

## Elevated autoimmunity in residents living near abandoned uranium mine sites on the Navajo Nation

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### ABSTRACT

Specific autoantibodies were assessed among residents of the Navajo Nation in New Mexico chronically exposed to metal mixtures from uranium mine wastes and in drinking water supplies. Age and the extent of exposure to legacy waste from 100 abandoned uranium mine and mill sites were associated with antibodies to denatured DNA, previously known to be an early indicator of medication-induced autoimmunity. Surprisingly, autoantibodies to native DNA and/or chromatin were also linked to environmental exposure, specifically uranium consumption through drinking water for both men and women, while urinary arsenic was negatively associated with these autoantibodies in women. These findings suggest that contaminants derived from uranium mine waste enhanced development of autoantibodies in some individuals, while arsenic may be globally immunosuppressive with gender-specific effects. Specific autoantibodies may be a sensitive indicator of immune perturbation by environmental toxicants, an adverse effect not considered in current drinking water standards or regulatory risk assessment evaluations.

### 1. Introduction

The Navajo Nation was heavily mined for uranium from the 1940s through the mid-1980s [1], resulting in a legacy of more than 500 abandoned, non-remediated uranium mines and approximately 1100 associated waste sites [2,3]. Since the last mine closed over thirty years ago, there has been no evaluation of possible effects of mine waste exposure on community health prior to inception of the Diné Network for Environmental Health (DiNEH) Project, a community-academic partnership from which the data presented here are derived.

Geospatial data and survey responses of 1304 DiNEH study participants demonstrated that there was increased likelihood of kidney disease for people with exposures during the active mining era and that living close to unremediated uranium mine and waste sites was strongly associated with hypertension and the probability of developing diabetes and chronic kidney disease [4]. Proximity to abandoned uranium mines also strongly predicted capacity of serum to induce inflammatory biomarkers in cultured endothelial cells [5], consistent with elevated oxidized low-density lipoprotein and other biomarkers of

cardiovascular disease in this population [6]. Of possible mechanistic relevance, uranium at 10  $\mu$ M inhibited poly(ADP-ribose)polymerase-1 and DNA repair in tissue culture cells [7].

Immune system abnormalities such as serum autoantibodies can also be effect biomarkers of heavy metal exposure. Gold miners in Brazil exposed to occupational mercury had increased prevalence of anti-nucleolar and anti-nuclear antibodies (ANA) [8]. ANA determinations were included in the Navajo Area Indian Health Service (NAIHS) contribution to the DiNEH study as part of the Community Uranium Exposure Journey to Healing (CUE-JTH) program. ANA positivity among DiNEH participants was 29% (64/222, unpublished data), double the United States (U.S.) national average in the National Health and Nutrition Examination Survey (NHANES) [9]. This finding motivated evaluation of specific autoantibodies described in the current report.

Because of the large number of described autoantibody specificities [10], testing rationale for specific autoantibody screening can be arbitrary and challenging. In addition, while it is generally presumed that autoantibodies are idiopathic, arising spontaneously in association with

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autoimmune and several other diseases, long-term treatment with various medications commonly induces anti-denatured (single-stranded) DNA and anti-histone antibodies and occasionally lupus-like symptoms [11]. We hypothesized that such xenobiotic-induced autoantibodies might also develop among DiNEH participants as a consequence of long-term inadvertent ingestion or inhalation of toxicants derived from legacy uranium mine waste. Because the risk of drug-induced autoantibodies increases with both duration and dose of xenobiotic exposure [12], the current study evaluated whether proximity to legacy mines and/or the amount of metal contaminants ingested in drinking water were predictors of these autoantibodies. Several other autoantibodies, commonly associated with idiopathic autoimmune diseases such as systemic lupus erythematosus (SLE) [13], were also evaluated for comparison.

## 2. Methods

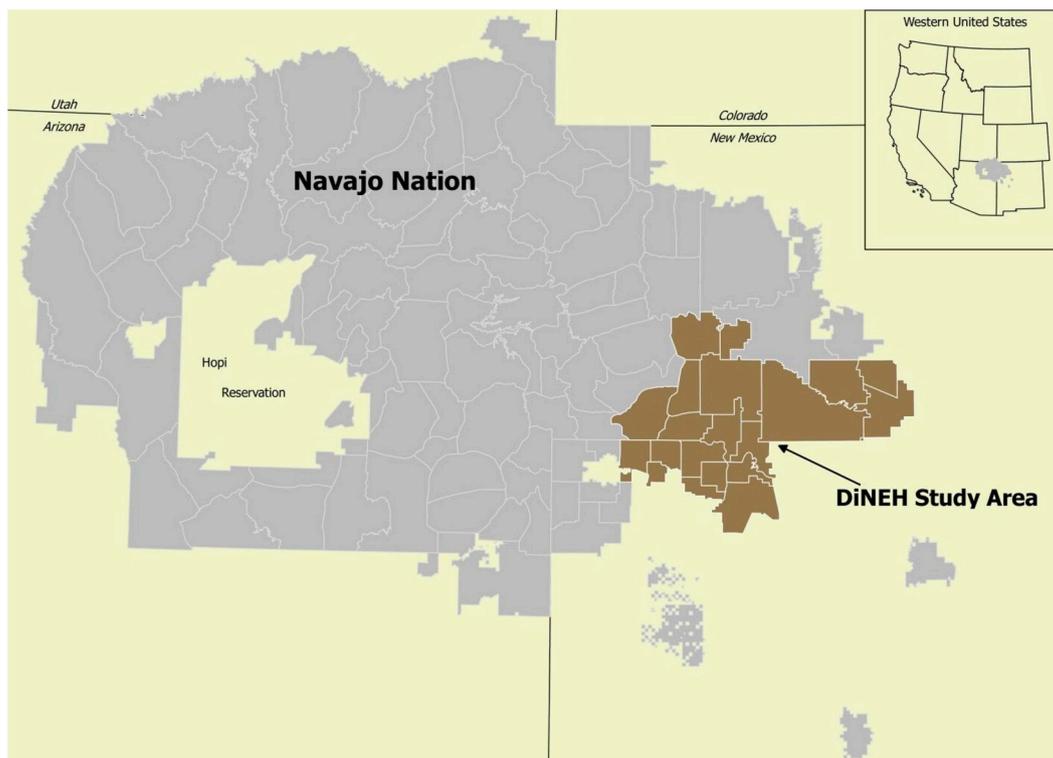
### 2.1. Study population and biological samples

From 2002 to 2013 the DiNEH Project — a partnership of the University of New Mexico (UNM) Health Sciences Center (HSC) Community Environmental Health Program, the Southwest Research and Information Center, and 20 chapters of the Eastern Agency of the Navajo Nation in Southwestern U.S. (Fig. 1) — investigated the contribution of long-term uranium waste exposure on common chronic diseases. All participants enrolled in Phase I were invited to participate in clinical assessments offered by the NAIHS CUE-JTH program, initiated as part of the federal response to Congressional hearings in 2007 into the potential health effects of abandoned uranium mines and waste sites across the Navajo Nation [14]. The study was monitored and approved by the UNM HSC Human Research Protection Office (HRPO# 03-059 and the Navajo Nation Human Research Review Board (NNHRRB NNR.04.145). Bilingual staff communicated with prospective participants with culturally appropriate information about the study, and participants signed informed consent. In addition, the study received explicit community support and documentation from all

**Table 1**  
Selected demographics of the original DiNEH Phase I cohort [4] and of the Phase II group used in the current study.

	Phase I		Phase II	
	N	%	N	%
<b>Gender</b>				
Females	736	56.4	151	56.5
Males	568	43.6	116	43.5
All Participants	1304	100.0	267	100.0
<b>Age</b>				
Mean age, females (yrs)		51.6		55.3
Mean age, males (yrs)		51.4		55.1
<b>Education</b>				
None	127	9.7	25	9.4
Less than high school	547	41.9	94	35.2
High school graduate	317	24.3	74	27.7
Post-high school	313	24.0	74	27.7
<b>Duration of residency</b>				
Lived in current home (yrs)		32.1		32.0
Lived in 1 location during life	870	66.7	171	64.0
Lived in 2 or more locations during life	415	31.8	97	36.0
<b>Uranium Mining Exposure (M)</b>				
Worked in uranium mine	128	9.8	49	18.4
Worked in uranium mill	24	1.8	9	3.4
Worked in uranium reclamation	27	2.1	6	2.3
Washed clothing of uranium worker	275	21.1	96	36.0
Lived in mining camp	48	3.7	18	6.7
<b>Environmental (E) Legacy Mines Exposure</b>				
Used mine wastes in construction	201	15.4	70	26.6
Herded livestock near uranium mines or mills	165	12.7	51	19.1
Sheltered livestock near uranium mines, mills 28e	24	1.8	8	3.0
Living near mine waste water	167	12.8	62	23.2
Playing on uranium tailings	164	12.6	52	19.5
Playing near uranium mines or mills	159	12.2	53	19.9

participating Chapters of the Eastern Agency of the Navajo Nation. Of the original cohort, twenty-percent (N = 263) provided blood, and 193 participants provide urine samples at community centers and clinics between June 2010 and May 2011. The demographics of this Phase II



**Fig. 1.** The DiNEH study recruitment area of the Eastern Agency of the Navajo Nation in Southwestern U.S.

**Table 2**  
Association of Combination 1 antibodies with exposure variables.

A1. Reduced models without interactions: all participants*						
Parameter	Male (N = 113)		Female (N = 147)		All (N = 260)	
	estimate	p-value	estimate	p-value	estimate	p-value
age	0.083	0.0000031			0.042	0.00000064
E (legacy mine exp)	0.38	0.010			0.14	0.038
M (mine work)					−0.33	0.032
Navajo use			0.27	0.027		
A2. Reduced models with second order interactions (involving age and E:P): all participants*						
Parameter	Male (N = 113)		Female (N = 147)		All (N = 260)	
	estimate	p-value	estimate	p-value	estimate	p-value
age	0.17	0.000056	0.053	0.023	0.031	0.0025
E (legacy mine exp)			0.47	0.044		
P (proximity)			66	0.010	13.9	0.0065
M (mine work)	−5.5	0.028			−0.45	0.0058
E:P			−9.5	−0.014	−5.5	0.0053
Navajo use	−0.85	0.013				
age:M	0.073	0.029				
age:E	−0.031	0.0058				
U consumption			0.24	0.033		
B1. Reduced models without interactions: biomonitored participants*						
Parameter	Male (N = 89)		Female (N = 104)		Both (N = 193)	
	estimate	p-value	estimate	p-value	estimate	p-value
age	0.24	0.00017			0.043	0.00016
E (legacy mine exp)	0.66	0.000099			0.21	0.0052
M (mine work)	−0.73	0.033				
Navajo use	−1.2	0.010				
As consumption	0.89	0.031				
Hg consumption urinary U			−1.1	0.029	0.69	0.049
B2. Reduced models with second order interactions (involving age and E:P): biomonitored participants*						
Parameter	Male (N = 89)		Female (N = 104)		Both (N = 193)	
	estimate	p-value	estimate	p-value	estimate	p-value
age			0.28	0.0038	0.27	0.00045
E (legacy mine exp)			0.55	0.049		
P (proximity)			22	0.042	119	0.031
Ni consumption			3.1	0.0091	3.3	0.0036
age:P					−2.0	0.033
age:urinary Ni			−0.055	0.0039	−0.05	0.0018
age:urinary U					0.088	0.013
As consumption			0.74	0.027		
Hg consumption			−1.3	0.0048		

\*Only results of statistical analysis with p-values < 0.05 are included.

group and the original Phase I cohort are shown in Table 1. Biological specimens were processed onsite. After venipuncture, blood was centrifuged at 2500 rpm for 10 min, and serum aliquots were stored at −80 °C. Urine was collected as spot samples, centrifuged at 500 rpm for 10 min, and aliquots of the supernatant were stored at −80 °C.

## 2.2. Survey information

A questionnaire designed to assess environmental exposures was developed by the DiNEH Project staff in partnership with community members following training on research design and basic concepts of exposure and toxicology appropriate to the Navajo culture and language. The survey asked participants about their water and land use, history of potential contact with uranium mines or waste including occupational exposure, as well as personal health histories. Participants

who self-reported an autoimmune disease were not excluded from the study because diagnoses could not be confirmed. The complete DiNEH Survey is available in Supplemental Material.

## 2.3. Environmental exposures to uranium mine waste

Participants' proximity to 98 uranium mining and 2 milling waste sites was established geospatially by using publicly available mine-location data compiled by the U.S. Environmental Protection Agency (USEPA) and the U.S. Army Corps of Engineers in collaboration with the Navajo Nation Environmental Protection Agency [3]. Graphic Information System “shape” files with latitude-longitude coordinates for uranium waste sites were matched with participants' home locations obtained at the time of in-home surveys using Global Positioning System (GPS) instruments or from rural addressing system maps if GPS

**Table 3**  
Association of Combination 2 antibodies with exposure variables.

A1. Reduced models without interactions: all participants*						
Parameter	Male (N = 113)		Female (N = 147)		All (N = 260)	
	estimate	p-value	estimate	p-value	estimate	p-value
E (legacy mine exp)	0.43	0.023			0.23	0.036
P (proximity)	− 47	0.017			− 15	0.043
Ni consumption	− 0.67	0.025				
U consumption	1.2	0.00099	0.42	0.011	0.58	0.000014
A2. Reduced models with second order interactions (involving age and E•P): all participants*						
Parameter	Male (N = 113)		Female (N = 147)		All (N = 260)	
	estimate	p-value	estimate	p-value	estimate	p-value
P	− 55	0.023	90	0.019	143	0.0037
M (mine work)					2.0	0.036
age:P			− 1.5	0.019	− 2.4	0.0051
E:P					− 43	0.024
Navajo use					− 1.1	0.033
age:M					− 0.037	0.030
As consumption	5.9	0.011				
U consumption	1.5	0.032	0.47	0.0086	0.54	0.00014
age:As consumption	− 0.13	0.0021				
age:Navajo use			0.022	0.037	0.021	0.018
B1. Reduced models without interactions: biomonitored participants*						
Parameter	Male (N = 89)		Female (N = 104)		Both (N = 193)	
	estimate	p-value	estimate	p-value	estimate	p-value
age					0.038	0.034
E (legacy mine exp)					0.38	0.011
Hg consumption			0.76	0.042		
U consumption					0.57	0.0023
urinary As			− 1.1	0.0028	− 1.6	− 0.000012
B2. Reduced models with second order interactions (involving age and E•P): biomonitored participants*						
Parameter	Male (N = 89)		Female (N = 104)		Both (N = 193)	
	estimate	p-value	estimate	p-value	estimate	p-value
E (legacy mine exp)					12	0.00097
M					4.81	0.045
P					255	0.031
age:P					− 4.8	0.0095
E:P					− 196	0.0032
age:M					− 0.091	0.042
age:E					− 0.18	0.0016
U consumption					0.80	0.0093
age:E:P					3.1	0.0035

\*Only results of statistical analysis with p-values < 0.05 are included.

coordinates were not available. The distance between each participant's home and all 100 potential exposure sites was calculated as the distance from participant *i* to each site *j*, denoted by  $d(i,j)$ . In order to estimate a person's exposure to all sites, a cumulative “proximity” variable (*P*) was created as the mean of the inverse distance (*d*) in which  $P(i) = (1/100) \times (\text{sum of } 1/d(i,j))$ . In statistical models a log-transformed proximity variable ( $\text{Log}P$ ) was applied.

The variable “mining era exposure” (*M*) was created to quantify self-reported mining exposure that occurred during the time of active mining in the study area (1950–1986). *M* was estimated by adding the number of affirmative answers to questions (Q., Supplement, DiNEH survey, Section 3) about having ever (a) worked in a uranium mine (Q.23); (b) worked in a uranium mill (Q.24); (c) lived in a mining camp (Q.28f); (d) washed or handled the clothes of a uranium worker (Q.28g); and/or (e) worked on reclamation of uranium mine, mill or hauled ore (Q.25). *M* produced values of 0–5 for each participant.

Exposure involving contact with uranium waste at mine and mill sites after active mining had ceased were defined as “environmental legacy exposure” (*E*) based on the number of affirmative answers to questions (Supplement, DiNEH survey, Section 3) about having ever (a) played on uranium tailings piles or waste dumps (Q.28a); (b) played near or next to a uranium mine, mill or waste dump (Q.28b); (c) drank, waded or came into contact with uranium mine water or waste spills (Q.28c); (d) herded livestock next to a uranium mine, mill or waste dump (Q.28d); (e) sheltered livestock in an abandoned mine (Q.28e); and/or (f) used materials from abandoned mine or mill sites in home construction or around the property (Q.28h). *E* produced values of 0–6 for each participant (N = 263).

#### 2.4. Exposure to contaminants in water sources

Drinking water sources and water quality data were matched to the

participants in the DiNEH Phase II biomonitoring program. Survey responses identified 58 different water sources (38 unregulated, see endnote #1, and 20 regulated, including bottled water) used for drinking water by these participants. Standard methods consistent with USEPA procedures for collecting, preserving and shipping samples were used in samples obtained from unregulated water sources [15]. These samples were analyzed for 27 contaminants (largely consisting of metals, metalloids and major ions) at the Carlsbad Environmental Monitoring and Research Laboratory (Carlsbad, NM; 2005-2007) and at the USEPA Region IX lab (Richmond, CA; 2007-2011). Radionuclide analyses were performed at the USEPA Indoor Air and Radiation Laboratory (Las Vegas, NV; 2003-2007) and at the New Mexico State Scientific Laboratory (Albuquerque, NM; 2003-2010) on samples from 30% of unregulated water sources. Five contaminants were assessed in the current study for annual consumption by study participants — uranium, arsenic, nickel, mercury, and total radium (Ra-226 and -228). These were selected based on their elevated prevalence in local water supplies (principally, arsenic, uranium and radium) [15] and on *a priori* knowledge of their potential immunotoxic effects (principally, mercury and nickel) [16–18]. Multiple samples were available for 13 unregulated water sources; the mean of these replicates, which showed little variability, was used for the current study. Contaminant concentrations in regulated water sources were compiled from annual federally-mandated Consumer Confidence Reports issued by water system operators and from publicly accessible databases managed and maintained by tribal, state and federal regulatory agencies. Chemical composition of bottled water was compiled from online reports of four companies and averaged across all bottled water products.

To address contaminant concentrations reported by laboratories as “non-detects”, the mean of their stated method detection limits (MDLs) was used for each of the five contaminants evaluated in this study. These MDL concentrations were 1.0 µg per liter (µg/l) for arsenic, 0.094 µg/l for mercury, 0.377 µg/l for nickel, 0.02 pCi per liter (pCi/l) for total radium, and 0.38 µg/l for uranium. MDL concentrations were used to establish “baseline” concentrations for the five metals assessed in this study to avoid using zero in annual intake calculations. Estimated annual consumption (EAC) of each contaminant from all drinking water source (s) was calculated for each participant (i) as follows:

$$EAC(i,s) = (\text{sum of measured concentration or MDL from all sources}) \times 2\text{L/day} \times 365 \text{ days/number of water sources}$$

A daily drinking water intake of 2L was based on USEPA recommendations [19]. Some participants hauled water from, for example, up to four different sources in which case the EAC was calculated as the sum of one-fourth of the concentration from each source. For participants who reported drinking only from a public water system (PWS) the number of water sources used was considered to be one.

## 2.5. Navajo-specific information

The degree of cultural traditionalism was evaluated based on frequency of Navajo language use at work, at home, and with friends. This variable, *N*, ranged from 0 to 4 based on self-identification as Navajo and to participant responses to three language usage questions in the DiNEH survey (Supplement, DiNEH survey, Section 1, Q.A5 and Q.A6). Language use was a surrogate for traditional cultural practices that might affect exposure patterns.

## 2.6. Biomonitoring

Urine samples were analyzed for concentration of 4 metals on 193 participants. Frozen samples were transported to the UNM Earth and Planetary Sciences Geo-Analytical Chemistry Laboratory, where inductively coupled plasma mass spectrometry (ICP-MS, Perkin Elmer,

Inc., Houston, TX) was used to determine metal concentrations based on internal assay standards. Limits of detection (LOD) in urine for these analyses were 0.15 µg/l for total arsenic, 0.16 µg/l for nickel, 0.09 µg/l for uranium, and 0.10 µg/l for vanadium. Because of the high LOD for uranium in the current study compared to NHANES, a binary detect vs. non-detect variable was employed ( $1 \geq 0.09 \mu\text{g/l}$ ;  $0 < 0.09 \mu\text{g/l}$ ) in statistical modeling for uranium, thereby focusing on the upper end of the distribution in the sample. All other metal concentrations were included in the statistical models as continuous variables. Measured concentrations were log transformed to normalize distributions.

## 2.7. Detection of specific autoantibodies

Autoantibodies against histones, denatured DNA (dDNA or single-stranded DNA), chromatin, and native DNA (nDNA or double-stranded DNA) were quantified in 263 participants by in-house enzyme-linked immunosorbent assays (ELISA) [20,21] using peroxidase-conjugated anti-IgG (SouthernBiotech, Birmingham, AL) as the secondary antibody. Optical densities (OD) beyond the range of direct measurement at 1 h were extrapolated from the OD value at earlier time-points as previously described [20].

## 2.8. Numeric transformation of antibody activity

Positive and negative control sera were included in each assay. Correction for inter-assay variation was performed by multiplying each sample's reactivity by the ratio of the positive control sera reactivity included in each assay to their average reactivities in all assays. After subtracting any background reactivity due to the secondary antibody, all DiNEH samples whose reactivity on each antigen was within that of negative control (normal) sera were considered normal reactivity for the DiNEH samples, which were then used to formally establish the mean and standard deviation assay background for each antigen. For the purpose of statistical analysis elevated reactivity on each antigen was further resolved into four ordinal groups as follows: 0 = < 2 SD above the mean; 1 = 2-4 SD above the mean; 2 = 5-9 SD above the mean; 3 ≥ 9 SD above the mean. Autoantibody combinations were used in statistical modeling based on their distinct etiologic categories as follows:

Combination 1: Xenobiotic (such as drug-induced): anti-dDNA and anti-histone antibodies

Combination 2: Idiopathic (such as SLE-associated): anti-chromatin and anti-nDNA antibodies

For each combination, the antibody response variable was defined as the sum of the ordinal values of its two component antibodies.

## 2.9. Statistical analyses

Poisson regression models were used to estimate the relationships between each antibody combination (as a count variable) and the exposure variables. Because of the relatively small sample size and potentially large number of variables, Akaike Information Criterion (AIC) was used for model selection in order to minimize information loss associated with stepwise removal of variables that do not have significant power to predict. Modeling was also performed using the predictor variables' interactions with age and with legacy exposure (*E*) as modified by the proximity variable ( $\log P$ ), as *E* was shown to be associated in the Phase 1 study with the likelihood of developing hypertension and multiple chronic diseases including diabetes, kidney, and cardiovascular disease [4], and  $\log P$  was shown to be a predictor of increased serum inflammatory markers [5]. Exposure survey data (*E*, *M*, and *P*) was missing in several individuals. In order to minimize data dimensionality, modeling was performed separately for participants in which urinary metals data was available (*N* = 193 for “biomonitoring”

participants) from modeling involving all participants but excluding the urinary metals variables ( $N = 260$ ). Because females are known to have higher risk for autoantibodies [9], modeling was performed using a gender-stratified approach as well as gender-neutral modeling. In addition, modeling was done using the traditional approach of sex as a covariate (Supplement Tables S3 and S4). Modeling was carried out using version 2.12.1 of the statistical software package 'R' (R Foundation for Statistical Computing, Vienna, Austria; URL:<http://www.r-project.org>). Reduced models are presented in the Results section only for variables with  $p < 0.05$ ; however, only variables with  $p$ -values of  $\sim 0.01$  or lower are described in detail.

### 3. Results

#### 3.1. Demographic comparison

The Phase II group of the current study is a 20% subset of the original sample from the Phase I DiNEH Project cohort, but there were no significant differences between the age, sex, educational status and duration of residency between the two samples (Table 1). However, the Phase II group reported a 1.7-fold higher mining work as well as legacy exposure to uranium mine and mill sites compared to the Phase I cohort (Table 1), suggesting selection for a potentially more exposed group. This difference was especially significant for legacy exposure from living near abandoned mine sites based on answers to six survey questions about their activities during this period ( $p < 0.0040$ , 2-tailed student's paired t-test).

#### 3.2. Drinking water quality

The participants in Phase II of the DiNEH project drank from 58 different water sources, and water quality data of each source was used to assess annual metals consumption of the 263 participants. For the most part, median and mean concentrations of the five metals selected for this study were well below EPA Safe Drinking Water Act Maximum Contaminant Levels (MCLs) (Table S1). However, 9 water sources (16%, 8 of which were unregulated) had mean concentrations that exceeded MCLs: 2 sources for arsenic, 3 for total radium, and 4 for uranium. Using a stricter criterion, 22 water sources (38%) had mean concentrations  $> \frac{1}{2}$  MCL for at least one contaminant, and 5 sources (9%) had two or more elevated contaminants. Concentrations of metals in the regulated PWS were generally within USEPA MCLs, but 4 PWS had average total radium concentrations  $> \frac{1}{2}$  MCL, and one PWS had a 5-year average radium concentration that exceeded the MCL by 10%. One PWS had a 12-year average arsenic level of 70% of the MCL, and another PWS had a 14-year average uranium level of 57% USEPA MCL.

#### 3.3. Consumption of metal contaminants in drinking water

Estimated annual consumption of the five metals is shown in Fig. 2 for each participant. Three participants had EACs greater than the USEPA MCL extrapolated to one year for arsenic, seven for total radium, and four for uranium (Table S2). No participant had an EAC exceeding the annualized MCL for Hg or Ni.

#### 3.4. Prevalence of specific autoantibodies

Of the 263 individuals, one or more of the four specific autoantibodies tested were detected in 54 people (21%) (Fig. 3). Forty-two of these individuals had elevated anti-dDNA antibody, which was the only detectable antibody in 32 participants (59% of people with any antibody). Nine of the anti-dDNA positive participants also had anti-nDNA antibodies, representing 75% of the anti-nDNA positives and consistent with the capacity of denatured DNA to spontaneously form double-stranded-like structures to which anti-nDNA antibodies can bind [22]. Of the 12 participants who had anti-nDNA, in only one person was

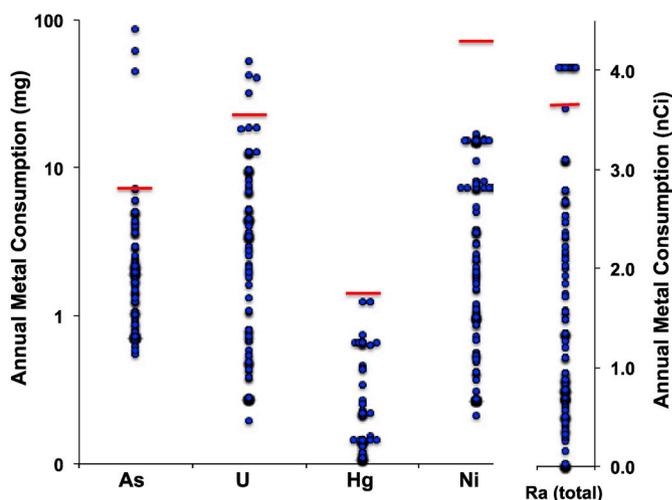


Fig. 2. Distribution of estimated annual consumption of five metals among 263 DiNEH Project Phase II participants (Ra measured in nanocuries). The horizontal lines mark the US EPA regulatory limits for each contaminant (Maximum Contaminant Level (MCL)) for public water systems extrapolated to 1-year consumption. MCL for nickel was withdrawn by USEPA in 1995 but used here as a reference value.

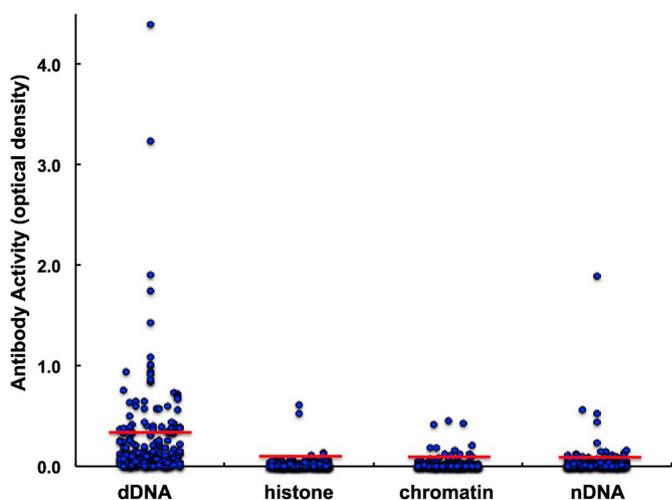


Fig. 3. Autoantibody reactivities in 263 DiNEH serum samples. For each antibody, the horizontal line demarcates 2 standard deviations above the mean of 76% of DiNEH samples within the range of normal serum binding to each antigen.

this the sole antibody. In contrast, anti-chromatin was the only reactivity in 2 of 5 females and in 4 of 5 males, presumably reflecting the restricted specificity of these antibodies for histone-DNA complexes [23]. Except for anti-chromatin, more women had elevated reactivity, with a female:male ratio of 2.2 for anti-dDNA, 4.0 for anti-histone, and 3.0 for anti-nDNA.

#### 3.5. Autoantibody association with environmental exposure

Elevated Combination 1 autoantibodies (anti-dDNA and/or anti-histone autoantibodies) were found in 13.2% of males and 21.5% of females. Age and legacy environmental mine waste exposure ( $E$ ) were independent predictors of elevated Combination 1 antibodies in males at  $p$ -values as low as  $10^{-2}$  to  $10^{-4}$  with both the complete data set (Table 2A1,  $N = 113$ ) and the smaller set (Table 2B1,  $N = 89$ ) restricted to men that had urine biomonitoring data as co-variables. Age and  $E$  also predicted Combination 1 antibodies in women when second order interactions involving age and proximity to mines were included

for the entire female sample (Table 2A2,  $N = 147$ ) as well as among women with biomonitoring results (Table 2B2,  $N = 104$ ). Proximity to mines also predicted Combination 1 antibodies when the sample with both sexes combined was used in modeling ( $p = 0.0065$ ) (Table 2A2). Several other correlates appeared in males or females in either positive or negative directions, but these were generally weak and inconsistent. Surprisingly, a history of occupational mining ( $M$ ) was a significant negative predictor of Combination 1 antibodies, especially with males and gender-neutral modeling involving second order interactions ( $p = 0.0058$ ) (Table 2A2). Hg consumption was a negative predictor of Combination 1 antibodies in females, especially interactions in modeling of the biomonitoring samples ( $p < 0.0048$ ) (Table 2B2) but was not significant when all participants were included in the analysis, and urinary Hg did not appear as a significant variable. Ni consumption was a strong predictor of Combination 1 antibodies in women using interactions in modeling the biomonitoring samples ( $p < 0.0091$ ) (Table 2B2) as well as with genders-combined models ( $p < 0.0036$ ) (Table 2B2), although urinary Ni levels were not. However, an interaction between urinary Ni and age was a significant negative predictor in women ( $p < 0.0039$ ) (Table 2B2) as well as with genders-combined modeling ( $p < 0.0018$ ), suggesting that the association between Combination 1 antibodies and ingested Ni is skewed toward younger women.

Only 6.1% of males and 8.7% of females had Combination 2 autoantibodies, anti-nDNA and/or anti-chromatin autoantibodies. A consistent predictor of Combination 2 antibodies was uranium consumption. Annual U consumption was an independent predictor in male ( $p < 0.00099$ ), female ( $p < 0.011$ ) and genders-combined modeling without secondary interactions ( $p < 0.000014$ ) (Table 3A1) as well as for male ( $p < 0.032$ ), female ( $p < 0.0086$ ) and genders-combined modeling ( $p < 0.00014$ ) with secondary interactions (Table 3A2). Combination 2 antibodies also correlated with legacy exposure to abandoned uranium mines ( $E$ ) in the gender-neutral biomonitoring participants, especially when interactions with age and proximity were included ( $p < 0.00097$ ) (Table 3B2). However, home proximity to mine sites was an inconsistent predictor of Combination 2 antibodies, in which significant positive association ( $p = 0.0037$ ) required the entire sample and second order interactions (Table 3A2), but the estimates for men compared with women were in opposite directions (Table 3A2). In males, arsenic (As) consumption was positively associated with Combination 2 antibodies ( $p < 0.011$ ), but this association was strongly influenced by age ( $p < 0.0021$ ) (Table 3A2). Among the average age DiNEH study males (55.1 years, Table 1), As consumption from water sources was responsible for an immunosuppressive effect (Estimate =  $-1.26$ ), suggesting a suppression of these antibodies during aging. Similarly, urinary As was a strong independent negative predictor in females ( $p < 0.0028$ ) and with the entire sample ( $p < 0.000012$ ) (Table 3B1). Compared to Combination 1 antibodies, age alone was a weak predictor of Combination 2 antibodies and only with the entire, gender-neutral model without interactions among biomonitoring participants ( $p = 0.034$ ) (Table 3B1).

#### 4. Discussion

Modeling using all participants as well as gender-stratified modeling showed that a history of exposure to uranium mines, mills or waste dumps contributed to age-dependent development of Combination 1 antibodies, predominately anti-dDNA antibodies. Age may be a surrogate for long-term exposure, suggesting that life-long residency near mine contaminants may promote induction and/or augmentation of these antibodies. Compared to females, males showed a much stronger association of Combination 1 antibodies to legacy mine exposure ( $E$ ), but its negative association with occupational mining ( $M$ ) complicates interpretation. Only with females did proximity to mines predict Combination 1 antibodies. While these autoantibodies were more than twice as common in women than men, that both genders showed the

same relationship to legacy mine exposure supports a common mechanistic basis for this immune perturbation. Regarding metals in drinking water, only Ni consumption predicted Combination 1 antibodies, and this was observed only with the biomonitoring gender-neutral samples and with biomonitoring women. That estimates of five metals ingested through drinking water did not generally correlate with these antibodies may suggest that other agent(s) or their interactions in the environment and/or route of entry underlie the source of possible antibody-inducing agents. Because single urine samples were used for biomonitoring metals, their non-correlation with Combination 1 antibodies would not necessarily reflect chronic exposure to individual, multiple, and possibly interacting environmental contaminants over the some  $> 30$  year residency in the same home.

While Combination 2 autoantibodies were not as common as Combination 1 antibodies, their detection in any DiNEH participant was surprising because anti-nDNA and anti-chromatin antibodies are generally associated with idiopathic autoimmune disease [13], although anti-chromatin antibodies often develop with symptomatic lupus induced by medications [11]. While legacy exposure to mine waste and proximity were not consistently strong predictors of Combination 2 antibodies, the significant appearance of these immune parameters in both males and females suggests that mine contaminant(s) are possible etiological factors for Combination 2 antibodies as well. Of the five measured metals in water sources, only annual uranium consumption predicted Combination 2 antibodies, and this metal was a strong predictor across all sample groups regardless of sex, suggesting that uranium intake enhanced development of these autoantibodies. These autoantibodies are commonly detected in patients with SLE [13], evocative of the findings of Lu-Fritts et al. [24] of a four-fold increase in diagnosed SLE among residents of Fernald, OH, who were considered to have historical exposure to uranium due to an ore processing plant in their community. However, reliable clinical information on the participants in the current study was not available. Unlike Combination 1 antibodies, age was not a predictor of Combination 2 antibodies, consistent with the categorization of these antibodies as idiopathic.

Older males showed a negative association with arsenic consumption and Combination 2 antibodies, and urinary As excretion was a negative predictor of Combination 2 antibodies in females. This suggests that As is immunosuppressive for development of Combination 2 autoantibodies. Women may convert As to particularly immunosuppressive metabolites, and long-term exposure of men to As during aging may suppress these autoantibodies. These findings are consistent with the association between urinary As and decreased protective antibody response to mumps vaccine [25] and to challenge with purified protein derivative in BCG-vaccinated Bangladesh children [18], and that sex-specific differences may complicate this effect [26]. In direct tests arsenic trioxide inhibited interferon- $\gamma$  synthesis in vitro by lymphocytes from patients with SLE [27] and prolonged survival and decreased anti-nDNA production in lupus-prone mice [28], possibly by inhibiting inflammasome activation [29].

While retrospective analyses have demonstrated that serum autoantibodies can predate development of SLE [30] or other autoimmune diseases [31] by many years, autoantibodies related to environmental exposure do not necessarily lead to autoimmune disease [32]. Nevertheless, autoantibodies associated with chemicals, radiation, medications, infectious agents, or dietary factors [33] may be a reflection of immune dysregulation, and anti-dDNA and anti-histone antibodies commonly develop in people treated with various medications but who remain asymptomatic [34]. In the current study 16% of the participants had anti-dDNA antibodies, which were associated with legacy exposure to abandoned uranium mines. While it is unlikely that most of these people will develop clinical autoimmune disease [11,12], these findings suggest anti-dDNA antibodies are a sensitive indicator of the capacity of uranium mine waste to cause immune dysregulation.

There are several limitations in this study. Approximately 20% of the Phase I DiNEH Project cohort volunteered to donate blood and urine

samples at 11 community collection and education events spanning 2400 square miles of Northwestern New Mexico. While the demographics of the Phase I and Phase II groups were similar, the participants in Phase II may have been less healthy and/or had potentially more environmental legacy mine exposure. While Phase II participants may, therefore, have been more motivated to contribute to this study, those who developed medical disabilities may have been less willing. In addition, the four metals selected to assess internal exposure are not necessarily the only potential toxicants, and one-time collected urine samples do not principally reflect long-term exposure. Furthermore, only binary assessment of urinary uranium was performed, possibly failing to capture a potential contribution of uranium as a continuous variable as calculated for other metals. While the hypothesis of this project, that specific autoantibodies known to be induced by xenobiotics would be markers for exposure to environmental toxicants, satisfied a fundamental statistical requirement for a meaningful finding [35], many variables as well as their interactions were included as potential autoantibody-affecting factors. Some variables became significant predictors of autoantibodies, but they were not corrected for the number of comparisons performed, the effect magnitude (estimate) varied, and uncertainty metrics (confidence intervals) were not included. These shortcomings are diminished because this was a cross-sectional study and the variables were not considered random and independent and by focusing on  $p$ -values = 0.01 or less as suggested [36]. Finally, autoantibodies may appear spontaneously in association with aging [9], there may be a genetic predisposition to autoimmunity in the DiNEH population, and heritable epigenetic changes due to multigenerational mine waste exposure may contribute to autoantibody development.

## 5. Conclusions

Statistical modeling of the current survey and analytic data showed that long-term exposure to abandoned, extant uranium mines was associated with development of autoantibodies. Specifically, [anti-native DNA + anti-chromatin] autoantibodies, generally believed to be idiopathic in origin, showed strong linkage to uranium intake through drinking water in both sexes. However, these autoantibodies showed negative association with ingested arsenic in aging men and with urinary arsenic in women, implying that the autoimmune-enhancing effects of uranium would have been even stronger in the absence of arsenic-mediated immunosuppression. These findings were surprising because most of the water sources were within sanctioned USEPA MCL. However, drinking water standards for uranium contamination are largely based on documented nephrotoxicity [19,37]; autoimmune-related effects were not considered. Anti-denatured DNA antibodies were predicted by long-term lifestyle exposure to abandoned uranium mines in both sexes and proximity to mines in women as well as by nickel consumption in women. Overall, these findings suggest that low but chronic contaminant exposure and contact with mixed-metal mining waste results in immune dysfunction including autoreactivity. Particular autoantibodies may be sensitive biomarkers for monitoring exposure and potential health effects of environmental toxicants among community members living on tribal lands.

## Declarations of interest

None.

## Endnote

#1: Unlike regulated water sources, an unregulated water source is not tested or treated to reduce contaminant concentrations and does not have to meet primary drinking water standards established by USEPA, the states, or tribes pursuant to the Safe Drinking Water Act.

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## Appendix A. Supplementary data

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