

Physiological Considerations of Functional Magnetic Resonance Imaging in Animal Models

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ABSTRACT

Characterizing the nature and underlying neurobiological causes of psychiatric and neurological diseases at the circuit and network levels has remained elusive and necessitates the use of robust animal models. Noninvasive functional magnetic resonance imaging allows systems-level insight into disease phenotype in humans and animals alike, and functional neuroimaging represents an ideal platform for translational and reverse-translational research, with common measurements collected across species. Animal neuroimaging allows invasive manipulations and conveniently bypasses many limitations associated with human subjects; however, awake animal imaging introduces its own constraints to reduce motion and limit subjective stress. Anesthetics offer a viable alternative, but the pharmacodynamics, pharmacokinetics, and molecular targets of anesthetics and their effects on physiology, neural activity, and neurovascular coupling must be considered. We discuss the physiological basis of and the influence of anesthetics on neurovascular coupling. We discuss anesthetic use in functional magnetic resonance imaging and focus on an anesthetic protocol developed in our laboratory. Finally, we discuss in detail our most recent work examining the physiological basis of resting-state functional magnetic resonance imaging using this anesthetic regimen and the future directions of animal neuroimaging research. Using animal imaging in combination with cutting-edge *in vivo* neuromodulatory techniques is essential for causal understanding of brain function in health and disease and offers an exemplary bridge between human and animal research studies.

Keywords: AMPA, Dexmedetomidine, Functional connectivity, LFP, Resting state, VTA

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Circuit-level and network-level understanding of neuronal activity and its causal relationship with behavior is critical to treating neuropsychiatric and neurological diseases (1,2). Noninvasive functional imaging allows systems-level insight into disease phenotype in humans (3), and functional magnetic resonance imaging (fMRI) is the primary modality of choice owing to high spatial and temporal resolution and versatile imaging methodology. As brain stimulation emerges as a useful tool to treat neurological and psychiatric disorders (4), MRI has been used to guide stimulation targets and monitor disease progression (5–7). Nevertheless, because of ethical concerns, many imaging studies in patient populations are cross-sectional, and the relationship between behavioral phenotype and functional brain readout is generally correlational. Characterizing the nature and underlying neurobiological causes of psychiatric and neurological disease at the neural circuit and network level has proven to be less than straightforward and necessitates robust animal models.

Although no animal model precisely captures human disease, behaviors that recapitulate disease symptoms can be investigated using rodent models (8). The development of genetically targeted molecular switches with transgenic rodent lines has made it possible to manipulate the activity of strictly defined cell populations in specific projection pathways (9,10) to interrogate the causal roles of specific neuronal circuits in

behavior. Functional neuroimaging represents one of the few opportunities to perform true cross-species measurements: neurovascular coupling is relatively conserved across species (11), and measures of functional connectivity are similar across humans and rodents (12,13). Indeed, the central measure of fMRI, the blood oxygen level-dependent (BOLD) response, was first identified in rats (14). Furthermore, cross-species comparisons with neuroimaging are facilitated by shared analytical tools (15). Functional imaging in rodent models can be used to combine systems-level whole-brain readout with modern *in vivo* cell biology tools, such as chemogenetics (16,17) and optogenetics (18–20), to explore the causal roles of neural circuits on behaviors. Functional neuroimaging represents an ideal platform for translational and reverse-translational research with common measurements quantified across rodents and humans.

Animal neuroimaging allows tight environmental and genetic control, circumventing the inherent heterogeneity of human populations. Furthermore, animal studies rely on quantitative measures of physiological processes and behavior, as compared to heavily subjective measures in human populations, which can be compromised by disease state. Crucially, as mentioned, rodent neuroimaging permits the combination of invasive and noninvasive tools, including, but not limited to, behavior (21–23), electrophysiology (24–26), and optical imaging (27–29). Finally, in contrast to human studies, direct comparison of imaging output

measures and postmortem microscopy techniques are easily implemented (30).

Animal neuroimaging should ideally be conducted within a similar parameter space as human neuroimaging: awake and behaving subjects remain still, fully responsive, and non-stressed to assess task-mediated and resting measurements of brain activity and connectivity. fMRI is notoriously sensitive to subject motion and generates loud acoustic noise, and rodents do not readily comply with these stringent requirements. Efforts have been made to immobilize rodents following training to habituate the animal to the imaging environment (19,31–33), but many studies are conducted with animals under anesthesia. Anesthetics, depending on their pharmacodynamics, pharmacokinetics, and molecular targets, influence brain metabolism, affect neurovascular coupling, and alter arousal (34,35). Thus, the choice of anesthetic agents and dose is critically important (36–38).

In this review, we begin with a brief discussion of the physiological basis of neurovascular coupling. We discuss anesthetics, their influence on neurovascular coupling, and their use with fMRI. Owing to this limited scope, we focus our discussion of applications of anesthetics in fMRI to work by our research group. Finally, we discuss future directions of animal neuroimaging research. Limitations and considerations using animal MRI have been thoroughly reviewed elsewhere (39,40).

NEUROVASCULAR COUPLING AND BOLD SIGNAL

Tight neurovascular coupling is the cornerstone of using BOLD signal as a surrogate of neuronal activity (41). During periods of activation, cerebral blood flow (CBF) increases more than the oxygen demands of the brain (42). This mismatch results in an increase in oxyhemoglobin and a decrease in deoxyhemoglobin (43), which have different magnetic properties (44–46), producing the so-called BOLD signal. This physiological phenomenon, called neurovascular coupling or functional hyperemia, is the consequence of mutual interactions among three major domains: neurons, astrocytes, and vasculature (Figure 1). Increased neural activity drives functional hyperemia through direct neural inputs to the vascular system and/or through indirect astrocytic Ca^{2+} signaling (47). The relative and temporal contributions of these two pathways have been the central interest of neurovascular coupling studies and have been debated in previous reviews (48,49).

Direct inputs onto the vasculature from glutamatergic activation of pyramidal neurons results in the downstream production of nitric oxide (NO) and prostaglandins, vasoactive messengers that induce arteriole dilation. Selective pharmacological inhibition of these molecular pathways substantially decreases BOLD response in anesthetized rats (50,51). In addition to excitatory neurons, inhibitory neurons (52) and subcortical projections (53) are recruited within the neurovascular unit depending on the properties of the activated neuronal networks (54). Compared with indirect signaling, the putative direct neuronal pathway induces a rapid response and likely mediates the initial phase of neurovascular coupling (55).

The indirect pathway via astrocytic Ca^{2+} signaling is proposed to help maintain and modulate neurovascular coupling (56) and/or the tonic control of vessel tone (57). Astrocytes are

ideally positioned between neuronal and vascular systems such that a subset of processes of one astrocyte contacts tens of thousands of synapses, while another subset forms end-feet on capillaries and arterioles (58). Remarkably, astrocytes express a wide variety of ion channels, receptors, transporters, and vesicles (59), allowing them to be a key player in the neurovascular unit. Cell type-specific optical recordings combined with fMRI in rodents have successfully identified the important modulatory role of astrocytes in BOLD signals (28,29). In addition, the hemoneural hypothesis has been recently proposed (60) in which changes in parenchymal vascular tone may modulate astrocytic Ca^{2+} (61) and potentially neuronal activity (62). However, the specific molecular mechanism that mediates cerebral vascular response remains incompletely understood and has been intensively researched (63–65).

INFLUENCE OF ANESTHESIA ON NEUROVASCULAR COUPLING

Neurovascular coupling changes with anesthetics, modulating individual domains of the neurovascular unit and/or inhibiting mutual interactions among domains (Figure 1). Although the precise molecular and/or physiological mechanisms of anesthetics remain unclear, prior knowledge of anesthetic effects on the rodent brain is critical for interpreting fMRI results. We review the effects of anesthetics on neural activity and the vascular system and discuss the effects of anesthetics on neurovascular coupling, with an emphasis on the compromised interaction between neuronal activity and the hemodynamic response.

General anesthetics can induce sedation, analgesia, and loss of consciousness. These effects are exerted through their actions on inhibitory gamma-aminobutyric acid (GABA) type A receptors (GABA_ARs), two-pore-domain K^+ channels, and *N*-methyl-D-aspartate receptors (66), affecting the balance of excitatory and inhibitory synaptic systems (67). Brain structures including the thalamus, cortex, and midbrain reticular formation mediate the sedative, hypnotic, and immobilizing actions of general anesthetics (68). For example, volatile anesthetics induce a blockade of thalamocortical activity through enhancement of synaptic and/or extrasynaptic GABA_AR -mediated inhibition (69). Volatile anesthetics also exert their sedative effects through strong depression of firing rates and increased GABA_AR -mediated inhibition through intracortical mechanisms (70). In an elegant study comparing two anesthetics, halothane and α -chloralose, Maandag *et al.* (71) demonstrated that general anesthetics affect firing of neuronal ensembles in the cortex and alter metabolism of baseline and stimulated states and modulate event-related fMRI responses.

Suppression of neuronal firing rate caused by general anesthesia induces a reduction in CBF, although the linear relationship between metabolism and blood flow still holds under anesthesia (72). In humans, propofol, an intravenously administered anesthetic, reduced glucose metabolism and CBF (73). Certain anesthetics, specifically agents with direct effects on vasculature through NO-mediated vasodilation (74), alter the relationship between metabolism and blood flow. In rats, isoflurane, a volatile anesthetic, administered at sedative doses

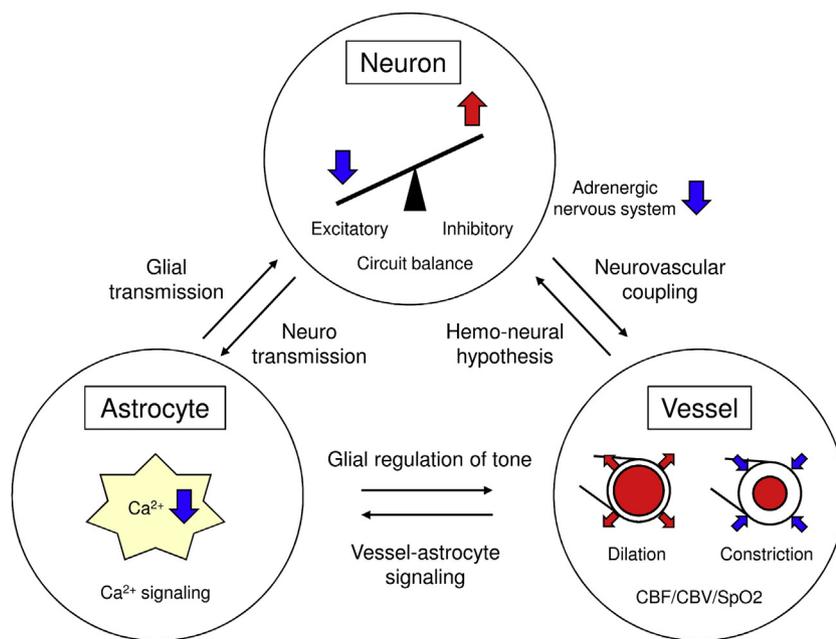


Figure 1. The effects of anesthetics on neurovascular units. The red and blue arrows indicate the identified effects of anesthetics in individual domains. The anesthetics also likely to affect the mutual interactions among domains. The blood oxygen level-dependent functional magnetic resonance imaging signal detects changes in vascular systems, but the interpretations need to consider the regulatory mechanisms of vascular systems by neurons and astrocytes. The complexity of interpretations may exist even in individual domains (neuron, excitatory vs. inhibitory; astrocyte, process vs. endfoot; vessel, artery vs. capillary or vein). CBF, cerebral blood flow; CBV, cerebral blood volume; SpO₂, oxygen saturation.

profoundly decreased glucose metabolism but increased CBF (75). Following volatile anesthesia, there is a drastic increase in NO content in rat cortex (76,77). Volatile anesthetics increase CBF by inducing vasodilation via adenosine triphosphate-sensitive K⁺ channels of arteriolar smooth muscle cells (78). Basal CBF under general anesthesia is of critical importance when interpreting fMRI readouts; in a human fMRI study, increased basal CBF under hypercapnia conditions (5% carbon dioxide gas mixture) increased the fMRI baseline signal and reduced the magnitude of fMRI responses to visual stimulation (79), indicating potential neurovascular uncoupling under altered basal CBF conditions.

Direct comparison of awake and anesthetized animals concomitant with observed neural activity has revealed the influence of general anesthetics on the signal transduction mechanism of neurovascular coupling (80). Over a range of stimulation conditions, the relationship between neural and hemodynamic responses is linear in awake animals, but in rats anesthetized with urethane, this relationship follows an inverted U shape (81). Urethane or isoflurane anesthesia in mice delayed and reduced hemodynamic responses to visual stimulation with no change in neural firing rate (82), which has subsequently been replicated across measurements and species (83,84). In addition, fMRI studies in humans using several anesthesia agents (isoflurane, sevoflurane, and propofol) consistently demonstrated that anesthetics decrease the intensity and extent of fMRI responses in the cortex following tactile, auditory, or visual stimulation (85). Thus, anesthetics cause disproportional changes in neural and hemodynamic responses to sensory stimulation, presumably compromising signal transduction cascades between neurons and associated vasculature.

Three mechanisms are proposed to explain this neurovascular uncoupling under anesthesia: alterations in GABAergic transmission, astrocytic Ca²⁺ signaling, and subcortical projections.

First, given that specific subsets of cortical GABA interneurons are capable of dilating and constricting neighboring microvessels (52,86), general anesthetics may modulate the production of the interneuron-derived vasoactive messengers, including NO, GABA, and neuropeptides. Optogenetic fMRI research supports this proposed mechanism; direct stimulation of cortical GABAergic neurons increased CBF despite attenuating cortical spikes (87). However, the precise role of anesthetics on neurovascular uncoupling via alterations in GABAergic transmission remains unclear, and further studies are warranted. Second, doses of anesthetics (e.g., 1% isoflurane) that do not alter neuronal responses to whisker stimulation selectively suppressed astrocyte Ca²⁺ signals (88). Given that astrocytes both dilate and constrict arterioles depending on their end-feet Ca²⁺ levels (89), the suppressed hemodynamic responses under general anesthesia might be the consequences of astrocytic dysfunction. Third, the subcortical afferent projections from the locus coeruleus, raphe nucleus, and basal forebrain might play a role in cortical neurovascular coupling (90). Using fMRI and GCaMP-mediated Ca²⁺ optical fiber recordings in rats anesthetized with α -chloralose, distinct evoked astrocytic Ca²⁺ signals were coupled with positive fMRI signals, and intrinsic astrocytic Ca²⁺ signals were coupled with negative fMRI signals (28). In addition, the locus coeruleus-noradrenergic system is likely to mediate both intrinsic astrocytic Ca²⁺ signal and vasoconstriction because both were dampened in rats anesthetized with medetomidine, an α -2-adrenergic receptor agonist that inhibits the effect of norepinephrine on astrocytic Ca²⁺ signals (91).

fMRI IN RODENTS UNDER ANESTHESIA

Finding the best anesthetic agent that minimally affects neuronal activity and minimally interferes with neurovascular coupling has been an active pursuit in the field of animal fMRI. Since Hyder

et al. (92) reported the first fMRI experiments in α -chloralose-anesthetized rats, many anesthetics have been utilized, including urethane, propofol, isoflurane, fentanyl, halothane, pentobarbital, and nitrous oxide (93–97). Each agent has its own limitations, and none is ideal for all experimental conditions (98). Among them, isoflurane and α -chloralose have been most widely applied in the literature (99–101).

When interpreting fMRI results obtained under these two anesthesia conditions, the following factors should be carefully considered. First, α -chloralose, which is also used as a pesticide, best preserves the functional-metabolic coupling in the cortex (102), but it reduces body temperature and can produce metabolic acidosis (103), resulting in a mortality rate of 6.5% (104). Second, isoflurane decreases glucose metabolism and increases basal CBF under a sedative dose (75), blunts the hemodynamic response to sensory stimulation (105), and impairs the cerebrovascular reactivity to carbon dioxide (106). Third, α -chloralose, but not isoflurane, can alter Fos expression (107). Fourth, functional connectivity recorded with α -chloralose anesthesia moderately resembles the awake condition, but isoflurane at a dose of 1.3% results in severe differences (37). Finally, in our hands, a maximal isoflurane dose of approximately 1% is necessary for robust detection of BOLD response, yet concern remains regarding subject stress, as this dosage is insufficient to maintain prolonged immobilization.

To take advantage of the noninvasiveness of fMRI, it is often desirable to image the same animals across multiple time points and longitudinally monitor experimental manipulation effects. In 2006, Weber *et al.* (108) proposed the use of medetomidine, an alpha2-adrenergic receptor agonist, for longitudinal fMRI in rodents. They observed robust, reproducible, and significant fMRI responses similar to those obtained under α -chloralose anesthesia, likely mediated through the characteristics of medetomidine, including rapid distribution, short half-life, and the availability of an antagonist that can rapidly reverse its effects (109). While the upstream mechanism of medetomidine is known (110,111), it can cause hypertension, respiratory suppression, hyperglycemia, and bradycardia, each of which can confound the fMRI signal. Furthermore, Fukuda *et al.* (112) reported epileptic-like activity in rats sedated with medetomidine alone. The respiratory rate of rats sedated with medetomidine alone can vary substantially (35–90 breaths/min over 90 minutes) within and across animals (113). Variable arousal states and unstable physiological conditions add an additional layer of confounds to data interpretation, and stepped dose during the course of experiments has been proposed to mitigate this problem (114).

We were motivated to develop an easy-to-implement fMRI protocol that maintains consistent neurovascular coupling across longitudinal experiments. Dexmedetomidine reduces anesthetic dose requirements (115), and low doses act as both a mild sedative and an anxiolytic in rats (116) and in humans before surgical procedures (117,118). We hypothesized that combining dexmedetomidine and isoflurane would reduce dose requirements, minimizing unfavorable side effects on neurovascular coupling and BOLD response. This led us to introduce the combination of low-dose dexmedetomidine (<0.03 mg/kg/hour subcutaneously) and isoflurane (typically $\leq 0.5\%$) for longitudinal fMRI experiments in rodents. During the experiment, animals breathe spontaneously; their initial

respiratory rate is approximately 40 breaths/min, gradually increases to approximately 75 breaths/min about 90 minutes following anesthesia induction, and remains stable for hours (>3 hours). During this time, arterial partial blood pressure of oxygen and carbon dioxide remain steady but slightly hypercapnic, and fMRI data are acquired during the period of stable animal physiology. Both evoked BOLD response and resting-state functional connectivity remain stable and robust during this period (119,120).

It is important to note that the exact molecular mechanisms underlying the combined effects of isoflurane and medetomidine remain unknown. Furthermore, this technique relies on spontaneous breathing and produces hypercapnia. These issues could be bypassed by artificial ventilation, which requires deep sedation, and regular blood gas sampling, both of which can introduce increased variability as well as their own set of limitations. Despite the limitations of this technique, we reported robust BOLD activation in major sensory projection pathways following unilateral naturalistic deflection of the major whiskers in rats (Figure 2), suggesting that this anesthetic protocol maintains neurovascular coupling and BOLD response. The same anesthesia protocol has also been successfully applied in rhesus monkeys, and stable and reproducible fMRI responses following odorant stimulation were reported (121). We have further applied this anesthetic regimen to resting-state fMRI scans and have discovered, defined (12), and functionally characterized (21) the rat default mode network (Figure 3); identified the network properties of the rat brain (122); and identified changes in functional connectivity measures from aging (22) and in response to drugs of abuse (23). Several studies reported frontothalamic functional connectivity in rodents under awake (123) or low-dose volatile anesthetics in rodents (124,125). However, no thalamocortical connectivity has been reported under the described anesthetic regimen for unknown reasons; this may be a direct consequence of the anesthesia.

NEUROPHYSIOLOGICAL BASIS OF RESTING-STATE fMRI SIGNAL

In disorders with prominent cognitive features, subjects often struggle or are unable to perform tasks inside the fMRI scanner. Greicius has argued that task-activation fMRI has largely failed to fulfill its promise in the clinical realm, with rare exceptions (126). Resting-state fMRI overcomes this significant limitation of task-activation MRI; instead of performing a task, subjects are instructed to rest quietly for several minutes. As resting-state fMRI scans are easy to implement in patient populations, it has been applied to study alterations in brain network functions in disease populations ranging from Alzheimer's disease (127,128) to drug addiction (129–131).

With growing applications of the resting-state fMRI technique, it is imperative to understand the neurobiological bases of the resting-state fMRI signal. This topic has attracted many laboratories using a plethora of techniques (132–137), and substantial contributions to understanding the BOLD fMRI and electrophysiological signal relationship in animal models have been described elsewhere (24,84,138,139). Despite tremendous efforts to elucidate the neural correlates of the resting-state fMRI

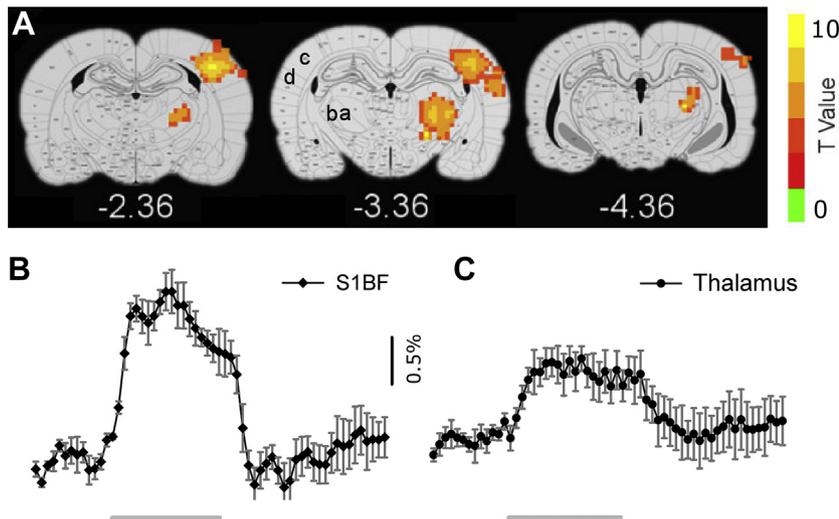


Figure 2. Functional magnetic resonance imaging response to whisker stimulation in rats. **(A)** Group activation maps ($n = 9$) superimposed onto rat brain atlas. Activated areas include ventromedial (a) and ventrolateral (b) thalamic nuclei, primary whisker barrel cortex (S1BF) (c), and secondary sensory cortex (d). Numbers below figures are approximate coordinates relative to rat bregma. **(B, C)** Averaged blood oxygen level-dependent responses in S1BF and thalamic nuclei across animals ($n = 9$, mean \pm SEM). Gray lines indicated stimulus ON period, during which whiskers on the right side were deflected along the rostral-caudal direction by a comb. [Adapted with permission from Lu *et al.* (151). Copyright 2016 Proceedings of the National Academy of Sciences of the United States of America.]

signal, the neural underpinnings of specific large-scale networks remain elusive. Given that neurophysiological recording and fMRI are fundamentally different readouts of brain activity, we have argued that for the fMRI signal to be considered a surrogate for a specific neurophysiological measure, it must meet the following criteria: 1) temporal behavior of the two types of readout should be correlated across brain states; 2) correlated patterns in the signals should remain as brain state changes; 3) such patterns should distinguish one network from another; and 4) to avoid ambiguity, recordings should be made simultaneously (140).

There are substantial technical challenges for an experiment to meet the above criteria.

Recently, we addressed this question by employing concurrent intracortical local field potential (LFP) recording and fMRI in rat striatum using the anesthetic regimen described above (Figure 4) (25). The striatum, critical for adaptive learning and linking motivation to behavior, is the major projection target of mesencephalic dopamine neurons and receives glutamatergic afferents from several brain regions, including the medial prefrontal cortex, hippocampus, and amygdala (141). Microinjection

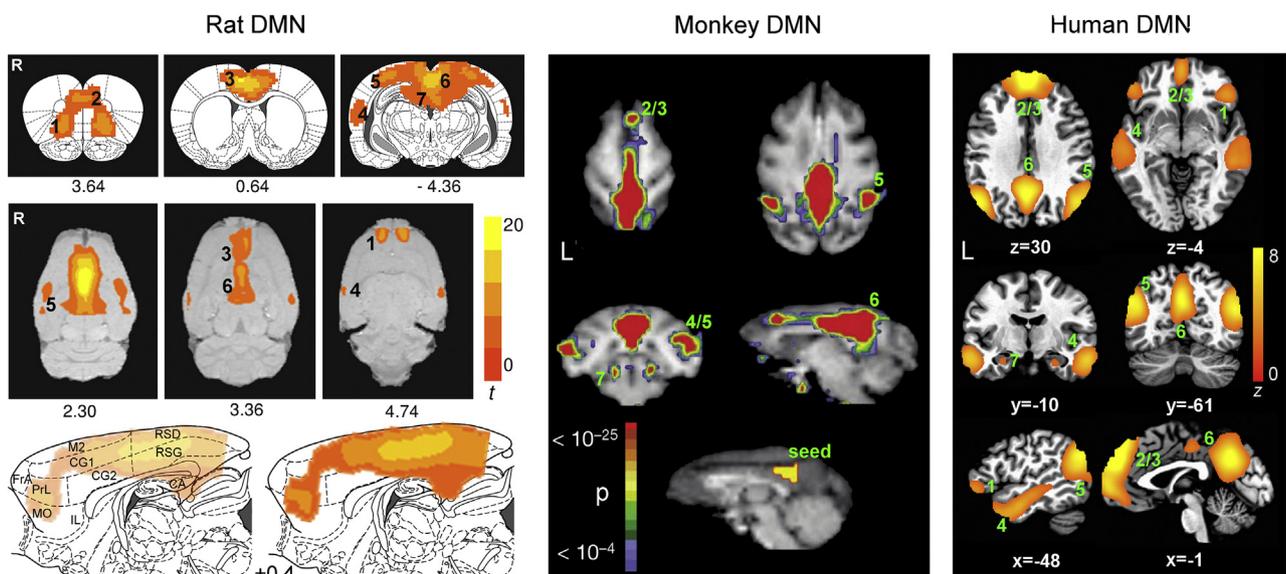


Figure 3. Comparison of the default mode network (DMN) in rat, monkey, and human. For rat DMN (left), color bar indicates t scores ($n = 16$, thresholded at $7 > 5.6$, corrected $p < .05$). Numbers below images are approximate coordinates relative to bregma. For monkey DMN (center), the map was derived using cross-correlation method with the seed region shown. For human DMN (right), color bar indicates z scores ($n = 39$, thresholded at $z > 2.1$, corrected $p < .05$). CA, cornu ammonis (hippocampus); Cg1, cingulate cortex area 1; Cg2, cingulate cortex area 2; FrA, frontal association cortex; L, left; MO, medial orbital cortex; PrL, prelimbic cortex; R, right; RSD, retrosplenial dysgranular cortex; RSG, retrosplenial granular cortex. [Adapted with permission from Lu *et al.* (12). Copyright 2012 Proceedings of the National Academy of Sciences of the United States of America.]

of alpha-amino-3-hydroxy-5-methyl-4-isoxazole propionic acid (AMPA), an ionotropic glutamate receptor agonist, into the ventral tegmental area enhances dopamine release in the ventral striatum (142).

We developed a concurrent fMRI–electrophysiological recording technique to perform chronic repetitive recordings with microelectrode arrays covering the striatum from its dorso-lateral to ventromedial domains in combination with concurrent pharmacological modulation of striatal activity through well-defined anatomical pathways (Figure 4B). By direct application of an AMPA receptor agonist within the ventral tegmental area microcircuitry, we systematically modulated dopamine release and neuronal activity of the striatum. AMPA modulated both the amplitude and the frequency of the LFP signal (Figure 4A), and seed-based functional connectivity analysis revealed significant modulation of striatal functional connectivity by ventral tegmental area AMPA microinjection (Figure 4D–F).

To our surprise, we found a significant negative correlation between the delta (1–4 Hz) LFP and the BOLD signal, while positive correlations were observed between the beta (15–30 Hz), gamma (30–50 Hz) and high gamma (>70 Hz) LFPs and the BOLD signal (Figure 4C). Critically, regardless of the directionality in LFP–BOLD correlation across these frequency bands, the correlation maps had similar spatial patterns, covering ventral and medioventral domains of the striatum. LFP–BOLD correlations in the alpha (9–14 Hz) and theta (5–8 Hz) bands were marginally significant. This observation was

unexpected but was consistent with a recent report in anesthetized monkeys by Hutchison *et al.* (143), who also reported opposite polarity in correlation between LFP band-limited power and spontaneous BOLD signal. Two major findings from this study warrant further investigation, as follows.

LFP-BOLD Correlation Is Weak

Correlations between delta LFP and the fMRI signal peaked at about -0.3 , but the average was below -0.1 (Figure 4F), and the correlation between gamma LFP and the fMRI signal was similar but opposite in sign, implying that fluctuations in LFP explain only a small portion of the variance in spontaneous BOLD fluctuations. This observation is corroborated by a recent optical imaging study in awake mice (144), in which hemodynamic signals during periods of rest were weakly correlated with neural activity. Spontaneous fluctuations in cerebral blood volume (CBV) and vessel diameter persisted when local neural spiking and glutamatergic input were blocked as well as during blockade of noradrenergic receptors, suggesting a nonneuronal origin for spontaneous CBV fluctuations.

Recent optical studies reported high correlation between spontaneous CBV fluctuations and the ongoing neuronal activity detected with GCaMP sensors (145–147). The source of this discrepancy is unknown, but mouse strain (148) and definition of resting state may play a role. Specifically, if one loosely defines the resting state to be any time when the animal does not receive

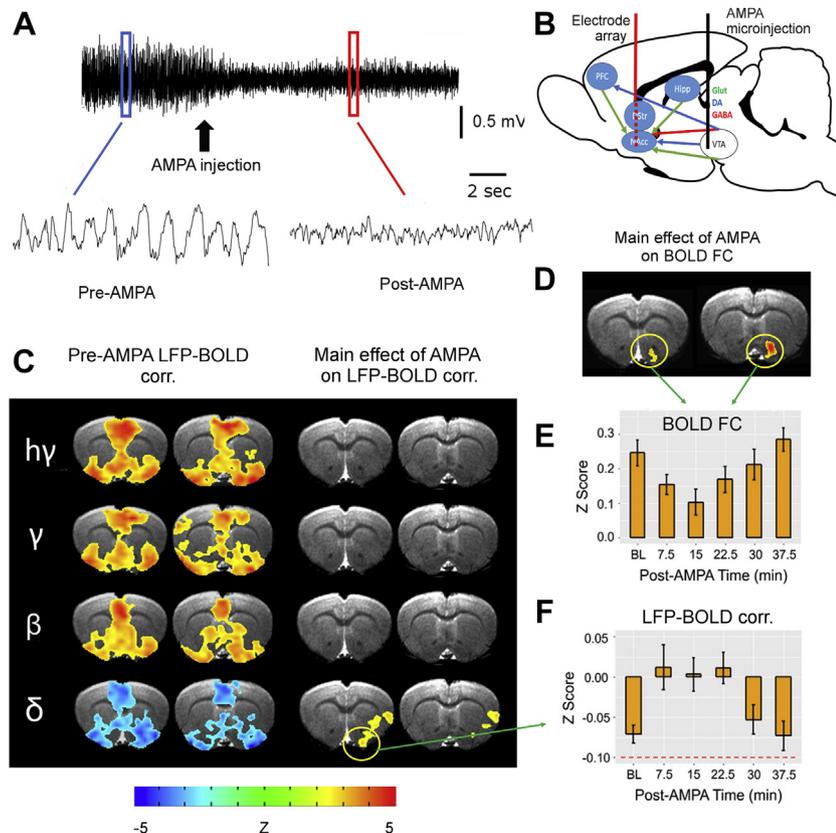


Figure 4. Magnetic resonance imaging-compatible linear electrode array was implanted into the rat striatum covering the dorsolateral and ventrolateral domains. (A, B) Alpha-amino-3-hydroxy-5-methyl-4-isoxazole propionic acid (AMPA) was injected into the ventral tegmental area (VTA) via a guide cannula to enhance the activity of VTA dopaminergic neurons, which project primarily to the ventral striatum, modulating striatal local field potential (LFP) signal. The waveforms before and after AMPA injection in panel (A) were derived from the blue and red boxes, respectively. (C) Effects of VTA AMPA microinjections on LFP–blood oxygen level-dependent (BOLD) correlation (corr.). All maps thresholded at $p < .05$ after correction for multiple comparisons. (D) Main effect of AMPA on BOLD functional connectivity (FC). (E, F) Time course plots of BOLD FC and LFP–BOLD correlation. Note the low correlation value in LFP–BOLD correlation, indicated by red dashed line in panel (F). DA, dopamine; DSt, dorsal striatum; GABA, gamma-aminobutyric acid; Glut, glutamate; Hipp, hippocampus; NAcc, nucleus accumbens core; PFC, prefrontal cortex. [Adapted with permission from Jaime *et al.* (25).]

overt external stimulation, the correlation between spontaneous fluctuations in CBV and neural activity is high (144), similar to the results reported elsewhere (145–147). In periods when animals are still, the correlation reduced substantially.

Directionality of LFP-BOLD Correlation

The correlation between delta band LFP power and BOLD was negative in sign, while LFP power in beta and gamma band and BOLD was positive (Figure 4C), in agreement with work in monkeys (143). It remains unknown whether the directionality of the correlations was due to anesthesia or reflects a critical characteristic of the resting-state fMRI signal.

FUTURE DIRECTIONS

A summary of animal imaging studies to date is beyond the scope of this review. Despite the amount of data available, this

technique is underused and represents a viable complement to the human neuroimaging literature. Translational and reverse-translational approaches to understand anesthetized versus awake conditions are sorely lacking in the current literature. Previous clinical studies reported that premedication with dexmedetomidine reduces anesthetic dose requirements for surgery, yet the pharmacological mechanisms underlying these observations are unknown. Resting-state networks and brain activation to specific task manipulation (e.g., visual or somatosensory stimulation) have been well established in humans, and isoflurane and dexmedetomidine are routinely used in the clinic. Simultaneous electroencephalography and fMRI scanning using graded anesthetic doses and comparing the results with well-established baselines (networks, task activation, and electroencephalography sleep staging) will provide a better understanding of the effects of our anesthetic regimen on neuroimaging. In addition, multimodal animal

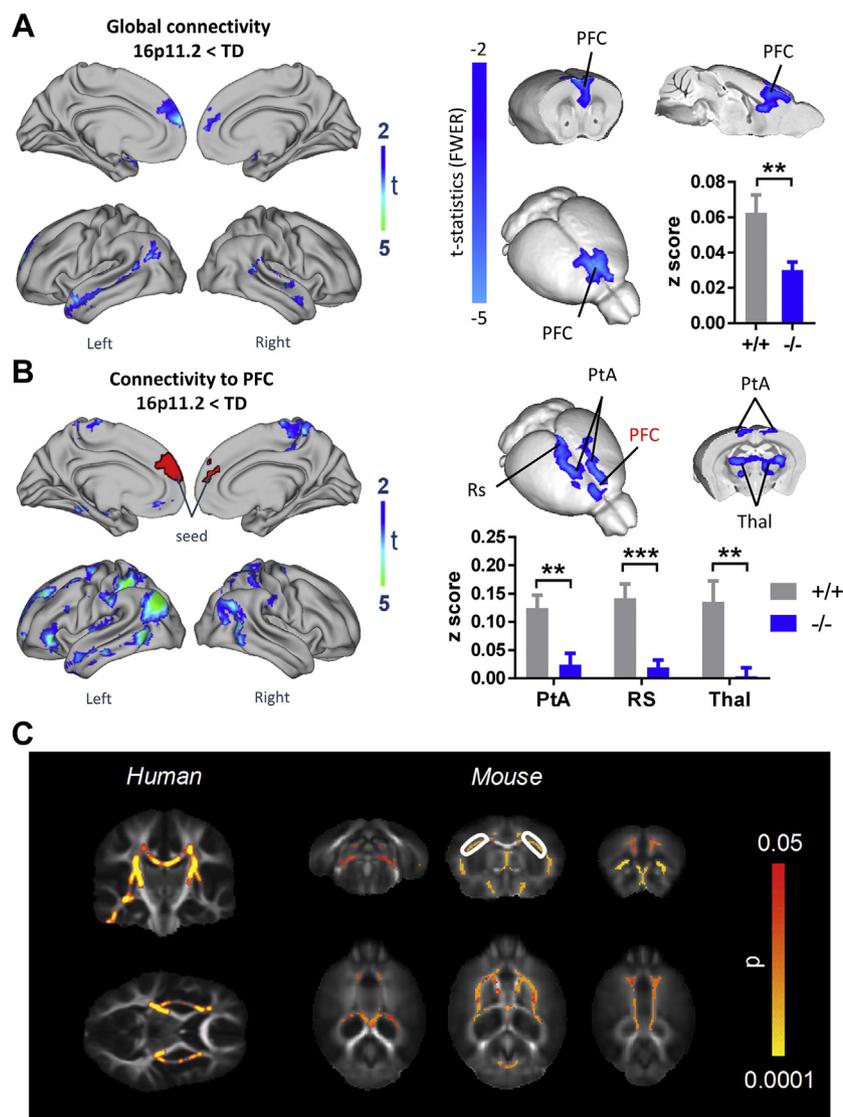


Figure 5. Autism-associated 16p11.2 micro-deletion similarly impacts functional and structural connectivity in mice and humans. **(A)** Reduced global connectivity in the medial prefrontal cortex (PFC) of 16p11.2 deletion carriers compared with typically developing (TD) control subjects (left) and 16p11.2^{+/-} mutant mice compared with control littermates (right). **(B)** Prefrontal cortex functional connectivity differences between 16p11.2 deletion carriers compared with typically developing control subjects (left) and 16p11.2^{+/-} mutant mice compared with control littermates (right). **(C)** White matter regions with significantly increased fractional anisotropy in human deletion carriers (left) and 16p11.2^{+/-} mutant mice. FWER, familywise error rate; PtA, parieto-temporal areas; RS, retrosplenial cortex; Thal, thalamus. [Adapted with permission from Bertero *et al.* (124).]

imaging measuring basal perfusion, glucose consumption, and metabolites under our regimen could shed light on which brain areas and/or transmitter systems are most affected. In vivo neurochemical measurement and electrophysiology guided by imaging results could elucidate the limitations of this anesthesia regimen.

Current methods of awake animal imaging require refinement to minimize stress. A notable use of this technique involves a snuggle sack wherein rats are minimally restrained in a comfortable, non-stress-eliciting position for a narrow time window (33). Future work should use awake and actively behaving subjects without generating substantial stress. Using a head-fixed rodent that freely moved on a custom-made treadmill (149), we observed goal-directed behavior during fMRI (150). Imaging methods such as this allow behavioral mechanisms to be observed in real time and not inferred by correlating imaging measurements and scanner behaviors. Furthermore, commonalities across animal models and their associated clinical populations will provide the bridge between model organisms and clinical populations, paving the way for testing and understanding the mechanism of prevention and treatment strategies in subsequent studies. For example, using a mouse model of a specific genetic microdeletion that increases autism risk, common changes in functional and structural connectivity were observed in mice and humans (Figure 5) (124). However, beyond mechanistic understanding of disease, few studies demonstrate intervention efficacy across species. The translational and reverse-translational potential of neuroimaging has yet to be fully realized.

Animal imaging, alone or in combination with other in vivo neuromodulatory techniques, is necessary for mechanistic understanding of brain function and disease. As circuit-level and network-level causal insight into brain and behavior advances with the use of animal models, animal neuroimaging in combination with these techniques will link animal and human neuroimaging research. The wealth of information and essential data possible using animal imaging has not been fully realized, and human studies should capitalize on the work being conducted in rodents to understand and interpret cross-sectional data observed in healthy and disease populations.

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A patent pertaining to the methods and device for awake rodent imaging, of which HL is a co-inventor, has been issued to the National Institutes of Health. The remaining authors report no biomedical financial interests or potential conflicts of interest.

ARTICLE INFORMATION

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