



Probiotic strategies to prevent necrotizing enterocolitis in preterm infants: a meta-analysis

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Accepted: 9 August 2019 / Published online: 16 August 2019
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Abstract

Purpose We aimed to compare probiotics with placebo for necrotizing enterocolitis in preterm infants and to evaluate the safety and effect and strict effect of specific probiotic genera.

Methods Data recorded until January 2019 were searched, and relevant academic articles from PubMed, MEDLINE, EMBASE, and the Cochrane Central Register of Controlled Trials were selected by two independent reviewers. Two reviewers independently included randomized controlled trials that compared probiotics and placebo in preterm infants. The outcomes included more than one of the following outcomes: incidence of necrotizing enterocolitis, necrotizing enterocolitis-related mortality, incidence of sepsis, and all-cause mortality. Two reviewers independently extracted data and assessed the risk of bias and quality of evidence.

Results We identified 34 eligible studies of 9161 participants. This meta-analysis showed an overall advantage of probiotics to prevent the incidence of necrotizing enterocolitis (3.54%) and gut-associated sepsis (15.59%), and decrease mortality (5.23%) in preterm infants. A probiotic mixture showed a huge advantage and vitality in preventing necrotizing enterocolitis (2.48%) and gut-associated sepsis (18.39%), and in reducing mortality (5.57%) in preterm infants.

Conclusion The probiotic mixture showed advantages over the single strains to decrease the incidences of necrotizing enterocolitis and gut-associated sepsis, and mortality in preterm infants.

Keywords Probiotic genera · Necrotizing enterocolitis · Preterm infants · Meta-analysis

Introduction

Necrotizing enterocolitis (NEC) is one of the most serious complications in premature infants. NEC can cause perforation and irreversible bowel injury requiring resection, and the associated mortality rate is up to 30% [1, 2]. Especially among the very low birth weight infants, the survivors of NEC have an increased risk of severe long-term growth failure; therefore, optimal prevention strategies are essential [3]. Probiotics are a group of living microorganisms that are beneficial to one's health. They can reduce colonization of enteropathogenic bacteria and help modulate the intestinal microbiota in preterm infants [4, 5]. Probiotic

supplementation might protect the intestine from NEC by reducing the inflammatory reaction, regulating the component of the mucus layer, and improving intestinal integrity. Several randomized clinical trials (RCTs) have reported that administration of probiotics is an effective treatment for NEC [6–8]. Recent meta-analyses and systematic reviews of such trials have yielded supportive conclusions. However, there are many types of products with probiotics. Previous studies have neglected to report the specific different action of each probiotic genus in preterm infants and failed to provide detailed treatment strategies to prevent NEC in preterm infants. Furthermore, as probiotics are a preparation of living bacteria, the safety of them is a concern as well. Therefore, it remains controversial to prevent NEC using probiotics in preterm infants.

To evaluate the safety and effect of probiotics and the strict effect of specific probiotic genera, we performed a meta-analysis to compare probiotics with placebo for NEC in preterm infants.

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Methods

We conducted a meta-analysis according to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses statement standards [9].

Literature searches and search strategy

Two independent reviewers systematically searched the following electronic databases: PubMed, MEDLINE, EMBASE, and the Cochrane Central Register of Controlled Trials to identify literature on probiotics for NEC in premature infants before January 2019. We used PubMed medical subject heading terms and free-text words in combination with Boolean operators as comprehensively as possible: (premature birth OR preterm birth OR preterm infants) AND (randomized controlled trial OR controlled clinical trial OR randomly) AND (probiotics OR probiotic treatment). In addition, we further searched other databases (EBSCO Information Services, Web of Science, and Google Scholars) to identify potentially available studies. The process was completed when no further trials could be determined. The review was limited to RCTs. In the case that more than one publication was available for a study, we used either the most recent publication or the one with the most relevant information.

Study selection

All enrolled RCTs met the following inclusion criteria: (1) it was published as a full report in a peer-reviewed journal; (2) population: probiotics were administered exclusively in preterm infants with a low birth weight (<2500 g); (3) intervention: probiotics were used to prevent NEC; (4) comparison intervention: placebo or negative control; (5) outcomes, including more than one of the following outcomes: incidence of NEC, NEC-related mortality, incidence of sepsis, and all-cause mortality; and (6) only clinical studies. Exclusion criteria were as follows: (1) non-probiotic interventions; (2) non-English language literature; (3) animal studies; and (4) studies including infants who also had other congenital diseases (e.g., intestinal atresia). The process was completed by two independent investigators.

Data extraction

We created an electronic data extraction spreadsheet to prefetch the relevant data. We adjusted the spreadsheet based on the results of the data extraction. Two independent reviewers included the following information according to the prior plan: general trial parameters, demographic

characteristics, diagnostic measurements, treatment regimens, and outcomes. Disagreements were settled by discussion. All data are presented in Table 1.

Risk of bias assessment

Two independent reviewers used the Cochrane Collaboration's Risk of Bias Tool [10] to evaluate the methodological quality and risk of bias of the included RCTs. The following seven individual items were valued as low, high, or unclear by the two authors: (1) random sequence generation, (2) allocation sequence concealment, (3) blinding of participants and personnel, (4) blinding of outcome assessment, (5) completeness of outcome data, (6) selective reporting, and (7) other sources of bias.

Data synthesis and analysis

We summarized the numbers of dichotomous outcome variables, and a relative ratio (RR) with 95% confidence interval (CI) was calculated for the outcomes. A P value ≤ 0.05 was deemed as significant. We estimated the presence of statistical heterogeneity using the P value for heterogeneity and the degree of heterogeneity using the I^2 statistic. An I^2 statistic was considered to reflect low likelihood (0–25%), moderate likelihood (26–50%), and high likelihood (51–100%) of differences beyond chance, as was a P value ≤ 0.05 for heterogeneity. When there was evidence of significant heterogeneity ($I^2 > 50\%$), random-effects models were used; otherwise, fixed-effects models were used for analysis in accordance with the Cochrane Handbook for systematic reviews of Interventions (version 5.1.0) [11]. Publication bias was assessed with funnel plots. We also conducted a subgroup analysis to explore sources of heterogeneity. Statistical analyses were performed with Review Manager 5.3 (The Cochrane Collaboration, Copenhagen, Denmark).

Strength of evidence

Two senior reviewers independently evaluated the strength of evidence and arrived at the final grade based on five required domains: risk of bias, consistency, directness, precision, and publication bias. According to an established guideline, we finally assessed the strength of evidence as high, moderate, low, or insufficient [12].

Results

Description of studies

The result of the initial literature search strategy yielded 1630 potentially relevant articles. Overall, 1558 articles were

Table 1 Characteristics of the included studies

Study	Design	Setting	Simple size	Patients	Intervention	Outcomes
Braga et al. [6]	RCT	SC	Probiotics: 119; placebo: 112	Preterm; BW 750–1499 g; Admission to Hospital	<i>L. casei</i> (3.5×10^9 CFU OD) and <i>B. breve</i> (3.5×10^7 CFU OD); from day 2 to day 30 or occurrence of primary outcomes	NEC, sepsis, death
Lin et al. [7]	RCT	NR	Probiotics: 180; placebo: 187	Preterm; BW < 1500 g enteral nutrition; age > 7 days	<i>L. acidophilus</i> and <i>B. infantis</i> ($\geq 10^6$ CFU each probiotic, BD, from enteral feeding to discharge	NEC
Al-Hosni et al. [8]	RCT	MC	Probiotics: 50; placebo: 51	Preterm; BW 501–1000 g, appropriate for GA, and ≤ 14 days of age at time of feeding initiation	<i>L. rhamnosus</i> GG and <i>B. infantis</i> (0.5×10^9 CFU, OD); from first enteral feeding to discharge or PMA > 34 weeks	Weight gain CLD, ROP, NEC, sepsis, mortality, mean volume of feeding
Chou et al. [13]	RCT	SC	Probiotics: 153; placebo: 148	PVLBW infants; enteral feeding; age > 7 days	<i>L. acidophilus</i> and <i>B. infantis</i> ($\geq 10^6$ CFU each probiotic (= 125 mg/kg, BD); from enteral feeding to discharge	Growth: height, weight, HC, neurodevelopmental and sensory; outcomes: NEC, death, CLD, sepsis, hospitalization, visual impairment, deafness, cerebral palsy
Lin et al. [14]	RCT	MC	Probiotics: 217; placebo: 217	Preterm; GA < 34 weeks; BW ≤ 1500 g; enteral feeding	<i>L. acidophilus</i> NCDO 1746 and <i>B. bifidum</i> NCDO 1453 (1×10^9 CFU; = 125 mg/kg BD); from day 2 of age; duration 6 weeks	NEC, sepsis, death, IVH, CLD, NICU stay, weight gain
Hays et al. [15]	RCT	MC	<i>B. lactis</i> : 50; <i>B. longum</i> : 48; <i>B. lactis</i> + <i>B. longum</i> : 47; placebo: 52	700–1600 g; GA 25–31 weeks	<i>B. lactis</i> (1×10^9 CFU OD), <i>B. longum</i> (1×10^9 CFU OD); from age < 7d to 4 weeks(GA 29 W), 6 weeks(GA 28 W)	Weight, length, HC, NEC, sepsis, body composition, bacterial count
Jacobs et al. [16]	RCT	MC	Probiotics: 548; placebo: 551	Preterm; GA < 32 weeks BW < 1500 g	<i>B. infantis</i> BB-02 ($300 \text{ CFU} \times 10^6$); <i>Streptococcus thermophilus</i> Th-4 (350×10^6 CFU); <i>B. lactis</i> BB-12 ($350 \text{ CFU} \times 10^6$); from enteral feed $\geq 1 \text{ ml/4 h}$ to discharge or term corrected age	Sepsis, death, hospitalization, duration of parenteral nutrition, days to full enteral feeds, weight at 28d, ROP, CLD, IVH
Patole et al. [17]	RCT	SC	Probiotics: 77; placebo: 76	Preterm; GA < 33 weeks; BW < 1500 g; enteral feeds for < 12 h	<i>B. breve</i> (3×10^9 CFU OD), from enteral feed to corrected age of 37 weeks	Discharge weight, death, hospitalization; NEC
Costalos et al. [18]	RCT	SC	Probiotics: 51; placebo: 36	GA28–32 weeks; no major GI problem; not receiving antibiotics; not receiving breast milk	<i>Saccharomyces boulardii</i> (1×10^9 CFU BD); non-specified start time and end time	Weight gain and loss, daily milk in take, NEC, sepsis, bacterial counts

Table 1 (continued)

Study	Design	Setting	Simple size	Patients	Intervention	Outcomes
Roy et al. [19]	RCT	SC	Probiotics: 56; placebo: 56	Preterm; GA < 37 weeks; BW < 2500 g; enteral feeding; age < 72 h	<i>L. acidophilus</i> (1.25×10^9 CFU/g); <i>B. longum</i> (0.125×10^9 CFU/g); <i>B. bifidum</i> (0.125×10^9 CFU × 1 g) and <i>B. lactis</i> (1×10^9 CFU × 1 g), from 72 h of life, duration 6 weeks or at discharge	Full feed establishment, candida death, NEC, hospitalization
Sari et al. [20]	RCT	SC	Probiotics: 86; placebo: 88	Preterm; GA < 33 weeks; or BW < 1500 g	<i>L. sporogenes</i> (0.35×10^9 CFU OD), from first feed to discharge.	Weight gain, length, HC, CLD, hospitalization, NEC, sepsis, feeding intolerance, oxygen days, full feeding days
Costeloe et al. [21]	RCT	MC	Probiotics: 650; placebo: 660	GA 23–30 weeks	<i>B. breve</i> (1.6×10^9 CFU OD), until corrected age of 36w	ROP, death, NEC, sepsis
Fernández-Carroera et al. [22]	RCT	MC	Probiotics: 75; placebo: 75	Preterm; BW < 1500 g Infants with NEC IA and IB were excluded	<i>Streptococcus thermophilus</i> (6.6×10^8 CFU/g); <i>B. infantis</i> (2.76×10^7 CFU/g); <i>L. plantarum</i> (1.76×10^8 CFU/g); <i>L. casei</i> (1×10^9 CFU/g); <i>L. rhamnosus</i> (4.4×10^8 CFU/g); <i>L. acidophilus</i> (1 CFU/g); from enteral feeding, non-specified end time	Weight, death, NEC
Manzoni et al. [23]	RCT	SC	Probiotics: 39; placebo: 41	BW < 1500 g, age > 3 days, not receive any form of antifungal prophylaxis other than LGG	<i>L. rhamnosus</i> LGG (6×10^9 CFU/d); from the 3rd day of life to age of 6th week or discharge from the NICU	Hospitalization, time of achievement of full feedings, death, NEC
Mihatsch et al. [24]	RCT	SC	Probiotics: 91; placebo: 89	Preterm; GA < 30 weeks; BW ≤ 1500 g	<i>B. lactis</i> (2×10^9 CFU/kg 6 times/d), from enteral feeding, non-specified end time	NEC, death, nosocomial infections
Oncel et al. [25]	RCT	SC	Probiotics: 200; placebo: 200	Preterm; GA ≤ 32 weeks; BW ≤ 1500 g, enteral feeding	<i>L. reuteri</i> (1×10^8 CFU OD); from first feed to death or discharge	NEC, sepsis, death, hospitalization, feeding intolerance
Rojas et al. [26]	RCT	MC	Probiotics: 372; placebo: 378	Preterm; BW ≤ 2000 g, age ≤ 48 h; HS; enteral feeding	<i>L. reuteri</i> (1×10^8 CFU OD); from age ≤ 48 h to death or discharge	Death, duration of hospital, NEC, sepsis
Rougé et al. [27]	RCT	MC	Probiotics: 45; placebo: 49	Preterm; GA < 32 weeks; BW < 1500 g, age ≤ 2 weeks, excluded non-preterm birth related diseases; enteral feeding	<i>B. longum</i> BB536 and <i>L. rhamnosus</i> GG (1×10^8 CFU/day); from enteral feeding to discharge	Nutrition–total calories delivered enterally, duration of hospital stays, death, oxygen therapy duration

Table 1 (continued)

Study	Design	Setting	Simple size	Patients	Intervention	Outcomes
Samanta et al. [28]	RCT	MC	Probiotics: 91; placebo: 95	Preterm; GA < 32 weeks; BW < 1500 g; enteral feeding; age > 48 h	<i>L. acidophilus</i> ; <i>B. longum</i> ; <i>B. bifidum</i> and <i>B. infantis</i> (2.5×10^9 CFU, BD); from enteral feeding to discharge.	NEC, death, hospitalization
Stratiki et al. [29]	RCT	SC	Probiotics: 41; placebo: 34	Preterm; GA 27–32 weeks, formula-fed, without major congenital anomalies	<i>B. lactis</i> (2×10^7 CFU/g of milk powder), from enteral feeding, non-specified end time	Weight, length, NEC, time to full enteral feed
Chowdhury et al. [30]	RCT	SC	Probiotics: 52; placebo: 50	Preterm; GA < 33 weeks; BW < 1500 g; enteral feeding; age > 48 h	Capsule T56 probiotic + containing Bifidobacterium; species, and Lactobacillus (6×10^9 CFU/d); from first feeding to discharged	NEC, hospital stay
Demirel et al. [31]	RCT	SC	Probiotics: 91; placebo: 90	GA < 32 weeks (lowest GA 24 weeks); BW < 1500 g (lowest BW 500 g)	<i>Saccharomyces boulardii</i> (5×10^9 CFU OD), from first feed to discharge	Feeding amount, full feeding day, weight, NEC, sepsis
Dani et al. [32]	RCT	MC	Probiotics: 295; placebo: 290	GA < 33 weeks; or BW < 1500 g	<i>L. rhamnosus</i> GG (6×10^9 CFU OD); from first feed to discharge	antibiotic treatment; UTI; sepsis; NEC
Sari et al. [33]	RCT	SC	Probiotics: 110; placebo: 111	GA < 32 weeks or BW < 1500 g; enteral feeding	<i>L. sporogenes</i> (0.35×10^9 CFU OD), from first feed to discharge	NICU stay; NEC; Death; Weight gain; Feeding intolerance
Demirel et al. [34]	RCT	SC	Probiotics: 135; placebo: 136	GA ≤ 32 weeks; BW ≤ 1500 g; enteral feeding	<i>Saccharomyces boulardii</i> (5×10^9 CFU OD), from first feed to discharge	Feeding amount, full feeding day, weight, NEC, sepsis
Dilli et al. [35]	RCT	MC	Probiotics: 100; placebo: 100	GA < 32 weeks BW < 1500 g, admitted to the NICU within the first week of life; fed enterally before inclusion	<i>B. lactis</i> (5×10^9 CFU), from beyond d7 after birth to death or discharge (max 8w)	Height, weight, HC, NEC, sepsis, feeding intolerance, RDS, stay at NICU, mortality
Fujii et al. [36]	RCT	SC	Probiotics: 11; placebo: 8	GA < 34 weeks	<i>B. breve</i> M-16 V (1×10^9 CFU BD), non-specified start, until discharge	Duration of hospital stay, NEC, Chronic lung disease, Retinopathy of prematurity, Infection
Kanic et al. [37]	RCT	SC	Probiotics: 40; placebo: 40	GA < 33 weeks; BW < 1500 g	<i>L. acidophilus</i> , <i>Enterococcus faecium</i> and <i>B. infantum</i> (0.6×10^7 CFU BD); from Enteral feeding to discharge	Hospitalization, late-onset sepsis, pneumonia, NEC, death, meningitis, urinary tract infection, and omphalitis
Saengtawesin et al. [38]	RCT	SC	Probiotics: 31; placebo: 29	GA ≤ 34 weeks BW ≤ 1500 g	<i>L. acidophilus</i> (1×10^9 CFU) and <i>B. bifidum</i> (1×10^9 CFU); from feeding to 6 weeks of age or discharge	Feeding amount; Weight gain; Length of stay; NEC; sepsis; BPD; ROP; PVL; IVH

Table 1 (continued)

Study	Design	Setting	Simple size	Patients	Intervention	Outcomes
Serce et al. [39]	RCT	MC	Probiotics: 104; placebo: 104	GA ≤ 32 weeks; BW ≤ 1500 g; enteral feeding	<i>Saccharomyces boulardii</i> (0.5×10^9 CFU/kg BD); non-specified start time and end time.	NEC, weight gain, death, hospitalization, sepsis, time to reach 100 mL/kg/d of oral feeding
Tewari et al. [40]	RCT	MC	Probiotics: 123; placebo: 121	GA < 34 weeks; admitted to the NICU	<i>B. clausii</i> (8×10^8 CFU, TD), from age 24 h to postnatal age of 6 weeks, discharge, or death	Sepsis; NEC; Feed intolerance; Death
Totsu et al. [41]	RCT	MC	Probiotics: 153; placebo: 130	BW < 1500 g	<i>B. bifidum</i> (2.5×10^9 CFU, divided in two doses), start within 48 h after birth, until body weight 2000 g	Bodyweight, hospitalization, sepsis, HC, accelerated establishment of enteral feeding, death, NEC, CLD
Kitajima et al. [42]	RCT	SC	Probiotics: 45; placebo: 46	BW < 1500 g	<i>B. breve</i> YIT4010 (0.5×10^9 CFU OD), start within 24 h of life, duration of probiotic supplementation 28 days	Weekly change of aspirated air volume from stomach, frequency of vomiting and apnea; Duration of antibiotics; NEC
Bin-Nun et al. [43]	RCT	SC	Probiotics: 72; placebo: 73	Preterm; BW < 1500 g; enteral feeding	<i>Streptococcus thermophilus</i> ; <i>B. bifidus</i> and <i>B. infantis</i> (0.35×10^9 CFU, OD); from enteral feeding to 36 weeks postconceptional age	Weight gain NEC, TPN

RCT randomized controlled trial, SC single center, BW birth weight, NEC necrotizing enterocolitis, NR no reported, TPN total parenteral nutrition, MC multi-center, CLD chronic lung disease, ROP retinopathy of prematurity, PVL/BW preterm very low birth weight, HC head circumference, GA gestational age, IVH intraventricular hemorrhage, NICU neonatal intensive care unit, HS hemodynamically stable, UTI urinary tract infection, OD once daily, BD twice daily, CFU colony-forming unit, PMA postmenstrual age, RDS respiratory distress syndrome, PVL periventricular Leuko-malacia, NCDO National Collection of Dairy Organisms, GI gastrointestinal

identified by checking the titles and abstracts, and then of these, 112 trials were considered after rigorously reviewing the full text. We excluded 78 trials because of several reasons (data unavailable, article from the same trial, and

patients had other relevant disease). After finishing the literature search and assessing the entire text of articles, 34 studies were finally included in the meta-analysis (Fig. 1) [6–8, 13–43]. These studies included 9161 premature infants, of

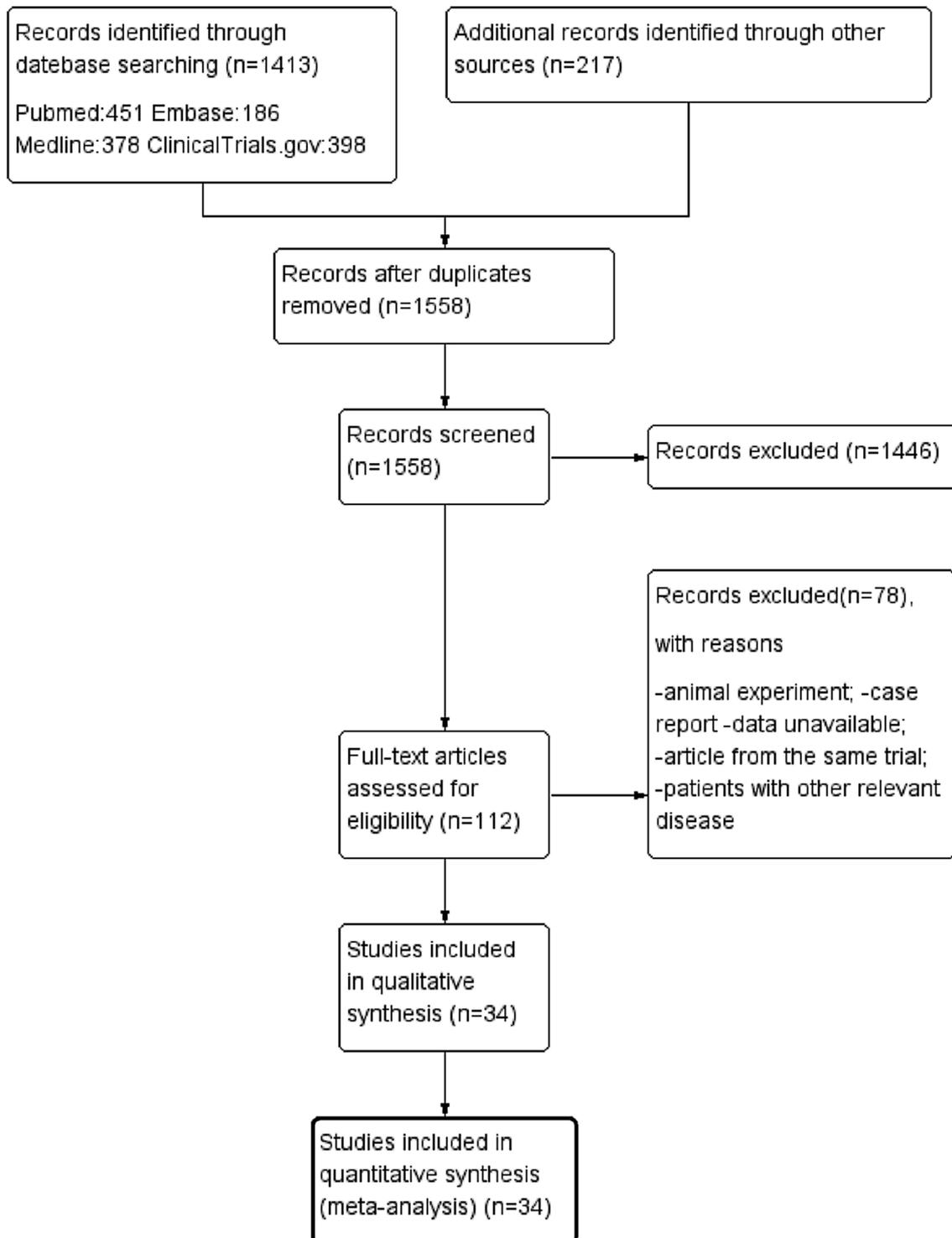


Fig. 1 Flowchart showing the search strategy and search results. The relevant number of papers at each point is given

whom 4648 were treated with probiotics. All trials in our analysis were RCTs and they met the inclusion criteria. More details of included RCTs are summarized in Table 1.

Ten probiotic strategies were used in all the eligible studies: (1) *Bacillus clausii* alone in one study [40], (2) *Bifidobacterium bifidum* in one study [41], (3) *B. breve* in four studies [17, 21, 36, 42], (4) *B. lactis* in four studies [15, 24, 29, 35], (5) *B. longum* in one study [15], (6) *Lactobacillus reuteri* in two studies [25, 26], (7) *L. GG* in two studies [23, 32], (8) *L. sporogenes* in two studies [20, 33], (9) *Saccharomyces boulardii* in four studies [18, 31, 34], and (10) probiotic mixture in 15 studies [6–8, 13–16, 19, 22, 27, 28, 30, 37, 38, 43]. We integrated the probiotic genus depending on strain characteristics as follows: *Lactobacilli*, *Bifidobacteria*, *Bacillus*, *Saccharomyces*, and probiotic mixture.

Quality of the included studies

We used the Cochrane Risk of Bias Tool to assess the quality of the included RCTs (Fig. 2). The results showed that most of the included trials followed the strict blind method for the researchers, outcome assessors, and participants in the intervention, and one trial had a high risk in both randomization and blindness. We evaluated the level of evidence of outcomes based on the GRADE approach (Table 2).

Effect of probiotics on NEC

The incidence of NEC was assessed in all 34 trials. The 9171 premature infants were divided into two arms: probiotic arm (4648 cases) and control arm (4523 cases). The result showed that the risk of incidence of NEC was significantly reduced by 42% after the administration of probiotics (3.54%) compared with placebo (6.23%) (RR = 0.58, 95% CI 0.48–0.69, $P < 0.05$; Fig. 3). There was a low level of heterogeneity ($I^2 = 9\%$, $P = 0.32$), and no significant risk of publication bias was shown in the funnel plots (Fig. 4a).

We performed a subgroup analysis of the incidence of NEC based on different probiotic genera. We observed that the risk of the incidence of NEC in the probiotic mixture group (2.48%) was about 40% of that in the placebo group (6.33%) (RR = 0.40, 95% CI 0.29–0.56, $P < 0.05$; heterogeneity, $I^2 = 0.0\%$, $P = 0.47$; Fig. 3). Additionally, *Lactobacilli* and *Bifidobacteria* both reduced the risk of incidence of NEC compared with the placebo (RR = 0.59, 95% CI 0.38–0.91, $P < 0.05$; heterogeneity, $I^2 = 0.0\%$, $P = 0.97$ and RR = 0.70, 95% CI 0.52–0.94, $P < 0.05$; heterogeneity, $I^2 = 56\%$, $P = 0.05$, respectively; Fig. 3). However, the results of all studies using *Bacillus* and *Saccharomyces* showed no significant statistical difference between the two groups (RR = 0.98, 95% CI 0.14–6.87, $P = 0.99$ and RR = 0.83, 95% CI 0.47–1.49, $P = 0.53$, respectively; heterogeneity, $I^2 = 0.0\%$, $P = 0.91$; Fig. 3).

Effect of probiotics on sepsis

Twenty-eight of the total included publications reported outcomes related to the incidence of sepsis. Overall, 7785 subjects were recruited in our analysis (3957 in the probiotic group and 3828 in the control group). Our analysis found a significantly lower risk of sepsis in the probiotic group (15.59%) than in the placebo (17.95%), and the statistical result had significant heterogeneity (RR = 0.87, 95% CI 0.79–0.96, $P < 0.05$; heterogeneity, $I^2 = 35\%$, $P = 0.04$; Fig. 5). Funnel plots identified no strong evidence of publication bias (Fig. 4b).

The subgroup analysis of the incidence of sepsis based on different probiotic genera showed the following. Compared to the placebo (21.32%), the probiotic mixture (18.39%) decreased the risk of the incidence of sepsis to 0.86 (RR = 0.86, 95% CI 0.75–0.99, $P < 0.05$; heterogeneity, $I^2 = 53\%$, $P = 0.01$; Fig. 5); all other subgroups failed to find a significant statistical difference in the risk of the incidence of sepsis (Fig. 5).

Effect of probiotics on mortality

We analyzed 28 trials that reported 228 cases of mortality in the probiotic group (4362 participants) and 316 cases of mortality in the control group (4261 participants). Significantly decreased mortality was observed in the probiotic group (5.23%) compared with the control group (7.41%) (RR = 0.72, 95% CI 0.61–0.85, $P < 0.05$). No significant heterogeneity was found in our analysis ($I^2 = 15\%$, $P = 0.24$; Fig. 6). The funnel plots showed no apparent publication bias (Fig. 4c). We performed a subgroup analysis based on NEC-related mortality. Fifty-nine cases of mortality related to NEC were reported in nine studies. Unfortunately, we observed that the effect of probiotics on NEC-related mortality was not significantly altered by probiotics (1.15%) compared with placebo (1.79%) (RR = 0.66, 95% CI 0.40–1.09, $P = 0.11$; heterogeneity, $I^2 = 0.0\%$, $P = 0.85$; Fig. 7). However, the pooled analysis failed to include 10 studies so that the funnel plot could not be used to assess publication bias.

The subgroup analysis of the incidence of sepsis based on different probiotic genera showed the following. Compared to placebo (9.51%), the probiotic mixture (5.57%) significantly decreased the risk of mortality (RR = 0.58, 95% CI 0.46–0.74], $P < 0.05$; heterogeneity, $I^2 = 34\%$, $P = 0.11$; Fig. 6); all other subgroups showed no significant statistical difference in the risk of mortality (Fig. 6).

Discussion

Gastrointestinal complications such as NEC and gut-associated sepsis are linked to poor neurodevelopmental and growth outcomes in preterm infants, and they are one of

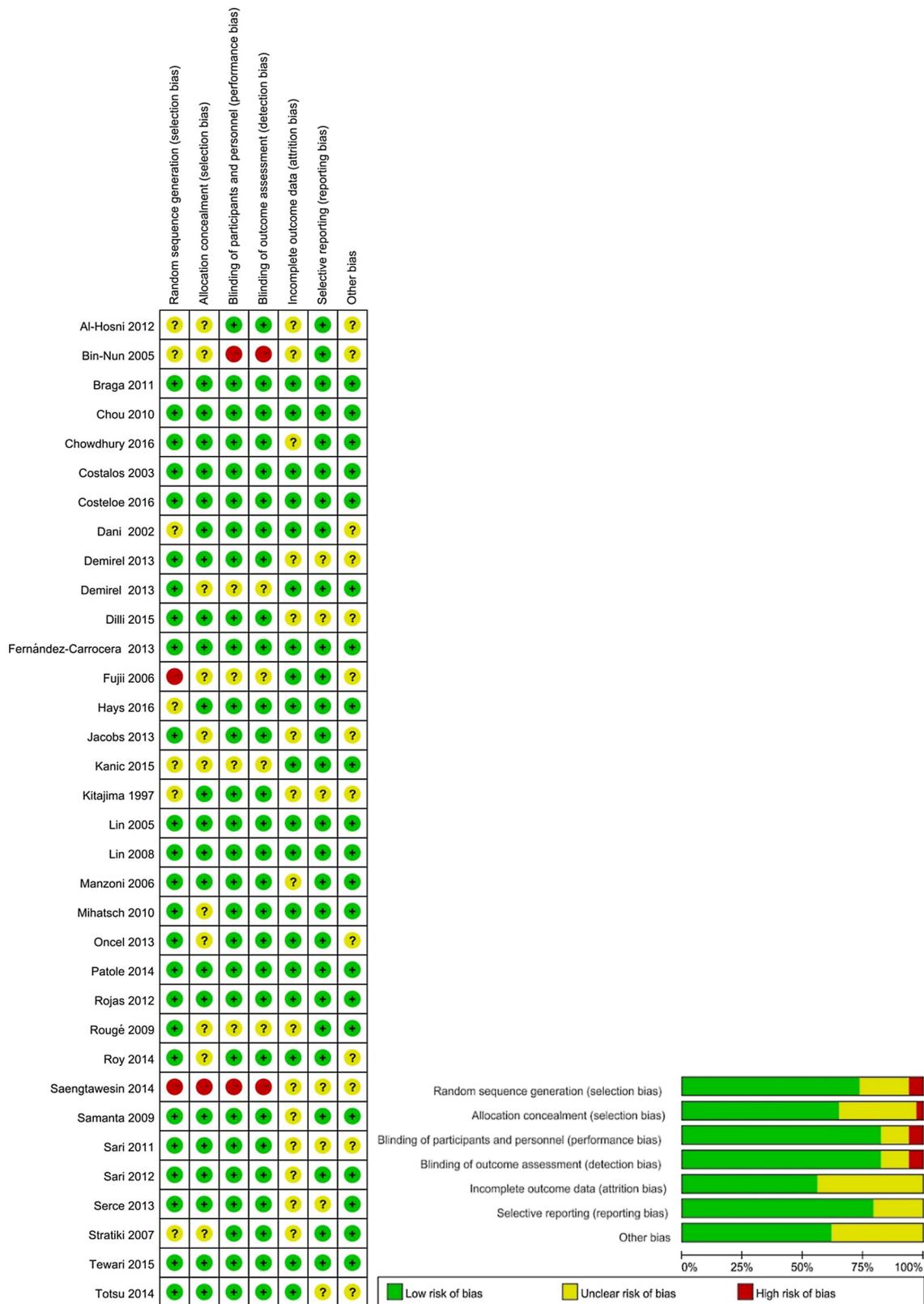


Fig. 2 Risk of bias summary and graph showing authors judgement about each risk of bias item for the randomized trial

Table 2 The level of the evidence of each result based on GRADE approach

Probiotics compared to placebo for severe clinical complications in preterm infants

Patient or population: Preterm infants with severe clinical complications

Settings:

Intervention: Probiotics

Comparison: Placebo

Outcomes	Illustrative comparative risks ^a (95% CI)		Relative effect (95% CI)	No of participants (studies)	Quality of the evidence (GRADE)	Comments
	Assumed risk	Corresponding risk				
	Placebo	Probiotics				
Incidence of NEC	Study population		RR 0.58 (0.48–0.69)	9275 (34 studies)	⊕⊕⊕⊕ high	
	62 per 1000	36 per 1000 (30–43)				
Moderate	Study population		RR 0.4 (0.29–0.56)	3561 (15 studies)	⊕⊕⊕⊕ moderate ^b	
	52 per 1000	30 per 1000 (25–36)				
Incidence of NEC—probiotic mixture	Study population		RR 0.59 (0.38–0.91)	2210 (6 studies)	⊕⊕⊕⊕ low ^{c,d}	
	63 per 1000	25 per 1000 (18–35)				
Moderate	Study population		RR 0.7 (0.52–0.94)	2513 (9 studies)	⊕⊕⊕⊕ very low ^{b,c,d,e}	
	54 per 1000	22 per 1000 (16–30)				
Incidence of NEC— <i>Lactobacillus</i>	Study population		RR 0.98 (0.14–6.87)	244 (1)	See comment	
	48 per 1000	28 per 1000 (18–44)				
Moderate	Study population		RR 0.83 (0.47–1.49)	747 (4 studies)	⊕⊕⊕⊕ very low ^{e,d}	
	62 per 1000	37 per 1000 (24–56)				
Incidence of NEC— <i>Bifidobacterium</i>	Study population		RR 0.87 (0.79–0.96)	7889 (28 studies)	⊕⊕⊕⊕ high	
	79 per 1000	55 per 1000 (41–74)				
Moderate	Study population		RR 0.86 (0.75–0.99)	3197 (12 studies)	⊕⊕⊕⊕ moderate ^e	
	45 per 1000	31 per 1000 (23–42)				
Incidence of NEC— <i>Bacillus</i>	Study population		RR 0.97 (0.76–1.23)	1460 (5 studies)	⊕⊕⊕⊕ low ^{b,c,d}	
	17 per 1000	16 per 1000 (2–114)				
Moderate	Study population		RR 0.85 (0.71–1.03)	2422 (8 studies)	⊕⊕⊕⊕ low ^{c,d}	
	17 per 1000	17 per 1000 (2–117)				
Incidence of NEC— <i>Saccharomyces</i>	Study population		RR 0.79 (0.46–1.34)	244 (1)	See comment	
	60 per 1000	50 per 1000 (28–90)				
Moderate	Study population					
	59 per 1000	49 per 1000 (28–88)				
Incidence of sepsis	Study population					
	180 per 1000	156 per 1000 (142–173)				
Moderate	Study population					
	193 per 1000	168 per 1000 (152–185)				
Incidence of sepsis—probiotic mixture	Study population					
	213 per 1000	183 per 1000 (160–211)				
Moderate	Study population					
	234 per 1000	201 per 1000 (175–232)				
Incidence of sepsis— <i>Lactobacillus</i>	Study population					
	142 per 1000	138 per 1000 (108–175)				
Moderate	Study population					
	216 per 1000	210 per 1000 (164–266)				
Incidence of sepsis— <i>Bifidobacterium</i>	Study population					
	156 per 1000	132 per 1000 (111–160)				
Moderate	Study population					
	144 per 1000	122 per 1000 (102–148)				
Incidence of sepsis— <i>Bacillus</i>	Study population					
	207 per 1000	163 per 1000 (95–277)				
Moderate	Study population					
	207 per 1000	164 per 1000 (95–277)				

Table 2 (continued)

Outcomes	Illustrative comparative risks ^a (95% CI)		Relative effect (95% CI)	No of participants (studies)	Quality of the evidence (GRADE)	Comments
	Assumed risk	Corresponding risk				
	Placebo	Probiotics				
Incidence of sepsis— <i>Saccharomyces</i>	Study population		RR 0.84 (0.58–1.22)	566 (3 studies)	⊕⊕⊕⊕ very low ^{b,c,d}	
	178 per 1000	149 per 1000 (103–217)				
Moderate	Study population		RR 0.66 (0.4–1.09)	4006 (9 studies)	⊕⊕⊕⊕ low ^{c,d}	
	154 per 1000	129 per 1000 (89–188)				
NEC-related mortality	Study population		RR 0.71 (0.61–0.84)	8825 (28 studies)	⊕⊕⊕⊕ high	
	18 per 1000	12 per 1000 (7–20)				
Moderate	Study population		RR 0.58 (0.46–0.74)	3557 (14 studies)	⊕⊕⊕⊕ moderate ^b	
	14 per 1000	9 per 1000 (6–15)				
All-cause mortality	Study population		RR 0.77 (0.53–1.12)	2036 (5 studies)	⊕⊕⊕⊕ low ^{b,c,d}	
	74 per 1000	52 per 1000 (45–62)				
Moderate	Study population		RR 0.86 (0.63–1.19)	2328 (6 studies)	⊕⊕⊕⊕ very low ^{c,d}	
	74 per 1000	53 per 1000 (45–62)				
All-cause mortality—probiotic mixture	Study population		RR 0.84 (0.41–1.75)	244 (1)	See comment	
	95 per 1000	55 per 1000 (44–70)				
Moderate	Study population		RR 1.33 (0.57–3.12)	660 (3 studies)	⊕⊕⊕⊕ moderate ^{b,d}	
	88 per 1000	51 per 1000 (40–65)				
All-cause mortality— <i>Lactobacillus</i>	Study population					
	58 per 1000	45 per 1000 (31–65)				
Moderate	Study population					
	74 per 1000	57 per 1000 (39–83)				
All-cause mortality— <i>Bifidobacterium</i>	Study population					
	65 per 1000	56 per 1000 (41–77)				
Moderate	Study population					
	35 per 1000	30 per 1000 (22–42)				
All-cause mortality— <i>Bacillus</i>	Study population					
	116 per 1000	97 per 1000 (47–202)				
Moderate	Study population					
	116 per 1000	97 per 1000 (48–203)				
All-cause mortality— <i>Saccharomyces</i>	Study population					
	27 per 1000	36 per 1000 (16–85)				
Moderate	Study population					
	22 per 1000	29 per 1000 (13–69)				

GRADE Working Group grades of evidence

High quality: Further research is very unlikely to change our confidence in the estimate of effect

Moderate quality: Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate

Low quality: Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate

Very low quality: We are very uncertain about the estimate

CI confidence interval, RR risk ratio

^aThe basis for the assumed risk (e.g., the median control group risk across studies) is provided in footnotes. The corresponding risk (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI)

^aFunnel plot shows the bias of publication

^bFewer patients were included in the analysis, and the confidence interval was wide

^cThe number of the literatures is less

^dHigher heterogeneity

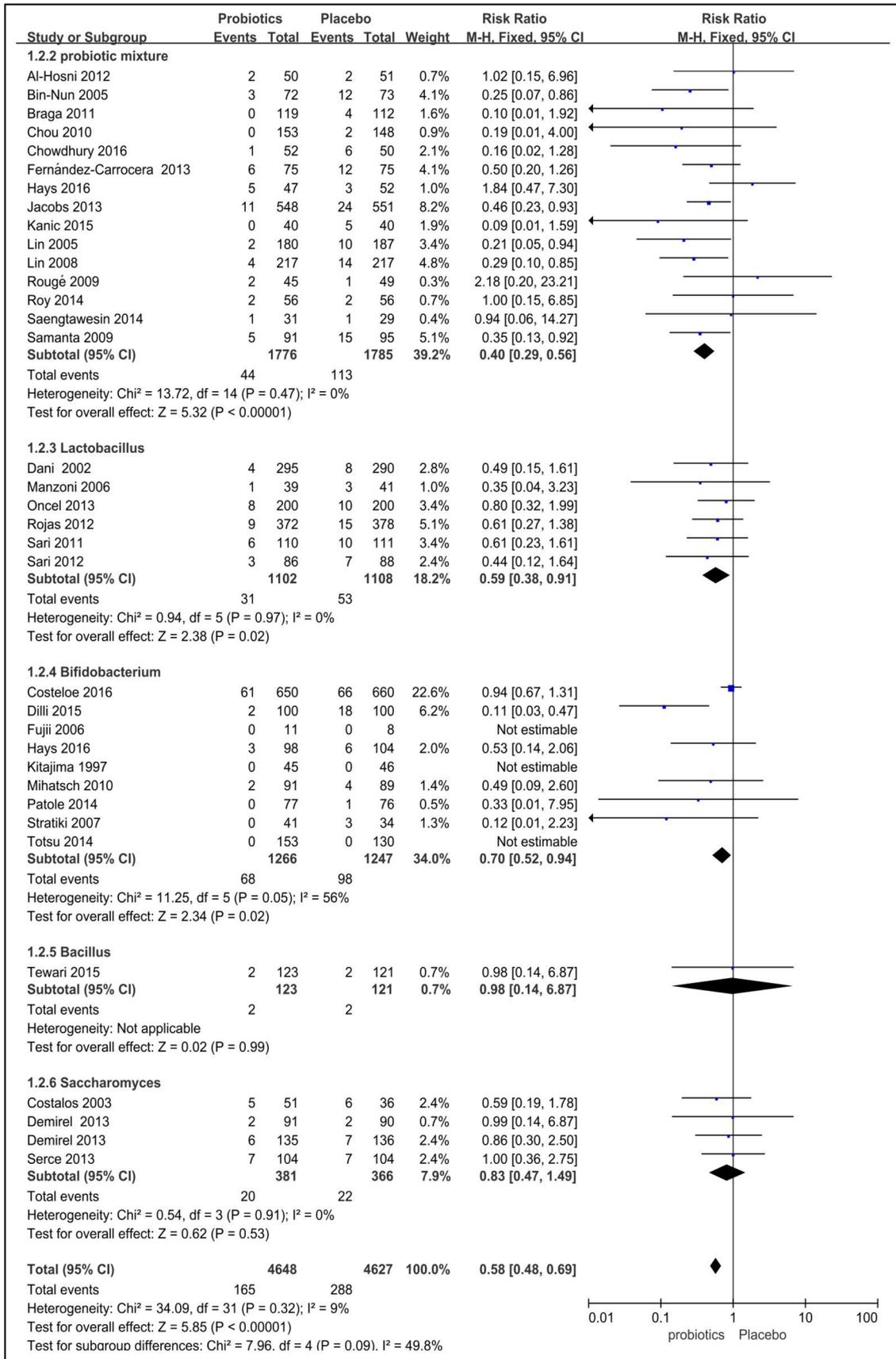


Fig. 3 Forest plot of the included studies. The forest plot shows the association between the use of probiotics and necrotizing enterocolitis in the overall population of preterm infants. *M-H* Mantel–Haenszel method

the most severe illnesses in neonatal intensive care units [44]. In preterm infants, establishment of the microbiome is delayed and less diverse, potentially increasing the chance of pathogenic proliferation and leading to the occurrence of NEC [45, 46]. Probiotics have been one of the hot topics in neonatal medicine in recent years. An increasing number of pediatricians suggested that probiotics prevented NEC and gut-associated sepsis, and reduced mortality in preterm infants [47–50]. Although there have been good quality RCTs and systematic literature reviews confirming that the correct use of probiotics can reduce the incidence of gastrointestinal complications, probiotics have not truly been implemented worldwide. Their effectiveness and safety still need to be validated.

The results of this meta-analysis indicated that compared with placebo, probiotics showed an overall advantage in preventing the incidence of NEC and gut-associated sepsis and decreasing mortality in preterm infants. Our results are consistent with those of a previous systematic review and meta-analysis by Dermyshe et al. that included both RCTs and observational studies and showed that probiotics decrease the incidence of NEC and sepsis and the risk of all-cause mortality [51]. In studies published recently [50], researchers outlined several protective intestinal mechanisms of probiotics: (1) probiotics can produce short-chain fatty acids and low oxygen tension, which results in a low pH in the distal small bowel and colon; (2) short-chain fatty acids produced by probiotics also serve as a nutrient source for host colonocytes without the release of oxygen into the lumen; (3) probiotics can produce bacteriocins with direct antimicrobial activity; and (4) probiotics can decrease intestinal permeability in the immature gut. Unfortunately, because the number of trials that referred to NEC-related mortality is small, we only analyzed nine studies and failed to find a significant decrease in NEC-related mortality in the probiotic group. However, the low level of statistical heterogeneity among trials and low risk of publication bias strengthens the reliability of our research results. Additionally, the levels of evidence for the results we explored were high.

Despite the advantages of the overall probiotic effect, it is remarkable that different treatment strategies of probiotics have been used in different trials. It is important to emphasize that the effect of a live microorganism used as a probiotic is strictly genera specific [52]. Recent meta-analyses and systemic reviews also only reported the positive role of probiotic supplementation and failed to distinguish different genera of probiotics [53–55]. We tried to investigate the effect of different probiotic genera among key clinical

results such as the incidence of NEC, gut-associated sepsis, and mortality in preterm infants.

We divided all included studies into five different subgroups to analyze the characteristics of probiotic genera. Our study showed that compared with placebo, the probiotic mixture showed a huge advantage and vitality in preventing the occurrence of NEC and gut-associated sepsis, reducing mortality in preterm infants. A possible explanation for this result is that normal flora is diverse in the gut, so use of a combination of probiotic strains might be more logical. Aceti et al. performed a subgroup analysis of the incidence of NEC according to the probiotic genera and reported a similar result to our study [56]. Similarly, two meta-analyses reported that a combination of *Lactobacillus* and *Bifidobacterium* should be strongly considered to reduce the risk of incidence of NEC and gut-associated sepsis and overall-cause mortality [51, 57, 58]. A recent network meta-analysis was performed for each strain, and each result included in the literature was inadequate, the quality of evidence was lower, and the non-probiotic treatment was included; however, it concluded that the mixture of *Bifidobacterium* and *Lactobacillus* can prevent severe complications in premature infants [59]. In summary, the results of the analysis indicated that the use of probiotic mixtures should be recommended to prevent severe clinical complications in preterm infants.

Lactobacillus and *Bifidobacterium* are normally colonizing microorganisms of the human gastrointestinal tract, and they are the most frequently used bacteria in trials [60, 61]. In our analysis, unlike the probiotic mixture, *Lactobacillus* or *Bifidobacterium* was marginally superior in only reducing the risk of occurrence of NEC, whereas there was no definite decrease in the risk of gut-associated sepsis and mortality. As for *Lactobacillus*, our results are close to those of the recent meta-analysis by Dermyshe et al. that showed no change of the incidence of NEC and mortality using *L. reuteri* in preterm infants [51]. Regarding *Bifidobacterium*, the results contrasted the findings of Sun et al. [62]. They demonstrated that *B. infantis* can play an important role in decreasing the risk of NEC, gut-associated sepsis, and mortality. Similarly, in a meta-analysis, Zhu et al. drew the conclusion that *Bifidobacterium* may have a beneficial effect and be safe in preventing NEC in preterm infants [63]. A possible explanation for this difference in results is that *Bifidobacterium* enters the gastrointestinal tract and its activity settles within 1 or 2 days after birth; it is the main dominant bacteria in the neonatal period. It has the function of immune activation, can enhance and mobilize the immune function of the body, and can activate the mononuclear macrophage system and induce secretory immunoglobulin A (sIgA) [64, 65]. sIgA is an important local mucosal immunoglobulin, which has an obvious bactericidal effect and a role in neutralizing toxins, and it effectively makes up for the deficiency of

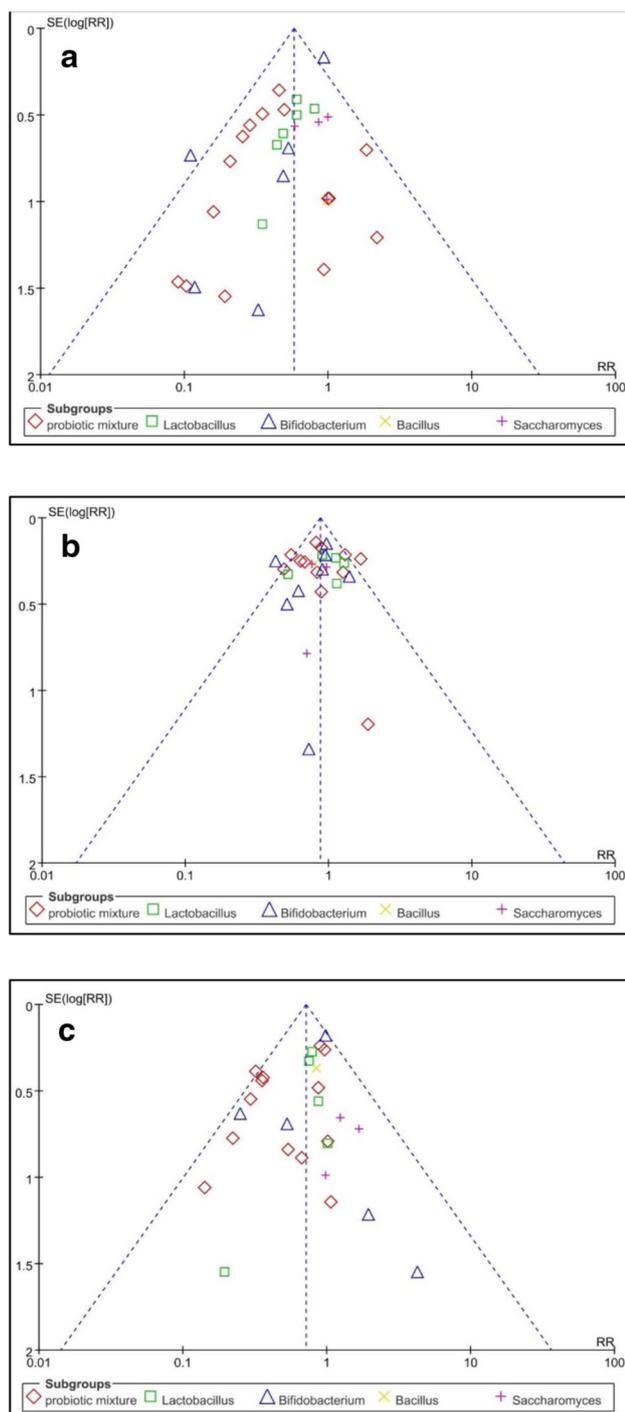


Fig. 4 Funnel plot of the included studies: **a** necrotizing enterocolitis; **b** gut-associated sepsis; **c** all-cause mortality. The funnel plot does not show any clear visual asymmetry

low sIgA in the intestinal tract of premature infants; thus, it effectively prevents the occurrence of intestinal complications such as NEC. However, we reviewed merely one trial that used *Bacillus* so a sub-meta-analysis was not performed in this study.

In addition, the results of our analysis demonstrated that *Saccharomyces* alone failed to prevent all severe clinical outcomes in preterm infants. Thus far, no study has proved that the use of *Saccharomyces* alone can reduce risk of NEC incidence [39]. Because of the difference between bacterial and yeast strains, their underlying mechanism of action is poorly understood. Reports suggested that yeast and bacterial probiotics have a synergistic effect and promote mutually to their survival and growth [66]. Even so, the use of *Saccharomyces* alone cannot be recommended to prevent the risk of NEC or gut-associated sepsis in preterm infants until a higher level of evidence is provided.

An important fact is that probiotic supplements are living microorganisms that may cause infection. Therefore, their safety and long-term effect must receive attention as well. Some recent studies have already reported cases of *Lactobacillus* sepsis in infants who received treatment with probiotics [67–70]. Among the cases, most affected infants had severe diseases, such as immunocompromised [67] and short-gut syndrome [70]. Similarly, some cases of *Bifidobacterium* sepsis have been reported in the literature [71–73]. The other concern is that probiotics might spread to someone who did not need to receive probiotics, and their safety may be at risk. Probiotic-associated sepsis, although rare and/or mild in general, should not be ignored. More investigations are needed to solve this problem. Additionally, a previous study was conducted particularly on the long-term outcomes of oral probiotics [20]. The authors concluded that oral probiotics had no effect on neurodevelopment and growth in infants with a corrected age of 18–22 months [20]. Nevertheless, their long-term safety remains to be evaluated.

In addition, a recently published Cochrane review reported about the role of maternal probiotic supplementation in preventing NEC in preterm infants [74]. The authors provided a new idea that probiotics may alter the microbiome of the preterm infant if given to mothers during pregnancy/lactation. They aimed to enhance the safety of probiotic supplementation in this regard. Unfortunately, they failed to find an efficient way to prove its effectiveness. Thus, more research is needed for gestational/postnatal administration of probiotics.

Despite the fact that the application of probiotics has been increasingly popular, their effect and safety need to be further investigated before a consensus is made. The published data still have limitations: (1) the recent studies made it difficult for us to perform a meta-analysis according to each strain of probiotics so we could only reveal a tendency of the optimal choice; (2) as for optimal dose, there is no clear standard to distinguish the effective and safe dose; (3) as aforementioned, investigation of the long-term outcome of probiotics is limited, but the possibility that one will carry probiotics for life is of concern; (4) furthermore, none of the literature addressed

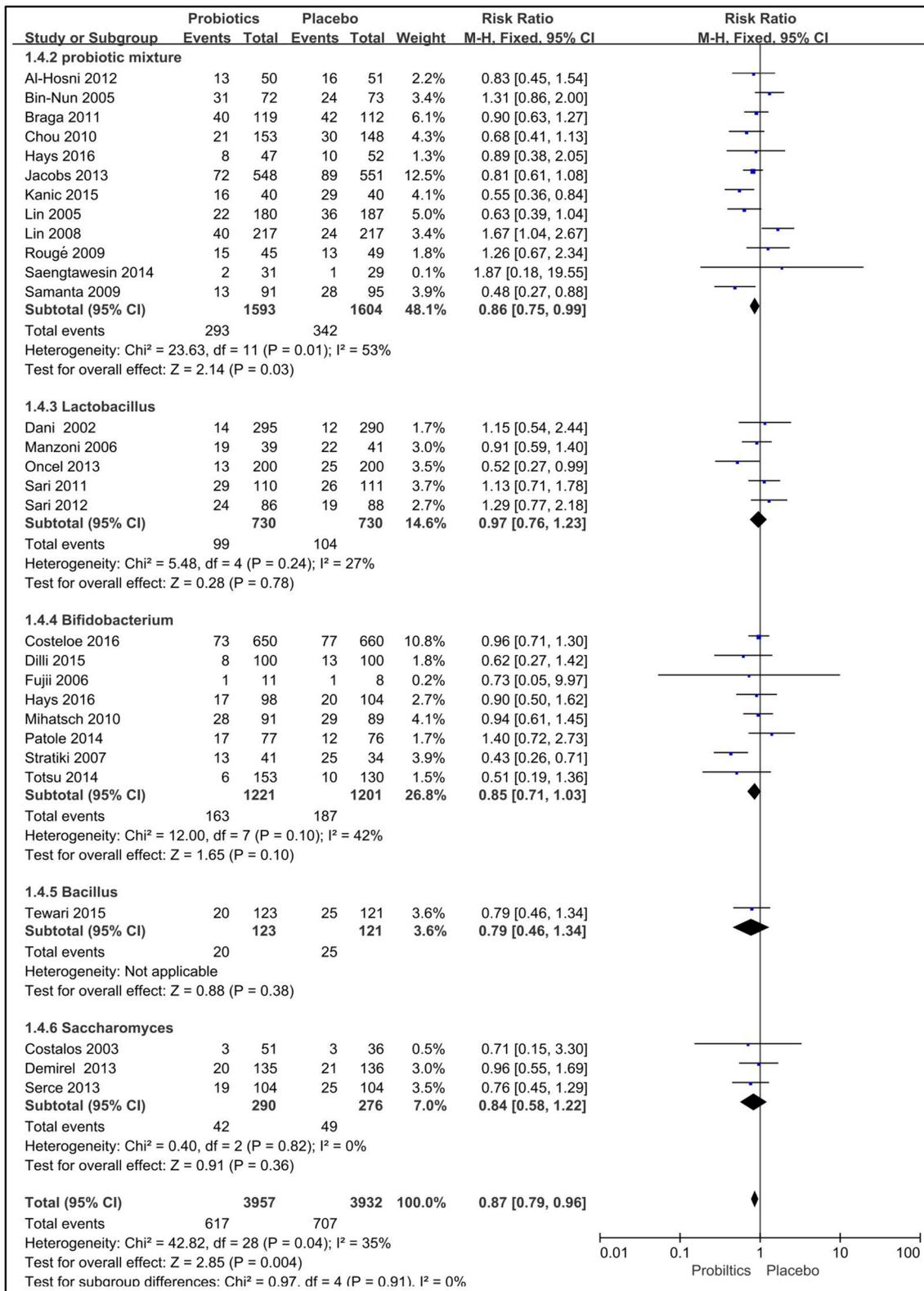


Fig. 5 Forest plot of the included studies. The forest plot shows the association between the use of probiotics and gut-associated sepsis in the overall population of preterm infants. *M-H* Mantel–Haenszel method

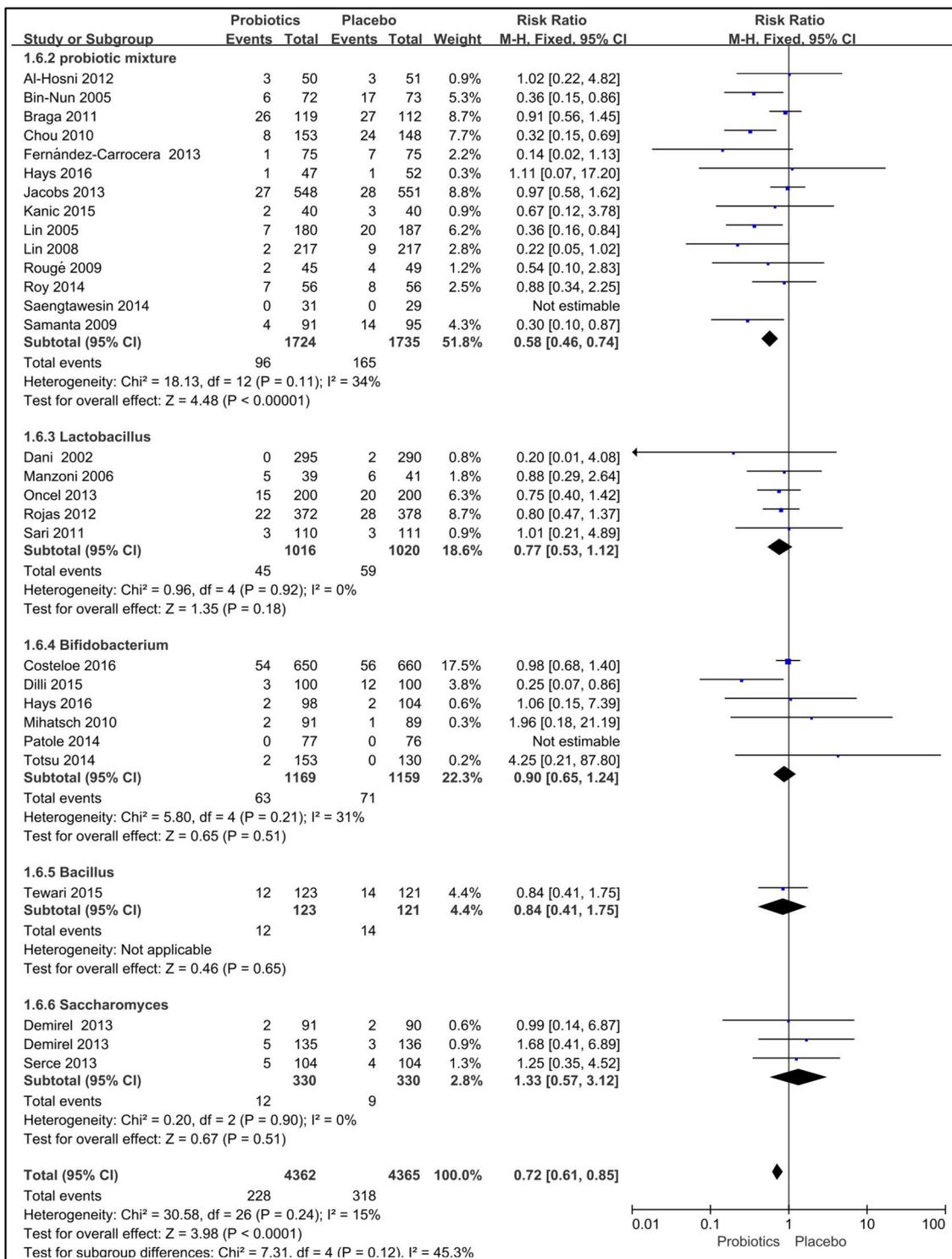


Fig. 6 Forest plot of the included studies. The forest plot shows the association between the use of probiotics and all-cause mortality in the overall population of preterm infants. *M–H* Mantel–Haenszel method

contraindications for probiotics; and (5) the problems associated with the use of different milks and antibiotics need to be solved, although these problems did not seem to affect the results of any trials. In the future, rigorous

studies need to be conducted to further investigate and improve the treatment strategy of probiotics, and to determine their value and critical role in the prevention of severe disease in preterm infants.

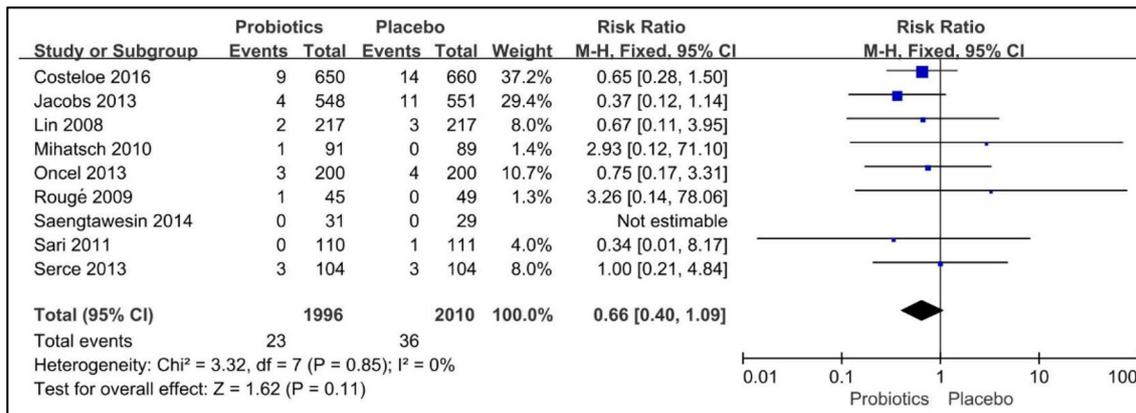


Fig. 7 Forest plot of the included studies. The forest plot shows the association between the use of probiotics and NEC-related mortality in the overall population of preterm infants. *M-H* Mantel–Haenszel method

Conclusions

Probiotic supplementation may offer an avenue to decrease the incidence of NEC and gut-associated sepsis and mortality in preterm infants. A definite conclusion can be drawn from available data: the probiotic mixture might show advantages over single probiotic strains. However, larger studies are needed to better understand the effect and safety of probiotic and to further solve the lack of clarity regarding the optimal dose of probiotics, which type of probiotic strain should be chosen, and feeding time of probiotics.

Acknowledgements We wish to thank Tianjin Medical University for providing us with databases.

Author contributors LB conceptualized and designed the study, drafted the initial manuscript, and interpreted the data; BY conducted the initial analyses and drafted the initial manuscript; QY conceptualized and designed the study and supervised the analysis; ML conducted the meta-analyses, interpreted the data, and reviewed the manuscript; and HC conceptualized and designed the study, supervised the analysis, interpreted the data, and reviewed the manuscript. All authors approved the final manuscript as submitted and agree to be accountable for all aspects of the work.

Funding This work was supported by funding from standardization of endoscopic treatment of acute abdomen in children (number 14RCGFSY00150).

Compliance with ethical standards

Conflict of interest The authors have no conflicts of interest to disclose.

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