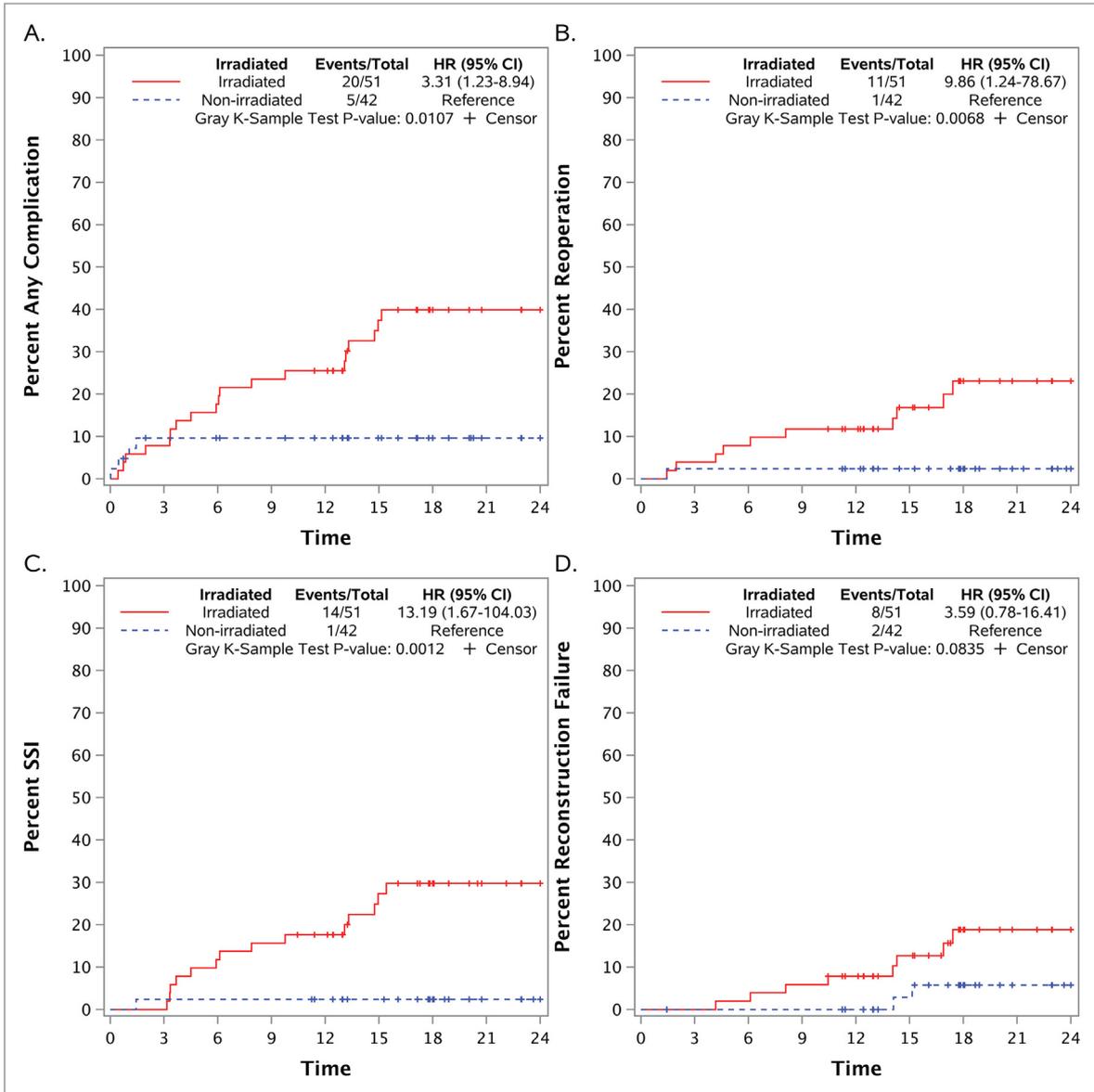


Fig. 3. Cumulative incidence of any complication (A), unplanned reoperation (B), SSI (C), and reconstruction failure (D) for irradiated and non-irradiated breasts.

ric studies, the normal tissue sparing of IMPT was exceptional without compromising target coverage, including the IMNs. Acute treatment tolerance was favorable with a 4% rate of grade 3+ skin toxicity at the end of treatment. However, similar to after photon irradiation, radiation-related reconstruction complications remain a significant source of morbidity in patients treated with IMPT.

Reconstruction failure has occurred in 16% of irradiated breasts in our study to date. As is observed after photon therapy, there was significantly more reconstruction complications in reconstructed breasts irradiated with IMPT compared with the non-irradiated contralateral reconstructed side. However, complication rates overall following IMPT compared favorably with published rates following photon therapy [18]. For example, Fowble et al. reported a reconstruction failure rate of 18% in patients treated with photon therapy, with 94% of the failures due to infection [18]. In a systematic review of complications of implant-based reconstruction, the rate of reconstruction failure was 20% for patients irradiated post reconstruction [42].

Interestingly, in our study, hypofractionation was significantly associated with SSI, unplanned reoperation, and reconstruction

failure following IMPT. The higher rate of these complications was a surprising finding given that patients treated with hypofractionated photon whole breast irradiation for early stage breast cancer have been reported to have less acute and late adverse effects [43,44]. We could not perform a multivariate analysis due to the small number of events but there was no significant difference in patient age, the only other predictor of reconstruction failure, between patients treated with conventional fractionation or hypofractionation (data not shown). In the recently published phase II trial of hypofractionated photon post-mastectomy radiation by Khan et al., the total rate of implant loss or failure was 24% [45]. Results from that phase II study have formed the basis for Alliance 221505, a phase III prospective randomized trial assessing the impact on recurrence of conventional versus hypofractionated PMRT in women with reconstructed breasts, which is currently ongoing. This study will shed important insight into the role of hypofractionation in women with IBR treated with photon PMRT. Although the relative biological effectiveness (RBE) of proton therapy generally decreases with larger fraction sizes, it is possible that RBE heterogeneity of breast cancer proton ther-

**Table 4**  
Univariate analysis of risk factors for reconstruction failure in irradiated breasts.

Variable	P value	Hazard ratio (95% confidence interval)
Age, per 1 year	0.002	1.14 (1.05–1.24)
Body mass index, per 1 kg/m <sup>2</sup>	0.13	1.08 (0.98–1.18)
Smoking history, per pack-year	0.53	0.97 (0.87–1.08)
Diabetes, type II	0.97	1.05 (0.05–22.01)
Hypertension	0.95	0.94 (0.11–8.12)
Hyperlipidemia	0.56	1.57 (0.35–7.03)
Coronary artery disease	0.54	2.64 (0.12–56.94)
Clinical stage	0.43	
Stage I		1.0 (reference)
Stage II	0.53	0.57 (0.10–3.28)
Stage III	0.20	0.26(0.03–2.07)
Hormonal receptor status		
ER positive	0.34	2.73 (0.34–21.65)
PR positive	0.39	2.45 (0.31–19.25)
HER2 status		
HER2 positive	0.36	2.73 (0.32–23.48)
Number of nodes removed, per 1	0.82	1.01 (0.95–1.07)
Hypofractionation	0.024	4.99 (1.24–20.05)
CTV D0.01 cc, per 1%	0.17	0.87 (0.72–1.06)
Nodal boost	0.81	0.77 (0.09–6.47)
Expander location		
Prepectoral		1.0 (reference)
Subpectoral	0.64	1.46 (0.29–7.34)
Mastectomy type	0.83	
Skin Sparing		1.0 (reference)
Areola Sparing	0.996	0.99 (0.04–24.14)
Nipple Sparing	0.56	1.52 (0.37–6.21)
Acute radiation dermatitis grade		
Grade 1		1.0 (reference)
Grade 2/3	0.94	0.95 (0.23–3.88)

CTV = clinical target volume. ER = estrogen receptor. PR = progesterone receptor. HER2 = human epidermal growth factor receptor 2.

apy plans could have a greater effect on reconstruction in patients treated with hypofractionation [46]. Caution may be warranted when extrapolating new fractionation regimens to proton therapy based on photon experiences alone. That said, the number of patients treated with hypofractionation in our study is small and the finding of increased complications with hypofractionated versus conventionally fractionated proton therapy should be considered hypothesis generating. Of note, we recently completed accrual to MC1631, a randomized phase II trial of 15 vs 25 fraction pencil-beam scanning proton radiotherapy after mastectomy in patients requiring regional nodal irradiation. Approximately two thirds of patients on that trial underwent IBR. Therefore, the results of MC1631 will provide more robust insight into the role of hypofractionated proton PMRT in women with IBR.

Despite treatment planning efforts to limit hot-spots on the skin surface which limited the risk of severe radiation dermatitis, reconstruction complications remained a clinically significant problem in patients treated with IMPT. Further investigation is needed to identify strategies to reduce complications and implant loss after both photon and proton therapy. IMPT did offer a dramatic reduction in radiation exposure to the underlying heart and lungs in our study. A linear correlation between mean heart dose and risk for major coronary events has previously been observed, and since validated in large population-based studies [19,47]. Recently, in an analysis from the Early Breast Cancer Trialists Collaborative Group, mean lung dose was also shown to significantly correlate with death from lung cancer, the absolute risk of which was particularly pronounced in current and former smokers [20]. Here, the majority of patients treated had left-sided disease and the mean heart dose was just 0.6 Gy. Furthermore, the ipsilateral lung V20 Gy, a commonly used measure of plan quality in the breast cancer literature, was just 14%. These are marked reductions

compared to recent photon reports. For comparison, target mean heart and V20 Gy constraints in the ongoing NSABP B-51/RTOG 1304 study are 4 Gy and 30%, respectively [48]. Furthermore, these reductions in dose to the heart and lungs were achieved without compromising target coverage of the regional lymphatics, which is important in order to optimize disease control [21,49,50]. Of note, the rates of grade 1 (6%) and grade 2 (2%) acute esophagitis in our series compared favorably with acute toxicities reported in other proton PMRT series. For instance, Luo et al. reported grade 1 and 2 acute esophagitis in 33% and 17% of patients, respectively. Similarly, Verma et al. reported grade 1 and 2 acute esophagitis in 31% and 33%, respectively [51,52]. Our results were likely achieved by limiting the medial extent of the supraclavicular CTV to the lateral border of the internal carotid artery, given that paratracheal and paraesophageal nodal presentations and recurrences are extremely uncommon in breast cancer, and application of a strict esophageal constraint [33–35]. To definitely establish whether the favorable dosimetry will ultimately reduce late cardiopulmonary, secondary malignancy, or recurrence events will require additional investigation of hundreds of patients and years, if not decades of close follow-up.

Potential limitations of this study include the relatively small patient numbers and that this is a single institution experience. That said, women were treated in a similar fashion, with homogeneous RT dose and treatment techniques. Further investigation is needed to determine long-term esthetic outcomes and quality of life in this patient population and whether similar outcomes can be achieved with other techniques such as passively scattered proton therapy. Overall, our results suggest that IMPT is a viable and attractive treatment option for women with IBR and indications for PMRT.

In summary, post-mastectomy IMPT following mastectomy with immediate tissue expander based breast reconstruction provides exceptional normal tissue sparing and target coverage and is associated with favorable acute toxicity. Reconstruction complications are more common in breasts irradiated with IMPT compared with non-irradiated controls; however, outcomes compare favorably with prior photon experiences. Unexpectedly, hypofractionation was associated with higher rates of reconstruction complication and failure. Therefore, further investigation of optimal dose-fractionation for both photon and proton irradiations after IBR is needed.

#### Declaration of Competing Interest

None.

#### Acknowledgements

This work was supported in part by K12 HD065987.

#### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.radonc.2019.05.022>.

#### References

- [1] Overgaard M, Nielsen HM, Overgaard J. Is the benefit of postmastectomy irradiation limited to patients with four or more positive nodes, as recommended in international consensus reports? A subgroup analysis of the DBCG 82 b&c randomized trials. *Radiother Oncol* 2007;82:247–53.
- [2] Overgaard M, Jensen MB, Overgaard J, Hansen PS, Rose C, Andersson M, et al. Postoperative radiotherapy in high-risk postmenopausal breast-cancer patients given adjuvant tamoxifen: Danish Breast Cancer Cooperative Group DBCG 82c randomised trial. *Lancet* (London, England) 1999;353:1641–8.
- [3] Overgaard M, Hansen PS, Overgaard J, Rose C, Andersson M, Bach F, et al. Postoperative radiotherapy in high-risk premenopausal women with breast

- cancer who receive adjuvant chemotherapy. Danish Breast Cancer Cooperative Group 82b Trial. *N Engl J Med* 1997;337:949–55.
- [4] Ragaz J, Olivetto IA, Spinelli JJ, Phillips N, Jackson SM, Wilson KS, et al. Locoregional radiation therapy in patients with high-risk breast cancer receiving adjuvant chemotherapy: 20-year results of the British Columbia randomized trial. *J Natl Cancer Inst* 2005;97:116–26.
  - [5] Habermann EB, Abbott A, Parsons HM, Virnig BA, Al-Refaie WB, Tuttle TM. Are mastectomy rates really increasing in the United States? *J Clin Oncol* 2010;28:3437–41.
  - [6] Kummerow KL, Du L, Penson DF, Shyr Y, Hooks MA. Nationwide trends in mastectomy for early-stage breast cancer. *JAMA Surg* 2015;150:9–16.
  - [7] Nash R, Goodman M, Lin CC, Freedman RA, Dominici LS, Ward K, et al. State variation in the receipt of a contralateral prophylactic mastectomy among women who received a diagnosis of invasive unilateral early-stage breast cancer in the United States, 2004–2012. *JAMA Surg* 2017;152:648–57.
  - [8] Tuttle TM, Jarosek S, Habermann EB, Arrington A, Abraham A, Morris TJ, et al. Increasing rates of contralateral prophylactic mastectomy among patients with ductal carcinoma in situ. *J Clin Oncol* 2009;27:1362–7.
  - [9] Jagsi R, Jiang J, Momoh AO, Alderman A, Giordano SH, Buchholz TA, et al. Trends and variation in use of breast reconstruction in patients with breast cancer undergoing mastectomy in the United States. *J Clin Oncol* 2014;32:919–26.
  - [10] Alborno CR, Cordeiro PG, Pusic AL, McCarthy CM, Mehrara BJ, Disa JJ, et al. Diminishing relative contraindications for immediate breast reconstruction: a multicenter study. *J Am Coll Surg* 2014;219:788–95.
  - [11] Motwani SB, Strom EA, Schechter NR, Butler CE, Lee GK, Langstein HN, et al. The impact of immediate breast reconstruction on the technical delivery of postmastectomy radiotherapy. *Int J Radiat Oncol Biol Phys* 2006;66:76–82.
  - [12] Spear SL, Onyewu C. Staged breast reconstruction with saline-filled implants in the irradiated breast: recent trends and therapeutic implications. *Plastic Reconstr Surg* 2000;105:930–42.
  - [13] Ho A, Cordeiro P, Disa J, Mehrara B, Wright J, Van Zee KJ, et al. Long-term outcomes in breast cancer patients undergoing immediate 2-stage expander/implant reconstruction and postmastectomy radiation. *Cancer* 2012;118:2552–9.
  - [14] Hughes K, Brown C, Perez V, Ting JW, Rozen WM, Whitaker IS, et al. The effect of radiotherapy on implant-based breast reconstruction in the setting of skin-sparing mastectomy: clinical series and review of complications. *Anticancer Res* 2012;32:553–7.
  - [15] Benediktsson K, Perbeck L. Capsular contracture around saline-filled and textured subcutaneously-placed implants in irradiated and non-irradiated breast cancer patients: five years of monitoring of a prospective trial. *J Plastic Reconstr Aesth Surg* 2006;59:27–34.
  - [16] Tran NV, Chang DW, Gupta A, Kroll SS, Robb GL. Comparison of immediate and delayed free TRAM flap breast reconstruction in patients receiving postmastectomy radiation therapy. *Plastic Reconstr Surg* 2001;108:78–82.
  - [17] Cordeiro PG, Pusic AL, Disa JJ, McCormick B, VanZee K. Irradiation after immediate tissue expander/implant breast reconstruction: outcomes, complications, aesthetic results, and satisfaction among 156 patients. *Plastic Reconstr Surg* 2004;113:877–81.
  - [18] Fowble B, Park C, Wang F, Peled A, Alvarado M, Ewing C, et al. Rates of reconstruction failure in patients undergoing immediate reconstruction with tissue expanders and/or implants and postmastectomy radiation therapy. *Int J Radiat Oncol Biol Phys* 2015;92:634–41.
  - [19] Darby SC, Ewertz M, McGale P, Bennett AM, Blom-Goldman U, Bronnum D, et al. Risk of ischemic heart disease in women after radiotherapy for breast cancer. *N Engl J Med* 2013;368:987–98.
  - [20] Taylor C, Correa C, Duane FK, Aznar MC, Anderson SJ, Bergh J, et al. Estimating the risks of breast cancer radiotherapy: evidence from modern radiation doses to the lungs and heart and from previous randomized trials. *J Clin Oncol* 2017;35:1641–9.
  - [21] Thorsen LB, Offersen BV, Dano H, Berg M, Jensen I, Pedersen AN, et al. DBCG-IMN: a population-based cohort study on the effect of internal mammary node irradiation in early node-positive breast cancer. *J Clin Oncol* 2016;34:314–20.
  - [22] Thorsen LB, Thomsen MS, Berg M, Jensen I, Josipovic M, Overgaard M, et al. CT-planned internal mammary node radiotherapy in the DBCG-IMN study: benefit versus potentially harmful effects. *Acta Oncol (Stockholm, Sweden)* 2014;53:1027–34.
  - [23] Ares C, Khan S, Macartain AM, Heuberger J, Goitein G, Gruber G, et al. Postoperative proton radiotherapy for localized and locoregional breast cancer: potential for clinically relevant improvements? *Int J Radiat Oncol Biol Phys* 2010;76:685–97.
  - [24] Dasu A, Flejmer AM, Edvardsson A, Witt Nystrom P. Normal tissue sparing potential of scanned proton beams with and without respiratory gating for the treatment of internal mammary nodes in breast cancer radiotherapy. *Phys Med* 2018;52:81–5.
  - [25] Jethwa KR, Kahila MM, Whitaker TJ, Harmsen WS, Corbin KS, Park SS, et al. Immediate tissue expander or implant-based breast reconstruction does not compromise the oncologic delivery of post-mastectomy radiotherapy (PMRT). *Breast Cancer Res Treat* 2017;164:237–44.
  - [26] MacDonald SM, Jimenez R, Paetzold P, Adams J, Beatty J, DeLaney TF, et al. Proton radiotherapy for chest wall and regional lymphatic radiation; dose comparisons and treatment delivery. *Radiat Oncol (London, England)* 2013;8:71.
  - [27] Taghian AG, Kozak KR, Katz A, Adams J, Lu HM, Powell SN, et al. Accelerated partial breast irradiation using proton beams: Initial dosimetric experience. *Int J Radiat Oncol Biol Phys* 2006;65:1404–10.
  - [28] Toscas JJ, Linero D, Rubio I, Hidalgo A, Arnalte R, Escude L, et al. Boosting the tumor bed from deep-seated tumors in early-stage breast cancer: a planning study between electron, photon, and proton beams. *Radiother Oncol* 2010;96:192–8.
  - [29] Jimenez RB, Goma C, Nyamwanda J, Kooy HM, Halabi T, Napolitano BN, et al. Intensity modulated proton therapy for postmastectomy radiation of bilateral implant reconstructed breasts: a treatment planning study. *Radiother Oncol* 2013;107:213–7.
  - [30] Mutter RW, Remmes NB, Kahila MM, Hoeft KA, Pafundi DH, Zhang Y, et al. Initial clinical experience of postmastectomy intensity modulated proton therapy in patients with breast expanders with metallic ports. *Pract Radiat Oncol* 2017;7:e243–52.
  - [31] Elswick SM, Harless CA, Bishop SN, Schleck CD, Mandrekar J, Reusche RD, et al. Prepectoral Implant-Based Breast Reconstruction with Postmastectomy Radiation Therapy. *Plastic Reconstr Surg* 2018;142:1–12.
  - [32] Vargo JA, Beriwal S. RTOG chest wall contouring guidelines for post-mastectomy radiation therapy: is it evidence-based? *Int J Radiat Oncol Biol Phys* 2015;93:266–7.
  - [33] Brown LC, Diehn FE, Boughey JC, Childs SK, Park SS, Yan ES, et al. Delineation of supraclavicular target volumes in breast cancer radiation therapy. *Int J Radiat Oncol Biol Phys* 2015;92:642–9.
  - [34] Jing H, Wang SL, Li J, Xue M, Xiong ZK, Jin J, et al. Mapping patterns of ipsilateral supraclavicular nodal metastases in breast cancer: rethinking the clinical target volume for high-risk patients. *Int J Radiat Oncol Biol Phys* 2015;93:268–76.
  - [35] Offersen BV, Boersma LJ, Kirkove C, Hol S, Aznar MC, Biete Sola A, et al. ESTRO consensus guideline on target volume delineation for elective radiation therapy of early stage breast cancer. *Radiother Oncol* 2015;114:3–10.
  - [36] Jethwa KR, Kahila MM, Hunt KN, Brown LC, Corbin KS, Park SS, et al. Delineation of internal mammary nodal target volumes in breast cancer radiation therapy. *Int J Radiat Oncol Biol Phys* 2017;97:762–9.
  - [37] Wan Chan Tseung H, Ma J, Beltran C. A fast GPU-based Monte Carlo simulation of proton transport with detailed modeling of nonelastic interactions. *Med Phys* 2015;42:2967–78.
  - [38] Wan Chan Tseung HS, Ma J, Kreofsky CR, Ma DJ, Beltran C. Clinically applicable monte carlo-based biological dose optimization for the treatment of head and neck cancers with spot-scanning proton therapy. *Int J Radiat Oncol Biol Phys* 2016;95:1535–43.
  - [39] Wiant DB, Wentworth S, Maurer JM, Vanderstraeten CL, Terrell JA, Sintay BJ. Surface imaging-based analysis of intrafraction motion for breast radiotherapy patients. *J Appl Clin Med Phys* 2014;15:4957.
  - [40] Firth D. Bias reduction of maximum likelihood estimates. *Biometrika*. 1993;80:12.
  - [41] Fine J, Gray R. A proportional hazards model for the subdistribution of a competing risk. *J Am Stat Assoc* 1999;94:14.
  - [42] Momoh AO, Ahmed R, Kelley BP, Aliu O, Kidwell KM, Kozlow JH, et al. A systematic review of complications of implant-based breast reconstruction with prereconstruction and postreconstruction radiotherapy. *Ann Surg Oncol* 2014;21:118–24.
  - [43] Shaitelman SF, Lei X, Thompson A, Schlembach P, Bloom ES, Arzu IY, et al. Outcomes with hypofractionated versus conventionally fractionated whole-breast irradiation: results of a randomized, noninferiority clinical trial. *J Clin Oncol* 2018.
  - [44] Haviland JS, Mannino M, Griffin C, Porta N, Sydenham M, Bliss JM, et al. Late normal tissue effects in the arm and shoulder following lymphatic radiotherapy: results from the UK START (Standardisation of Breast Radiotherapy) trials. *Radiother Oncol* 2018;126:155–62.
  - [45] Khan AJ, Poppe MM, Goyal S, Kokeny KE, Kearney T, Kirstein L, et al. Hypofractionated postmastectomy radiation therapy is safe and effective: first results from a prospective phase II trial. *J Clin Oncol* 2017;35:2037–43.
  - [46] Woodward WA, Amos RA. Proton radiation biology considerations for radiation oncologists. *Int J Radiat Oncol Biol Phys* 2016;95:59–61.
  - [47] van den Bogaard VA, Ta BD, van der Schaaf A, Bouma AB, Middag AM, Bantema-Joppe EJ, et al. Validation and modification of a prediction model for acute cardiac events in patients with breast cancer treated with radiotherapy based on three-dimensional dose distributions to cardiac substructures. *J Clin Oncol* 2017;35:1171–8.
  - [48] Bazan JG, White JR. The role of postmastectomy radiation therapy in patients with breast cancer responding to neoadjuvant chemotherapy. *Semin Radiat Oncol* 2016;26:51–8.
  - [49] Poortmans PM, Collette S, Kirkove C, Van Limbergen E, Budach V, Struikmans H, et al. Internal mammary and medial supraclavicular irradiation in breast cancer. *N Engl J Med* 2015;373:317–27.
  - [50] Whelan TJ, Olivetto IA, Parulekar WR, Ackerman I, Chua BH, Nabid A, et al. Regional nodal irradiation in early-stage breast cancer. *N Engl J Med* 2015;373:307–16.
  - [51] Luo L, Cuaron J, Braunstein L, Gillespie E, Kahn A, McCormick B, et al. Early outcomes of breast cancer patients treated with post-mastectomy uniform scanning proton therapy. *Radiother Oncol* 2019;132:250–6.
  - [52] Verma V, Iftekaruddin Z, Badar N, Hartsell W, Han-Chih Chang J, Gondi V, et al. Proton beam radiotherapy as part of comprehensive regional nodal irradiation for locally advanced breast cancer. *Radiother Oncol* 2017;123:294–8.