



# Vagal Nerve Stimulation for Glycemic Control in a Rodent Model of Type 2 Diabetes

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Published online: 21 June 2019

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## Abstract

**Background** Vagal nerve stimulation (VNS) has been reported to reduce body weight and improve sympathovagal imbalance in both basic and clinical studies. Its effects on glycemic control were however unclear. The *aims* of this study were to investigate the effects of VNS with various parameters on blood glucose and its possible mechanisms in rats.

**Methods** A hyperglycemic rodent model induced by glucagon was used initially to optimize the VNS parameters; then, a type 2 diabetic rodent model induced by high-fat diet combined with streptozotocin was used to validate the VNS method. The VNS electrodes were implanted at the dorsal subdiaphragmatic vagus; three subcutaneous electrodes were implanted at the chest area for recording electrocardiogram in rats induced by glucagon.

**Results** (1) VNS with short pulse width of 0.3 ms but not 3 ms reduced blood glucose during an oral glucose tolerance test (OGTT), with a 38.4% reduction at 15 min and 26.9% at 30 min ( $P < 0.05$ , vs. sham-VNS respectively). (2) VNS at low frequency of 5 Hz but not 14 Hz or 40 Hz reduced blood glucose during the OGTT ( $P < 0.05$ , vs. sham-VNS). (3) Intermittent VNS was more potent than continuous VNS ( $P < 0.01$ ). (4) No difference was found between unilateral VNS and bilateral VNS. (5) VNS enhanced vagal activity ( $P = 0.005$ ). (6) The hypoglycemic effect of VNS was blocked by glucagon-like peptide-1 (GLP-1) antagonist exendin-4.

**Conclusions** VNS at 5 Hz reduces blood glucose in diabetic rats by enhancing vagal efferent activity and the release of GLP-1.

**Keywords** Vagal nerve stimulation · Diabetes · Blood glucose · Autonomic functions · Glucagon-like peptide-1

## Introduction

Diabetes is a complex, chronic illness requiring continuous medical care with multifactorial risk-reduction strategies beyond glycemic control [1]. The prevalence diabetes continues to rise. It is estimated that as many as one in three US adults will have diabetes by 2050 if the current trend continues [2, 3]. Diabetes imposes a substantial burden on the economy of the USA with an annual cost of over \$327 billion [2]. The majority (90%) of diabetes is type 2 diabetes mellitus (T2DM) [4].

T2DM is one of the leading epidemics in human history closely associated with obesity [5].

Pharmacologic therapy is the first-line standard of care approach for T2DM, including mono-therapy and combination therapy. The use of metformin as first-line therapy is the preferred initial pharmacologic agent for the treatment of T2DM; metformin has beneficial effects on A1C, weight, and cardiovascular mortality [1, 6]. However, metformin has side effects on gastrointestinal tract and it is contraindicated with renal insufficiency [6]; other medications such as sulfonylureas, thiazolidinedione, and GLP-1 agonist cause weight gain during the hypoglycemic therapy, which is an undesirable outcome with potential long-term adverse consequences on glycemic control itself [7]. Metabolic surgery has been considered for treating T2DM as well [8, 9]. Some studies reported that it achieved near or complete normalization of glycemia 2 years following surgery in 72% of patients, compared with 16% in a matched control group treated with lifestyle and pharmacological interventions [9, 10]. However, the surgical

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treatment is only recommended for patients with body mass index (BMI)  $\geq 35$  kg/m<sup>2</sup>. In addition, it is costly and associated with risks. Long-term concerns include dumping syndrome, vitamin and mineral deficiencies, anemia, osteoporosis, depression, and other major psychiatric disorders [1], which make it an unacceptable option for a large portion of diabetic patients. In words, there is still a need to develop novel therapies.

Vagal nerve stimulation (VNS) has been approved by the Food and Drug Administration (FDA) for treating epilepsy and depression [11–15]. It has also been shown to have an anti-inflammatory effect due to activation of vagal activity [16]. VNS was reported to reduce food intake and body weight in both animals and humans [17–19] and lower blood glucose level in a few studies in animal models [20–22]. However, the parameters used for VNS differed substantially in these previous studies and no efforts have been made to optimize stimulation parameters. There is a need to explore the VNS method for glycemic control with possible parameter optimization.

We therefore aimed to optimize VNS parameters to reduce blood glucose; the parameter optimization was mainly targeting on the stimulation frequency, pulse width, locations and stimulation patterns; mechanisms related to vagal activity, insulin sensitivity, and glucagon-like peptide-1 (GLP-1) were investigated as well in rodent models of temporary hyperglycemia and T2DM.

## Materials and Methods

### Subjects

Twenty Sprague-Dawley (SD) rats (male, 200–250 g Charles River Laboratory, MD) were housed in the micro-isolator cage equipped with filter hoods under controlled temperature (20 °C), a 12-h/12-h light/dark cycle and free access to water and solid food. The experimental protocol was approved by the Animal Care and Use Committee of the Johns Hopkins University.

### Animal Models

#### Acute Hyperglycemia

Acute hyperglycemia was induced in 10 rats by intraperitoneal injection of glucagon (0.5 mg/kg, Sigma-Aldrich, St. Louis, MO) during the oral glucose tolerance test (OGTT). It was reported that glucagon at this dose substantially increased blood glucose level during the 2-h OGTT in normal rats [23, 24].

#### Type 2 Diabetic Model

A type 2 diabetic model was established using a previous method [25]. The “ad libitum” diet was started at 7 weeks of age in 10 SD rats. The animals had free access to high-fat diet

chow (fat 60% kcal, protein 20% kcal, carbohydrate 20% kcal, energy density 5.21 kcal/g, Research Diets Inc., D12492, New Brunswick, NJ) for a period of 4 weeks and were then injected intraperitoneally with a low dose of streptozotocin (STZ, 35 mg/kg). Rats with blood glucose  $\geq 140$  mg/dl 10 days after the STZ injection were selected for the experiments. This model was considered as T2DM [26, 27].

### Surgical Procedure

In 10 rats fed with the regular chow, electrodes for vagal nerve stimulation were implanted under general anesthesia. A longitudinal skin incision was made in the abdominal midline, both dorsal and ventral vagal trunks were localized in the subdiaphragmatic part of the esophagus; one pair of electrodes (Streamline 6494, Medtronic Inc., Minneapolis, MN) were circumferentially placed at the dorsal subdiaphragmatic vagus with an interval of 0.3 cm. In 10 of the rats, additional three electrodes were implanted subcutaneously for recording ECG, one at the heart apex, the other at the second intercostal space of right sternal border, and the third at about 0.5 cm below the xiphoid process. All electrodes were tunneled underneath the skin and externalized at the back of the neck.

In 10 rats fed with high-fat diet, the same method was used to implant VNS electrodes except that one additional electrode was implanted at the ventral subdiaphragmatic vagus.

### Experimental Protocols

#### Experiment 1: Effects of VNS with Various Parameters on Blood Glucose in Normal Rats Treated with Glucagon

Eight of the rats were studied in six sessions randomly including one control session without stimulation and five sessions with VNS of different parameters. The oral glucose tolerance test (OGTT) was performed in a restrainer after a 5–6 h-fasting by oral feeding of 20% glucose (1 g/kg body weight). Glucagon (0.5 mg/kg) was intraperitoneal injected right after feeding glucose. About 5- $\mu$ l blood sample was collected from the tail vein at following time points: 0 (baseline), 15, 30, 60, 90 and 120 min after the injection for the assessment of blood glucose level using a glucometer (Contour, Ascensia Diabetes Care, NJ, USA).

**VNS Method and Parameters** VNS was performed during the entire OGTT period. Prior to the experiment, each rat was brought to the lab and stayed in the restrainer 2–3 h daily for at least 7 days. This reduced the potential stress effects attributed to the placement in the restrainer. During the test, each rat was put in the restrainer with electrode wires connected to a Universal Pulse Generator (Model DS8000, World Precision Instruments, Sarasota, FL, USA). Different VNS parameters were used: wide and short pulses (3 ms vs. 0.3 ms) and

different frequencies (5, 14, 40, and 5000 Hz). Following parameter sets were tested: (1) frequency of 40 Hz, pulse width of 3 ms, pulse amplitude of 3 mA; (2) 40 Hz, 0.3 ms, 3 mA; (3) 14 Hz, 0.3 ms, 3 mA; (4) 5 Hz, 0.3 ms, 2 mA; and (5) 5000 Hz, 0.1 ms, 2 mA, biphasic. In the sham VNS session, the output was set as 0 mA.

**Measurement of Autonomic Functions** Autonomic functions were assessed by the spectral analysis of heart rate variability (HRV) derived from the ECG in eight rats as follows: The ECG was recorded in the fasting state for 30 min on two separated days: one for sham-VNS and the other for VNS. Glucagon (0.5 mg/kg) was intraperitoneal injected right before the ECG recording. An HRV signal was derived from the original ECG recording by identifying R waves. Spectral analysis was performed on the HRV signal to compute the high-frequency component (HF; 0.8–4.0 Hz) reflecting cardiac vagal efferent activity and the low-frequency component (LF; 0.3–0.8 Hz) reflecting mainly sympathetic efferent activity [28].

#### Experiment 2: Effects and Mechanisms of VNS on Blood Glucose in Diabetic Rats

Ten type 2 diabetic rats were used in the following experimental sessions:

**Effects of VNS with bilateral and unilateral VNS on blood glucose** Each rat was studied in three randomized sessions: sham-VNS, bilateral VNS (ventral-dorsal trunks), and unilateral VNS (dorsal trunk). The OGTT was performed as described in Exp. 1 except that no glucagon was injected and the testing duration was 3 h because prolonged hyperglycemia was observed in diabetic rats during the OGTT. VNS was performed using best parameters obtained from Exp.1 except that the pulse width was adjusted to 0.5 ms.

**Effects of VNS with different stimulation patterns on blood glucose** Each rat was studied in three randomized sessions: sham-VNS, continuous VNS, and intermittent VNS. The stimulation parameters were set at pulse frequency of 5 Hz, pulse width of 0.5 ms, and pulse amplitude of 2 mA. The intermittent VNS was performed with a repeated process of 10 s on and 90 s off.

#### Experiment 3: VNS on Insulin Sensitivity in Diabetic Rats

Seven diabetic rats were used in this experiment. Each rat was studied in two randomized sessions: sham VNS and VNS with parameters optimized from Exp. 1 and Exp. 2. An insulin tolerance test (ITT) was performed after the rats were fasted for 5 h. Regular insulin (0.5 U/kg) in a saline solution was injected into the intraperitoneal cavity. About 5- $\mu$ l blood

sample was then collected from the tail vein at the following time points: 0, 15, 30, 60, 90 and 120 min for the assessment of glucose level. VNS/sham VNS was performed during the entire 2-h ITT period.

#### Experiment 4: Role of GLP-1 on Hypoglycemic Effect of VNS

Seven diabetic rats were used in this study. Each rat was studied in four randomized sessions: sham VNS, VNS, exendin 9-39 (a GLP-1 antagonist, 100  $\mu$ g/kg, i.p. Sigma) and VNS+exendin-9-39. The OGTT was performed for 3 h as described previously; exendin was injected 30 min prior to the glucose gavage.

#### Statistical Analysis

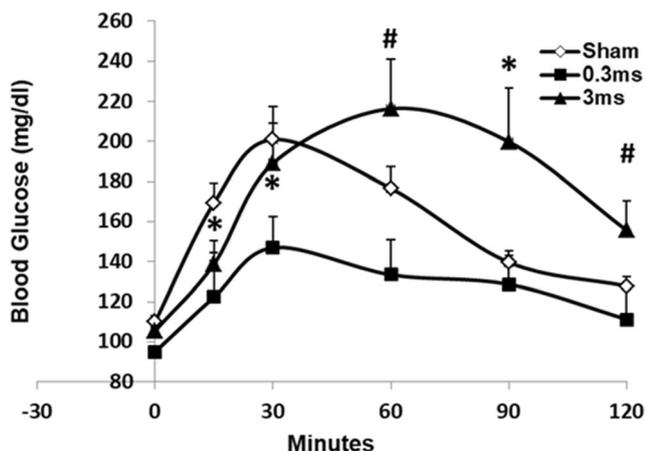
Data are presented as means  $\pm$  SE. A repeated measure ANOVA was used for multiple comparisons in each experiment; a non-parametric Mann-Whitney *U* test for between-groups comparisons with Bonferroni correction was used for any comparisons among three or more sessions. In the insulin tolerance test, the percentage of glucose change compared to the baseline at each time point was used to represent the insulin sensitivity. Significance was defined as  $P < 0.05$ .

## Results

### Effects of VNS Pulse Width in Rats with Glucagon-Induced Acute Hyperglycemia

In rats with glucagon-induced acute hyperglycemia, VNS at pulse widths of 0.3 ms and 3 ms were studied. There was a significant difference among the sham VNS, VNS-0.3 ms and VNS-3 ms at both 60 min and 90 min (ANOVA,  $P = 0.017$  and  $P = 0.021$  respectively); VNS 0.3 ms significantly reduced the blood glucose level from 15 min to 30 min compared to the sham VNS, with a 38.4% reduction at 15 min ( $P = 0.043$  vs. sham) and 26.9% at 30 min ( $P = 0.041$  vs. sham, Fig. 1). The area under the curve (AUC) was marginally reduced with VNS at 0.3 ms ( $385.6 \pm 14.6$  mg h/dl vs.  $312.2 \pm 34.7$  mg h/dl,  $P = 0.06$ ).

Electrical stimulation with the pulse width of 3 ms at the gut was shown to decrease glucose level in rodent model of obesity [24]. However, an opposite effect was noted in the current study when the electrical stimulation was applied to the vagus nerve: after an initial glucose reduction at 15 min, VNS at 3 ms increased glucose level at 90 min compared to the sham-VNS ( $139.8 \pm 5.5$  vs.  $199.9 \pm 26.7$ ,  $P = 0.026$ ; Fig. 1); a significant difference was noted between VNS-3 ms and VNS-0.3 ms at both 60 min and 120 min ( $P = 0.005$ ,  $P = 0.01$  respectively).



**Fig. 1** VNS at different pulse widths on blood glucose in rats induced by glucagon. VNS at 0.3 ms significantly reduced blood glucose at both 15 min and 30 min during OGTT (\* $P < 0.05$  vs. sham); VNS at 3 ms increased blood glucose at 90 min (\* $P = 0.026$  vs. sham); a significant difference was noted between VNS at 0.3 ms and 3 ms at both 60 min and 120 min (# $P < 0.02$ )

**Effects of VNS Frequency in Rats with Glucagon-Induced Acute Hyperglycemia**

Different hypoglycemic effects of VNS with various stimulation frequencies were observed during the OGTT. As shown in Fig. 2a, VNS-5 Hz significantly decreased blood glucose level from 15 min to 120 min compared to the sham VNS; it decreased blood glucose level from  $169.4 \pm 3.2$  to  $137.6 \pm 12.6$  mg/dl at

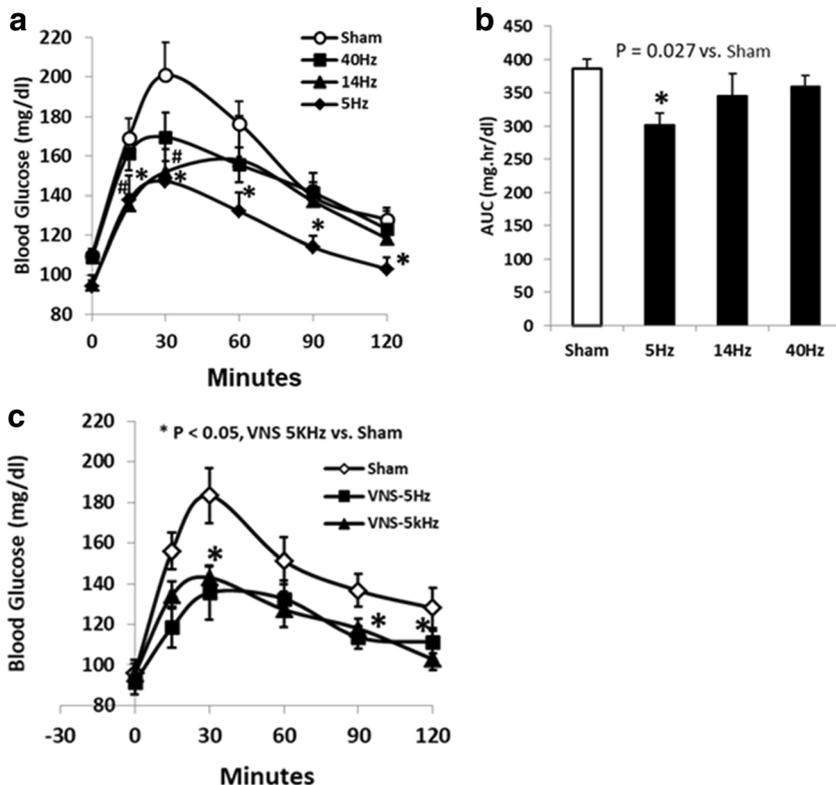
15 min ( $P = 0.03$ ), from  $201 \pm 16.3$  to  $147.4 \pm 16.3$  mg/dl at 30 min ( $P = 0.021$ ), from  $176.6 \pm 10.9$  to  $132.1 \pm 9.6$  mg/dl at 60 min ( $P = 0.029$ ), from  $139.8 \pm 5.5$  to  $114.1 \pm 5.6$  mg/dl at 90 min ( $P = 0.036$ ), and from  $127.9 \pm 4.4$  to  $103.1 \pm 5.9$  mg/dl at 120 min ( $P = 0.047$ ). In addition, the mean AUC was decreased from  $385.6 \pm 14.6$  to  $301.9 \pm 17.2$  mg h/dl with VNS during the 2-h OGTT ( $P = 0.02$ , Fig. 2b).

VNS-14 Hz decreased the blood glucose level only at 15 min and 30 min during the OGTT (Fig. 2a). The reduction was 23.7% at 15 min ( $P = 0.026$ ) and 21.2% at 30 min ( $P = 0.039$ ). There was no difference in the mean AUC between sham and VNS-14 Hz.

No significant difference was noted with VNS at 40 Hz on decreasing blood glucose level during OGTT ( $P > 0.2$  at any time point, Fig. 2a) compared to the sham VNS, neither was on the AUC.

VNS at 5 kHz was tested in 10 rats to compare with VNS-5 Hz on glycemic control. There was a significant difference among the sham, VNS-5 Hz and VNS-5 kHz at 15 min, 30 min, and 60 min (ANOVA,  $P = 0.019$ ,  $P = 0.015$ ,  $P = 0.041$  respectively). VNS at 5 kHz significantly decreased blood glucose level at 30, 90, and 120 min compared to the sham VNS; the reduction of glucose level was 25.7% at 30 min, 16.8% at 90 min, and 13.3% at 120 min ( $P = 0.02$ ,  $P = 0.049$ ,  $P = 0.02$ , respectively Fig. 2c). Although VNS-5 Hz seemed to be more potent on decreasing blood glucose level than VNS-5 kHz, no statistical difference was noted between the two methods ( $P > 0.1$ ).

**Fig. 2** VNS at various frequencies on blood glucose in rats induced by glucagon: **a** VNS at 5 Hz substantially decreased the blood glucose level from 15 to 120 min (\* $P < 0.04$  respectively vs. sham.). VNS at 14 Hz decreased the blood glucose only at 15 min and 30 min (\* $P < 0.04$  respectively); VNS at 40 Hz had no effects on decreasing blood glucose during OGTT. **b** The area under the curve with VNS at 5 Hz was significantly decreased compared to the sham VNS ( $P = 0.027$ ). **c** VNS at 5 kHz significantly decreased the blood glucose level at 30 min, 90 min, and 120 min ( $P < 0.05$  vs. sham)

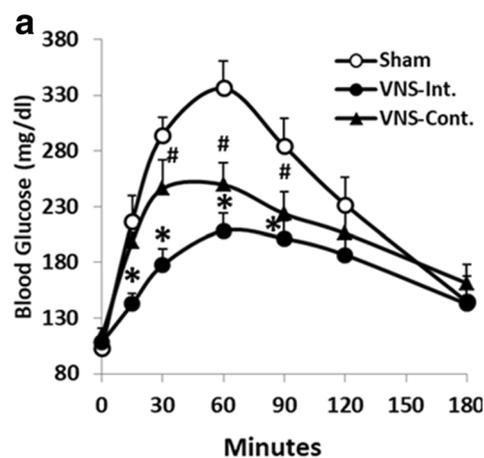


## Bilateral vs. Unilateral VNS on Blood Glucose in Diabetic Rats

Both bilateral and unilateral VNS reduced blood glucose during the OGTT. The mean AUC was reduced from  $936.3 \pm 98.9$  to  $857.9 \pm 90.9$  mg h/dl with bilateral VNS, with the maximal reduction occurred at 90 min (13.7%,  $P=0.01$  vs. sham). Similar to bilateral VNS, unilateral VNS significantly reduced both AUC and blood glucose from 30 to 90 min ( $P<0.02$  for respectively); it decreased blood glucose by 21.2% at 90 min ( $P=0.006$ ). No significant difference was noted between bilateral or unilateral on decreasing blood glucose in diabetic rats.

## Intermittent vs. Continuous VNS on Blood Glucose in Diabetic Rats

In the diabetic rats, continuous VNS decreased the blood glucose level from 30 to 90 min compared to the sham stimulation; the blood glucose was decreased from  $294.3 \pm 15.9$  to  $246.1 \pm 25.8$  mg/dl at 30 min ( $P=0.03$ ), from  $336.3 \pm 24.1$  to  $249.6 \pm 20.0$  mg/dl at 60 min ( $P=0.02$ ), and from  $284.3 \pm 25.2$  to  $223.6 \pm 19.7$  mg/dl at 90 min ( $P=0.03$ ). Intermittent VNS at 5 Hz with 10 s on and 90 s off significantly decreased glucose level during OGTT from 15 to 90 min compared to the sham VNS. The reduction of the blood glucose level was 34.2% at 15 min ( $P=0.006$ ), 39.6% at 30 min ( $P<0.001$ ), 38% at 60 min ( $P<0.001$ ), and 29.2% at 90 min ( $P<0.001$ ) (Fig. 3a). Intermittent VNS was shown to have a more potent hypoglycemic effect compared to the continuous VNS ( $P=0.01$ , at 15 min); the AUC during 3-h OGTT significantly reduced from  $734.1 \pm 59.7$  to  $532.1 \pm 32.4$  mg h/dl ( $P<0.01$  vs. sham, Fig. 3b).



**Fig. 3** VNS at different stimulation patterns on blood glucose in diabetic rats. **a** Intermittent VNS at 5 Hz significantly reduced blood glucose from 15 to 90 min ( $*P<0.04$  respectively vs. sham). Continuous VNS significantly decreased the blood glucose from 30 to 90 min ( $^{\#}P<0.04$

## VNS Enhanced Vagal Activity in Rats Treated with Glucagon

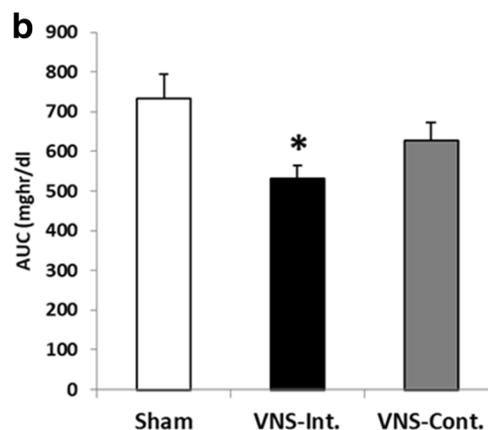
VNS-5 Hz enhanced vagal activity in the fasting state in rats treated with glucagon. HF, representing vagal activity, was elevated from  $0.61 \pm 0.03$  to  $0.74 \pm 0.02$  with VNS-5 Hz ( $P=0.005$ ); LF was decreased from  $0.39 \pm 0.03$  to  $0.26 \pm 0.02$  with VNS ( $P=0.005$  vs. sham); accordingly, the LF/HF was decreased from  $0.69 \pm 0.07$  in the sham to  $0.33 \pm 0.04$  in VNS ( $P=0.003$ ), suggesting the enhancement of vagal tone (Fig. 4).

## VNS on Insulin Sensitivity in Diabetic Rats

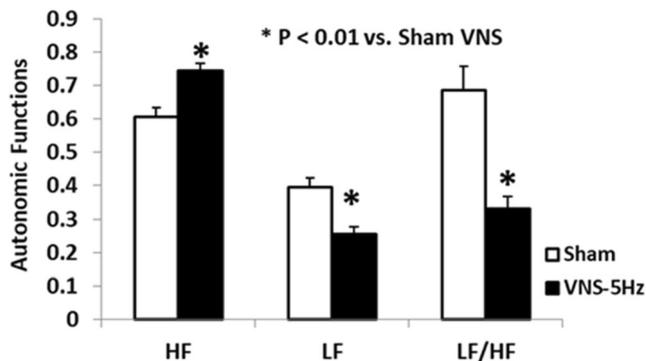
Insulin sensitivity, represented by the percentage of glucose change during ITT, was significantly improved by intermittent VNS at 5 Hz. The blood glucose from baseline was increased by  $10.66 \pm 3.89\%$  in sham VNS but decreased by  $6.79 \pm 2.52\%$  with VNS at 15 min ( $P=0.02$ ), then was decreased by  $23.92 \pm 3.47\%$  in sham VNS and  $39.23 \pm 4.08\%$  with VNS at 60 min ( $P=0.009$ ),  $37.06 \pm 3.84\%$  with sham-VNS and  $52.83 \pm 3.64\%$  with VNS at 90 min ( $P=0.005$ ) and  $54.35 \pm 3.01\%$  with sham-VNS and  $61.19 \pm 4.02\%$  with VNS at 120 min ( $P=0.006$ ) (Fig. 5).

## Involvement of GLP-1 in Hypoglycemic Effect of VNS

GLP-1 receptor antagonist exendin 9-39 significantly blocked the hypoglycemic effect of VNS. VNS significantly decreased the blood glucose from 60 to 180 min with an about 10% reduction at each time point compared to the sham VNS. Exendin itself increased blood glucose, especially at 30 min ( $216 \pm 16$  mg/dl vs.  $253 \pm 14$  mg/dl,  $P=0.02$  vs. sham) and



respectively). **b** The area under the curve during OGTT was significantly reduced with intermittent stimulation ( $*P<0.01$  vs sham). VNS-Int., intermittent VNS; VNS-Cont., continuous VNS.

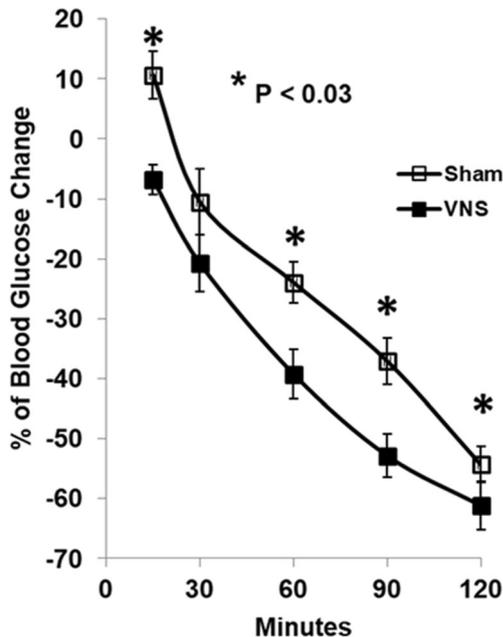


**Fig. 4** VNS at 5 Hz on autonomic functions derived from heart rate variability in rats treated with glucagon. VNS-5 Hz significantly enhanced vagal activity (HF), decreased sympathetic activity (LF), therefore enhanced sympathovagal balance (LF/HF). (\* $P < 0.01$  vs. sham)

60 min ( $243 \pm 13$  mg/dl vs.  $266 \pm 14$  mg/dl,  $P = 0.005$  vs. sham). No difference was noted between the exendin and exendin + VNS sessions from 90 to 180 min ( $P > 0.05$ ) during OGTT or the AUC, suggesting partially blockage of the hypoglycemic effect of VNS. No difference was noted either between exendin + VNS or the sham VNS during OGTT or the 3-h AUC ( $P > 0.1$ ) (Fig. 6a,b).

## Discussion

In this study, we have found that low-frequency VNS (frequency  $< 40$  Hz) reduced blood glucose in rats; VNS at 5 Hz resulted in a more potent hypoglycemic effect in both normal and diabetic

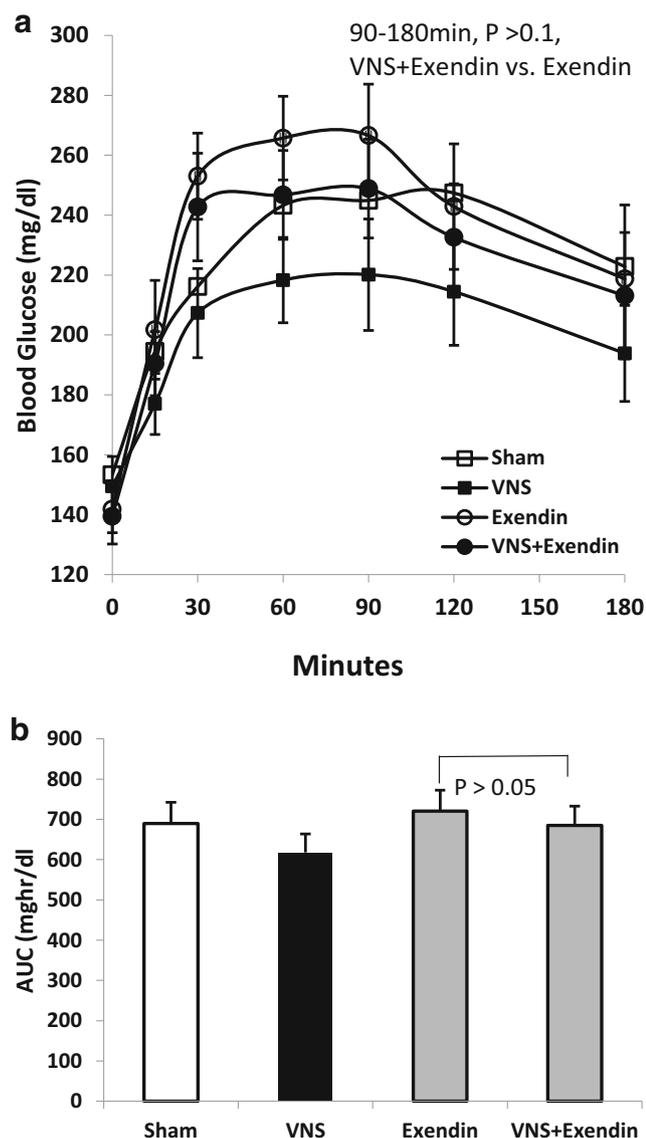


**Fig. 5** VNS at 5 Hz on insulin sensitivity in diabetic rats. VNS significantly increased insulin sensitivity during insulin tolerance test at 15, 60, 90, and 120 min (\* $P < 0.03$  vs. sham)

rats. VNS with short pulse (0.3 ms) was better than wide pulse (3 ms) and the intermittent stimulation was more effective than the continuous stimulation. The hypoglycemic effect of VNS was possibly attributed to the improvement of vagal activity and the modulation of GLP-1.

Two animal models were used in this study: an acute hyperglycemia model with glucagon was used during VNS parameter optimization and a type 2 diabetic model was used for validating the effectiveness of VNS with optimized stimulation parameters and for studying mechanisms of VNS involving the autonomic functions and GLP-1. Glucagon has been used in the animal models to induce a state of hyperglycemia and hyperglucagonemia which are present in the diabetic setting [23, 29, 30]. In an early study by McCallum et al., glucagon was injected in the dogs underwent vagotomy to induce a canine model of diabetic gastroparesis; gastric emptying was delayed and the serum glucose level rose to a mean of 240 mg/dl and maintained above the normal range for at least 120 min [29]. In the current study, glucagon induced hyperglycemia to a peak of 201 mg/dl at 30 min during OGTT in rats (Fig. 1, sham). The diabetic model was developed based on previous studies in the literature in both rats and dogs [25, 31]. The combination of HFD and low-dose STZ that decreases but not completely destroys pancreatic  $\beta$ -cells results in diabetes that resembles syndromes of type 2 diabetes. In the rats treated with HFD and low-dose STZ, the mean fasting blood glucose increased to  $> 300$  mg/dl 5 days after STZ injection, then dropped to 168 mg/dl 10 days after the injection. The elevated fasting blood glucose was maintained for at least 3 months during the experimental period.

Recently, there is a rising interest on VNS for diabetes. However, different VNS methods have been reported in the literature, including different stimulation parameters, stimulation locations, and stimulation durations. Both high and low frequencies were used ranging from 0.05 Hz [12, 17] to 5000 Hz [32, 33]; stimulation sites included cervical vagus nerve, subdiaphragmatic vagus nerve (bilateral and unilateral), and auricular vagus nerve; daily stimulation duration varied from 10 min to 12 h [20–22, 33]. VNS at 0.01–10 Hz was reported to reduce excess weight gain in rats fed with high-fat diet [17, 34, 35]. VNS at 30 Hz decreased weight gain, food consumption and improved insulin sensitivity in diet-induced obese pigs [22, 36]. A combination of 2- and 15-Hz auricular VNS was reported to prevent the progression of hyperglycemia in Zucker fatty rats [21]. All these frequencies were within the category of low frequency; the question was which direction should go to achieve a better hypoglycemic effect: to the lower or higher scale? In the current study, 5 Hz, 14 Hz, and 40 Hz were tested. Five-hertz VNS was commonly used for treating epilepsy, depression, and intestinal inflammation [11, 15, 16]. The 14 Hz was used in a gastric electrical stimulation (GES) method called “Enterra® therapy” for treating nausea and vomiting in gastroparetic patients [37, 38]; vagally mediated neural mechanisms are believed to be involved in the anti-emetic effect of Enterra® therapy [38,



**Fig. 6** Involvement of GLP-1 in VNS in diabetic rats. **a** VNS at 5 Hz decreased the blood glucose from 60 to 180 min. However, GLP-1 antagonist exendin 9-39 blocked the hypoglycemic effect of VNS ( $P > 0.05$ , exendin vs. exendin + VNS). **b** No significant difference was noted of the area under the curve between exendin and exendin + VNS sessions, suggesting the involvement of GLP-1 on hypoglycemic effect of VNS

39]. GES at Enterra parameters reduced vasopressin-induced emesis in dogs and increased vagal activity assessed by heart rate variability in rats and this effect was blocked either by vagotomy or by denervation of vagal afferent fibers via capsaicin [39, 40]. Different from 14 Hz targeting on the symptoms, GI stimulation at 40 Hz was more effective on the alteration of GI motility including gastric contractions and gastric emptying [41–43]. Neither of these low-frequency stimulations has been studied on vagus nerve for diabetes. Current results indicated that VNS at both 5 Hz and 14 Hz decreased blood glucose during the OGTT, whereas 5 Hz was the most potent among the three low frequencies.

Interestingly, stimulation at 5 kHz reduced the blood glucose similar to 5 Hz VNS. Contrast to low-frequency VNS, a kilohertz frequency current was capable of blocking vagus nerve signaling. In an initial preclinical study, both A $\delta$  and C components of the vagus compound action potentials were attenuated with 5-kHz stimulation for 1 min [44]. In early 2015, VNS at a high frequency of 5 kHz was approved for treating obesity (VBLOC) [32]. The vagal blockade has also been applied for treating diabetes among obese patients with T2DM. Glycemic control was improved by the VBLOC therapy with a decrease of 0.6% on A1C after 2 years of treatment; however, no control data were available in the study [33]. If the finding of this study could be translated into humans, VNS could be performed at 5 Hz instead of 5 kHz, which would be very attractive in clinical applications.

While gastric or intestinal electrical stimulation at 3 ms was found more potent in altering gastrointestinal motility functions [24, 39, 42]. VNS with 3 ms was found to increase blood glucose, an effect opposite to VNS with 0.3 ms/0.5 ms. It is conceivable that nerve stimulation at 3 ms is effective; however, further mechanistic studies are needed to explore why VNS with 3 ms increased the blood glucose level.

The most commonly used location for VNS is the cervical (left) vagal nerve, which has been used for treating epilepsy, depression, and intestinal inflammation [11, 15, 16]. Bilateral vagus nerve stimulation was used for treating diabetes in a swine model [22]; it was also used in the vagal blockage for treating obesity in humans [32, 45]. The selection of stimulation location is seemingly based on the nerve innervation and the feasibility of surgical technique. Branches that leave the cervical vagal trunks predominantly supply organs associated with cardiorespiratory function, whereas the branches that leave the subdiaphragmatic vagal trunks innervate organs associated with metabolism [12]. Due to the possible cardiorespiratory side effects, cervical vagus nerve was not selected in the current study. Moreover, unilateral cervical vagal stimulation was reported to have a minimal effect on body weight and did not affect fasting glycemia [22, 46, 47]. In the current study, VNS at the dorsal trunk and VNS bilateral trunks were compared in diabetic rats; both of the locations reduced blood glucose and no significant difference was noted during the OGTT, suggesting whether unilateral or bilateral stimulation might not be crucial for subdiaphragmatic vagal nerve stimulation.

The mechanism underlying the low-frequency VNS for treating diabetes is unclear. The following actions might have been involved: firstly, VNS might improve or normalize sympathovagal imbalance in diabetes by suppressing sympathetic overactivity and/or elevating diminished vagal activity. In the current study, vagal activity was enhanced, leading to a decreased sympathovagal balance in rats with hyperglycemia. Secondly, VNS might increase insulin release or sensitivity in diabetes. Previous studies have shown that VNS increased fasting insulin release from the pancreas [20] and increased whole-body insulin sensitivity, reflected as substantial improvement in brain, hepatic and

skeletal muscle glucose uptake [22]. The current data from the insulin tolerance test also suggested an increase in insulin sensitivity with VNS. Thirdly, the hypoglycemic effect of VNS might be related to the intestinal release of GLP-1. GLP-1 is a gut hormone that is secreted from the small intestine in response to enteric nutrients. Vagal afferent neurons express GLP-1 receptors [48–50] and terminate in the lamina propria of the intestinal mucosa as well as in the wall of the hepatic portal vein [48]. Vagal afferent neurons may therefore relay the gut GLP-1-derived signals to the brain and mediate satiating and glucoregulatory responses [48]. The GLP-1 receptor agonist is used as a new pharmacotherapy for type 2 diabetes. In the current study, the hypoglycemic effect of VNS was blocked by GLP-1 antagonist exendin 9-39, suggesting a major role of GLP-1. The limitation of the current study was that VNS was performed acutely and no chronic data were available at this time. Future chronic study with daily treatment of VNS is needed to investigate mechanisms involving GLP-1, insulin secretion, and  $\beta$ -cell functions.

In conclusion, VNS at low frequencies of 5- and 14-Hz reduce blood glucose in a rodent model of type 2 diabetes and intermittent stimulation is more potent than continuous stimulation. Compared to other VNS methods used for treating obesity or diabetes, the VNS method optimized in this study consumes much less energy and is therefore more feasible for future clinical applications. The hypoglycemic effect of 5 Hz VNS may be attributed to the improvement of sympathovagal imbalance, increased insulin sensitivity, and the release of GLP-1.

**Funding** Jieyun Yin was partially supported by a grant from NIH-SPARC (Stimulating Peripheral Activity for Relieving Conditions) (1U18TR001926).

## Compliance with Ethical Standards

**Conflict of Interest** The authors declare that they have no conflicts of interest.

**Statement of Animal Rights/Ethical Approval** All applicable institutional and/or national guidelines for the care and use of animals were followed.

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