



Intrinsic Effects of Gold Nanoparticles on Oxygen–Glucose Deprivation/Reperfusion Injury in Rat Cortical Neurons

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Received: 18 January 2019 / Revised: 8 March 2019 / Accepted: 9 March 2019 / Published online: 15 May 2019
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Abstract

This study aimed to investigate the potential effects of gold nanoparticles (Au-NPs) on rat cortical neurons exposed to oxygen–glucose deprivation/reperfusion (OGD/R) and to elucidate the corresponding mechanisms. Primary rat cortical neurons were exposed to OGD/R, which is commonly used in vitro to mimic ischemic injury, and then treated with 5- or 20-nm Au-NPs. We then evaluated cell viability, apoptosis, oxidative stress, and mitochondrial respiration in these neurons. We found that 20-nm Au-NPs increased cell viability, alleviated neuronal apoptosis and oxidative stress, and improved mitochondrial respiration after OGD/R injury, while opposite effects were observed for 5-nm Au-NPs. In terms of the underlying mechanisms, we found that Au-NPs could regulate Akt signaling. Taken together, these results show that 20-nm Au-NPs can protect primary cortical neurons against OGD/R injury, possibly by decreasing apoptosis and oxidative stress, while activating Akt signaling and mitochondrial pathways. Our results suggest that Au-NPs may be potential therapeutic agents for ischemic stroke.

Keywords Gold nanoparticles · Ischemic stroke · Primary rat cortical neurons · Neuronal apoptosis · Oxidative stress · Mitochondria

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Introduction

Stroke is the second leading cause of death worldwide, following ischemic heart disease [1], and is primarily caused by thrombosis or embolism [2]. According to the World Health Organization, approximately 15 million people experience stroke each year [3]. Additionally, stroke is the third most common cause of disability, following ischemic heart disease and lower respiratory infections, thereby placing a heavy economic burden on the patient's family and community [4, 5]. Blood flow provides brain cells with oxygen, which is essential for energy production. Therefore, a combination of blood flow restoration and reoxygenation is the globally approved strategy for the treatment of acute ischemic stroke [6–8]. Paradoxically, the subsequent reperfusion can result in additional brain injury, including hemorrhagic transformation, blood–brain barrier (BBB) disruption, and massive brain edema, in what is known as cerebral ischemic-reperfusion (I/R) injury [9–12]. Efforts to treat I/R injury are currently underway. Treatment of ischemic stroke is difficult in part because I/R-induced cerebral injury is related to diverse pathological processes, including cellular apoptosis,

inflammation, oxidative stress, excitotoxicity, calcium overload, and mitochondrial dysfunction [13–17]. Thus, novel therapeutic agents that can effectively treat cerebral I/R-induced injury are in high demand. Although numerous studies have been performed in recent years, few effective treatments are available [18].

Gold nanoparticles (Au-NPs) have been actively investigated in a wide variety of biomedical applications owing to their unique physicochemical characteristics, good biocompatibility, and the simplicity of their synthesis [19–21]. Today, many disciplines contribute to Au-NP research, including the fields of bio-sensing, photo-imaging, in vitro diagnostics, targeted drug delivery, and even intrinsic treatment [22–27]. Au-NPs have received a great deal of attention as anti-inflammatory agents [28] due to their ability to inhibit the expression of inflammation-related proteins, such as nuclear factor- κ B, and the subsequent inflammatory reactions [29]. Fernandez et al. [30] found that 12-nm Au-NPs could induce an increase in IL-4 accompanied by a lack of IL-12p70 and IFN- γ expression in dendritic cells (DCs). However, the same report showed that exposure to 2-nm Au-NPs resulted in an opposite effect on cytokine levels in DCs. These observations suggest that Au-NPs of different sizes may have different effects on the inflammatory response. Moreover, several studies have demonstrated that Au-NPs have potential antioxidant effects, as they are effective in restraining reactive oxygen species (ROS) [31]. Gao et al. [32] reported that 22-nm Au-NPs reduced A β -mediated peroxidase activity in vitro, while Rizwan et al. [33] demonstrated that treatment with Au-NPs inhibited high glucose-induced ROS/RNS production, antioxidant depletion, biomolecular damage, inflammation, and apoptosis in macrophages. Ferreira et al. [34] investigated the effects of acute and long-term administration of Au-NPs in adult rats, and found, in particular, that acute administration of 10-nm Au-NPs and 30-nm Au-NPs increased superoxide dismutase (SOD) activity. Long-term administration of 30-nm Au-NPs also increased SOD activity. Furthermore, Au-NPs were shown to prevent cognitive deficits, oxidative stress, and inflammation in a rat model of sporadic Alzheimer's disease [35]. Given the anti-inflammatory and antioxidant effects demonstrated in these studies, Au-NPs may have therapeutic potential for the treatment of cerebral I/R injury.

Our previous work demonstrated that 20-nm Au-NPs significantly alleviated cerebral I/R injury in a rat model of middle cerebral artery occlusion (MCAO) [27]. In contrast, 5-nm Au-NPs resulted in aggravated neurological deficits and enlarged infarction volumes compared with an untreated I/R group. Although these results indicate that apoptosis, inflammation, and oxidative stress may be involved in these processes, the mechanisms underlying the role of Au-NPs in ischemic stroke remain poorly understood. Under pathological conditions, increased oxidative stress can lead to

mitochondrial dysfunction. Mitochondria can then generate excessive ROS, leading to a vicious cycle. The term 'ROS-induced ROS release' reflects such endless cycle of ROS production [36]. Oxidative stress injury to the mitochondria and the subsequent mitochondrial dysfunction are involved in ischemic stroke. The objectives of the present study were to investigate the potential effects and the corresponding mechanisms of Au-NPs on primary rat cortical neurons exposed to oxygen–glucose deprivation/reperfusion (OGD/R) which is an in vitro technique commonly used to mimic ischemic injury.

Materials and Methods

Ethical Disclosure

All experiments were conducted in accordance with the guidelines approved by Nanjing Medical University Animal Care and Use Committee.

Preparation of Au-NPs

We synthesized 5-nm Au-NPs using the NaBH₄ reduction method [37]. Under constant stirring at room temperature (RT), 2 mL of 100 mM fresh HAuCl₄ solution was quickly added to an 80 mL solution containing 0.25 mM HAuCl₄ and 0.25 mM trisodium citrate. The resulting Au-NPs were aged at RT for at least 2 h for subsequent experiments.

20-nm Au-NPs were synthesized using the classic citrate reduction method [38]. For the synthesis, 100 mL of 0.25 mM HAuCl₄ aqueous solution was heated to boiling. Next, 4.5 mL of 1% (weight/volume) citrate solution was added to the prepared solution, and boiling was continued until the color of the solution changed to ruby red. The citrate-capped Au-NP solution was then naturally cooled to RT for future use.

Characterization and Dispersion of the Au-NP Suspension

The morphology of the Au-NPs was observed using a previously reported method [39]. Briefly, we used transmission electron microscopy (TEM; JEM-2100EX, JEOL, Tokyo, Japan) to analyze the size and shape of the Au-NPs at an accelerating voltage of 200 kV. Ultraviolet–visible (UV–Vis) spectra were acquired with a Shimadzu UV-3600 spectrophotometer in the 300–1100 nm range. The Au-NP stock solution was stirred with 5% (w/v) bovine serum albumin for 30 min, then centrifuged at 20,000 \times g for 40 min to obtain the 5-nm Au-NPs and 9000 g for 20 min to obtain 20-nm Au-NPs. After removing the supernatant, the Au-NP precipitate was then resuspended in a different volume of

neurobasal-A medium supplemented with 2% B27 by agitation with a vortex mixer. Finally, the concentration of the Au-NP suspension was identified via inductively coupled plasma mass spectrometry (ICP-MS). The Au-NP suspensions were freshly prepared for each cell culture.

Primary Rat Cortical Neuron Cultures

Briefly, rat cortical tissues were obtained from neonatal Sprague-Dawley rats and maintained in phosphate-buffered saline (PBS) on ice, then sectioned and incubated for 10 min in 0.25% (w/v) trypsin in a 37 °C water bath, followed by the addition of Dulbecco's Modified Eagle Medium: Nutrient Mixture F-12 (DMEM/F12) (1:1) containing 10% (v/v) fetal bovine serum (FBS) and centrifugation at 200×g for 5 min at 4 °C. After removing the supernatant, the cells were resuspended in DMEM/F12 with 10% FBS, 100 U/mL penicillin, and 100 U/mL streptomycin. The cells were then seeded on poly-D-lysine-coated plates at a density of 5×10^4 cells/well in a 96-well plate and 1×10^6 cells/well in a 6-well plate. Cell cultures were maintained at 37 °C in a 5% CO₂/95% air humidified incubator chamber (Thermo Scientific 3110, MA, USA). After 4 h, the culture medium was changed to serum-free neurobasal-A medium supplemented with 2% B27 (Gibco, Life Technologies, Paisley, UK). The following experiments were performed with neurons that had been in primary culture for 72 h.

Immunofluorescence Staining

Neuron-specific enolase (NSE) translocation was observed by immunofluorescence staining. Rat cortical neurons grown on coverslips were fixed in 4% paraformaldehyde for 30 min at RT and blocked with 5% BSA and 1% Triton X-100 for 1 h at RT. Next, cells were incubated with anti-NSE rabbit polyclonal antibody (1:100 diluted) overnight at 4 °C and then with Dylight 549-labeled goat anti-rabbit secondary antibody (1:100) for 1 h at RT in the dark. DAPI was added for nuclear staining. The neurons were observed under a fluorescence microscope (Leica DM2500). For quantitative analysis, the purity of neurons was calculated from three random fields.

Oxygen–Glucose Deprivation and Reperfusion (OGD/R) Cell Models Mimicking Ischemia-Reperfusion Injury

The *in vitro* ischemia model was obtained as described previously [40]. The growth culture medium of the cells was replaced with glucose-free serum-free medium (Gibco), and the plates were placed in an oxygen-free chamber next to a sachet containing ascorbic acid (Mitsubishi gas chemical company, Tokyo, Japan). An oxygen indicator (Mitsubishi

gas chemical company) was also placed in the chamber. The lid of the chamber was then closed tightly, and the chamber was returned to the 5% CO₂/95% air incubator at 37 °C. The completely anaerobic condition in the chamber was verified by the color of the indicator changing from lilac to pink. Meanwhile, the onset time for oxygen–glucose deprivation (OGD) was recorded. After 4 h of deprivation, the medium was replaced with normal growth culture medium with or without Au-NPs, under normoxic conditions, for 48 h. For the following *in vitro* experiments, the cortical neuron cultures were categorized as follows: control, OGD/R, OGD/R+5-nm Au-NP, and OGD/R+20-nm Au-NP.

Uptake and Quantitative Analysis of Au-NPs

The uptake of Au-NPs by rat cerebral cortical neurons was verified using TEM. After exposure to Au-NPs for 48 h, the cells were collected enzymatically and fixed in 3.7% (v/v) paraformaldehyde in PBS for 20 min at RT. The cells were then prepared for TEM analysis as described previously [41]. Cells were fixed in 1% (w/v) osmium tetroxide for 2 h, dehydrated in a graded series of 30, 50, 70, 80, and 90% ethanol, and treated three times with 100% ethanol for 15 min each. The samples were then embedded in a mixture of resin in propylene oxide polymerized at 80 °C. Ultrathin sections for TEM were prepared using a diamond knife, and the samples were analyzed using TEM (JEM-2100EX, JEOL, Tokyo, Japan) with an accelerating voltage of 80 kV. The internalization of Au-NPs was quantified using ICP-MS.

Cell Viability Assay

Cell viability was assessed using the Cell Counting Kit-8 (CCK8, Vazyme) according to the manufacturer's instructions, as described previously [41]. Primary cortical neurons were isolated as described above, then seeded on a 96-well plate at a density of 5×10^4 cells per well in a 100 μL volume. After being subjected to OGD for 4 h, cells were incubated in neurobasal-A medium containing 2% B27 with or without various concentrations of 5 nm or 20 nm Au-NPs. After a 48-h incubation, 10 μL of 2-(2-methoxy-4-nitrophenyl)-3-(4-nitrophenyl)-5-(2,4-disulfophenyl)-2H-tetrazolium, monosodium salt (WST-8) was added to each well before an additional 1-h incubation at 37 °C in the 5% CO₂/95% air, humidified incubator chamber. Optical density (OD) was measured with a microplate reader (Bio-Rad, CA, USA) at 450 nm. The mean absorbance value for each concentration of Au-NPs was divided by the mean value of the control cells, and is thus presented as a percentage, where the control group corresponds to 100%. The data were then plotted using Prism 5.0 (GraphPad Software, San Diego, CA, USA).

Cell Apoptosis Assay

Flow cytometry analysis was used to assess apoptotic rates using the Annexin V-fluorescein isothiocyanate (FITC)/propidium iodide (PI) double staining apoptosis detection kit (BD Biosciences Pharmingen, San Jose, CA, USA), according to the manufacturer's instructions. In brief, the cells from each of the four groups were treated with or without AUNPs for 48 h after the 4-h OGD period. Subsequently, cells were collected following trypsinization (0.25% trypsin), then centrifuged at $200\times g$ for 5 min at 4 °C and washed twice with pre-cold PBS. After removing the supernatant, cells were resuspended in the binding buffer at a concentration of 1×10^6 cells/mL, and then stained with 5 μ L of Annexin V-FITC for 15 min in the dark at RT, followed by the addition of 5 μ L of PI and 200 μ L of binding buffer. Finally, the stained apoptotic cells were identified by the FACSCanto II system (BD Bioscience, USA), and data were analyzed using the FlowJo software.

Assessment of the Mitochondrial Membrane Potential

The mitochondrial membrane potential (MMP, $\Delta\Psi_m$) was determined using a JC-1 detection kit (Beyotime, Jiangsu, China) according to the manufacturer's instructions, as described previously [42]. Briefly, cells were harvested and washed twice with PBS, then 1 μ L of JC-1 was added to 500 μ L of incubation buffer, and the cells were incubated for 20 min in the mixture at 37 °C in the dark. After centrifugation, the cells were resuspended in the incubation buffer and immediately analyzed using the FACSCanto II system (BD Bioscience, USA). Data were analyzed using the FlowJo software.

Measurement of Reactive Oxygen Species Generation

The levels of intracellular ROS were measured using an ROS assay kit (Beyotime) following the manufacturer's protocol with minor modifications. After removing the supernatant from the 6-well culture plate, the neurons were washed with Hanks' Balanced Salt Solution (HBSS) and then incubated with dichloro-dihydro-fluorescein diacetate at a final concentration of 10 μ M for 30 min at 37 °C in the 5% CO₂/95% air incubator chamber. Subsequently, the neurons were washed twice with HBSS to remove excess dichloro-dihydro-fluorescein diacetate and harvested for final analysis using the FACSCanto II system (BD Bioscience, USA). The results were reported as mean fluorescence intensity (MFI) and analyzed using the FlowJo software.

Measurement of Malondialdehyde Generation

Lipid peroxidation was evaluated by measuring malondialdehyde (MDA) levels using the thiobarbituric acid-reactive substance method (Beyotime) [43]. MDA reacts with thiobarbituric acid to form a colorimetric (532 nm) product. The cells, divided into four experimental groups as described above, were collected and lysed with RIPA lysis and extraction buffer on ice followed by centrifugation at $12,000\times g$ for 5 min. Next, the supernatant was mixed with reagents C and D, incubated at 100 °C for 50 min, and rapidly cooled in ice water. Subsequently, the mixture was centrifuged at $500\times g$ for 15 min at 4 °C. The absorbance of the supernatant was measured at 532 nm, and the concentration of MDA was calculated according to a standard curve. The results are expressed as nmol/mg of protein.

Measurement of Superoxide Dismutase Generation

SOD activity was measured with the xanthine oxidase method using an SOD assay kit (Beyotime). Cells were collected and homogenized in ice-cold PBS, and then centrifuged at $10,000\times g$ for 5 min at 4 °C. The upper aqueous layers, containing SOD enzymes, were collected for enzymatic assays. Assays were conducted according to the manufacturer's protocol, and the total protein concentration was determined using the Bradford method. The absorbance was then measured at 450 nm using a microplate reader. SOD activity is expressed as enzyme units/mg of cell protein.

Measurement of Caspase-3 Generation

Caspase-3 activity was determined using a caspase-3 colorimetric assay kit (Beyotime), according to the manufacturer's instructions. Briefly, cells were lysed, and the substrate was cleaved by caspases before being centrifuged at $2000\times g$ for 5 min at 4 °C. Fifty μ L of supernatant, 50 μ L of reaction buffer, and 5 μ L of caspase-3 substrate were mixed and incubated for 1 h in the dark at 37 °C. Afterwards, absorbance was measured at 405 nm by a microplate reader. Caspase-3 activity is expressed as a percentage of the control group result.

Aconitase Activity Assay

An aconitase activity assay kit (BioVision, Milpitas, CA, USA) was used to measure mitochondrial aconitase activity, as described previously [44]. Cells were collected after treatment and centrifuged at $1000\times g$ for 10 min at 4 °C. After removing the supernatant, cells were resuspended in 100 μ L of assay buffer on ice. Next, cells were homogenized before centrifugation at $800\times g$ for 10 min at 4 °C. The resulting supernatant was used to measure aconitase

activity at 450 nm using a microplate reader. The OD values were normalized to the protein concentration of each sample, and the results are expressed as a percentage of the control group result.

Quantitative Real-Time PCR Assay

Forty-eight hours after the 4 h-OGD period, total RNA from primary cortical neurons was extracted using Trizol. The purity of the extracted RNA was determined, and then reverse transcription polymerase chain reaction (RT-PCR) was performed using a Hiscript Q RT Super Mix for qPCR (Vazyme, China) following the manufacturer's instructions. mRNA expression levels were quantified by real-time PCR with the FastStart Universal SYBR Green Master (ROX) (Roche, Germany) and the ABI 7500 real-time PCR system (Applied Biosystems, USA). A no-template control was analyzed in parallel for each gene, and the GAPDH gene was selected as the housekeeping gene. Eventually, the unknown template was calculated through the standard curve for quantitative analysis.

The primer sequences are shown the following: AIF Fwd, ATGTCCGTCGCACTCAGCAA, AIF Rv, TCAAGGCAGGCTGGCAAT; Bax Fwd, GGCCACCAGCTCTGAACAGAT, Bax Rv, TCAGCCCATCTTCTTCCAGAT; Bcl-2 Fwd, ATGGCGCAAGCCGGGAGAACAGGGTATGATAA, Bcl-2 Rv, TCACTTGTGGCCAGGTATG; Caspase-3 Fwd, ATGGACAACAACGAAACCTCC, Caspase-3 Rv, TTAGTGATAAAAAGTACAGTTCTTTTGTGAGC; Cytochrome c Fwd, GCAGTGTCTTGTCTCCTCGAAGT, Cytochrome c Rv, CTTGAGTCCCATGCGTTTT; GAPDH Fwd, GAAAGACAACCAGGCCATCAG, GAPDH Rv, TCA TGAATGCATCCTTTTTTTC.

Western Blot Analysis

Forty-eight hours after the 4 h-OGD period, protein samples from rat primary cortical neurons were extracted and homogenized in lysis buffer. Western blot analyses were performed using 50 µg of the extracted protein samples. The supernatants were then separated by 10% SDS-PAGE and transferred to an Immobilon-FL membrane. After blocking with Tris-buffered saline (TBS) containing 5% non-fat milk, the membranes were probed overnight at 4 °C with primary antibodies: BAX (1:2000, Abcam), p-Akt (1:2000, Cell Signaling), Akt (1:1000, Cell Signaling), p-GSK3β (1:1000, Cell Signaling), GSK3β (1:1000, Cell Signaling), GAPDH (1:5000, CMCTAG). The membrane was then incubated at room temperature for 1 h with horseradish peroxidase-conjugated antibodies. Protein expression was assessed using an enhanced chemiluminescence method with the ECL kit (BioRad, USA) and imaged using the ChemiDoc XRS imager (Bio-Rad, USA).

Measurement of Mitochondrial Oxygen Consumption by a Seahorse XF96 Analyzer

Mitochondrial oxygen consumption was measured using a Seahorse XF96 Extracellular Flux analyzer (Seahorse Bioscience, North Billerica, MA, USA) as described previously [45, 46]. After dividing the cells into four groups as described above, neurons were cultured for 48 h. Subsequently, the 96-well plate was loaded into the Seahorse XF96 analyzer and various factors were assessed every 8 min, including basal respiration, mitochondrial respiration, ATP generation, proton leakage, maximal respiration, and reserve capacity. Agents were added every three assessments in the following sequence: oligomycin (2 µM), FCCP (2 µM), antimycin A (0.5 µM), and rotenone (0.5 µM).

Statistical Analysis

Each experimental condition was replicated at least three times from three independent culture preparations. Data are expressed as mean ± standard error (SEM). All treatment groups were compared with the control group using one-way ANOVA with Dunnett's post-test. P-values < 0.05 were considered statistically significant. All statistical analyses were performed using Prism 5.0 (GraphPad Software).

Results

Synthesis and Characterization of Au-NP Suspensions

TEM was performed to confirm the primary particle size and to observe the general morphology of the particles. All samples exhibited spherical shape and narrow size distributions (Fig. 1a, b). The successful generation of 5- and 20-nm Au-NPs was also qualitatively examined using UV-Vis spectroscopy (Fig. 1c, d). The Au-NPs were well dispersed in the neurobasal medium. The concentrations of 5- and 20-nm Au-NP suspensions were 200, 400, 800, 1600, and 2400 µg/L, as quantified by ICP-MS.

Assessment of the Purity of Rat Cortical Neurons

To observe the morphology and evaluate the purity of neurons, cells were isolated and cultured in serum-free culture media. As shown in Fig. 2a, neurons grew well on the fifth day. Cells were then incubated with anti-NSE rabbit polyclonal antibody. For quantitative analysis, the purity of

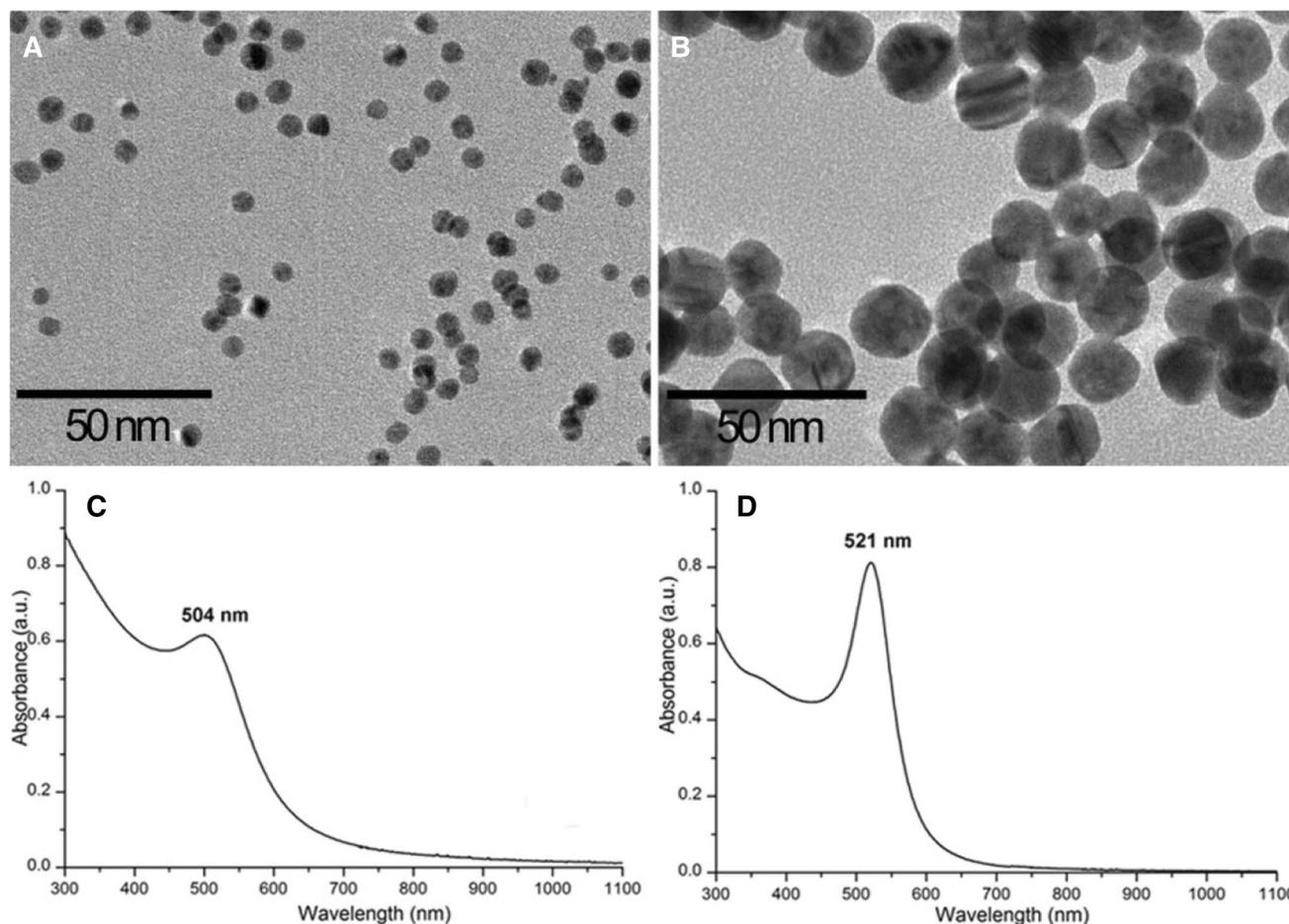


Fig. 1 Characterization of gold nanoparticles (Au-NPs) by transmission electron microscope (TEM) and UV-Vis analysis. **a** TEM images of 5-nm Au-NPs. The scale bar represents 50 nm. **b** TEM images of 20-nm Au-NPs. The scale bar represents 50 nm. **c** UV-Vis

absorption spectrum of 5-nm Au-NP solution and its digital picture (inset). **d** UV-Vis absorption spectrum of 20-nm AuNP solution and its digital picture (inset)

neurons was calculated from three random fields, and was as high as $82.7 \pm 2.3\%$ (Fig. 2b).

Internalization of Au-NPs

Au-NPs have received a great deal of attention for biomedical applications due to their good biocompatibility [47]. Cell internalization of the Au-NPs in rat cortical neurons was investigated using TEM imaging. The results showed that the uptake and intracellular distribution of the Au-NPs in the neurons exhibited pharmacodynamic action (Fig. 3). Au-NPs primarily enter cells by phagocytosis. In the neurons, they were stably localized in the cytosol, membranous vesicles, and lysosomes in the form of aggregates or single particles, but were not observed in the nucleus, an observation that contributes to our understanding of the interaction of Au-NPs with neurons. Intriguingly, the 20-nm Au-NPs, but not the 5-nm AuNPs, were observed in the mitochondria

(Fig. 2f): This is likely explained by the timing and angle not being appropriate.

Cell Viability

To detect the effects of Au-NPs on normal neurons, cells were treated with a range of concentrations of 5- or 20-nm Au-NPs (200, 400, 800, 1600, and 2400 $\mu\text{g/L}$) for 48 h. As shown in Fig. 4a, cell viability increased after 20-nm Au-NP treatment and decreased after 5-nm Au-NP treatment. Cell viability was significantly reduced after OGD/R treatment ($P < 0.05$, Fig. 4b), and divergent trends were observed for the differently-sized Au-NPs. Cell survival was notably facilitated by 20-nm Au-NP treatment at concentrations of 800, 1600, and 2400 $\mu\text{g/L}$ ($P < 0.001$). These results indicate that 20-nm Au-NPs exert a protective effect on rat cerebral cortical neurons. In contrast, 5-nm Au-NPs induced a dose-dependent decrease of neuron viability. The threshold concentration of 5-nm Au-NPs inducing significant toxicity

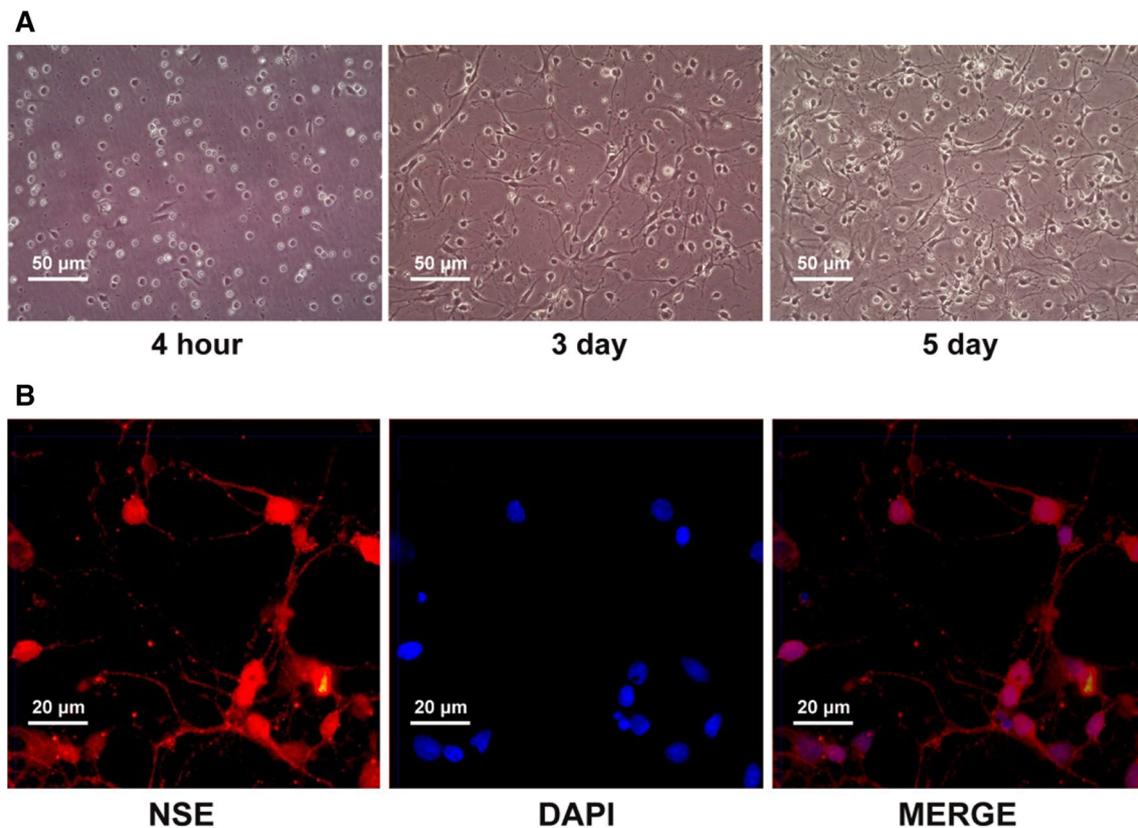


Fig. 2 Identification of the purity of rat cortical neurons. **a** Primary rat cortical neurons were seeded in a 6-well plate at a density of 1×10^6 cells/well. The images were taken 4 h, 3 days, and 5 days after

seeding. The scale bar represents 50 μm . **b** Neuron-specific enolase location was observed by immunofluorescence staining. The scale bar represents 20 μm

after OGD/R was 800 $\mu\text{g/L}$ ($P < 0.05$), and further concentration increases significantly decreased cell viability. In the 2400 $\mu\text{g/L}$ group, cell viability was approximately 40% of that in the control group ($P < 0.001$). Considering the above results, we selected 800 $\mu\text{g/L}$ for the 20-nm Au-NPs and 2400 $\mu\text{g/L}$ for the 5-nm Au-NPs for further OGD/R experiments, which were performed to obtain a deeper understanding of the mechanisms leading to the effects of Au-NPs on cerebral I/R injury.

Cerebral Inflammation After OGD/R Injury

As shown in Fig. 5a, compared with the OGD/R group, the mRNA levels of the pro-inflammatory factors IL-1 β and TNF- α were significantly increased in the 5-nm Au-NP group ($P < 0.01$ for both factors) and decreased in the 20-nm Au-NP group ($P < 0.05$ for both factors). In addition, the levels of the anti-inflammatory cytokines IL-4 and IL-10 were markedly decreased in the 5-nm Au-NP group ($P < 0.05$ and $P < 0.01$, respectively) and increased in the 20-nm Au-NP group ($P < 0.05$ and $P < 0.01$, respectively) after OGD/R injury (Fig. 5b). These results clearly indicate that 20-nm Au-NP treatment relieved neuroinflammation after

OGD/R injury by modulating the immune inflammatory response.

Apoptosis of Neurons

We further evaluated whether Au-NPs could affect cortical neurons by influencing neuronal apoptosis. We observed that the number of necrotic cells decreased significantly in the 20-nm Au-NP group ($P < 0.05$), whereas apoptosis was increased in the 5-nm Au-NP group ($P < 0.01$, Fig. 6a, c). Furthermore, the JC-1 assay of mitochondrial membrane potential indicated that 20-nm Au-NPs led to an increase in JC-1 aggregates ($P < 0.05$) and a decrease in JC-1 monomers ($P < 0.05$), while the 5-nm Au-NPs exerted opposite effects (Fig. 6b, d, e).

As shown in Fig. 7a, b, d, and e, the mRNA levels of the pro-apoptotic genes *Aif*, *Bax*, caspase-3 and cytochrome c were dramatically downregulated in the 20-nm Au-NP group compared with the OGD/R group ($P < 0.01$, $P < 0.05$, $P < 0.05$, and $P < 0.05$, respectively), and the anti-apoptotic factor *Bcl2* mRNA was upregulated ($P < 0.01$, Fig. 7c), whereas the opposite changes were observed in the 5-nm Au-NP group compared with the OGD/R group

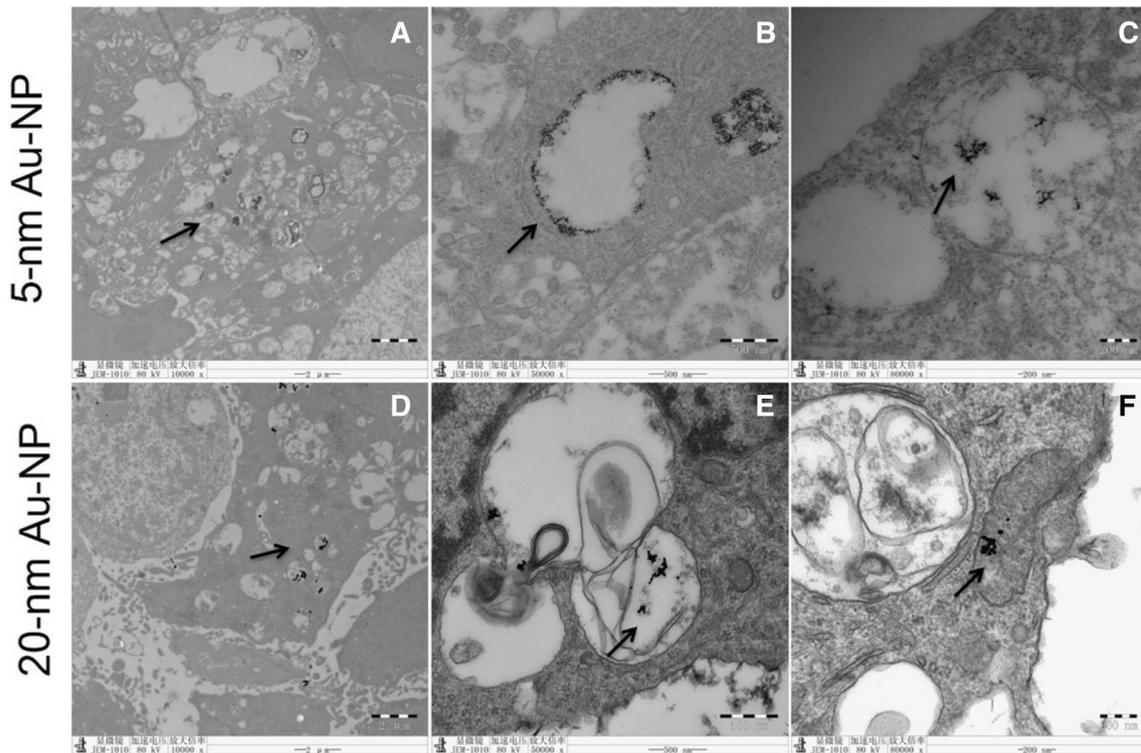


Fig. 3 Transmission electron microscopy images of internalized Au-NPs in primary rat cortical neurons. **a–c** 5-nm Au-NPs in rat cortical neurons. The scale bars represent 2 μm, 500 nm, and 200 nm, respectively.

d–f 20-nm Au-NPs in rat cortical neurons. The scale bars represent 2 μm, 500 nm, and 200 nm, respectively. Black arrows point to the location of the internalized Au-NPs

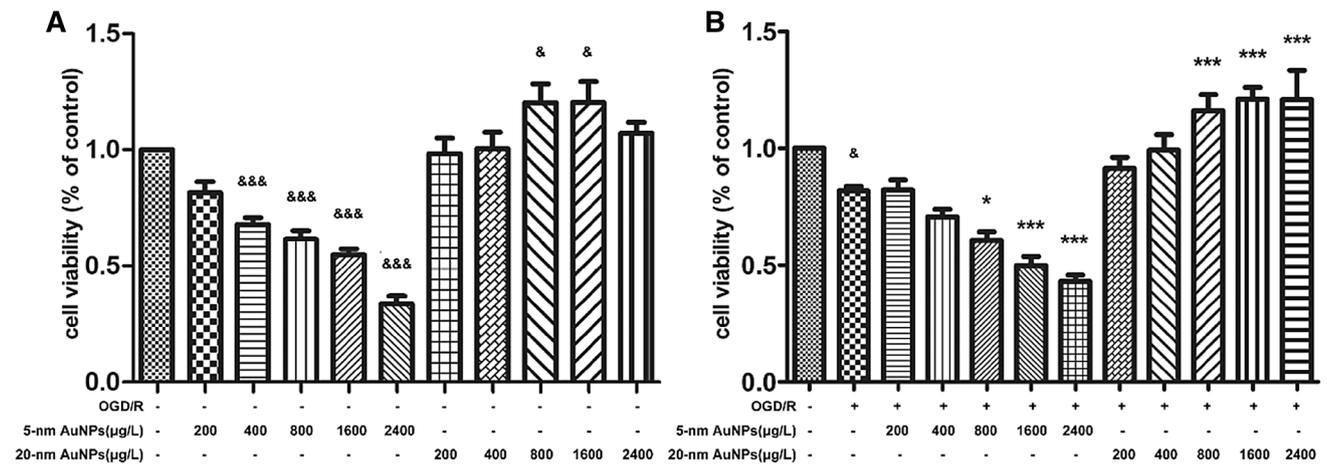


Fig. 4 Effects of different concentrations of Au-NPs on cell viability in primary rat cortical neurons exposed to oxygen-glucose deprivation/reperfusion (OGD/R). **a** Neurons that were not exposed to OGD/R. The results are expressed as the mean ± standard error of the mean from at least triplicate independent experiments. &P < 0.05 versus control group, &&&P < 0.001 versus control group.

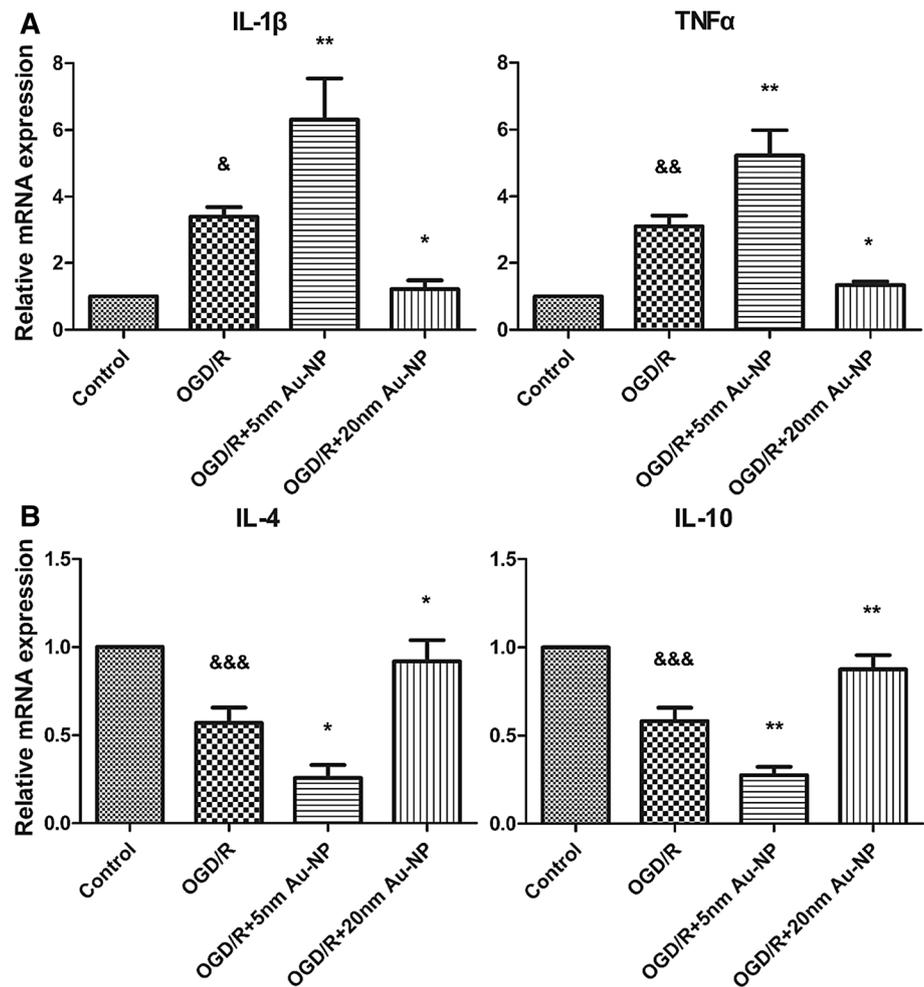
b Neurons that were exposed to OGD/R. The results are expressed as the mean ± standard error of the mean from at least triplicate independent experiments. *P < 0.05 versus OGD/R group, ***P < 0.001 versus OGD/R group, &P < 0.05 versus the control group

(Fig. 7a–e). In addition, *Bcl2* mRNA levels increased in the OGD/R group compared with the control group, possibly due to the protective stress response ($P < 0.001$, Fig. 7c). The observed changes in the protein levels of

cleaved caspase-3 and BAX were similar to those observed in mRNA levels (Fig. 7f–h).

In order to explore the underlying mechanisms, we evaluated whether Au-NPs could regulate the Akt signaling

Fig. 5 Effects of Au-NPs on the gene levels of the inflammatory mediators **a** (IL-1 β , TNF- α), **b** (IL-4 and IL-10) in primary rat cortical neurons after OGD/R injury. The results are expressed as mean \pm standard error of the mean from at least triplicate independent experiments. &P < 0.05 versus control group, &&P < 0.01 versus control group, &&&P < 0.001 versus control group, *P < 0.05 versus the OGD/R group, **P < 0.01 versus the OGD/R group



pathway. Western blotting showed that phosphorylated Akt and its downstream signaling molecule GSK3 β were upregulated in the 20-nm Au-NP group compared with the OGD/R group ($P < 0.01$, $P < 0.05$, respectively, Fig. 7g, i, j), while the opposite trend was observed in primary neurons treated with 5-nm Au-NPs ($P < 0.001$ for both proteins). These data suggest that 20-nm Au-NPs could alleviate the apoptosis of rat cerebral cortical neurons after OGD/R through the activation of Akt signaling.

Cellular Oxidative Stress Level

In order to investigate the antioxidant activity of Au-NPs in rat cerebral cortical neurons, we tested the levels of MDA, SOD, aconitase, and ROS. As shown in Fig. 8a, the 5- and 20-nm Au-NPs led to increased ($P < 0.01$) and decreased ($P < 0.05$) production of MDA, respectively, in rat cerebral cortical neurons compared with those in the OGD/R group. Similar changes were observed in ROS production (Fig. 8d, e). In addition, SOD levels were lower in the 5-nm Au-NP group ($P < 0.01$) and higher in the 20-nm Au-NP group

($P < 0.01$) than in the OGD/R group (Fig. 8b). The same trends were observed for aconitase activity (Fig. 8c). Taken together, these results suggest that 20-nm Au-NPs ameliorate oxidative stress in neurons after OGD/R injury.

Au-NPs Alter Mitochondrial Respiration Function in Neurons

To measure the mitochondrial respiration function in neuron cells, we used a Seahorse XF96 Extracellular Flux analyzer. As illustrated in Fig. 7, 20-nm Au-NPs induced a significant increase in the basal respiration of the neurons ($P < 0.001$). Basal respiration is strongly controlled by ATP turnover and partly by substrate oxidation and proton leakage; therefore, basal oxygen consumption rates (OCR) are sensitive to ATP demand but rather insensitive to small changes in the mitochondrial respiratory chain or proton leakiness [48]. In order to assess the effect of Au-NPs on these specific parameters, OCR was recorded after the addition of several mitochondrial inhibitors (Fig. 9a). Notably, we found that 20-nm Au-NPs could significantly improve mitochondrial respiration ($P < 0.001$),

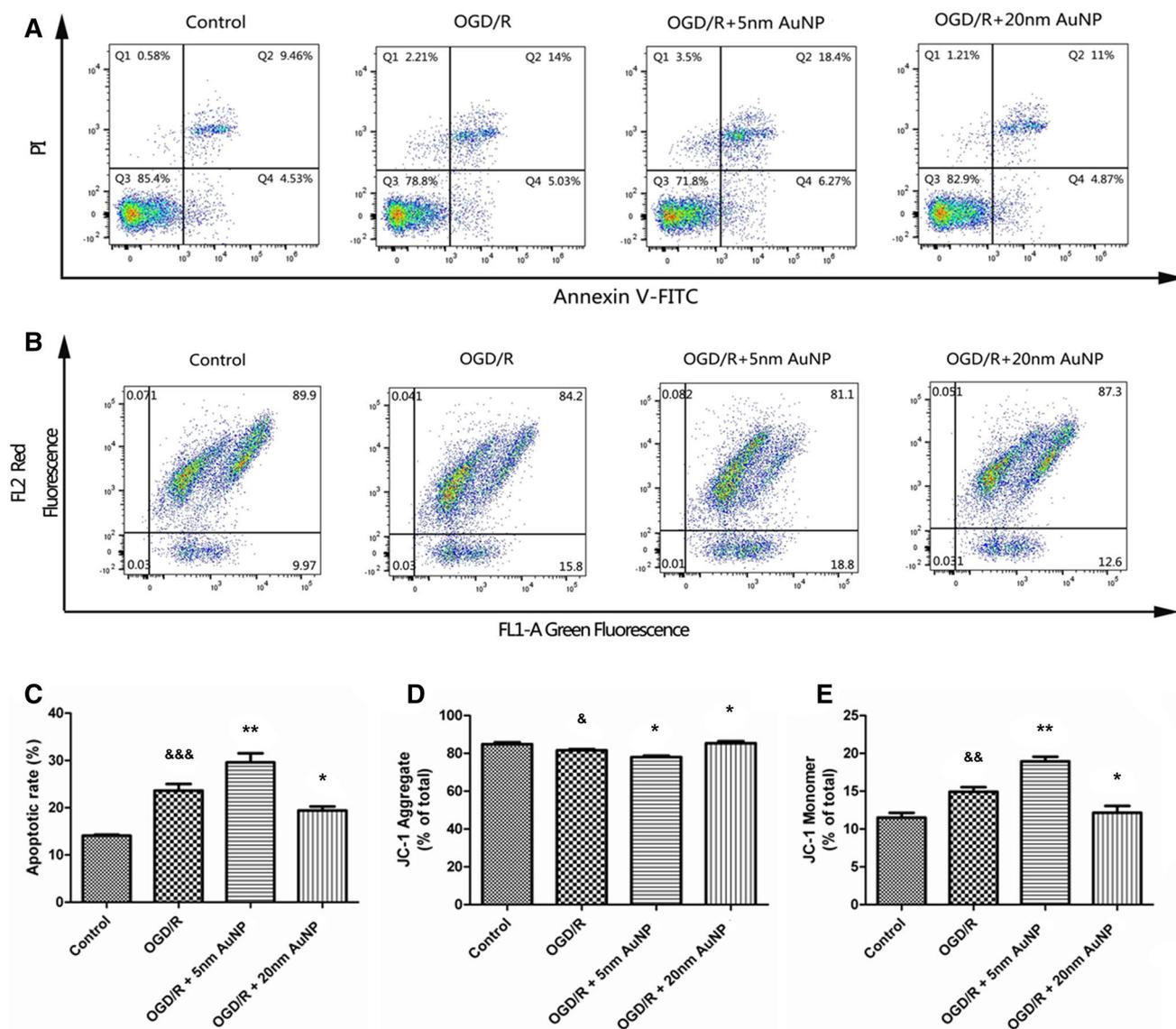


Fig. 6 The flow cytometry detection for Au-NPs on neurons exposed to OGD/R injury. **a, c** Cell injury was evaluated in terms of apoptotic rate using Annexin V-FITC double staining. **b, d, e** Cell injury was evaluated in terms of mitochondrial membrane potential by detecting JC-1. The results are expressed as mean \pm standard error of the mean

from at least triplicate independent experiments. $^{\&}P < 0.05$ versus control group, $^{\&\&}P < 0.01$ versus control group, $^{\&\&\&}P < 0.001$ versus control group, $^*P < 0.05$ versus the OGD/R group, $^{**}P < 0.01$ versus the OGD/R group

ATP generation ($P < 0.05$), proton leakage ($P < 0.01$), and maximal respiration ($P < 0.001$). In addition, proton leakage and reserve capacity were increased in the 5-nm Au-NP group, possibly due to the stress response. These results indicate that 20-nm Au-NPs exert strong protection on the mitochondrial respiration function against OGD/R-induced injury in rat cerebral cortical neurons.

Discussion

Our previous study demonstrated that 20-nm Au-NPs markedly alleviated cerebral I/R injury in a rat MCAO model. However, 5-nm Au-NPs were found to aggravate the neurological deficits, resulting in enlarged infarction

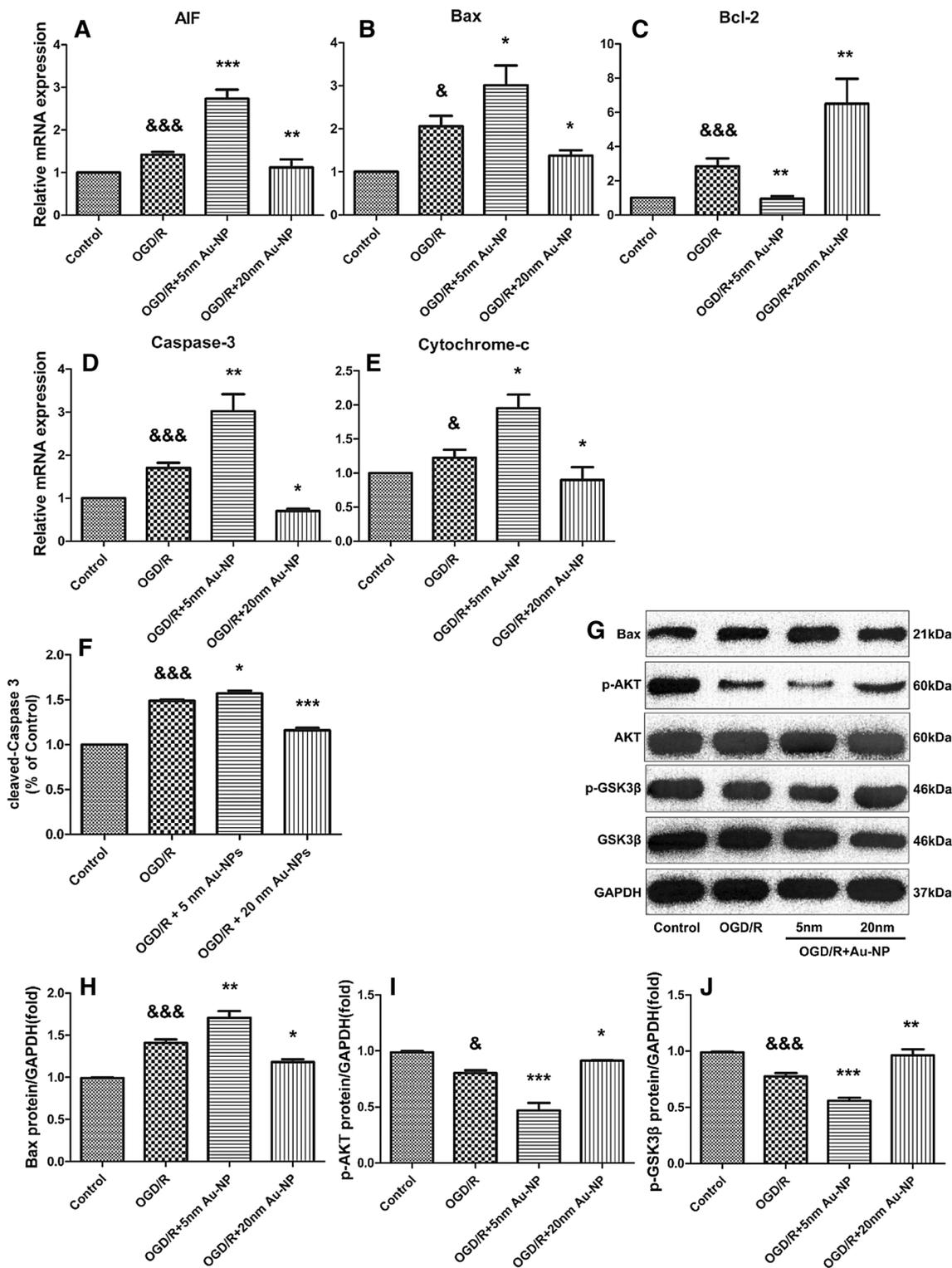


Fig. 7 Effects of Au-NPs on neuronal apoptosis. **a–e** Effects of Au-NPs on the gene levels of apoptosis-related genes AIF, Bax, Bcl-2, caspase-3 and cytochrome *c* in primary rat cortical neurons after OGD/R injury. **f** Cell injury was evaluated in terms of caspase-3 activity. **g** Western blots, showing the expression of Bax, p-AKT

and p-GSK3β in primary rat cortical neurons after OGD/R injury. **h–j** Quantitative analysis of the western blots. &P < 0.05 versus control group, &&&P < 0.001 versus control group, *P < 0.05 versus the OGD/R group, **P < 0.01 versus the OGD/R group, ***P < 0.01 versus the OGD/R group

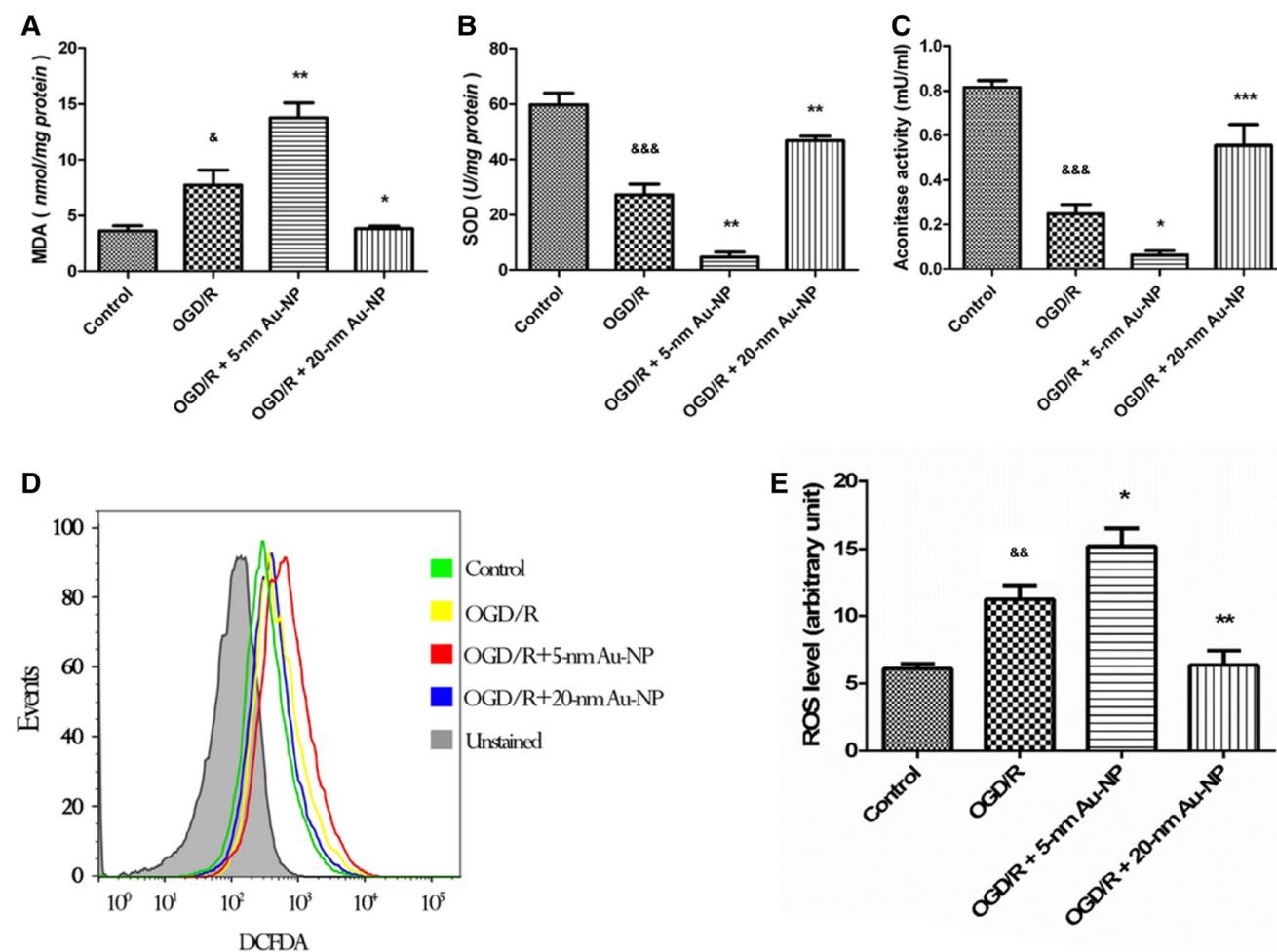


Fig. 8 Effects of Au-NPs on cellular oxidative stress. **a** Measurement of lipid peroxidation by malondialdehyde (MDA). **b** Measurement of superoxide dismutase (SOD) generation. **c** Measurement of aconitase activity. **d, e** A histogram of reactive oxygen species levels was derived from 2',7'-dichlorodihydrofluorescein diacetate (DCFH-DA) oxidation and quantified by flow cytometry. The results are expressed

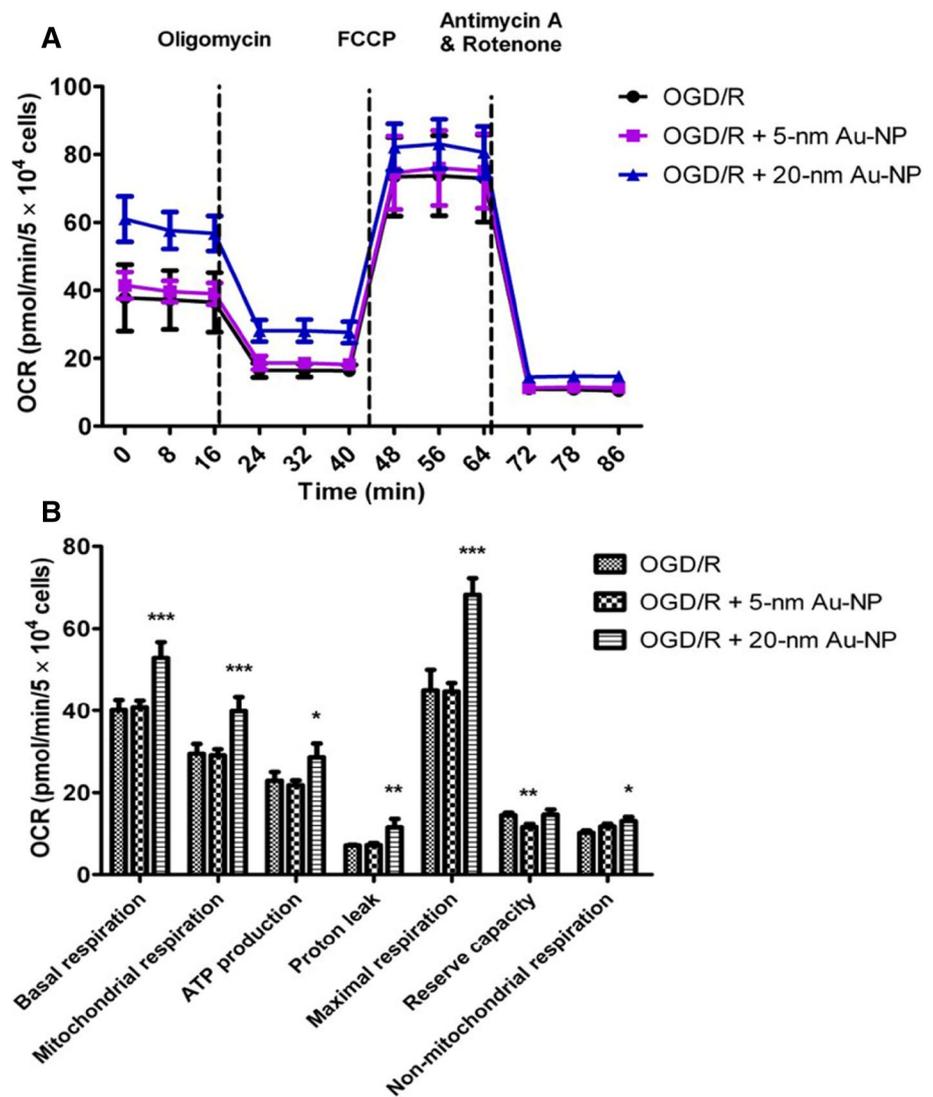
as the mean \pm standard error of the mean from at least triplicate independent experiments. $^{\&}$ P < 0.05 versus the control group, $^{\&\&}$ P < 0.01 versus the control group, $^{\&\&\&}$ P < 0.001 versus the control group, * P < 0.05 versus OGD/R group, ** P < 0.01 versus OGD/R group, *** P < 0.001 versus OGD/R group

volumes compared to the I/R group [27]. In the present study, a cell viability assay revealed a similar phenomenon at the cellular level, namely that 20-nm Au-NPs may lead to neuroprotection [27], while opposite effects were observed with the administration of 5-nm Au-NPs, suggesting that 5-nm Au-NPs may have detrimental effects. Au-NPs have been widely studied for bio-sensing, photo-imaging, *in vitro* diagnostics, targeted drug delivery, and even intrinsic treatment. Compared with the other applications, intrinsic treatment with Au-NPs is the subject of stronger research focus, particularly in the field of stroke research. Stroke treatment options are very limited because the BBB, one of the tightest barriers in the human body, restricts the crossing of large molecules into the cerebrospinal fluid. Au-NPs are able to penetrate the BBB due to their small size (less than 50 nm) which is similar to that

of the cellular components of the BBB [49]. It has been previously shown that 7 days after injection Au-NPs are mainly located in the hippocampus, thalamus, hypothalamus, and cerebral cortex [50]. Because human organs are exposed to Au-NPs after injection, toxicity must be investigated while evaluating therapeutic potential.

Au-NP size has been associated with differences in biological function. Our previous study demonstrated, by histopathological and hematological studies, that 5-nm and 20-nm Au-NPs were not toxic to liver or kidney in rats [27]. Similar results have also been reported in rabbits, where no evidence of obvious acute toxicity within 24 h of administering 5-nm and 25-nm Au-NPs was found, and no specific changes were observed in any organs [51]. Additionally, Pan et al. [52] reported that 1.4-nm Au-NPs induced necrosis by oxidative stress and mitochondrial dysfunction in all the

Fig. 9 Effects of Au-NPs on mitochondrial oxygen consumption. **a** Real-time measurements of oxygen consumption rate (OCR) were taken every 8 min. Agents were added every three detections in a sequence of oligomycin, FCCP, antimycin A, and rotenone. **b** Statistical analyses of all the indexes separately. The results are expressed as the mean \pm standard error of the mean from at least triplicate independent experiments. * $P < 0.05$ versus OGD/R group, ** $P < 0.01$ versus OGD/R group, *** $P < 0.001$ versus OGD/R group



cell lines examined, but they found no evidence of cellular damage when administering 15-nm Au-NPs. This result was probably due to the smaller-diameter Au-NPs being more readily accumulated into cell nuclei and organelles, thus leading to DNA damage [53–55]. This would also explain our observation that 5-nm Au-NPs exerted adverse effects on cortical neurons. Therefore, further studies should be performed to obtain a deeper understanding of the mechanisms underlying the effects of Au-NPs on cerebral I/R injury.

Neuronal apoptosis, characterized by the disruption of the MMP, concentration of the nucleus, chromatin condensation, and formation of apoptotic bodies, is a critical part of the pathogenesis of cerebral I/R injury [56, 57]. Au-NPs have been applied in cardiovascular diseases primarily as a drug carrier [58, 59], but very few studies have focused on their intrinsic effects in ischemic stroke. Rizwan et al. [33] reported that 16-nm Au-NPs could inhibit high glucose-induced apoptosis in macrophages, while our previous study

suggests that anti-apoptosis may be involved in the neuroprotective effects of 20-nm Au-NPs against cerebral I/R injury [27]. The present study demonstrates that the 20-nm Au-NPs can indeed suppress neuronal apoptosis in OGD/R-exposed neurons, by increasing the MMP and deactivating cleaved caspase-3. The opposite changes in these markers were observed in OGD/R-exposed neurons treated with 5-nm Au-NPs, compared with the OGD/R group.

Oxidative stress is also a crucial factor stimulating the pathogenesis of ischemic stroke [60–62]. Numerous studies have provided substantial evidence that increased free radical formation is present in all forms of stroke injury [63, 64]. Reports have also indicated that excessive ROS production promotes cell apoptosis via lipid peroxidation, DNA lesion, and a decline in mitochondrial activity [13, 65, 66]. Several studies have proposed that Au-NPs are able to prevent oxidative stress [35, 67–69]. In this study, ROS were found to accumulate in OGD/R-exposed neurons, and 20-nm Au-NPs

were observed to reduce their production and to increase SOD activity. Conversely, 5-nm Au-NPs further increased ROS production compared to the OGD/R injury group. Altogether, these results suggest that 20-nm Au-NPs attenuate OGD/R-induced injury by suppressing oxidative stress.

According to the above observations, we propose the hypothesis that Au-NPs regulate OGD/R-induced injury via mitochondrial pathways. Mitochondria are crucial to the regulation of the central nervous system and serve to provide energy to cells by oxidative phosphorylation [70]. ROS, generated by oxidative phosphorylation, were once considered to be mere byproducts of oxidative phosphorylation, but were later found to exert many physiological and pathological functions, such as redox regulation and molecular signaling [71]. Additionally, mitochondria are also actively involved in initiating cell death. Pathological conditions can result in the opening of the mitochondrial permeability transition pore, leading to loss of mitochondrial membrane potential and triggering cell death through the necrotic or apoptotic pathways [72]. Mitochondrial dysfunction can lead to increased ROS generation, loss of ATP production, impaired calcium homeostasis, and eventually cell death [13, 73–76] and is thus considered a contributing factor to the pathological mechanisms of many neurological diseases, including stroke, Parkinson's disease, Alzheimer's disease, and major depression [77–79]. The disruption of MMP is recognized as one of the earliest events in apoptosis, and MMP is reduced under apoptotic conditions. Mitochondria can produce ATP through the electron transport chain, in which oxygen serves as an electron acceptor. In the present study, we measured basal respiration, mitochondrial respiration, ATP generation, proton leakage, maximal respiration, and reserve capacity based on the OCR. Our results indicate that 20-nm Au-NPs improve MMP depolarization and mitochondrial respiration function in rat cerebral cortical neurons after OGD/R, suggesting that 20-nm-Au-NP-induced neuroprotection is mediated by mitochondrial pathways.

Accumulative evidence has shown that Akt, an anti-apoptosis agent that phosphorylates its downstream target proteins, plays an essential role in stroke progression [80]. Apart from its influence on apoptosis, activated Akt phosphorylates GSK3 β , thereby inactivating it and leading to a potent suppression of the proinflammatory response [81]. Our results suggest that p-Akt and p-GSK3 β protein expression was reduced in the OGD/R group, while 20-nm Au-NPs could increase p-Akt and p-GSK3 β expression after OGD/R injury. These findings suggest that the Akt/GSK3 β pathway plays an important role in the neuroprotective effects of 20-nm Au-NPs on OGD/R injury.

The above findings suggest that suitably sized Au-NPs could act on neurons as biocompatible agents and may lead to promising strategies for treating I/R injury in ischemic stroke patients. Moreover, our study reveals a novel

mechanism underlying the protective action of Au-NPs on primary cortical neurons against OGD/R injury. We believe that further insights into these effects may enable a deeper understanding and application of Au-NPs.

However, some limitations of this study should be noted. First, neurons were only exposed to Au-NPs for 48 h. In future studies, we hope to investigate more time points. Second, to our knowledge, this is the first report demonstrating the potent protective effects of Au-NPs on the mitochondria of primary cortical neurons exposed to OGD/R. Therefore, our hypothesis awaits further experimental confirmation, and future studies are required to explore the signaling pathways involved in the effects of Au-NPs on cortical neuronal mitochondria.

Conclusion

We demonstrated that 20-nm Au-NPs have protective effects on primary cortical neurons against OGD/R injury by suppressing apoptosis and oxidative stress, and upregulating Akt/GSK3 β signaling and mitochondrial pathways. These results are consistent with those of our previous *in vivo* study. Our observations have the potential to enrich the application extent of Au-NPs, and we hope that this work will establish Au-NPs as a novel and useful candidate for both basic research and clinical development of ischemic stroke therapies.

Acknowledgements This work was supported by Grants from the National Science Foundation of China (Nos. 81300999, 81470501, 81770440, and 81471783).

Compliance with Ethical Standards

Conflict of interest The authors report no conflict of interest in this work.

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