Original Research

# Cost-effectiveness of a national quality improvement programme to improve survival after emergency abdominal surgery: Learning from 15,856 patients

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ABSTRACT

*Background:* Patients undergoing emergency abdominal surgery are exposed to a high risk of death. A quality improvement (QI) programme to improve the survival for these patients was evaluated in the Enhanced Peri-Operative Care for High-risk patients (EPOCH) trial. This study aims to assess its cost-effectiveness versus usual care from a UK health service perspective.

*Methods:* Data collected in a subsample of trial participants were employed to estimate costs and quality-adjusted life years (QALYs) for the QI programme and usual care within the 180-day trial period, with results also extrapolated to estimate lifetime costs and QALYs. Cost-effectiveness was estimated using incremental cost-effectiveness ratios (ICERs). The probability of being cost-effective was determined for different cost-effectiveness thresholds (£13,000 to £30,000 per QALY). Analyses were performed for lower-risk and higher-risk sub-groups based on the number of surgical indications (single vs multiple).

*Results:* Within the trial period, QI was more costly (£467) but less effective (−0.002 QALYs). Over a lifetime, it was more costly (£1395) and more effective (0.018 QALYs), but did not appear to be cost-effective (ICER: £77,792 per QALY, higher than all cost-effectiveness thresholds; probability of being cost-effective: 28.7%–43.8% across the thresholds). For lower-risk patients, QI was more costly and less effective both within trial period and over a lifetime and it did not appear to be cost-effective. For higher-risk patients, it was more costly and more effective, and did not appear cost-effective within the trial period (ICER: £158,253 per QALY) but may be cost-effective over a lifetime (ICER: £14,293 per QALY).

*Conclusion:* The QI programme does not appear cost-effective at standard cost-effectiveness thresholds. For patients with multiple surgical indications, this programme is potentially cost-effective over a lifetime, but this is highly uncertain.

## 1. Introduction

Patients undergoing emergency abdominal surgery are exposed to a much greater risk of death than the general surgical patient population [1,2]. In the National Health Service (NHS), there are around 30,000 patients undergoing this surgery each year, with one in ten dying within 30 days of having the operation, rising to 25% at 90 days [3]. Therefore, there is an urgent need to consider interventions that might improve survival for these patients.

An evidence-based care pathway was proposed by the Royal College of Surgeons of England to improve the quality of care for these patients, representing a best practice standard of peri-operative care deliverable in all NHS hospitals [4]. To implement this care pathway, the evidence-based quality improvement (QI) approach was used to change the current practice and culture of care for the patient group. QI initiatives have been found to be associated with improved survival in surgical patients [5–8]. The QI programme included quality improvement training and support for the local QI leads, nominated by each

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participating hospital, to develop and implement action plans tailored for each hospital's needs to make the required improvements in patient care [9]. The effectiveness of the QI programme was evaluated in the Enhanced Peri-Operative Care for High-risk patients (EPOCH) trial [9], but no survival benefits was observed from this programme at either 90 or 180 days after surgery.

It was expected that patients' health-related quality of life would be improved with the implementation of this QI programme and that it may reduce healthcare resource use in the long term, compared to usual care. Therefore, this study aims to assess the cost-effectiveness of the QI programme compared to usual care for patients undergoing emergency laparotomy to provide evidence about whether this programme should be implemented widely in the NHS.

## 2. Methods

In this study, costs were estimated from the perspective of the NHS and health outcomes measured in quality adjusted life years (QALYs). The analysis was based on data from the EPOCH trial. A convenient subsample of trial participants were interviewed to collect the full range of data for the economic analysis and the cost-effectiveness was measured both within the 180-day trial period and extrapolated over the patients' lifetime. We also explored the cost-effectiveness in clinically relevant patient subgroups based on their risk of mortality, defined by the number of surgical indications (single vs multiple). A further analysis predicted the within trial and lifetime results in all trial participants. Cost-effectiveness was estimated using incremental cost-effectiveness ratios (representing the incremental costs per additional QALY of one strategy compared to the other), where appropriate, and incremental net health benefit (the difference between the health generated with the intervention relative to its comparator and the health which would be generated elsewhere in the health care system if the required resources were used for other purposes) based on cost-effectiveness thresholds of £13,000 [10], £20,000 and £30,000 per QALY [11]. Costs and QALYs beyond one year were discounted at 3.5% per annum in line with UK recommendations [11]. The work has been reported in line with the CHEERS criteria [12].

### 2.1. Study design and participants

The EPOCH was a multi-centre, stepped wedge randomised cluster trial conducted in 93 NHS hospitals across the UK over an 85-week period [13]. Eligible hospitals were grouped into 15 geographical clusters which commenced the QI programme in random order over the 85-week trial period from 3rd March 2014 to 19th October 2015. Eligible patients were those aged 40 years and over undergoing non-elective open abdominal surgery. Patients were excluded if they were undergoing a simple appendectomy, surgery related to organ transplant, gynaecological surgery, laparotomy for traumatic injury, treatment of complications of recent elective surgery or if they had previously been included in the EPOCH trial. A total of 15,856 patients were included, referred to as "EPOCH population", with outcome data on mortality within 90 and 180 days following surgery, duration of inpatient stay after surgery and whether re-admitted to hospital (Yes/No) within 180 days of surgery extracted from the Office for National Statistics (ONS), Hospital Episodes Statistics (HES) and the Information Services Division of NHS Scotland databases. Of the 15,856 patients, a total of 680 patients were included in a subsample, referred to as "EPOCH subsample", with additional data for the economics analysis including patients' health-related quality of life (HRQoL), and healthcare resource use not captured by HES, e.g., outpatient visits, primary care consultations. The EPOCH subsample were selected based on a convenience sampling approach and the patients were from eight hospitals, which were amongst those which commenced the QI programme midway through the trial period. Before data analysis, the characteristics of patients in the EPOCH subsample were compared to those in

the EPOCH population to check whether they were representative of the EPOCH population. The trial was approved by the East Midlands (Nottingham 1) Research Ethics Committee Research Ethics Committee (13/EM/0415).

### 2.2. Intervention

The QI programme has been described in detail elsewhere [9]. Briefly, it was developed through an evidence-based Delphi consensus process to update existing guidelines published by the Royal College of Surgeons of England with the aim of changing the practice and culture of care for patients undergoing emergency abdominal surgery. The QI programme required an extensive care pathway with 37 components to be implemented [9] (a full summary of evidence grading is available on the trial website www.epochtrial.org). Nominated QI leads in each participating hospital were tasked with leading a hospital wide quality improvement programme to implement the care pathway with the support and guidance of the national EPOCH QI team. Before or during the first week of intervention activation, the QI team provided training for each geographical cluster to develop the knowledge, skills and attitudes that the QI leads required to achieve change. During the trial period, the EPOCH QI team provided advice and support by phone and email and there were two national meetings to facilitate shared learning. As a results of the stepped-wedge trial design, the duration of the QI programme period varied between clusters from 5 to 85 weeks.

### 2.3. Usual care

Usual care was defined as the patients undergoing emergency abdominal surgery in a participating hospital before the implementation of the QI programme.

### 2.4. Resource use and costs

Inpatient hospital length of stay after initial surgery was available for all patients in the EPOCH population from HES. Whether patients were readmitted to hospital within 180 days after surgery was extracted from HES for all trial patients, but there was no information for the entire EPOCH population on number of hospital readmissions or length of stay of readmissions. Therefore, resource use for readmissions was estimated by the data collected from the EPOCH subsample. Other resource use including outpatient appointments, accident and emergency (A&E) attendances, and primary care consultations was also collected at 180 days after surgery, from the EPOCH subsample. Staff resources associated with the QI programme through the trial period were recorded by the EPOCH QI team. Costs of the QI programme were estimated including salary costs for the QI leads and QI coordinator and non-salary costs of the QI programme (e.g., travel, meetings and training, etc.) and then an average cost per patient in the QI programme group was estimated. Total healthcare costs over the trial period were estimated by multiplying the amount of each resource used by appropriate unit costs [14,15]. Costs are expressed in UK Sterling (GBP) at 2016/2017 prices.

### 2.5. Outcomes

Health outcomes were measured in QALYs, a generic measure of health which captures quality adjusted survival. QALYs were estimated based on patients' responses to the 3-level EQ-5D instrument (EQ-5D-3L) collected before surgery and at 90 and 180 days after surgery for the EPOCH subsample. The EQ-5D-3L asks patients to rate the severity of their problems (no problems, moderate problems or severe problems) in 5 domains (mobility, self-care, usual activity, pain/discomfort and anxiety/depression). Responses to EQ-5D-3L were transformed into health related quality of life (HRQoL) scores using the preference based UK tariff [16], on a scale where 0 represents death and 1 represents full

health. QALYs were estimated using the HRQoL scores and survival based on the area under the curve method and linear interpolation between time points [17].

### 2.6. Analysis

#### 2.6.1. Within-trial

Costs and QALYs over the trial period were calculated for patients in the EPOCH subsample. Where costs and EQ-5D-3L data were missing, multiple imputation using chained equations and predictive mean matching was used [18]. The imputed data were then used to calculate per patient costs and QALYs for patients. Mean difference in healthcare costs incurred and QALYs accrued between trial arms were estimated using seemingly unrelated regression model [19], adjusted for baseline EQ-5D-3L score [20] (in QALYs regression), age and sex.

#### 2.6.2. Lifetime extrapolation

Lifetime cost-effectiveness was estimated by extrapolating QALYs and costs over the remaining lifetime of patients in the EPOCH subsample based on HRQoL at the end of the trial period, general population survival data and general population health care costs by age and sex. Patients alive at the end of the trial period were assumed to return from their HRQoL score at day 180 to the population average for someone of their age and sex over the next 3 years based on linear interpolation and then have the population age- and sex-adjusted EQ-5D-3L scores until death [21]. Patients whose life expectancy was less than 3 years were assumed to have the HRQoL at day 180 until death. Life expectancy was estimated to match the population average based on their age and sex, reported in the ONS National Life Tables of UK 2012–2014 [22]. We assumed that patients alive were attributed average healthcare costs by age and sex over the rest of their modelled lives [23]. Lifetime costs and QALYs were calculated for all patients in the EPOCH subsample. Similar to the within-trial analysis, the mean difference in healthcare costs and QALYs between the QI programme and usual care was estimated using seemingly unrelated regression model [19], controlling for baseline EQ-5D-3L score (in QALYs regression), age and sex.

#### 2.6.3. Cost-effectiveness analysis

The additional costs per QALY gained of QI programme compared to usual care, i.e., incremental cost-effectiveness ratio (ICER), was calculated where appropriate (where one intervention was more effective and more costly than another). The incremental net health benefit (NHB) measured in QALYs at cost-effectiveness thresholds of £13,000, £20,000 and £30,000 per QALY was also calculated. The NHB is the gain in health to the patient less the health lost as a result of any additional costs of the intervention which result in resources not being available for other patients' care (the health opportunity costs) [24]. When the incremental NHB is positive, the intervention is cost-effective. Probabilistic sensitivity analysis was used to estimate decision uncertainty; that is, the probability that the joint uncertainty in costs and QALYs, estimated based on the seemingly unrelated regression, would result in QI not being cost-effective at a given cost-effectiveness threshold. These probabilities were presented visually on a cost-effectiveness acceptability curve (CEAC) [25,26].

Two scenario analyses were performed for the EPOCH subsample to assess the robustness of the findings to alternative assumptions regarding HRQoL beyond the trial period. Patients alive at the end of trial were assumed to follow the group mean HRQoL until death (in scenario one) or retain their individual EQ-5D-3L scores at day 180 until death (in scenario two).

Subgroup analysis was established to explore whether cost-effectiveness varies between lower-risk versus higher-risk patients defined by the number of surgical indications. Patients with single indication for surgery were considered as lower-risk and those with multiple indications as higher-risk.

#### 2.6.4. EPOCH population extrapolation

In the EPOCH trial, mortality and inpatient stay data were available for all the trial participants. We used these data to explore the cost-effectiveness of the QI programme in the whole EPOCH population, combining these data with HRQoL, costs of readmissions, and costs of outpatient, A&E and primary care predicted based on the EPOCH subsample. Generalised linear models were used to predict costs (including age, sex and cluster as covariates) and baseline HRQoL (including age, sex and indication for surgery as covariates) and a generalised least square random effects model was used to predict HRQoL gain after surgery, controlling for baseline EQ-5D-3L score, age and sex. We then used the predicted HRQoL scores and the mortality data to calculate QALYs over the trial period using area under curve and linear interpolation method [17]. The same approach employed to extrapolate lifetime costs and QALYs in the EPOCH subsample was also used in the EPOCH population. These regression models predicted the conditional means in costs and HRQoL scores for those not in the EPOCH subsample, and the potential variability in patient estimates was not captured and therefore only the mean values of difference in costs and QALYs are reported and ICER and NHB were calculated to assess the cost-effectiveness. All statistical analyses were performed using Stata 14 (StataCorp, College Station, Texas, USA).

## 3. Results

### 3.1. Patient characteristics

Baseline characteristics of patients in the EPOCH population are summarised in Table S1. A total of 15,856 eligible patients were included (8482 in the usual care group and 7374 in the QI group) and there were no marked differences in baseline characteristics between patients in the QI group and those in the usual care group. Of the 680 eligible patients in the EPOCH subsample, baseline characteristics did not markedly differ between the usual care group (n = 415) and the QI group (n = 265), with the exception of higher baseline HRQoL scores (0.262 vs 0.168) and slightly higher proportion of multiple surgical indications (higher-risk) patients (25.7% vs 21.5%) in the QI group (Table S1). The characteristics of patients in the EPOCH subsample and those in the EPOCH population were broadly similar, although patients in the subsample were slightly younger on average and a lower proportion were females. The 180-day all-cause mortality and the characteristics of patients alive at the end of the trial period are presented in Table S2. A higher proportion of patients died in the usual care group compared to QI group in both EPOCH subsample and EPOCH population. The mortality was lower in the EPOCH subsample compared to that in the EPOCH population.

### 3.2. Resource use and costs

The healthcare resources used over the 180-day follow-up period for the EPOCH subsample and the unit costs associated with each type of resource use are shown in Table 1. Resource use was highly variable between individuals, as evidenced by the large standard deviations, but was similar on average between patients in the QI programme and usual care. The total costs of the QI programme across the 93 trial hospitals with 7383 patients in the QI group (9 patients excluded from the analysis as outcome data could not be calculated) were estimated to be £231,400, resulting in a cost per patient of £32 of the programme.

The mean costs of resource use in the EPOCH subsample based on the imputed data are shown in Table 2. Costs of inpatient stay after surgery is the principal costs driver, accounting for around 80% of the mean total costs. Overall, mean 180-day costs for a patient in the usual care group was £8216 while the costs for a patient in the QI group was higher, at £8675 (mean difference: 467, 95% CI: −800 to 1735).

**Table 1**
Unit costs and resources used by the subsample over the trial period.

| Item | Unit | Unit cost (£)[a] | Usual care (n = 415) Mean (SD) | QI (n = 265) Mean (SD) |
|---|---|---|---|---|
| ***Inpatient care*** | | | | |
| Inpatient stay | Day | 359 | 18.1 (20.5) | 19.5 (19.5) |
| Hospital readmission | Event | 3434 | 0.27 (1.11) | 0.26 (0.79) |
| ***Outpatient and primary care*** | | | | |
| Outpatient appointment | Visit | 130 | 4.14 (6.19) | 3.49 (4.78) |
| A&E visit | Visit | 148 | 0.41 (1.54) | 0.47 (1.78) |
| GP surgery visit (GP) | Visit | 38 | 2.47 (4.01) | 2.35 (3.27) |
| GP surgery visit (nurse) | Visit | 21 | 1.90 (4.67) | 1.41 (2.88) |
| GP home visit | Visit | 120 | 0 | 0.01 (0.10) |
| Stoma nurse visit | Visit | 55 | 0.33 (1.38) | 0.45 (1.59) |
| Occupational therapy | Visit | 45 | 0.19 (0.73) | 0.37 (1.97) |
| Physiotherapy | Visit | 49 | 0.38 (2.41) | 1.10 (6.73) |
| Psychotherapy | Visit | 194 | 0.14 (1.47) | 0.12 (0.87) |
| Dietetics | Visit | 71 | 0.04 (0.52) | 0.12 (1.65) |
| ***QI programme*** | – | 32 | 0 | 1 |

SD: standard deviation.
[a] Rounded up to nearest pound sterling.

### 3.3. Outcomes

The HRQoL scores at each time point and 180-day QALYs for the EPOCH subsample are summarised in Table 3 (based on the imputed data). At baseline, the QI patients had higher HRQoL scores than those in usual care, but the follow-up HRQoL scores were similar between two groups. The mean QALYs over the 180 days after surgery were 0.274 (95% CI 0.260 to 0.288) for the usual care group and 0.287 (95% CI 0.269 to 0.306) for the QI programme group; patients in the intervention group had slightly higher QALYs than those in the usual care group (mean difference: 0.013, 95% CI: −0.009 to 0.036), however, after adjusting for baseline HRQoL, age and sex, the QI programme generated fewer QALYs compared to usual care, although the differences were very small (mean difference: 0.002, 95% CI: −0.022 to 0.017).

### 3.4. Cost-effectiveness analysis

#### 3.4.1. Within-trial analysis

Cost-effectiveness results are presented in Table 4. For the EPOCH subsample, within the trial period, the QI programme was associated with incremental costs of £467 but fewer QALYs by −0.002, i.e., the QI programme was less effective and more costly and so is dominated by usual care. At the cost-effectiveness thresholds of £13,000, £20,000 and £30,000 per QALY, the incremental NHB of the QI programme (and the probability of being cost-effective) was −0.038 QALYs (23.5%),

−0.025 QALYs (23.7%) and −0.018 QALYs (24.2%) respectively (Table 4). The probabilities of QI being cost-effective across the cost-effectiveness threshold range are shown in Fig. 1a.

#### 3.4.2. Lifetime extrapolation

For the EPOCH subsample, when the lifetime perspective was adopted, the QI programme was associated with incremental costs (mean difference: £1395, 95% CI: −1083 to 3873) and more QALYs (mean difference: 0.018, 95% CI: −0.396 to 0.432), yielding an ICER of £77,792 per QALY (Table 4), which is higher than the cost-effectiveness thresholds considered. At the cost-effectiveness thresholds of £13,000, £20,000 and £30,000 per QALY, the incremental NHB of the QI programme (and the probability of being cost-effective) was −0.089 QALYs (28.7%), −0.052 QALYs (38.2%) and −0.029 QALYs (43.8%) respectively (Table 4). The cost-effectiveness acceptability curve is shown in Fig. 1b. The QI programme did not appear to be cost-effective for patients in the subsample over the longer term. The estimated lifetime costs and lifetime QALYs are summarised in Table S3.

#### 3.4.3. Scenario analysis

Table 4 also presents the results of scenario analyses for the EPOCH subsample. Using different assumptions of HRQoL beyond trial period, the QI programme was associated with more costs but fewer QALYs than usual care and was therefore dominated by usual care.

#### 3.4.4. Subgroup analysis

For lower-risk patients (single surgical indication), the QI programme was associated with increased costs and fewer QALYs both within the trial period and over the lifetime horizon and all incremental NHBs were negative (Table 4). For higher-risk patients (multiple surgical indications), within the trial period the QI programme was associated with incremental costs and more QALYs, resulting in an ICER of £158,253 per QALY (Table 4), higher than the cost-effectiveness thresholds considered. The incremental NHB was negative for all three thresholds considered. However, over the lifetime horizon, the QI programme was associated with incremental costs and more QALYs, yielding an ICER of £14,293 per QALY (Table 4). At the cost-effectiveness thresholds of £13,000, £20,000 and £30,000 per QALY, the incremental NHB (and the probability of being cost-effective) was −0.031 QALYs (45.6%), 0.089 QALYs (61.5%) and 0.163 QALYs (69.3%). Therefore, the QI programme may be cost-effective for patients with multiple indications for surgery over the longer term at the thresholds of £20,000 and £30,000 per QALY, but this is subject to substantial decision uncertainty.

#### 3.4.5. EPOCH population analysis

The results of the analyses for the EPOCH population are also shown in Table 4. Both within the trial period and over the lifetime horizon, the QI programme was associated with incremental costs and fewer QALYs. The incremental NHB was negative for all three thresholds

**Table 2**
Costs of resources used by EPOCH subsample over the trial period, costs of intervention and total costs*.

| Costs (£) | Usual care (n = 415) | | | | QI (n = 265) | | | |
|---|---|---|---|---|---|---|---|---|
| | Missing | Mean (SE) | 95% CI | % total costs | Missing | Mean (SE) | 95% CI | % total costs |
| Inpatient stay | 1 (< 1%) | 6486 (360) | 5778–7194 | 78.9 | 0 | 7001 (431) | 6152–7850 | 80.7 |
| Readmissions | 17 (4.10%) | 934 (189) | 561–1305 | 11.4 | 11 (4.15%) | 881 (170) | 547–1215 | 10.2 |
| Outpatient, A&E and primary care | 97 (23.4%) | 797 (58) | 682–912 | 9.7 | 70 (26.4%) | 760 (60) | 642–878 | 8.8 |
| QI programme | – | 0 | | | – | 32 | | 0.37 |
| Total | | 8216 (411) | 7409–9024 | 100 | | 8675 (497) | 7696–9684 | 100 |
| **ΔCosts (£)[a]** | | – | – | | | **458** | **(-812 to 1728)** | |
| **ΔCosts (£)[b]** | | – | – | | | **467** | **(-800 to 1735)** | |

*Based on the imputed data and rounded up to nearest pound sterling.
[a] Unadjusted difference.
[b] Adjusted for age and sex.

**Table 3**
HRQoL scores of the subsample and QALYs over the trial period*.

| | Usual care (n = 415) | | | QI (n = 265) | | |
|---|---|---|---|---|---|---|
| | Missing | Mean (SE) | 95% CI | Missing | Mean (SE) | 95% CI |
| Baseline HRQoL | 6 (1.45%) | 0.168 (0.022) | 0.124–0.211 | 4 (1.51%) | 0.262 (0.029) | 0.205–0.319 |
| 90 days HRQoL | 79 (19.0%) | 0.649 (0.017) | 0.615–0.684 | 51 (19.2%) | 0.669 (0.023) | 0.623–0.715 |
| 180 days HRQoL | 101 (24.3%) | 0.706 (0.018) | 0.670–0.741 | 81 (30.6%) | 0.677 (0.025) | 0.628–0.725 |
| QALYs | – | 0.274 (0.007) | 0.260–0.288 | | 0.287 (0.009) | 0.269–0.306 |
| ΔQALYs[a] | – | – | – | | **0.013 (0.012)** | **(-0.009 to 0.036)** |
| ΔQALYs[b] | – | – | – | | **− 0.002** | **(-0.022 to 0.017)** |

*Based on the imputed data. SE: standard error.
[a]Unadjusted difference.
[b]Adjusted for baseline EQ-5D, age and sex.

considered. The QI programme was therefore dominated by usual care in all trial patients. Details of the estimated costs and regression models are available in Tables S4–S7.

## 4. Discussion

The analysis shows that, for patients undergoing emergency laparotomy, a QI programme aiming to improve the quality of care for them does not appear cost-effective within the 180 days after the surgery or over their remaining lifetime, when compared with usual care. For patients with multiple indications for surgery, it may be cost-effective over the lifetime, but this is highly uncertain.

Our results are consistent with the primary clinical analysis showing no survival benefit from the programme within the trial period [9]. The lack of the effectiveness of the QI programme observed in the EPOCH trial may be partially explained by the stepped wedged cluster design of the study resulting in limited time for the QI efforts to affect change (between 5 and 85 weeks in total). More importantly, this may be as a result of the QI programme not being implemented as successfully as expected in the EPOCH trial. Recent studies of quality improvement programmes in other clinical areas [5–8,27–31] suggest that more focused, discrete clinical interventions may be more successfully implemented than interventions that include larger numbers of care processes and clinician behaviours. The QI programme in the EPOCH trial required an extensive care pathway with 37 components to be implemented [9] and there were wide variations in these elements at individual hospitals and thus local adaptations in each hospital were needed. According to the ethnographic evaluation of this QI programme [32], hospital staff often had little or no additional time to improve patient care and consequently, the objective of the EPOCH QI programme was not readily achieved because the care pathway was not implemented as fully as intended [33]. As such the lack of cost-effectiveness identified here may be due, to a large extent, on implementation failure resulting in a loss of intervention effectiveness. It remains unknown whether the EPOCH trial interventions could be effective, and therefore potentially cost-effective, if implemented more fully for example in circumstances where more time and support was allocated to implementation.

When a lifetime horizon was adopted, in the EPOCH subsample this programme was associated with slightly more QALYs, which may be explained by that more females patients survived in the QI group at the end of the trial period than those in usual care (QI: 49.6% and usual care: 48.7%, Table S2). But the resultant ICER was much higher than the cost-effectiveness thresholds considered and it was therefore dominated by usual care. Using other assumptions of HRQoL beyond the trial period, QI was associated with fewer QALYs, suggesting that the lifetime estimates were highly sensitive to the long-term assumptions. Nevertheless, the use of different assumptions beyond the trial period did not affect the conclusion that the QI programme was unlikely to be cost-effective in this subsample. In the EPOCH population,

the QI programme was associated with fewer QALYs both within the trial period and over lifetime horizon and was dominated by usual care.

Subgroup analyses demonstrated QALYs benefits from the intervention for higher-risk patients both within trial period and over lifetime horizon and it was likely to be cost-effective over the long-term horizon at the cost-effectiveness threshold of £20,000 to £30,000 per QALY. These patients were with multiple indications for surgery and more likely to be sicker; there appeared to be benefits of the QI programme in a sicker population. However, the probability of being cost-effective was lower than 70% at the cost-effectiveness thresholds considered (Table 4) and the sample size was small (usual care: 89, QI: 68) (Table S1), and therefore these results should be seen as indicative.

The finding of this study potentially have important implications for policy makers. Although the national QI programme for patients undergoing emergency abdominal surgery did not show effectiveness or cost-effectiveness for all patients, in higher-risk patients, it was associated with health benefits at an acceptable cost and therefore the QI programme may have the potential for widespread implementation for sicker patients. Considering the high uncertainty with the results and the small sample size, generating further evidence assessing its cost-effectiveness may be worthwhile.

It should be noted that the costs of the QI programme were very low, at £32 per patient, and because of a lack of time to implement changes to improve care, this may not accurately reflect the costs if it was fully implemented. If the intervention was delivered as intended, the amount of staff time should have increased markedly and the intervention costs should be higher, which may lead to different cost-effectiveness results (impacting not only the costs, but also potentially the effectiveness). Another important consideration is that the costs attributed to the intervention were only split across all patients in the intervention arm in this trial. If the QI programme could benefit new patients beyond the trial period, the average costs per intervention recipient would fall, which would also affect the cost-effectiveness.

There are several limitations in this study. First, there were large differences in baseline EQ-5D-3L scores between the two groups. This may be due to the unblinded cluster RCT design of the EPOCH trial with individual recruitment would be susceptible to selection bias [34]. Although we have adjusted for it, this would still be a potential limitation. Second, we only captured the costs of the training, time spent implementing the QI programme, but not the potential costs associated with increased effort to comply with the programme, e.g., consultant time in the operating theatre. If the programme was implemented as successfully as expected, these costs would be increased and affect the cost-effectiveness of the programme. Last, the mortality in the EPOCH subsample was slightly lower than that in the EPOCH population in both arms (Table S2), which might indicate that the patient care (both usual care and the QI programme) was better in these eight hospitals than other hospitals, so the approach to extrapolating HRQoL and costs from the EPOCH subsample to the whole EPOCH population may still be an concern. In our analysis, data on survival and the main costs

**Table 4**
Cost-effectiveness analysis results.

| | ΔCosts (£)[a], mean (95% CI) | ΔQALYs (£)[b], mean (95% CI) | ICER (£/QALY) | Incremental NHB in QALYs at (£/QALY) | | | Probability of cost-effectiveness at (£/QALY) | | |
|---|---|---|---|---|---|---|---|---|---|
| | | | | 13,000 | 20,000 | 30,000 | 13,000 | 20,000 | 30,000 |
| **EPOCH subsample** | | | | | | | | | |
| Within trial period | 467(-800 to 1735) | -0.002(-0.022 to 0.017) | Less effective | -0.038 | -0.025 | -0.018 | 23.5% | 23.7% | 24.2% |
| Lifetime horizon | 1395(-1083 to 3873) | 0.018(-0.396 to 0.432) | 77,792 | -0.089 | -0.052 | -0.029 | 28.7% | 38.2% | 43.8% |
| *Scenario 1*[c] Lifetime horizon | 1395(-1083 to 3873) | -0.250(-0.622 to 0.123) | Less effective | -0.357 | -0.320 | -0.297 | 0.4% | 1.6% | 3.3% |
| *Scenario 2*[c] Lifetime horizon | 1395(-1083 to 3873) | -0.804(-1.596 to -0.013) | Less effective | -0.911 | -0.874 | -0.851 | 0.9% | 1.3% | 1.5% |
| **Lower-risk patients (with single indication)** | | | | | | | | | |
| Within trial period | 257(-1283 to 1797) | -0.007(-0.029 to 0.016) | Less effective | -0.027 | -0.020 | -0.016 | 33.9% | 32.6% | 31.0% |
| Lifetime horizon | 394(-2520 to 3308) | -0.088(-0.578 to 0.401) | Less effective | -0.118 | -0.108 | -0.101 | 26.6% | 30.0% | 32.1% |
| **Higher-risk patients (with multiple indications)** | | | | | | | | | |
| Within trial period | 1101(-896 to 3097) | 0.007(-0.029 to 0.043) | 158,253 | -0.078 | -0.048 | -0.030 | 18.5% | 21.1% | 25.0% |
| Lifetime horizon | 4441(-120 to 9002) | 0.311(-0.420 to 1.041) | 14,293 | -0.031 | 0.089 | 0.163 | 45.6% | 61.5% | 69.3% |
| **EPOCH population** | | | | | | | | | |
| Within trial period | 302 | -0.014 | Less effective | -0.037 | -0.029 | -0.024 | – | – | – |
| Lifetime horizon | 454 | -0.072 | Less effective | -0.338 | -0.245 | -0.187 | – | – | – |

a Adjusted for age and sex.

b Adjusted for baseline EQ-5D, age and sex.

c Scenario 1: Patients alive at the end of trial were assumed to follow the group mean HRQoL until death. Scenario 2: Patients alive at the end of trial were assumed to retain their individual EQ-5D-3L scores at day 180 until death.

component, i.e., inpatient stay, were available for the EPOCH population and used to explore the cost-effectiveness to minimise the estimation bias. Results show that the QI programme was associated with fewer QALYs and the conclusion that it did not appear to be cost-effective was not affected.

## 5. Conclusion

Using data from a large number of patients enrolled by many hospitals with an efficient trial design, the national quality improvement programme to implement an enhanced pathway of care for patients undergoing emergency abdominal surgery is unlikely to be cost-effective. For a sicker patient subgroup with multiple indications for surgery, the intervention may be cost-effective over the lifetime, but this is highly uncertain.

### Sources of funding

### Ethical approval

The trial was approved by the East Midlands (Nottingham 1) Research Ethics Committee Research Ethics Committee (13/EM/0415).

### Research registration number

1. Name of the registry: ISRCTN registry
2. Unique Identifying number or registration ID: ISRCTN80682973
3. Hyperlink to the registration (must be publicly accessible): Lancet protocol 13PRT/7655 http://www.epochtrial.org/epoch.php?page=docs

### Author contribution

Fan Yang conducted data analysis and was primarily responsible for drafting the manuscript, Simon Walker and Gerry Richardson contributed to study design, data analysis and interpretation of the results. Tim Stephens, Mandeep Phull, Ann Thompson, and Rupert M. Pearse contributed to interpretation of the results. All authors reviewed the manuscript, commented on the manuscript and approved the final version.

### Data statement

Due to information governance restrictions imposed by organisations governing data access, we are unable to share the trial data unless applicants secure the relevant permissions. All trial materials are freely available on the trial website (www.epochtrial.org).

### Guarantor

Fan Yang.

### Provenance and peer review

Not commissioned, externally peer-reviewed.

### CRediT authorship contribution statement

**Fan Yang:** Methodology, Formal analysis, Writing - original draft, Writing - review & editing. **Simon Walker:** Methodology, Writing - review & editing. **Gerry Richardson:** Conceptualization, Methodology, Writing - review & editing, Supervision. **Tim Stephens:** Investigation,

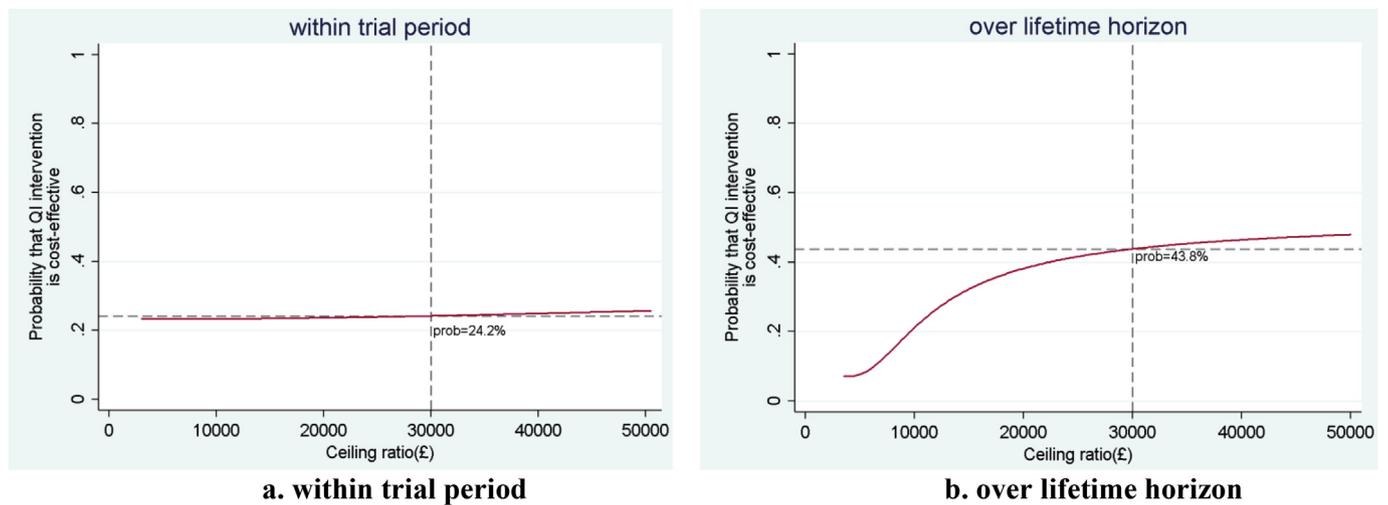**a. within trial period**

**b. over lifetime horizon**

Fig. 1. Cost-effectiveness acceptability curve for the QI intervention in the EPOCH subsample.

Resources, Writing - review & editing. **Mandeep Phull:** Investigation, Resources. **Ann Thompson:** Resources, Data curation. **Rupert M. Pearse:** Supervision, Writing - review & editing, Funding acquisition.

**Declaration of competing interest**

RP holds research grants and has given lectures and/or performed consultancy work for BBraun, Intersurgical, Glaxo Smithkline and Edwards Lifesciences, and is a member of the editorial board of the British Journal of Surgery. All other authors declare no conflict of interest.

**Appendix A. Supplementary data**

Supplementary data to this article can be found online at https://doi.org/10.1016/j.ijsu.2019.10.001.

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