



Original paper

## Optimizing beam models for dosimetric accuracy over a wide range of treatments



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## ABSTRACT

This work presents a systematic approach for testing a dose calculation algorithm over a variety of conditions designed to span the possible range of clinical treatment plans. Using this method, a TrueBeam STx machine with high definition multi-leaf collimators (MLCs) was commissioned in the RayStation treatment planning system (TPS). The initial model parameters values were determined by comparing TPS calculations with standard measured depth dose and profile curves. The MLC leaf offset calibration was determined by comparing measured and calculated field edges utilizing a wide range of MLC retracted and over-travel positions. The radial fluence was adjusted using profiles through both the center and corners of the largest field size, and through measurements of small fields that were located at highly off-axis positions. The flattening filter source was adjusted to improve the TPS agreement for the output of MLC-defined fields with much larger jaw openings. The MLC transmission and leaf end parameters were adjusted to optimize the TPS agreement for highly modulated intensity-modulated radiotherapy (IMRT) plans. The final model was validated for simple open fields, multiple field configurations, the TG 119 C-shape target test, and a battery of clinical IMRT and volumetric-modulated arc therapy (VMAT) plans. The commissioning process detected potential dosimetric errors of over 10% and resulted in a final model that provided in general 3% dosimetric accuracy. This study demonstrates the importance of using a variety of conditions to adjust a beam model and provides an effective framework for achieving high dosimetric accuracy.

### 1. Introduction

Performing the dosimetric commissioning of an external beam treatment planning system (TPS) is an important medical physics task that impacts the accuracy for all plans created using the commissioned beam models. Both the AAPM and the IAEA have devoted publications to providing guidance on the commissioning process [1–5]. Identifying beam model parameters that provide accurate dose calculations over a wide range of clinical treatment types can be challenging. There have been several publications that have described situations in which a beam model was tested and released for clinical use but as the usage of the planning system expanded to more complex treatment sites, the plans began to fail the institution's measurement-based plan quality assurance testing [6,7]. This prompted the institutions to perform additional measurements to further refine their beam models. Others have noted that different optimal values for model parameters can be found

for different plans [8]. Recently, the IROC-Houston analyzed 259 head and neck phantom irradiations and found that 20% of institutions demonstrated errors in their treatment planning system calculations [9].

The goal of this work is to present a thorough and systematic approach to testing a photon beam model over a variety of conditions designed to span the possible range of clinical treatment plans and delivery techniques. In this work, a modern linear accelerator with a high definition multi-leaf collimator (MLC) was commissioned in the RayStation treatment planning system. Although not presented, a similar approach has been used to commission beam models in the Pinnacle treatment planning system. A series of tests were devised and used to guide the modification of beam model parameter values beyond the initial values found by comparing the imported beam data with the TPS calculations. The dosimetric accuracy of the beam models was verified by testing the model using a battery of clinical cases in a variety of quality assurance (QA) phantoms. Specific parameters that were

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adjusted in the RayStation beam model are presented, but the general process can be applied to any treatment planning system.

## 2. Materials and methods

### 2.1. Linear accelerator and treatment planning system

The linear accelerator modeled was a TrueBeam STx machine with a high definition MLC (Varian Medical Systems, Palo Alto, CA). The high definition MLC consists of 60 leaf pairs that span the central 22 cm length of the field in the gun-target direction. The inner 32 leaf pairs project a width of 0.25 cm at isocenter while the outer leaves project a width of 0.5 cm. The leaves move linearly, interdigitate, and have rounded leaf tips. Five beam lines were modeled: three flattened beams (6, 10, 15 MV) and two flattening filter free beams (6 FFF, 10 FFF). The standard beam data for open, jaw-defined fields was acquired using TG 106 recommendations [10] and imported into the RayStation treatment planning system, version 5.0.2 (Raysearch Laboratories AB, Stockholm, Sweden). The RayStation photon dose calculation algorithm employs a semi-empirical model of the photon/electron fluence emanating from the accelerator head [11] and a collapsed cone convolution/superposition dose calculation engine to calculate the deposited dose [12,13]. The fluence model includes parameters to represent the energy spectrum of the photons and contamination electrons, the off-axis softening of the spectrum, radial changes in the fluence magnitude, and a flattening filter scattering source. The transmission through the X jaws is modeled by a transmission factor, the transmission through the Y jaws is assumed to be zero, and the transmission through the MLCs is modeled by a transmission factor, a tongue and groove width, and a leaf tip width. As has previously been described [14], the distal end of the MLC leaf is modeled as a region of increased transmission, defined as the squared-root of the MLC leaf transmission. The length of this region is defined by the leaf tip width parameter, which is an adjustable parameter in the beam model. In addition, the position of the leaf end can also be modeled using a quadratic formula to account for a shift in the true leaf tip position compared to the nominal position. This adjustable formula, called the MLC position offset (see Section II.B), can be used to model the MLC positioning for machines with MLCs with rounded leaf tips that travel linearly across the field. For the Varian accelerators, this formula mimics the quadratic formula used by the Varian MLC control system to determine the linear distance needed to move the leaves to ensure that the light field edge corresponds to the nominal position. This quadratic formula will depend on the radius of curvature of the leaves. The initial values of the model parameters were determined using vendor recommendations in a process similar to that described by Mzenia et al. [15].

### 2.2. MLC leaf offset calibration

Once a reasonable initial model was created, the MLC X position offset was calibrated. Several methods have been proposed to determine optimal model parameters for the MLC position offset, including comparing films of abutting MLC-defined fields with calculations [15,16] or comparing profiles running parallel to the leaves for fields utilizing a wide range of MLC retracted and over-travel positions [14,17]. In this study, we chose to compare profiles acquired at a depth of 10 cm at 100 cm SSD to the calculations to optimize the MLC X position offset parameters. The profiles were acquired with a small-volume ion chamber (CC04, IBA Dosimetry America, Bartlett, TN) and the scans were off-set from the central axis so that the chamber would pass under the center of the MLC leaf. Since it was not possible to import non-symmetric profiles into the treatment planning system, the dose from the planning system was exported and custom Matlab code (Mathworks, Natick, MA) was used to compare the calculations and the measurements.

### 2.3. Radial fluence profile

The off-axis beam profile parameters were initially set to match the calculated and measured inline and crossline profiles through the central axis for the maximum field size, 40 cm × 40 cm. To adjust the beam profile parameters to match the corner of the field, for radial distances approaching 56 cm, the calculated dose for a 40 cm × 40 cm field was exported and compared using Matlab code to scanned inline and crossline profiles offset 18 cm from the central axis to run through the corner of the field. Another option is to compare against diagonal scanned profiles [15]. While this procedure optimizes the radial fluence profile to match the dose delivered for large open fields, the dose for smaller fields, centered at highly off-axis positions, may not be as accurate. This effect was discovered during QA of an intensity-modulated radiotherapy (IMRT) plan (created in another planning system) in which a single isocenter was used to treat two separated lesions, resulting in field apertures that were centered significantly off-axis. Despite low modulation in the plan, the IMRT QA failed institutional criteria. To specifically test these conditions during the commissioning process for the current planning system, in addition to the conventional large field profiles, profiles and output measurements were also acquired for 4 × 4 cm fields centered at ± 16 cm crossline position and ± 8 cm inline position. Measurements were taken for all 4 positions so that the effect of any beam asymmetry could be taken into account. In Matlab, the calculated dose was compared to the measured profiles and the beam profile parameters adjusted slightly to reduce large disagreements while still maintaining acceptable agreement between measurement and calculation for the large open fields.

### 2.4. Flattening filter scatter source

In RayStation, scatter from the flattening filter is modeled as a Gaussian distribution at an effective distance from the target with an effective width and weight. During initial modeling, the flattening filter width and weight were adjusted to optimize the agreement between the measured and calculated profiles in the out-of-field region, where these parameters have a large impact. Generally, the agreement out-of-field is not as good as that in-field, and the flattening filter parameters represent a compromise between the agreement for small versus large fields and shallow versus deeper depths. During the testing of the model performance, calculated and measured output were compared for small MLC-defined fields with the X and Y jaw position set to 20 cm × 20 cm. Testing the output of small MLC-defined fields with larger jaw positions has been previously described and recommended [5,14,15]. Agreement has generally been described as good once the model had been adjusted using standard techniques. In this study, however, we observed some larger discrepancies for the flattening filter free (FFF) beam models. The addition of a flattening filter weight improved the agreement of the MLC-defined field output, and for these beams the flattening filter parameters were also adjusted to optimize this agreement. Adjusting the flattening filter parameters also required the beam profile parameters to be readjusted and both sets of parameters were iteratively adjusted to optimize agreement of the large field profiles, the small off-axis field output and the output of the small MLC-defined fields with larger jaw opening.

### 2.5. MLC parameters

Initially jaw-defined fields were imported and used for modeling. To perform the initial adjustment of the MLC parameters, the model was copied and the jaw-defined beam data was replaced by MLC-defined beam data. The fields were defined and the profiles scanned such that the inline profiles crossed the edge of the MLC leaf (as opposed to the gap between opposing leaves) and the crossline profiles were slightly offset from the central axis so as to pass under the middle of a MLC leaf (as opposed to the gap between adjacent leaves). As described

elsewhere, the MLC parameters were also initially checked by comparing film measurements with calculations [15,18]. A number of investigators have noted, however, that using only standard fields or patterns may not lead to optimal MLC parameters for dosimetric accuracy of highly modulated IMRT plans. Several groups have adjusted the MLC parameters away from the values suggested by simple measurements to optimize the agreement between calculations and measurements for delivered IMRT or volumetric-modulated arc therapy (VMAT) plans [8,16,19]. Others have utilized special, irregular MLC patterns to find optimal parameters [6,7]. In this study, we utilized IMRT and VMAT plans that had been created using a previously commissioned model in Eclipse version 11 (Varian Medical Systems, Palo Alto, CA). These plans were selected to vary substantially in the irregularity of the MLC patterns, with the most complex spine cases demonstrating MLC patterns involving a high degree of interdigitation. The dose in a low-gradient region was measured using an ion chamber (Pinpoint3D, PTW Freiburg GmbH, Freiburg, Germany) as well as an ArcCHECK diode array (Sun Nuclear Corporation, Melbourne, FL). The MLC parameters, in particular the MLC leaf tip width and to a lesser degree the MLC transmission, were adjusted to optimize the agreement of the calculated dose with the ion chamber measurements over all the plans measured. To check the validity of the modifications made based on ion chamber measurements, a comprehensive set of absolute dose measurements made with a variety of detectors, including diode array and film, were performed as described below.

### 2.6. Final model validation

The final model was validated by comparing calculations to measurements for simple open fields, multiple field configurations, the TG 119 C-shape target test [4], and a battery of clinical IMRT or VMAT plans. For all of the clinical plans, ArcCHECK diode array and ion chamber measurements were performed. The gamma index was used to evaluate the ArcCheck results with a 3%/3 mm global dose difference and distance-to-agreement criteria and a 10% threshold dose. A Pinpoint3D ion chamber (PTW Freiburg GmbH, Freiburg, Germany), positioned at the center of the ArcCheck diode array with the cavity plug, was used for absolute dose measurements. For a subset of spine stereotactic body radiotherapy (SBRT) plans, Gafchromic films (Ashland Advanced Materials, Bridgewater, NJ), placed inside of the Stereotactic Dose Verification phantom (Standard Imaging Inc, Middleton, WI), were exposed in an axial plane and analyzed using the SNC Patient software (Sun Nuclear Corporation, Melbourne, FL). The gamma index was used to evaluate the film results with a 3%/3 mm global dose difference and distance-to-agreement criteria and a 10% threshold dose.

## 3. Results

### 3.1. Initial model adjustment

The initial model parameters were determined by optimizing the match between the calculations and the measured jaw-defined beam data in the physics module. The percent depth doses (PDDs) generally agreed to within 2% at depths greater than  $d_{max}$ , and for the most part the agreement was within 1%. In the build-up region, the PDDs generally agreed to within 1 mm after the first 2 mm from the surface. The in-field region of the profiles agreed to within 2%. The largest disagreements were observed in the out-of-field region, with maximum discrepancies, expressed as a percentage of the dose on the central axis, as high as 5–6%. As demonstrated in Fig. 1, the computed out-of-field dose tended to be too high for the large fields at shallow depths, but too low for the same field at the deeper depths. As no adjustment could be identified that would adequately change the depth dependence of the out-of-field dose to resolve this issue, the flattening filter and transmission parameters were adjusted to find a reasonable compromise.

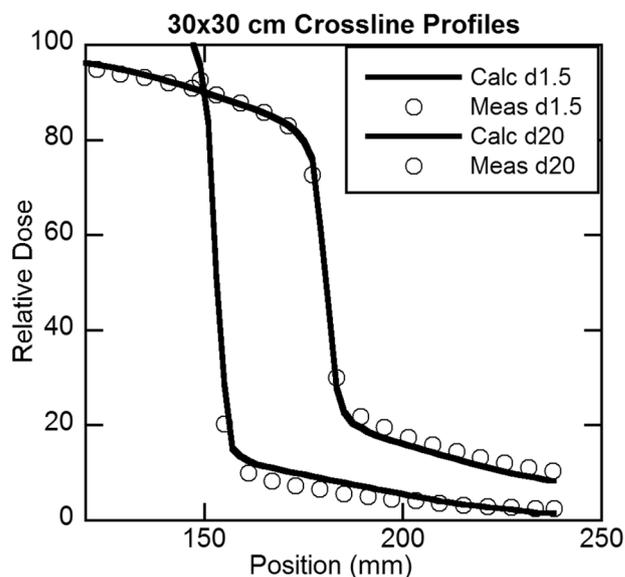


Fig. 1. Comparison of calculated (solid lines) and measured (circles) crossline profiles for a 30 × 30 cm, 6 MV field at 1.5 cm depth (left curve) and 20 cm depth (right curve). The calculations over-estimate the dose out-of-field at the shallower depths but under-estimate the dose out-of-field at deeper depths.

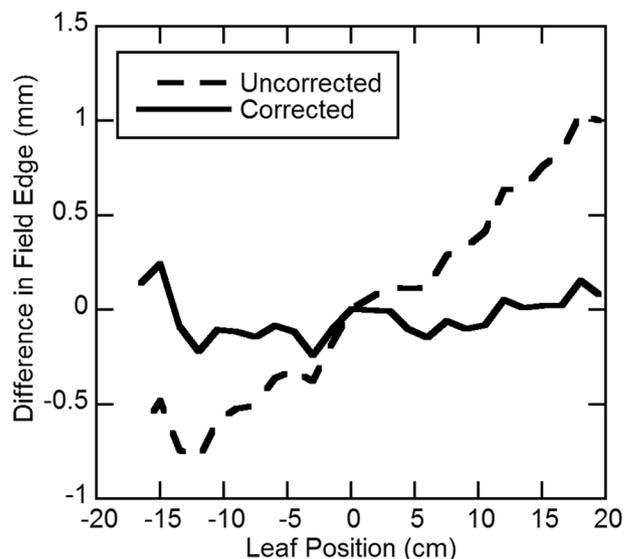


Fig. 2. Difference in the calculated versus measured field edge for crossline profiles through the MLCs as a function of the leaf position.

### 3.2. MLC offset adjustment

The impact of adjusting the MLCX calibration is demonstrated in Fig. 2. Without any calibration, there is a small but systematic deviation of the calculated MLC field edges compared to the measured field edges. The RayStation system allows the offset of the true MLC leaf tip position from the nominal position to be modeled as a quadratic function of leaf position. As illustrated in Fig. 2, the offset in this case is fairly linear and a linear fit was performed to the data and input into the MLCX calibration parameters. With this correction, the deviation between the calculated and measured field edges is less than 0.5 mm at all positions spanning from 16 cm over-travelled to 19 cm retracted.

### 3.3. Off-axis beam profile adjustment

The importance of using profiles running through the corner of the

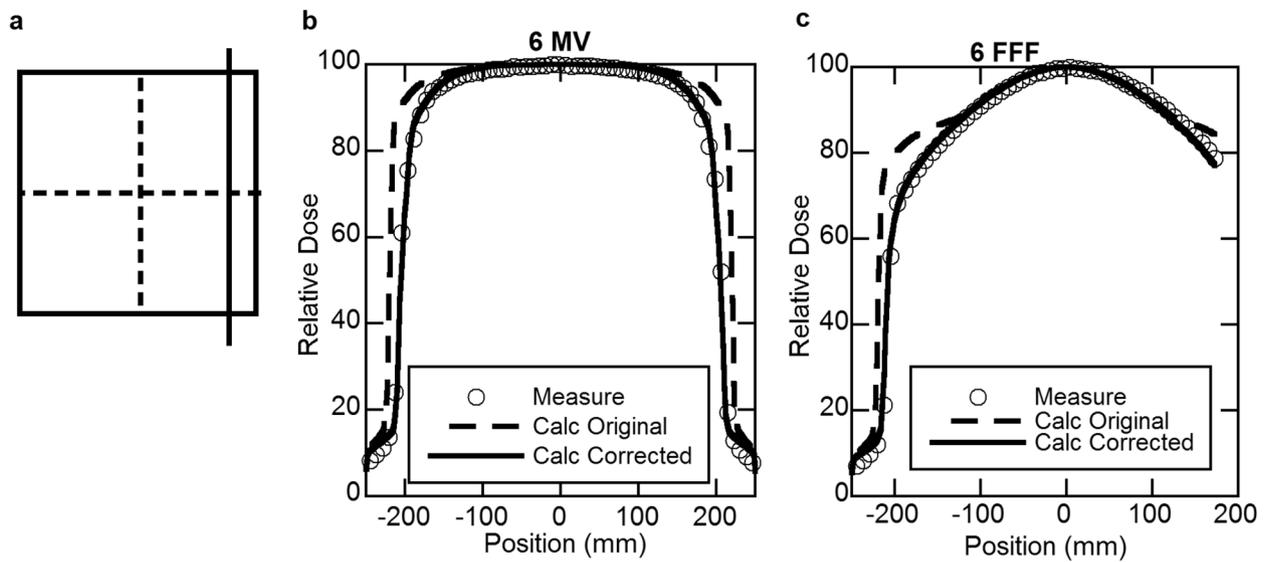


Fig. 3. (a) Diagram of profile measurement acquired with a 18 cm crossline offset at 10 cm depth at 100 cm SSD. Comparison of calculated and measured (circles) in-line profiles for b) a 6 MV beam and c) a 6 FFF beam. The off-axis beam profile correction factors for the original calculation (dashed lines) were set to the same value for all radii greater than 20 cm. The off-axis beam profile correction factors for the corrected calculation (solid lines) were adjusted to match the calculation with the measurements.

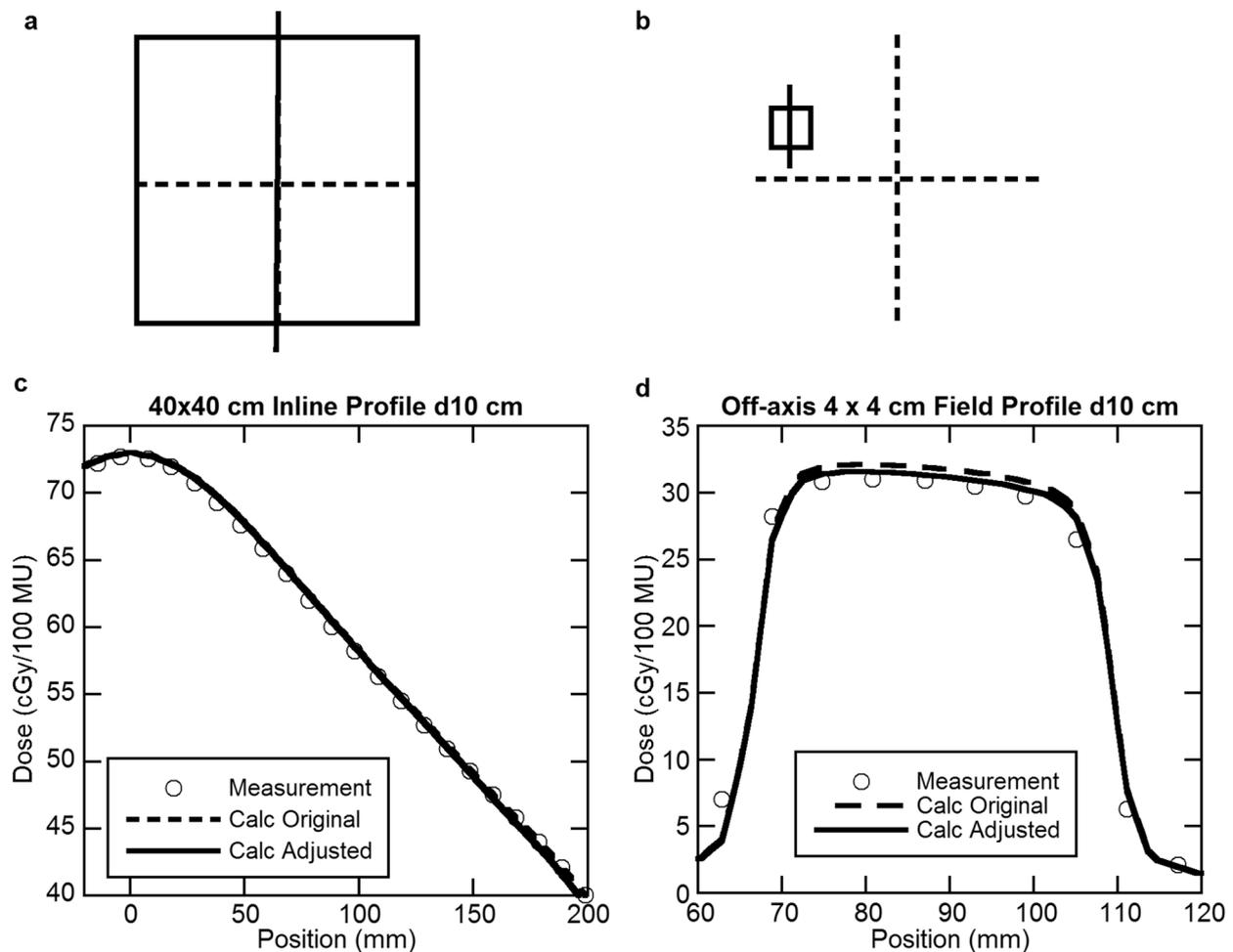


Fig. 4. Comparison of measured profiles (circles), calculated profiles for the original model parameters (dashed line) and calculated profiles with the adjusted model parameters (solid line) for the 6FFF beam model. On the left, (a) measurement diagram and (c) in-line profiles for a  $40 \times 40$  cm field at 10 cm depth. On the right, (b) measurement diagram and (d) in-line profiles at 10 cm depth running through the middle of a  $4 \times 4$  cm field that is centered 16 cm crossline and 8 cm inline off from the central axis.

**Table 1**  
Effect of flattening filter weight on calculated dose for MLC-defined fields.

	6 FFF original	6 FFF adjusted	10 FFF original	10 FFF adjusted
<i>Model parameters</i>				
Flattening filter weight	0.000	0.065	0.000	0.040
<i>Difference between calculated and measured doses for MLC-defined fields with 20 × 20 cm jaw positions</i>				
3 × 3 cm MLC	4.1%	0.1%	2.6%	0.2%
5 × 5 cm MLC	3.8%	−0.3%	2.0%	−0.4%
10 × 10 cm MLC	2.0%	1.4%	1.3%	0.8%
15 × 15 cm MLC	0.9%	0.6%	1.0%	0.3%

\* Note: The beam profiles were also adjusted when the flattening filter weight was changed.

**Table 2**  
Effect of MLC leaf tip width and transmission on calculated dose for VMAT plans.

	6 MV original	6 MV final	6 FFF original	6 FFF final
<i>Model parameters</i>				
MLC leaf tip width	0.18 cm	0.45 cm	0.20 cm	0.40 cm
MLC transmission	0.0146	0.010	0.0146	0.008
<i>Difference between calculated and measured doses</i>				
GBM – High dose	−2.0%	−1.0%	−0.5%	−1.0%
Simple spine – High dose	0.0%	0.9%	1.2%	1.7%
Simple spine - Cord	−1.6%	0.3%	−2.0%	−1.8%
Complex spine – High dose	−1.7%	2.1%	−0.8%	0.8%
Complex spine - Cord	−12.8%	−2.3%	−6.5%	−0.7%

**Table 3**  
Agreement between calculations and point measurements for single fields.

Conditions	Agreement between calculation and measurement
Center of open jaw or MLC-defined field	Within 2%
Off-axis point in open field	Within 1%
Center of asymmetric open field	Mostly within 2.5%. 6FFF 3.8% for pt 18 cm off-axis
Rectangular field 80/120 cm SSD	Within 1%
Mantle field	Within ~ 1.5%
Oblique incidence and Flash	Open region: Within 1% Shielded region: Up to 10% disagreement
1 cm beyond Lung slab	Within 1%
2.5 cm beyond Bone slab	Mostly within 2.5%. 6FFF: 4.1%
2.5 cm beyond Air cavity	Within 2% Mostly within 2%. 6FFF: 3.3%

largest field size for adjusting the off-axis beam profile parameters is highlighted in Fig. 3. In the figure, TPS calculations are compared to measured inline profiles acquired with an 18 cm crossline offset at 10 cm depth, 100 cm SSD. The off-axis beam profile correction factors for the original calculation were set to the same value for all radii

**Table 4**  
Comparison of calculations and measurements for various clinical cases.

Case	Ion chamber agreement (%)/ArcCHECK passing rate (%) for 3%/3 mm criteria				
	6 MV	10 MV	15 MV	6 FFF	10 FFF
Anal/Rectal	0.0/99.4	−1.0/100.0	0.6/99.9	−1.8/98.2	−2.0/97.1
Head-Neck	−0.2/99.1	−1.4/99.9	−1.5/99.9	NA	NA
Simple Spine	1.8/100.0	−1.7/97.0	2.5/97.8	−2.2/97.0	−2.0/97.6
Complex Spine	−1.1/98.5	−1.7/97.6	−2.9/98.5	−2.4/96.8	−4.1/96.2

greater than 20 cm, and subsequently adjusted to match the calculation with the measurements for the profiles with the large offset away from the central axis. Both models produce the same values for profiles through the central axis of a 40 × 40 cm field. In particular for the FFF beam, the off-axis fluence is considerably over-estimated if the beam profile correction factors for radii greater than 20 cm are not manually adjusted to reflect the measured dose in the corner of the 40 × 40 cm field.

Fig. 4 illustrates how the beam profile at large off-axis distances was adjusted as a compromise between the agreement for an open 40 × 40 cm field and for small fields centered at highly off-axis positions. In this example, the 6FFF beam model was initially adjusted to match the 40 × 40 cm field measured beam profiles to within 0.5% (Fig. 4 left – dashed line). Using this model, however, the calculated dose for a 4 × 4 cm field offset 16 cm crossline and 8 cm inline from the central axis was over 3% different from the measured dose (Fig. 4 right – dashed line). The beam profile parameter was adjusted so that for both configurations, the calculations were within 2% of the measured doses (Fig. 4 – solid lines).

### 3.4. Flattening filter scatter source

Table 1 demonstrates the effect of adding a flattening filter weight on the accuracy of the dose calculated for MLC-defined fields with wider jaw settings for FFF beam models. Because there is no physical flattening filter in the beamline, the flattening filter weight was initially set to 0.000. As seen in Table 1, by adding a non-zero flattening filter weight (and adjusting the beam profile as needed to match the large field and off-set field profiles) the calculated MLC-defined field output was improved to within 2% accuracy, compared to a maximum difference of 4% for the original beam models.

### 3.5. MLC parameters

The final stage of beam adjustment was to tune the MLC parameters to maximize the agreement of the measured and calculated doses for VMAT plans of varying complexity. The initial MLC parameters were set by adjusting the parameters to best match the calculated profiles with measured profiles for static open MLC-defined fields. As seen in Table 2, for the more simple plans (GBM, simple spine) the calculated doses agree well with the ion chamber measurements using the initial parameters. However, the calculated dose in the cord region of the highly modulated spine VMAT plan disagreed with the measured dose by 12% for the initial 6 MV model and by 6% for the initial 6 FFF model. After adjusting the MLC parameters to optimize the agreement for all cases, the calculated doses were all within 2.5% of the measurement. Notably, the optimized MLC leaf tip width was more than twice the original value.

### 3.6. Final model validation

The final model was first validated by comparing the calculated and measured doses for single fields of various jaw/MLC positions, different depths, and SSD. Calculations were also compared for oblique incidence and beyond different inhomogeneous materials. As summarized in

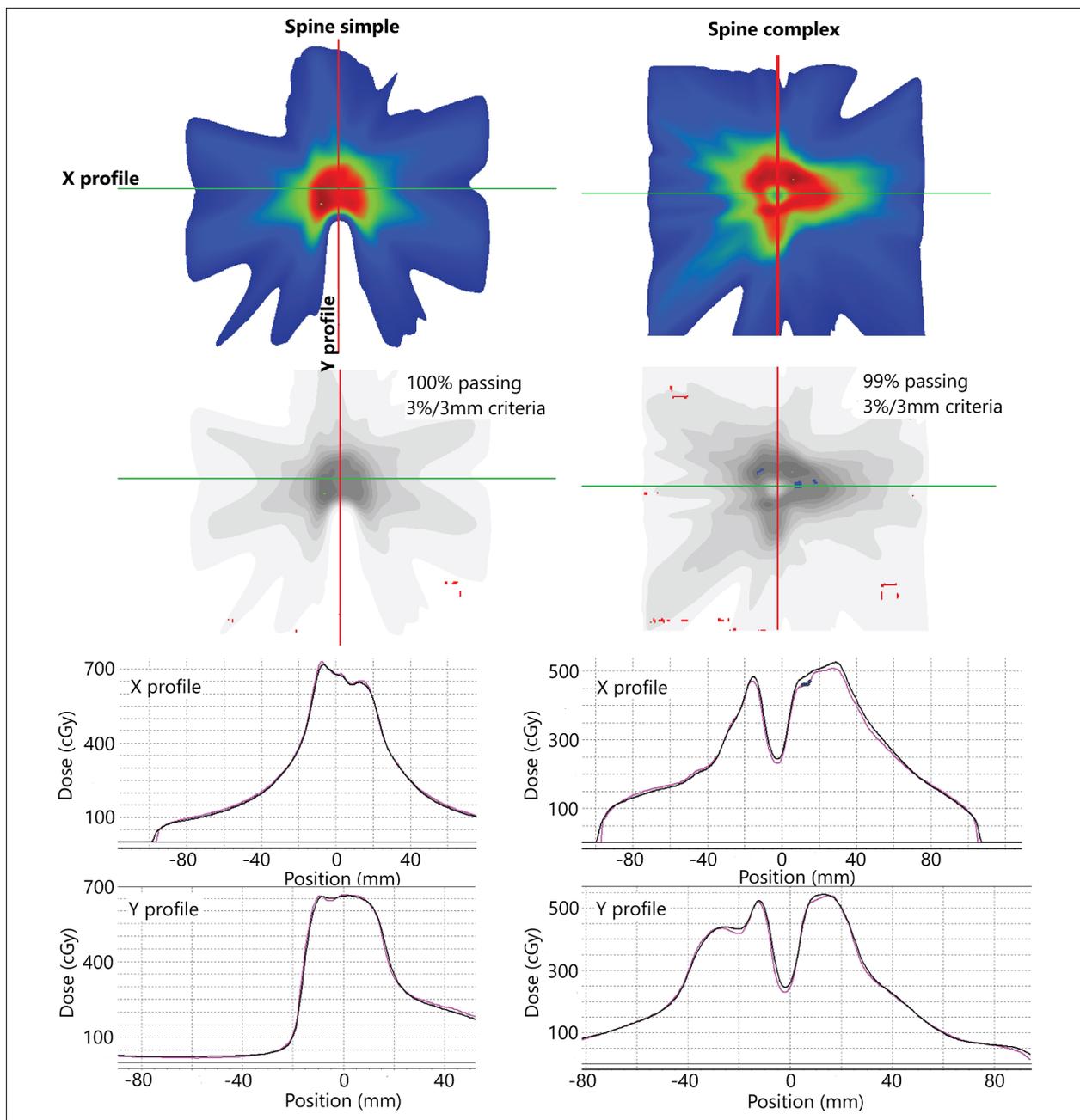


Fig. 5. Comparison between film measurements and calculations for simple and complex spine cases.

Table 3, in general, agreement was within 2%. The TG 119 C shape target was planned for segmental MLC (SMLC), dynamic MLC (DMLC), and VMAT techniques for all energies, and the calculated dose agreed to the measured dose to within 2% of the prescribed dose for both the target and cord regions. A variety of clinical cases, ranging from a large anal/rectal plan to small spine lesions, were planned in RayStation and measured with an ion chamber and the ArcCHECK diode device; results are presented in Table 4. In general the ion chamber agreement was within 3%, and the passing rates above 95% using a 3%/3 mm gamma criteria. The beam model with the greatest deviation between the calculated and measured doses for the clinical IMRT/VMAT cases was the 10 FFF model. It may be that the 10 FFF IMRT plans tend to be more modulated than the plans for the other beam energies, as additional modulation is needed to compensate for the highly peaked profile of the beam. However the agreement for the 10 FFF model was still overall within acceptable tolerances. Fig. 5 demonstrates the excellent

agreement that was also observed comparing film measurements with calculations for the spine cases. Based on these results, our institution accepted the model for clinical use for all cases with the exception of stereotactic radiotherapy of multiple brain lesions. The extensive use of the MLCs to shield the regions between separated targets in these types of plans warrants further specific testing of the dosimetric accuracy in the non-target region that has not been part of this process.

#### 4. Discussion

As demonstrated by the recent IROC-Houston study [9], commissioning an external beam treatment planning system for dosimetric accuracy continues to be a major challenge for the radiation oncology community. As the delivery techniques have become more sophisticated, such as with the introduction of VMAT, the difficulty of the task has increased. The recent medical physics practice guideline on

commissioning of treatment planning dose calculations [5] emphasizes the importance of testing the dosimetric accuracy of the TPS under a variety of conditions. This study provides a practical example and procedure for how a model can be adjusted and improved through this systematic process.

One surprising observation in this study was the effect of the flattening filter (non-point-like) source model on the output for MLC-defined fields when the jaws are opened wider than the MLCs. To our knowledge, this effect has not been documented previously. Adding a flattening filter component to the FFF beam model improved the agreement between calculations and measurements for these MLC-defined fields, from a maximum difference of 4% to within 2% agreement. Others have also reported using a non-zero scattering source for FFF beam models [20,21]. A thin brass foil is used in place of the flattening filter during the FFF treatment mode on the TrueBeam. This foil as well as multiple scattering of photons in other collimating and shielding elements in the head may contribute to a non-point-like source of radiation for the FFF beams.

This study also reinforces the need of incorporating highly irregular MLC-defined fields and IMRT plans as part of the adjustment of the MLC model parameters. Numerous other studies have also demonstrated that making simple MLC transmission or gap measurements does not guarantee good performance for clinical IMRT plans [6,7,8,16]. In this study, differences of over 10% between calculation and measurement were found when the MLC parameters were adjusted only using profiles for static/regularly-shaped MLC fields. After adjusting the MLC parameters, the calculations agreed to within 3% for the test plans as well as for a battery of clinical validation cases. Another option to comparing the planning system calculations to direct measurements is to compare the calculation to a well-validated Monte Carlo simulation calculation as was performed by Onizuka et al. [22]. The advantage of comparing against Monte Carlo simulation is that the full three-dimensional dose distribution can be compared as opposed to point or two-dimensional measured distributions. However, the Monte Carlo simulation itself would first need to be appropriately validated against measurements.

## 5. Conclusions

This study has demonstrated a systematic approach to optimizing beam model parameters for dosimetric accuracy over a wide range of treatment conditions. The process detected potential dosimetric errors of over 10% and resulted in a model that provided in general 3% dosimetric accuracy.

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## Declaration of interest

Several authors participate in a collaboration agreement with RaySearch Laboratories. This work, however, was part of our clinical

commissioning process and was independent of the collaboration.

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