

## Comparison between human infections caused by highly and low pathogenic H7N9 avian influenza viruses in Wave Five: Clinical and virological findings

Yang Yang<sup>a,b,1</sup>, Gary Wong<sup>c,d,1</sup>, Liuqing Yang<sup>a</sup>, Shuguang Tan<sup>b</sup>, Jianming Li<sup>a</sup>, Bing Bai<sup>e</sup>, Zhixiang Xu<sup>a</sup>, Hong Li<sup>f</sup>, Wen Xu<sup>f</sup>, Xiaonan Zhao<sup>f</sup>, Chuansong Quan<sup>g</sup>, Haixia Zheng<sup>a</sup>, William J. Liu<sup>g</sup>, Wenjun Liu<sup>b</sup>, Lei Liu<sup>a</sup>, Yingxia Liu<sup>a,h,\*</sup>, Yuhai Bi<sup>a,b,\*</sup>, George F. Gao<sup>a,b,g,h,\*</sup>

<sup>a</sup>Shenzhen Key Laboratory of Pathogen and Immunity, Guangdong Key Laboratory for Diagnosis and Treatment of Emerging Infectious Diseases, State Key Discipline of Infectious Disease, Second Hospital Affiliated to Southern University of Science and Technology, Shenzhen Third People's Hospital, Shenzhen 518112, China

<sup>b</sup>CAS Key Laboratory of Pathogenic Microbiology and Immunology, Collaborative Innovation Center for Diagnosis and Treatment of Infectious Disease, Institute of Microbiology, Center for Influenza Research and Early-Warning (CASCIRE), Chinese Academy of Sciences, Beijing 100101, China

<sup>c</sup>Institut Pasteur of Shanghai, Chinese Academy of Sciences, Shanghai 200031, China

<sup>d</sup>Département de microbiologie-infectiologie et d'immunologie, Université Laval, Québec City G1V 0A6, Canada

<sup>e</sup>Department of Infectious Diseases and Shenzhen Key Lab for Endogenous Infection, Shenzhen Nanshan Hospital of Shenzhen University, Shenzhen 518000, China

<sup>f</sup>Yunnan Center for Disease Control and Prevention, Kunming 650022, China

<sup>g</sup>National Institute for Viral Disease Control and Prevention, Chinese Center for Disease Control and Prevention (China CDC), Beijing 102206, China

<sup>h</sup>University of Chinese Academy of Sciences Medical School, Chinese Academy of Sciences, Beijing 101408, China

### ARTICLE INFO

#### Article history:

Accepted 14 January 2019

Available online 18 January 2019

#### Keywords:

H7N9

Avian influenza virus (AIV)

Highly pathogenic (HP)

Low pathogenic (LP)

Clinical comparison

Neuraminidase inhibitors (NAIs) resistance

Wave Five

### SUMMARY

**Objective:** The newly emerged highly pathogenic (HP) H7N9 avian influenza virus during Wave Five has caused 28 human infections, while differences in disease severity between low pathogenic (LP)- and HP-H7N9 human infections remain unclear.

**Methods:** Clinical data, concentrations of serum cytokines, dynamics of virus shedding and PaO<sub>2</sub>/FiO<sub>2</sub> from patients infected with LP-H7N9 ( $n=7$ , LP group) and HP-H7N9 ( $n=5$ , HP group) viruses during Wave Five were compared. In addition, critical mutations associated with H7N9 virulence in mammal/human were analyzed.

**Results:** Lymphopenia, elevated aspartate aminotransferase, alanine aminotransferase, C-reactive protein and lactate dehydrogenase were common features, with higher incidences of leukopenia and thrombocytopenia in the LP group. The acute phase of both groups was accompanied with elevated cytokines associated with disease severity, including MIF, MCP-1 and IP-10. Diffuse exudation of the lungs and consolidation were observed from all patients. The dynamics of virus shedding and PaO<sub>2</sub>/FiO<sub>2</sub> were similar between both groups. Notably, a higher prevalence of neuraminidase inhibitors (NAIs) resistance in the HP-H7N9 virus was found.

**Conclusions:** Our results indicate that this newly emerged HP-H7N9 virus caused similar disease severity in humans compared with LP-H7N9 virus, while higher case fatality rate and prevalence of NAI-resistance in human HP-H7N9 infections were of great concern.

© 2019 Published by Elsevier Ltd on behalf of The British Infection Association.

### Introduction

Human infections with H7N9 avian influenza virus (AIV) were first reported in 2013,<sup>1</sup> and there have been five waves of H7N9 virus in human beings thus far in China. During the first four waves, a total of 798 human infections with a case fatality rate (CFR) of 40.6% (324 deaths) were reported.<sup>2</sup> The fifth wave, which started in October 2016, had 766 laboratory-confirmed cases with

\* Corresponding authors.

E-mail addresses: [yingxialiu@hotmail.com](mailto:yingxialiu@hotmail.com) (Y. Liu), [beeyh@im.ac.cn](mailto:beeyh@im.ac.cn) (Y. Bi), [gaof@im.ac.cn](mailto:gaof@im.ac.cn) (G.F. Gao).

<sup>1</sup> These authors contributed equally to this study.

288 deaths (CFR: ~37.6%) from mainland China, Taiwan, Hong Kong, and Macau as of September, 2017, almost as many as the first four waves combined.<sup>2</sup> During the fifth wave, H7N9 viruses were reported in 31 provinces/regions/municipalities in China, and detected for the first time in Western China including Gansu, Shaanxi, Sichuan, Chongqing and Tibet, indicating a geographical spread.<sup>3,4</sup> Consistent with previous outbreaks,<sup>5</sup> the H7N9 viruses in the fifth wave genetically derived from the Pearl River Delta lineage or the Yangtze River Delta lineage.

During the first four waves, circulating H7N9 viruses among poultry and humans in China were classified as low pathogenic (LP) AIV, which cause asymptomatic infection in poultry.<sup>6</sup> However, the Chinese Center for Disease Control and Prevention (China CDC) reported the identification of a new H7N9 variant from two patients in Guangdong Province in the fifth wave.<sup>4,7</sup> These new isolates contained a cleavage site (CS) with polybasic amino acids (AAs) by insertion of four AAs (“KRTA”, “KRIA” or “KRAA”) into the HA protein,<sup>3,7</sup> and become highly pathogenic (HP) to chickens.<sup>8–10</sup> Human infections with HP-H7N9 virus were significantly more likely to occur in rural areas, and exposure to sick or dead poultry was the most important risk factor.<sup>10,11</sup> Overall, there have been 28 case reports of HP-H7N9 infections from Taiwan, Guangxi, Guangdong, Hunan, Shaanxi, Hebei, Henan, Fujian and Yunnan provinces.<sup>12</sup> Meanwhile, HP-H7N9 virus has caused several outbreaks in poultry farms, resulting in the deaths of approximately 110,000 poultry over 10 provinces.<sup>13</sup> Recent studies have found that some HP-H7N9 strains were more pathogenic than LP-H7N9 virus in mammals and able to transmit among ferrets via respiratory droplets, posing an increased threat to public health.<sup>14–16</sup>

The fifth wave of human H7N9 infections differed from past outbreaks in that it is driven by both HP and LP variants,<sup>3</sup> but it is unknown whether there are any differences in disease severity between humans infected with LP- or HP-H7N9 viruses. Here, we described and directly compared clinical and virological factors associated with disease severity between LP- and HP-H7N9 human infections during Wave Five.

## Patients and methods

### Data collection and analysis of clinical findings

Clinical information, including complete blood counts, blood biochemistry, chest radiographs and computed tomographic (CT) scans from ten patients with confirmed infection of H7N9 virus were collected at the earliest time-point upon hospitalization and afterwards, among whom two patients were from Yunnan Province (cases YN01 and YN02) and the rest were from Shenzhen municipality of Guangdong Province (cases SZ01–SZ08). These results were combined with data from two previously published HP-H7N9 cases (designated GZ01 and GZ02).<sup>7,17</sup> In addition, healthy controls ( $N=6$ ) were also included. The study was performed in accordance with guidelines approved by the Ethics Committees from Shenzhen Third People’s Hospital (SZTHEC2016001) and Yunnan Center for Disease Control and Prevention Ethics Committee (YNCDC2017001), and verbal informed consents were obtained from all patients or patients’ family members.

### Quantitative reverse transcription polymerase chain reaction

The viral load was measured on sputum from the respiratory tract collected from patients at various time-points after hospitalization. Viral RNAs were extracted from samples using the QIAamp RNA Viral Kit (Qiagen, Heiden, Germany) and quantitative reverse transcription polymerase chain reaction (qRT-PCR) was performed using an in-house assay as previously described<sup>18</sup> and a commercial kit for H7N9 virus detection (Mabsky Biotech Co., Ltd., Shen-

zhen, China). The specimens were considered positive if Ct value is  $\leq 38.0$ , while negative if the results were undetermined. Specimens with a Ct higher than 38 were repeated. The specimen was considered positive if the repeat results were the same as before and between 38–40. If the repeat Ct was undetectable, the specimen was considered negative.

### Cytokine and chemokine measurements

Cytokines and chemokines were measured from patient sera collected at the earliest time-point after hospitalization using the RayBio® Human Cytokine Antibody Array 5 (G-Series, Product Code: AAH-CYT-G5, RayBiotech Inc., Norcross, USA) and the LuxScan 10K Microarray Scanner (Captial Bio, Beijing, China) following manufacturer instructions.

### Quantification of hypoxia and lung injury

The partial pressure of oxygen ( $\text{PaO}_2$ ) in arterial blood taken from the patients at various time-points after hospitalization was measured by the ABL90 blood gas analyzer (Radiometer). The fraction of inspired oxygen ( $\text{FiO}_2$ ) is calculated by the following formula:  $\text{FiO}_2 = (21 + \text{oxygen flow (in units of l/min)} * 4) / 100$ . The  $\text{PaO}_2/\text{FiO}_2$  ratio (in units of mmHg) is calculated by dividing the  $\text{PaO}_2$  value with the  $\text{FiO}_2$  value. A  $\text{PaO}_2/\text{FiO}_2$  ratio less than or equal to 100 mmHg is considered one of the criteria for severe acute respiratory distress syndrome (ARDS).

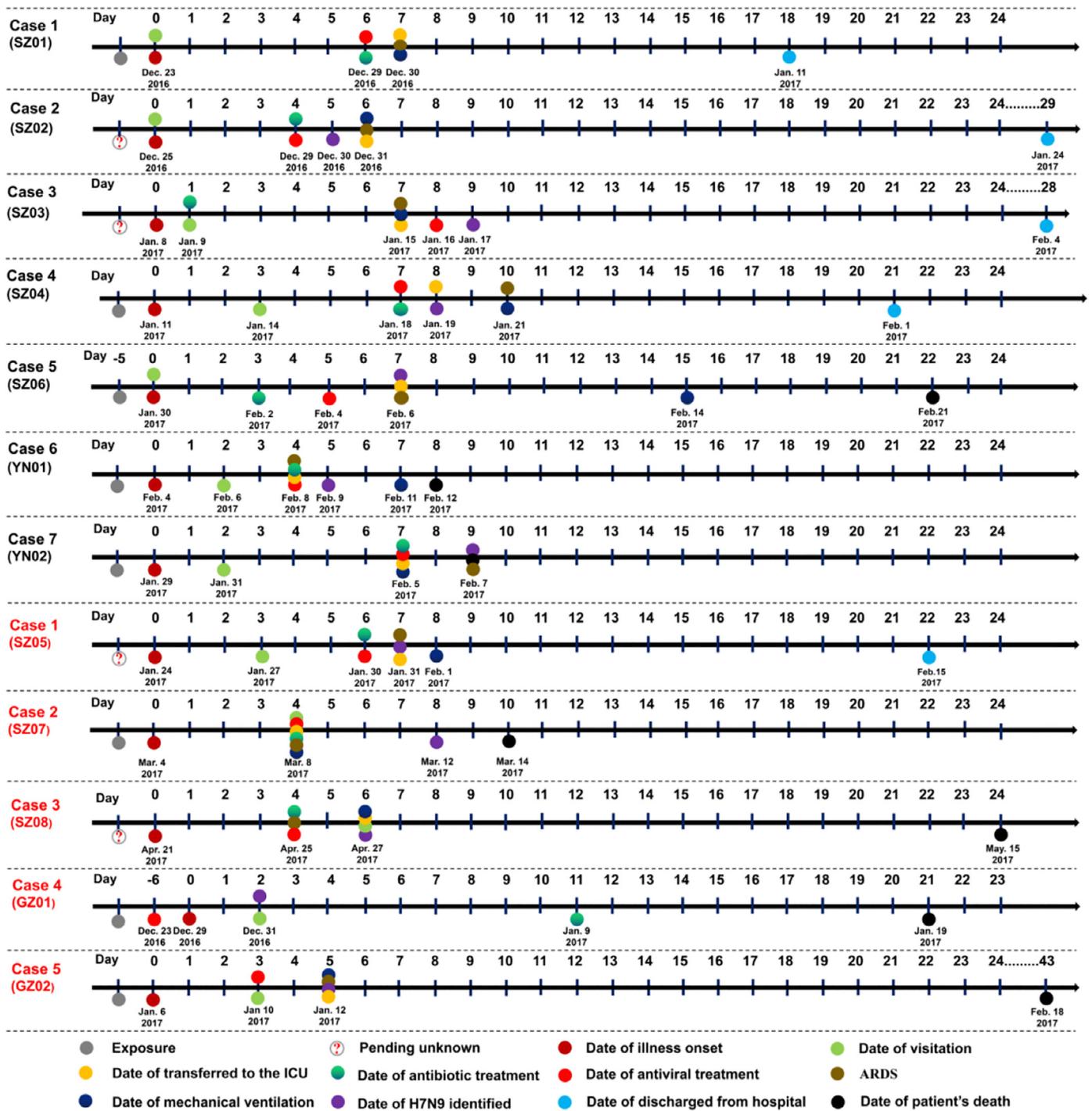
### Statistical analysis

Fisher exact test or  $\chi^2$  test was used for comparing the proportions. The unpaired, two-tailed  $t$ -test was used to determine whether differences in the cytokine levels were statistically significant. A  $p$ -value between 0.01–0.05, 0.001–0.01 and below 0.001 was considered statistically significant (\*), very significant (\*\*), and extremely significant (\*\*\*), respectively. Statistical analysis was performed using GraphPad Prism.

## Results

### Timeline and general features of LP- and HP-H7N9 infection in humans

Twelve human infections with LP-H7N9 ( $n=7$ , LP group) and HP-H7N9 ( $n=5$ , HP group) viruses during Wave Five were included in our study group (Fig. 1). Genetic characterization of LP- or HP-H7N9 viruses was carried out in retrospect, thus patients in both groups were managed in the same manner. Patients YN01 and YN02 were mother and daughter, while the rest were not epidemiologically linked. Most of the patients (66.7%) were found to have prior exposure to poultry or live poultry markets (LPMs), with no difference between the LP and HP groups (Fig. 1). All the HP-H7N9 virus infected patients who had exposure history contacted with sick or dead chickens, which was consistent with the enhanced virulence of HP-H7N9 virus in chickens. The time from illness onset to hospital admission as well as illness onset to laboratory confirmation were similar for both groups. All the patients were admitted to hospital between 3–10 days post illness onset. Most of the patients (11/12, 91.7%) developed ARDS and mechanical ventilation was used. Additionally, analysis of the epidemiological characteristics showed that the median age, interval from onset-admission days, co-existing medical conditions and incidence of complications during hospitalization of HP-H7N9 human infections were similar with LP-H7N9 human infections in Wave Five, and also LP-H7N9 human infections in first four waves (Table S1).



**Fig. 1.** A timeline of human infections with LP- and HP-H7N9 viruses. Patients were designated according to the geographical location and chronological order based on disease report date. Cases numbers in black represent LP-H7N9 virus infected cases, while cases numbers in red represent HP-H7N9 virus infected cases. Various milestones in the disease course were displayed with different-colored circles. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

*Clinical comparison of LP- and HP-H7N9 infection in humans*

Patients infected with both LP- and HP-H7N9 viruses initially showed flu-like symptoms including fever, headache, cough and chills, and developed into shortness of breath quickly. In moribund patients, the common outcomes were ARDS, severe pneumonia, multiple organ failure and death between 8–43 days after symptom onset (d.a.o.) (Fig. 1, Table S1). The case fatality rate of the HP group (14/28, 50%) was slightly higher than that of LP-H7N9 human infections from the first four waves (324/798, 40.6%), as well

as Wave Five (288/766, 37.6%).<sup>12,19</sup> A complete blood count was measured for each patient either on the date of hospital admission, or at the earliest time-point thereafter (Table 1). Lymphopenia, elevated aspartate aminotransferase (AST), alanine aminotransferase (ALT), C-reactive protein (CRP) and lactate dehydrogenase (LDH) were common features of both groups, while the incidence rate of leukopenia and thrombocytopenia was higher in the LP group (Table 1).<sup>7,17,20</sup>

The CT scans showed large pieces of consolidation, the fusion trend between lobe lesions, ground-glass opacity around the

**Table 1**  
Clinical Characteristics and laboratory results on subjects hospitalized with infection of LP and HP-H7N9 during the five waves in China.

| Parameter <sup>a</sup>                 | HP (Wave Five, N = 5) <sup>b,c</sup> | LP (Wave Five, N = 7) | P value | LP (First four waves, n = 123) <sup>d</sup> | P value <sup>e</sup> |
|--|--------------------------------------|-----------------------|---------|---|----------------------|
| WBC ( $\times 10^9/L$ ) <sup>+</sup>   | 5.02 (4.81–5.6)                      | 3.88 (3.52–6.39)      | NA      | 4.5 (2.9–6.2)                               | NA                   |
| LYM ( $\times 10^9/L$ ) <sup>+</sup>   | 0.505 (0.48–0.56)                    | 0.53 (0.47–0.86)      | NA      | 0.5 (0.3–0.7)                               | NA                   |
| NEU ( $\times 10^9/L$ ) <sup>+</sup>   | 4.18 (4.13–4.73)                     | 3.22 (3.1–4.97)       | NA      | 3.3 (2.2–5.4)                               | NA                   |
| PLT ( $\times 10^9/L$ ) <sup>+</sup>   | 166 (160–221.5)                      | 157 (139–198.3)       | NA      | 114 (82–147.5)                              | NA                   |
| AST (U/L) <sup>+</sup>                 | 64.2 (38.5–107.4)                    | 88.3 (42.85–224.9)    | NA      | 53 (38–96.5)                                | NA                   |
| ALT (U/L) <sup>+</sup>                 | 63.7 (40.4–112.4)                    | 74 (44.05–119.7)      | NA      | 35.5 (24–64.5)                              | NA                   |
| CRE ( $\mu\text{mol/L}$ ) <sup>+</sup> | 60 (53–107.15)                       | 89.65 (62.1–106.6)    | NA      | 70.7 (58.3–85)                              | NA                   |
| CK (U/L) <sup>+</sup>                  | 182 (144–239.5)                      | 163.8 (123.75–354)    | NA      | 195 (96–562)                                | NA                   |
| CRP (nmol/L) <sup>+</sup>              | 92.65 (74.6–176.2)                   | 72.5 (49.7–83.3)      | NA      | 65 (25–113)                                 | NA                   |
| ALB (g/L) <sup>+</sup>                 | 31.9 (26.3–32.5)                     | 32.6 (32.5–33.7)      | NA      | NA  | NA                   |
| LDH (U/L) <sup>+</sup>                 | 711.5 (573.8–848.5)                  | 994.5 (475.8–1596.5)  | NA      | 498 (388–661)                               | NA                   |
| Leukopenia                             | 0/4 (0%)                             | 4/7 (57%)             | 0.194   | 48/105 (46%)                                | 0.129                |
| Lymphopenia                            | 4/4 (100%)                           | 5/5 (100%)            | NA      | 88/99 (89%)                                 | 1.000                |
| Neutropenia                            | 0/4 (0%)                             | 0/6 (0%)              | NA      | 13/103 (13%)                                | 1.000                |
| Neutrophilia                           | 0/4 (0%)                             | 0/6 (0%)              | NA      | 5/103 (5%)                                  | 1.000                |
| Thrombocytopenia                       | 0/3 (0%)                             | 3/6 (50%)             | 0.464   | 80/104 (77%)                                | 0.015                |
| Elevated AST                           | 2/4 (50%)                            | 4/7 (57%)             | 1.000   | 54/103 (52%)                                | 1.000                |
| Elevated ALT                           | 2/3 (66.7%)                          | 4/6 (66.7%)           | 1.000   | 34/100 (34%)                                | 0.279                |
| Elevated CRE                           | 1/3 (33.3%)                          | 1/6 (16.7%)           | 1.000   | 11/103 (11%)                                | 0.305                |
| Elevated CK                            | 2/4 (50%)                            | 2/6 (33.3%)           | 1.000   | 48/98 (49%)                                 | 1.000                |
| Elevated CRP                           | 4/4 (100%)                           | 5/5 (100%)            | NA      | 83/92 (90%)                                 | 1.000                |
| Elevated LDH                           | 4/4 (100%)                           | 6/6 (100%)            | NA      | 89/98 (91%)                                 | 1.000                |

NA: Not applicable.

Abbreviations: WBC: white blood cell; LYM: lymphocyte; NEU: neutrophil; PLT: platelet; AST: aspartate aminotransferase; ALT: alanine aminotransferase; CRE: serum creatinine; CK: creatine kinase; CRP: C-reactive protein; LDH: lactate dehydrogenase.

Age specific reference ranges used to define abnormalities in blood results: Leukopenia ( $\times 10^9/L$ ): 2 months–2 years:  $<5$ ,  $>2$  years:  $<4$ ; Lymphopenia ( $\times 10^9/L$ ): 2–11 months:  $<4.0$ , 1–11 years:  $<1.5$ , 12+ years:  $<1$ ; Neutropenia ( $\times 10^9/L$ ): All ages:  $<1.5$ ; Neutrophilia ( $\times 10^9/L$ ): All ages:  $>8.5$ ; Thrombocytopenia ( $\times 10^9/L$ ): All ages:  $<150$ ; Elevated AST (U/L): All ages:  $>50$ ; Elevated ALT (U/L): All ages:  $>50$ ; Elevated CRE ( $\mu\text{mol/L}$ ): All ages:  $>120$ ; Elevated CK (U/L): All ages:  $>200$ ; Elevated CRP (nmol/L): All ages:  $>10$ ; Elevated LDH (U/L):  $<7$  years:  $>400$ , 7–15 years:  $>300$ , 16+ years:  $>250$ .

<sup>a</sup> Results were obtained from patients at the earliest available time-point after hospitalization.

<sup>b</sup> Clinical data from two HP-H7N9 human cases were presented in this study. In addition, three cases from previous reports were included in this analysis.<sup>7,17</sup>

<sup>c</sup> Reference group.

<sup>d</sup> Clinical data of the 123 cases from previous report was included in this analysis.<sup>20</sup>

<sup>e</sup> A p-value between 0.01–0.05, 0.001–0.01 and below 0.001 was considered statistically significant, very significant and extremely significant, respectively.

<sup>+</sup> Values shown represent the mean and inter-quartile range (IQR).

shadow, unclear lung structure and pleural effusion were common features of the patients in both groups (Fig. 2). In addition, bilateral pulmonary portal shadow increased and thickened as the progression of disease. Chest radiographs of patients SZ02, SZ03, SZ06 (LP group), as well as SZ05 and SZ08 (HP group) at different days after admission (d.a.a.) were also shown (Figure S1). Patchy shadows were diffusely distributed in the lungs. Consistent with the CT scan, the chest radiographs showed diffuse exudation of the lungs and consolidation.

#### Cytokines associated with disease severity

Innate and adaptive immune responses, coordinated by cytokines, are activated shortly after infection to provide protection. Previous studies have found that several pro-inflammatory cytokines are associated with the disease severity of H7N9 infection, including IFN- $\gamma$ , IL-8, IL-16, MCP-1, MIG, SCF, HGF, IP-10 and MIF.<sup>21–23</sup> Of the nine cytokines, MIF, MCP-1 and IP-10 were elevated significantly in both LP- and HP groups compared with healthy controls, while there were no statistical differences between the LP and HP groups (Fig. 3). For the other six cytokines, the three groups showed similar expression levels, with the exception of IFN- $\gamma$  (only HP group is higher than the healthy control, while comparable to LP group) (Fig. 3).

#### Viral loads and lung function during treatment

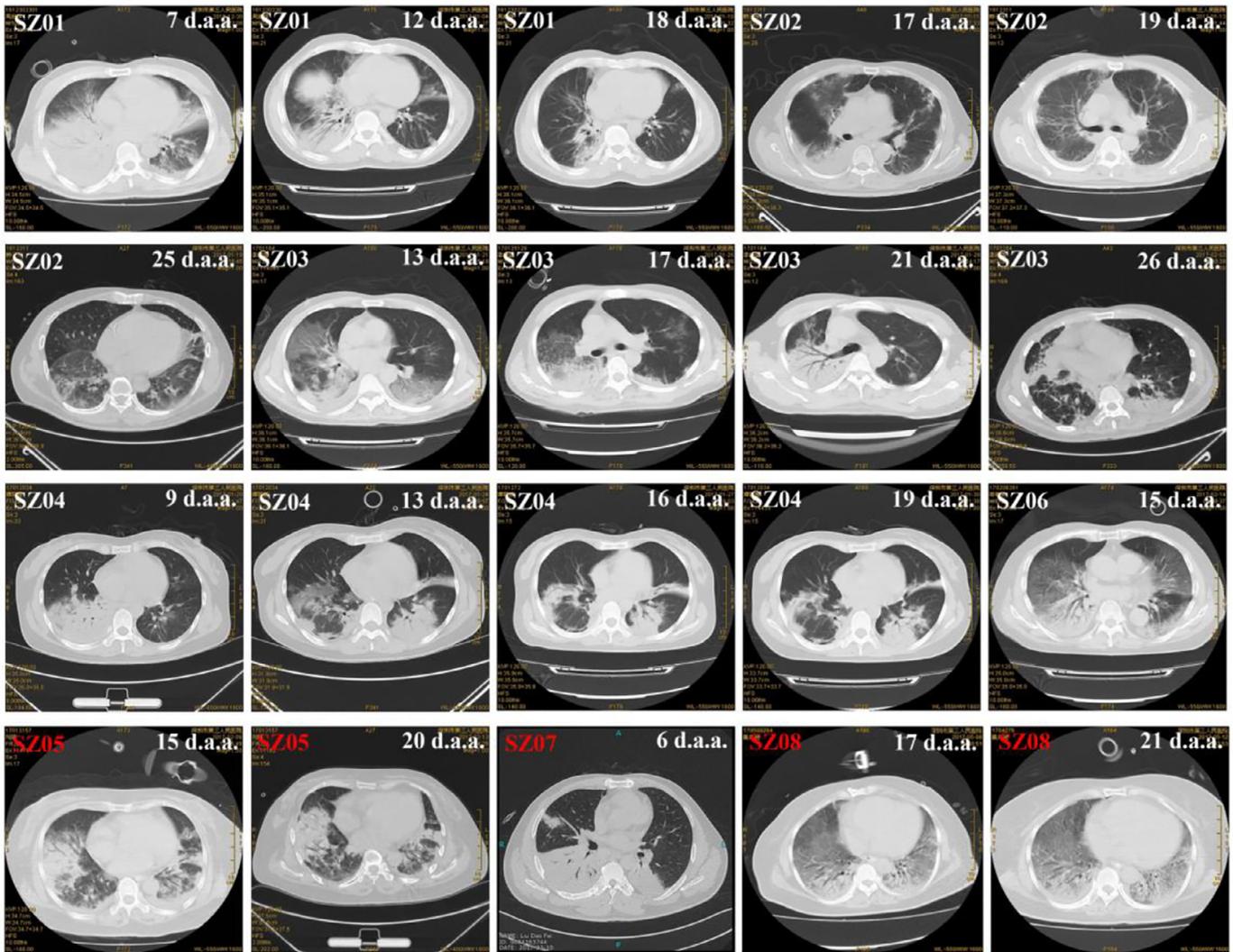
We continuously monitored the viral loads of H7N9 virus in the sputum of the patients by qRT-PCR upon admission and during subsequent antiviral treatment (Fig. 4). Firstly, we plotted the viral load of the first available sputum taken after admission for each patient in relation to the duration of illness (Fig. 4A), and the

median Ct values of the LP and HP groups were 29.16 and 25.1, respectively. The time from illness onset to initiation of antiviral treatment was between 3–8 days in both groups, except patient GZ01 in the HP group who received prophylactic antiviral drugs before illness onset (Fig. 1). During antiviral treatment with NAIs, the viral loads in most patients of both groups decreased rapidly and became negative within eight days, except patient SZ02 in the LP group (Fig. 4B).

As an indicator of the severity of ARDS, dynamic changes of PaO<sub>2</sub>/FiO<sub>2</sub> during the treatment were shown (Fig. 4C). Patients SZ01, SZ02, SZ06 in the LP group, and SZ05, SZ08 in the HP group developed severe ARDS upon admission. During the treatment, the PaO<sub>2</sub>/FiO<sub>2</sub> ratio of these patients increased significantly except patient SZ07 in the HP group, who developed severe ARDS during the treatment.

#### Viral factors associated with virulence

We investigated key mutations that has been shown to significantly affect the biologic properties of the viruses in mammals (Table 2).<sup>15,24–27</sup> Most of the H7N9 isolates were from samples collected shortly after the initiation of antiviral treatment, except patient GZ01 in the HP group (12 days after antiviral treatment). A mutation contributed to NAI-resistance (R292K (N2 numbering) substitution in NA), which has been reported to be associated with adverse clinical outcomes,<sup>28</sup> was identified in the NA gene of the viruses isolated from patients SZ07, SZ08, GZ01, GZ02 in the HP group, while only patient SZ04 in the LP group. The E627K and D701N mutation in the PB2 segment of H7N9 virus were shown to be responsible for the enhanced virulence and transmission in mice and ferrets, and K526R mutation was associated with the en-



**Fig. 2.** Computed tomographic (CT) scans of H7N9 patients. CT scans of patients SZ01–SZ08 taken at indicated days after admission (d.a.a.) were shown, and case numbers in red represent the HP–H7N9 virus infected cases. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

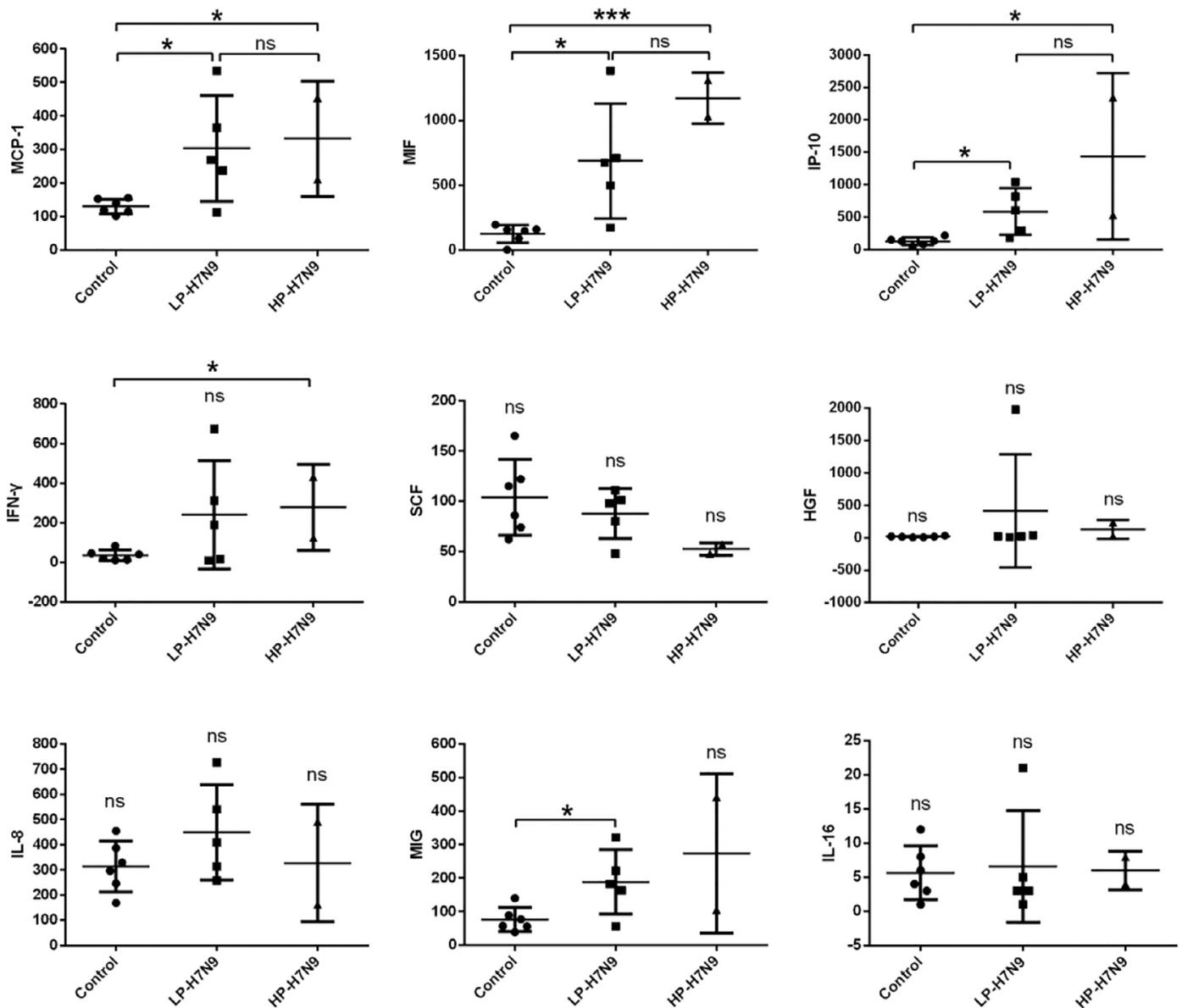
**Table 2**  
Molecular characteristics of the HA, NA and PB2 genes of the H7N9 viruses isolated from patients in this study.

| Patient No. | Virus isolates                 | Sample collection date (days post antiviral treatment) | Key molecular changes |          |       |           |       |
|-------------|--------------------------------|--|-----------------------|----------|-------|-----------|-------|
|             |                                |  | HA cleavage site      | NA R292K | K526R | PB2 E627K | D701N |
| SZ01        | A/Shenzhen/Th001/2016(H7N9)    | 2  | PEIPKGR/GL            | R        | K     | K         | D     |
| SZ02        | A/Shenzhen/Th002/2016(H7N9)    | 1  | PEIPKGR/GL            | R        | K     | K         | D     |
| SZ03        | A/Shenzhen/Th003/2017(H7N9)    | 0  | PEIPKGR/GL            | R        | K     | E         | D     |
| SZ04        | A/Shenzhen/Th004/2017(H7N9)    | 4  | PEIPKGR/GL            | K        | K     | E         | D     |
| SZ06        | A/Shenzhen/Th006/2017(H7N9)    | 3  | PEIPKGR/GL            | R        | K     | K         | D     |
| YN01        | A/Yunnan/YN001/2017(H7N9)      | 1  | PEIPKGR/GL            | R        | K     | K         | D     |
| YN02        | A/Yunnan/YN002/2017(H7N9)      | 1  | PEIPKGR/GL            | R        | K     | K         | D     |
| SZ05        | A/Guangdong/Th005/2017(H7N9)   | 1  | PEVPGKRIAR/GL         | R        | R     | K         | D     |
| SZ07        | A/Shenzhen/Th007/2017(H7N9)    | 5  | PEVPRKRRTAR/GL        | K        | K     | K         | D     |
| SZ08        | A/Shenzhen/Th008/2017(H7N9)    | 2  | PEVPRKRRTAR/GL        | K        | R     | E         | N     |
| GZ01        | A/Guangdong/Th008/2017(H7N9)   | 12   | PEVPRKRRTAR/GL        | K        | K     | E         | D     |
| GZ02        | A/Guangdong/17SF006/2017(H7N9) | 2  | PEVPRKRRTAR/GL        | K        | K     | K         | D     |

hancement K627 and N701 function.<sup>15,24,25,29,30</sup> Most of the isolates were found to possess the E627K mutation, except the isolates from patients SZ03, SZ04 in the LP group, and patients SZ08, GZ01 in the HP group. Notably, the D701N and K526R mutations were only found in the isolate from the HP group, SZ08 for D701N, SZ05 and SZ08 for K526R.

**Discussion**

In this study, we investigated and compared the clinical and virological factors associated with disease severity between LP- and HP–H7N9 human infections during Wave Five. Consistent with previous reports,<sup>4,9,31,32</sup> there were no significant differences between

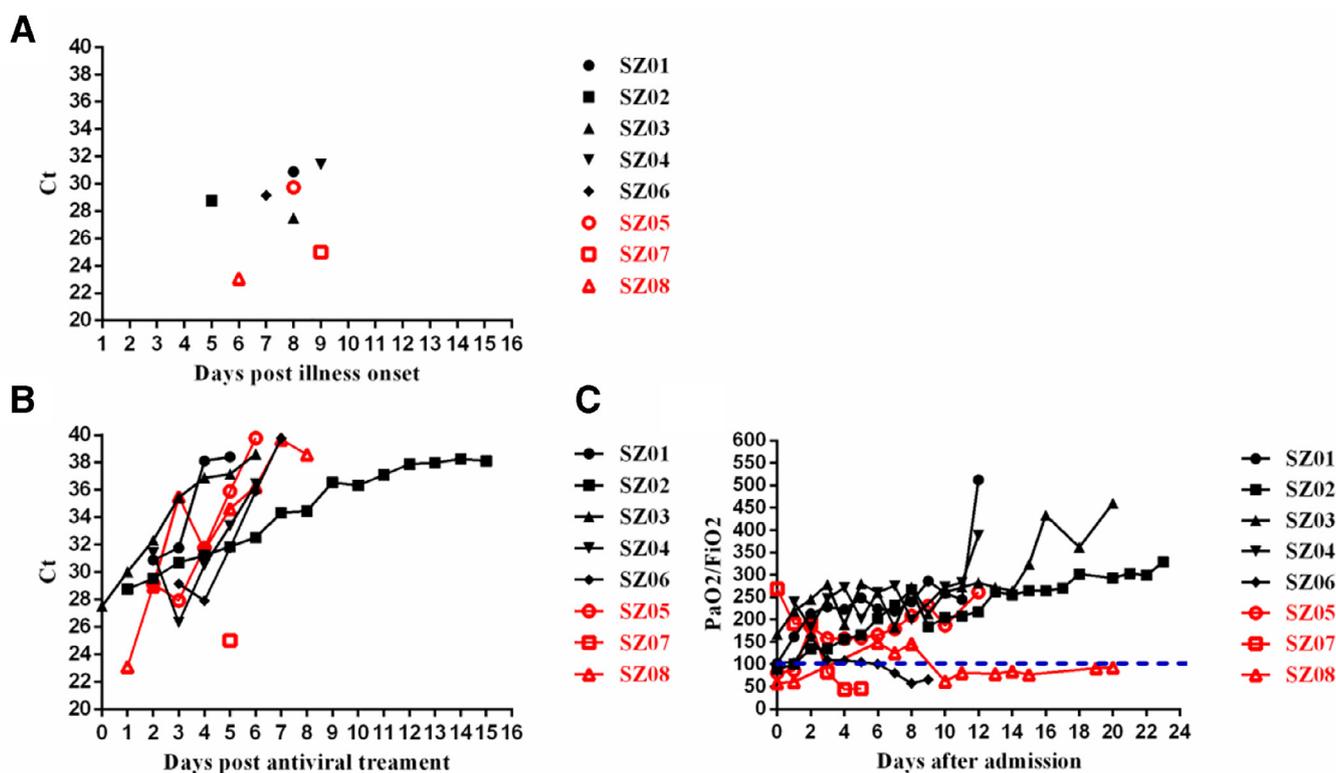


**Fig. 3.** Comparison of serum cytokines between LP- and HP-H7N9 virus infected patients. Samples from patients infected with LP- and HP-H7N9 viruses were collected at the earliest possible time-point after hospitalization. Healthy subjects were involved as control. Values were presented in units of relative abundance. The mean  $\pm$  standard error was shown for each group and the *p*-values (as determined by *t*-test) above the various groups represented the comparison of means between two groups. A *p*-value between 0.01–0.05, 0.001–0.01 and below 0.001 was considered statistically significant (\*), very significant (\*\*), and extremely significant (\*\*\*), respectively, whereas ns represents not significant.

the HP-H7N9 and LP-H7N9 human infections in first four waves and Wave Five in age, days from onset to hospital admission, co-existing chronic medical conditions and incidence of complications during hospitalization (Table S1). The majority of the enrolled LP- and HP-H7N9 virus infected cases showed severe respiratory disease, in which hydrothorax, hypercytokinemia and ARDS, in addition to abnormalities in complete blood counts and serum biochemistry, were readily observed (Table 1 and Figs. 3–4). The acute phase of LP- and HP-H7N9 infection was accompanied with elevated cytokines (e.g. MIF, MCP-1 and IP-10), and both groups had a similar profile (Fig. 3). Imageology characteristics of these H7N9 patients after admission (Fig. 2 and S1) suggest serious pulmonary disease associated with H7N9 infection and support the diagnosis of ARDS. While there were no significant differences of imageology characteristics associated with pathological changes between the two groups. In addition, patients in both groups showed similar pattern of PaO<sub>2</sub>/FiO<sub>2</sub> dynamics. These data indicated that

the LP- and HP-H7N9 viruses cause similar disease severity in humans.

Although the patients were admitted at varying time-points post illness onset, the time of antiviral treatment did not exceed two days before admission. The viral loads in the sputum, collected soon after admission from the patient groups, taken as a whole could provide an aggregate profile over the course of illness before therapeutic intervention.<sup>28</sup> According to the results of qRT-PCR, it appeared that the viral loads in the HP group were similar with the LP group (Fig. 4). This is not consistent with a previous study, which showed that HP-H7N9 virus replicated to a higher level than the LP-H7N9 virus in ferrets.<sup>16</sup> While due to the limited cases of enrolled cases, this difference needs further confirmation. All the available sequences of human-derived HP-H7N9 viruses were downloaded from Global Initiative on Sharing All Influenza Data (GISAID) database for further analysis. The presence of K627 (17/45, 37.8%), N701 (6/45, 13.3%) and R526 (16/45, 35.6%) in PB2



**Fig. 4.** Dynamic changes of viral loads and PaO<sub>2</sub>/FiO<sub>2</sub> ratio of H7N9 virus infected patients during antiviral treatment. A and B. Viral loads in sputum of the earliest possible time-point upon hospitalization and serial viral loads after antiviral treatment were shown as Ct values. C. Serial PaO<sub>2</sub>/FiO<sub>2</sub> ratio during hospitalization. Values from patients with HP-H7N9 virus were in red. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

of the available HP-H7N9 viruses suggested that the virulence and transmission of viruses might be enhanced in human, which is of concern. A recent study has also found that R482, V588 in the PB2 segment, and R497 in the PA segment contributed to the enhanced polymerase activity of HP-H7N9 virus.<sup>27</sup> Analysis of all the available HP-H7N9 viruses showed that PB2-R482, PB2-V588 and PA-R497 are present in 13.3% (6/45), 35.6% (16/45) and 13.3% (6/45), respectively (Table S2). Importantly, 13.3% of the HP-H7N9 viruses possessed all the three mutations. The presence of these mutations further potentiates the public threat of this virus.

A previous study has found that sustained viral shedding and emergence of antiviral resistance is associated with adverse clinical outcomes for H7N9 human infections.<sup>28</sup> The R292K mutation in NA was found in most of the patients in the HP group (4 out of 5), while only patient SZ04 (1 out of 7) in the LP group. To confirm this trend, we further analyzed the NAI-resistance mutation in all available sequences of HP-H7N9 virus from humans. The overall incidence rate of NAI-resistance in HP-H7N9 virus is about 28% (13/46) (Table S2), which is far higher than that of LP-H7N9 virus (34/1225, 2.8%). This suggests that HP-H7N9 virus may be easier to develop NAI-resistance mutation during the antiviral treatment with NAIs, which should be closely monitored during treatment of HP-H7N9 virus infected cases, such as using the recently developed qRT-PCR assay to simultaneously detect the presence of HP-H7N9 virus and monitor the occurrence of NAI-resistance mutation.<sup>18</sup>

The emergence of HP-H7N9 virus and infections in humans are a comparatively recent finding compared to the human infections with LP-H7N9 virus, and for the first time we directly compared the clinical and virological factors associated with disease severity between LP- and HP-H7N9 human infections during Wave Five. Although there was only a small number of HP-H7N9 virus infected cases, our results, together with previous epidemiological studies,

indicated that this newly emerged HP-H7N9 variant caused similar disease severity in humans when compared to LP-H7N9 virus. The higher frequency of NAI-resistance mutation in HP-H7N9 virus, which might be associated with the higher case fatality rate, needs to be closely monitored and considered during the antiviral treatment.

#### Funding

This work was supported by the National Science and Technology Major Project (grant numbers 2018ZX10711001, 2017ZX10204401, 2016ZX10004222), National Natural Science Foundation of China (NSFC) (grant numbers 31870163, 81802004), Emergency Technology Research Issue on Prevention and Control for Human Infection with A(H7N9) Avian Influenza Virus (grant number 10600100000015001206), Strategic Priority Research Program of the Chinese Academy of Sciences (CAS) (grant number XDB29010102), Sanming Project of Medicine in Shenzhen (grant number SZSM201412003). G.F.G. is a leading principal investigator of the NSFC Innovative Research Group (grant number 81621091). Y.B. is supported by the NSFC Outstanding Young Scholars (grant number 31822055) and Youth Innovation Promotion Association of CAS (grant number 2017122).

#### Conflicts of interest

The authors have declared that no conflicts of interest exist.

#### Supplementary material

Supplementary material associated with this article can be found, in the online version, at doi:10.1016/j.jinf.2019.01.005.

## References

- Gao R, Cao B, Hu Y, Feng Z, Wang D, Hu W, et al. Human infection with a novel avian-origin influenza A (H7N9) virus. *N Engl J Med* 2013;**368**(20):1888–97.
- Kile JC, Ren R, Liu L, Greene CM, Roguski K, Iuliano AD, et al. Update: increase in human infections with novel asian lineage avian influenza A(H7N9) viruses during the fifth epidemic - China, October 1, 2016–August 7, 2017. *MMWR Morb Mortal Wkly Rep*. 2017;**66**(35):928–32.
- Quan C, Shi W, Yang Y, Yang Y, Liu X, Xu W, et al. New threats from H7N9 influenza virus: spread and evolution of high- and low-pathogenicity variants with high genomic diversity in Wave Five. *J Virol* 2018;**92**(11) pii: e00301-18.
- Su S, Gu M, Liu D, Cui J, Gao GF, Zhou J, et al. Epidemiology, evolution, and pathogenesis of H7N9 influenza viruses in five epidemic waves since 2013 in China. *Trends Microbiol* 2017;**25**(9):713–28.
- Wang D, Yang L, Zhu W, Zhang Y, Zou S, Bo H, et al. Two outbreak sources of influenza A (H7N9) viruses have been established in China. *J Virol* 2016;**90**(12):5561–73.
- Liu J, Xiao H, Wu Y, Liu D, Qi X, Shi Y, et al. H7N9: a low pathogenic avian influenza A virus infecting humans. *Curr Opin Virol* 2014;**5**:91–7.
- Zhang F, Bi Y, Wang J, Wong G, Shi W, Hu F, et al. Human infections with recently-emerging highly pathogenic H7N9 avian influenza virus in China. *J Infect* 2017;**75**(1):71–5.
- Qi W, Jia W, Liu D, Li J, Bi Y, Xie S, et al. Emergence and adaptation of a novel highly pathogenic H7N9 influenza virus in birds and humans from a 2013 human-infecting low-pathogenic ancestor. *J Virol*. 2018;**92**(2) pii: e00921-17.
- Wang X, Jiang H, Wu P, Uyeki TM, Feng L, Lai S, et al. Epidemiology of avian influenza A H7N9 virus in human beings across five epidemics in mainland China, 2013–17: an epidemiological study of laboratory-confirmed case series. *Lancet Infect Dis* 2017;**17**(8):822–32.
- Kang M, Lau EHY, Guan W, Yang Y, Song T, Cowling BJ, et al. Epidemiology of human infections with highly pathogenic avian influenza A(H7N9) virus in Guangdong, 2016 to 2017. *Euro Surveill* 2017;**22**(27). doi:10.2807/1560-7917.ES.2017.22.27.30568.
- Gao GF. Influenza and the live poultry trade. *Science* 2014;**344**(6181):235. doi:10.1126/science.1254664.
- Yang L, Zhu W, Li X, Chen M, Wu J, Yu P, et al. Genesis and spread of newly emerged highly pathogenic H7N9 avian viruses in mainland China. *J Virol* 2017;**91**(23) pii: e01277-17.
- OIE Update on avian influenza in animals (types H5 and H7), 2018 [cited 2018 June 26]. Available from: <http://www.oie.int/en/animal-health-in-the-world/update-on-avian-influenza/2018/>.
- Bao L, Bi Y, Wong G, Qi W, Li F, Lv Q, et al. Diverse biological characteristics and varied virulence of H7N9 from Wave 5. *Emerg Microbe Infect* 2019;**8**(1):94–102.
- Shi J, Deng G, Kong H, Gu C, Ma S, Yin X, et al. H7N9 virulent mutants detected in chickens in China pose an increased threat to humans. *Cell Res* 2017;**27**(12):1409–21.
- Imai M, Watanabe T, Kiso M, Nakajima N, Yamayoshi S, Iwatsuki-Horimoto K, et al. A highly pathogenic avian H7N9 influenza virus isolated from a human is lethal in some ferrets infected via respiratory droplets. *Cell Host Microbe* 2017;**22**(5):615–26.
- Ke C, Mok CKP, Zhu W, Zhou H, He J, Guan W, et al. Human infection with highly pathogenic avian influenza A(H7N9) virus. *China. Emerg Infect Dis*. 2017;**23**(8):1332–40.
- Yang Y, Li S, Wong G, Ma S, Xu Z, Zhao X, et al. Development of a quadruple qRT-PCR assay for simultaneous identification of highly and low pathogenic H7N9 avian influenza viruses and characterization against oseltamivir resistance. *BMC Infect Dis* 2018;**18**(1):406. doi:10.1186/s12879-018-3302-7.
- WHO. Monthly risk assessment summary 2018 [cited 2018 June 6]. Available from: [http://www.who.int/influenza/human\\_animal\\_interface/HAI\\_Risk\\_Assessment/en/](http://www.who.int/influenza/human_animal_interface/HAI_Risk_Assessment/en/).
- Wang C, Yu H, Horby PW, Cao B, Wu P, Yang S, et al. Comparison of patients hospitalized with influenza A subtypes H7N9, H5N1, and 2009 pandemic H1N1. *Clin Infect Dis* 2014;**58**(8):1095–103.
- Betakova T, Kostrabova A, Lachova V, Turianova L. Cytokines induced during influenza virus infection. *Curr Pharm Des* 2017;**23**(18):2616–22.
- Guo J, Huang F, Liu J, Chen Y, Wang W, Cao B, et al. The serum profile of hypercytokinemia factors identified in H7N9-infected patients can predict fatal outcomes. *Sci Rep* 2015;**5**:10942. doi:10.1038/srep10942.
- Chi Y, Zhu Y, Wen T, Cui L, Ge Y, Jiao Y, et al. Cytokine and chemokine levels in the novel avian influenza A (H7N9) virus in China. *J Infect Dis* 2013;**208**(12):1962–7.
- Bi Y, Xie Q, Zhang S, Li Y, Xiao H, Jin T, et al. Assessment of the internal genes of influenza A (H7N9) virus contributing to high pathogenicity in mice. *J Virol* 2015;**89**(1):2–13.
- Mok CK, Lee HH, Lestra M, Nicholls JM, Chan MC, Sia SF, et al. Amino acid substitutions in polymerase basic protein 2 gene contribute to the pathogenicity of the novel A/H7N9 influenza virus in mammalian hosts. *J Virol* 2014;**88**(6):3568–76.
- Wu Y, Bi Y, Vavricka CJ, Sun X, Zhang Y, Gao F, et al. Characterization of two distinct neuraminidases from avian-origin human-infecting H7N9 influenza viruses. *Cell Res* 2013;**23**(12):1347–55.
- Yamayoshi S, Kiso M, Yasuhara A, Ito M, Shu Y, Kawaoka Y. Enhanced replication of highly pathogenic influenza A(H7N9) virus in humans. *Emerg Infect Dis* 2018;**24**(4):746–50.
- Hu Y, Lu S, Song Z, Wang W, Hao P, Li J, et al. Association between adverse clinical outcome in human disease caused by novel influenza A H7N9 virus and sustained viral shedding and emergence of antiviral resistance. *Lancet* 2013;**381**(9885):2273–9.
- Yamayoshi S, Fukuyama S, Yamada S, Zhao D, Murakami S, Uraki R, et al. Amino acid substitutions in the PB2 protein of H7N9 influenza A viruses are important for virulence in mammalian hosts. *Sci Rep* 2015;**5**:8039. doi:10.1038/srep08039.
- Song W, Wang P, Mok BW, Lau SY, Huang X, Wu WL, et al. The K526R substitution in viral protein PB2 enhances the effects of E627K on influenza virus replication. *Nat Commun* 2014;**5**:5509. doi:10.1038/ncomms6509.
- Zhou L, Tan Y, Kang M, Liu F, Ren R, Wang Y, et al. Preliminary epidemiology of human infections with highly pathogenic avian influenza A(H7N9) virus, China, 2017. *Emerg Infect Dis*. 2017;**23**(8):1355–9.
- Xiang N, Li X, Ren R, Wang D, Zhou S, Greene CM, et al. Assessing change in avian influenza A(H7N9) virus infections during the fourth epidemic - China, September 2015–August 2016. *MMWR Morb Mortal Wkly Rep* 2016;**65**(49):1390–4.