



Contents lists available at ScienceDirect

Radiotherapy and Oncology

journal homepage: www.thegreenjournal.com



Original Article

HELPER study: A phase II trial of continuous infusion of endostar combined with concurrent etoposide plus cisplatin and radiotherapy for treatment of unresectable stage III non-small-cell lung cancer [☆]



Yirui Zhai ^{a,1}, Honglian Ma ^{b,1}, Zhouguang Hui ^{a,c,1}, Lujun Zhao ^d, Dongming Li ^e, Jun Liang ^a, Xiaozhen Wang ^a, Liming Xu ^d, Bo Chen ^a, Yu Tang ^a, Runye Wu ^a, Yujin Xu ^b, Qingsong Pang ^d, Ming Chen ^{b,*}, Luhua Wang ^{a,*}

^a Department of Radiation Oncology, National Cancer Center/National Clinical Research Center for Cancer/Cancer Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College, Beijing; ^b Department of Radiation Oncology, Zhejiang Cancer Hospital, Hangzhou; ^c Department of VIP Medical Services, National Cancer Center/Cancer Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College, Beijing; ^d Department of Radiation Oncology, Tianjin Cancer Hospital, China; ^e Department of Radiation Oncology, Beijing Cancer Hospital, China

ARTICLE INFO

Article history:

Received 9 February 2018

Received in revised form 30 September 2018

Accepted 25 October 2018

Available online 17 December 2018

Keywords:

Non-small cell lung cancer

Endostar

Concurrent chemoradiotherapy

Anti-angiogenesis

ABSTRACT

Purpose: The prognosis of unresectable stage III non-small cell lung cancer (NSCLC) was poor even after concurrent chemoradiotherapy. There remains a great need to develop novel therapeutic agents in combination with CCRT to improve outcomes. This prospective study sought to evaluate the efficacy and toxicities of the addition of endostar, an anti-angiogenesis agent, to concurrent etoposide, cisplatin (EP) and radiotherapy for treatment of patients with NSCLC.

Patients and methods: Patients with untreated pathologically confirmed inoperable stage III NSCLC were eligible. Radiation at doses of 60–66 Gy, four cycles of endostar (7.5 mg/m²/24 h × 120 h, 14 days/cycle), and two cycles of EP (etoposide 50 mg/m² on days 1–5 and cisplatin 50 mg/m² on days 1 and 8, 28 days/cycle) were delivered. The primary endpoint was progression-free survival (PFS). The secondary endpoints were response rate and overall survival (OS), locoregional relapse-free survival (LRFS) distant metastasis-free survival (DMFS) and adverse events (AE).

Results: From November 2012 to June 2015, 73 patients were enrolled, and 67 patients were evaluable. The median age was 59 years. Sixty-six percent of the patients had squamous cell carcinoma. Grade ≥3 AEs occurred in 58.2% of the patients. The most common Grade ≥3 AE was leucopenia (44.8%). The response rate was 76.1%. The median times of PFS and OS were 13.3 months and 34.7 months, respectively. The 2-year PFS, OS, LRFS and DMFS rates were 34.8%, 59.9%, 54.7% and 68.5%, respectively.

Conclusions: For patients with unresectable stage III NSCLC, continuous intravenous endostar in combination with concurrent EP and radiotherapy did not prolong median PFS, although it got preferable OS, promising 2-year PFS with tolerable toxicities.

© 2018 Elsevier B.V. All rights reserved. Radiotherapy and Oncology 131 (2019) 27–34

Accounting for 85% of lung cancers, non-small cell lung cancer (NSCLC) is the most common subtype [1,2]. At the time of the first

diagnosis, approximately 30% of NSCLC is stage III disease [3]. For patients with this diagnosis, clinical studies have supported the use of chemoradiotherapy (CCRT) as the standard treatment. However, prognosis following this treatment is generally poor, with 5-year survival of 15–20% [4–7]. Several studies have shown that the prognosis could be improved by neither induction chemotherapy nor consolidation chemotherapy in addition to CCRT [8–11]. Therefore, there remains a great need to develop treatments involving novel therapeutic agents in combination with CCRT to improve outcome for stage III NSCLC.

Tumor angiogenesis plays a significant role in tumor growth and response to therapies. Accordingly, anti-tumor angiogenesis is one of the most important areas of studies. An anti-tumor

[☆] The abstract was accepted as an oral presentation and Best of ASTRO in the 59th ASTRO annual meeting (September 24th–26th, 2017, San Diego, US) and accepted as an oral presentation in Lancet Oncology-CSCO-CMT 2017 (Sep. 27th, 2017, Xiamen, China).

* Corresponding authors at: Department of Radiation Oncology, National Cancer Center/Cancer Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College, No. 17 Panjiayuananli, Chaoyang District, Beijing, China (L. Wang). Department of Radiation Oncology, Zhejiang Cancer Hospital, Hangzhou, China (M. Chen).

E-mail addresses: chenming@zjcc.org.cn (M. Chen), wlhwq@yahoo.com (L. Wang).

¹ The three authors contribute equally to this manuscript.

angiogenesis agent, recombinant human endostatin (rhE, Endostar), a C-terminal fragment naturally derived from type XVIII collagen, can specifically inhibit the activity of vascular endothelial growth factor to block angiogenesis as well as induce cancer cell apoptosis [12]. Endostar has been shown to be efficient and safe in the treatment of NSCLC and was approved by Chinese Food and Drug Administration [13]. A meta-analysis demonstrated that the addition of endostar to chemotherapy increased the response rate in patients with advanced NSCLC [14]. Preclinical models have shown that endostar may transiently normalize the tumor vasculature and oxygen delivery, thereby providing a window of opportunity to enhance the sensitivity to radiation treatment [15]. Another trial indicated better survival and local control with no severe adverse reactions resulting from the use of endostar in combination with radiotherapy in NSCLC [16].

Hence, we sought to determine if the addition of endostar to CCRT would improve outcomes in patients with NSCLC. We previously reported a phase II study combining endostar with concurrent docetaxel, cisplatin, and radiotherapy, resulting in promising survival for stage III NSCLC patients [17]. However, other studies indicated that the etoposide and cisplatin (EP) regimen is considered more suitable in CCRT with an acceptable toxicity [18]. Consequently, we designed this phase II study (HELPER) to investigate the efficacy and toxicities of endostar and concurrent EP and radiotherapy. In addition, previous studies from other centers indicated that hypoxia was more pronounced on the first day after endostar administration, but lower levels of hypoxia was more obvious on the fifth day than on the first day and stronger on the tenth day than on the fifth day [14–16]. On the basis of these previous studies, we chose to use continuous intravenous endostar over 120 h every other week.

Patients and methods

Ethics and registration

The institutional ethics committee of the four participating institutions gave trial protocol approval, and written informed consent was obtained from eligible patients before the pre-study assessments. The study was registered on the website of [ClinicalTrials.gov](https://www.clinicaltrials.gov), and the URL is <https://www.clinicaltrials.gov/ct2/show/NCT01733589> and the Identifier is NCT01733589.

Eligibility criteria

Inclusion criteria included: untreated pathologically confirmed inoperable stage III NSCLC according to the 7th edition of the American Joint Committee on Cancer staging system, measurable disease by Response Evaluation Criteria in Solid Tumors (RECIST) 1.0, 18–70 years of age, Eastern Cooperative Oncology Group performance status score (ECOG PS) of 0 to 1, neutrophil $\geq 1500/\mu\text{L}$, hemoglobin ≥ 10 mg/dL, platelet $\geq 100,000/\mu\text{L}$, serum creatinine ≤ 1.25 times of upper limit of normal (ULN), calculated creatinine clearance ≥ 60 ml/min, aspartate transaminase and alanine aminotransferase $\leq 2.5 \times$ ULN, forced expiratory ventilation in 1 s ≥ 0.8 L, normal coagulation function.

Exclusion criteria included history of other malignant diseases, uncontrolled hypertension, any contraindications to chemoradiotherapy, pregnancy, breastfeeding or preexisting bleeding diatheses or coagulopathy.

Pretreatment evaluation

Before participating in this study, all patients received a baseline evaluation that included medical history, physical examina-

tion and laboratory tests. The following tests were performed within one month before entry into the study: electrocardiograph (ECG), pulmonary function test, bronchoscopy, cervical lymph node ultrasonography, chest and abdominal CT, brain magnetic resonance imaging (MRI), radionuclide bone scan, and the adjunctive use of chest MRI or FDG positron emission tomography (FDG-PET) when available. CT scans were used for all subsequent evaluations to evaluate tumor measurements.

Treatment

Intravenous endostar, thoracic radiotherapy, and chemotherapy were delivered concurrently. The treatment procedure is shown in Fig. 1.

Recombinant human endostatin (Endostar)

Continuous intravenous infusion of endostar (Simcere Pharmaceutical, Nanjing, China) was made over 120 h before the beginning of radiotherapy, and then repeated every two weeks. The dose was $7.5 \text{ mg}/\text{m}^2/24 \text{ h} \times 120 \text{ h}$, 14 days/cycle. ECG monitoring was performed during the first delivery of endostar.

Chemotherapy

Chemotherapy regimens consisted of $50 \text{ mg}/\text{m}^2/\text{d}$ of cisplatin (DDP) on days 1, 8, 29, and 36 plus $50 \text{ mg}/\text{m}^2/\text{d}$ of etoposide on days 1–5 and days 29–33. A protocol-mandated hydration and antiemetic regimen was used for all the patients.

Radiotherapy (RT)

Intensity modulated radiation therapy was used for all patients. Gross tumor volume (GTV) included the primary tumor as well as any involved regional lymph node, determined by the thoracic CT (or FDG PET if possible). Contours of the primary tumor were evaluated using pulmonary window (width 1000, level -650) CT settings and nodal GTV using the mediastinal window (width 350, level 40). Clinical target volume (CTV) included GTV plus a 6–8 mm margin, ipsilateral hilum and involved lymph node regions. A total dose of 60–66 Gy was delivered in 30–33 fractions, 2 Gy per fraction, 5 fractions per week. The maximum dose to the cord was limited to 45 Gy. The lung volume receiving >20 Gy (V20) was limited to $\leq 35\%$. Radiotherapy quality assurance was performed by central review for all patients.

Toxicity evaluation and treatment modifications

Toxicities were evaluated during the treatment and at each follow-up, according to National Cancer Institute Common Toxicity Criteria of Adverse Events (NCI-CTC AE) Version 3.0.

Radiotherapy interruption was permitted for patients with any grade ≥ 4 toxicities or grade ≥ 3 pulmonary toxicity and radiotherapy did not recommence until the toxicity had resolved to grade ≤ 2 . Interruptions in radiotherapy lasting longer than 2 weeks resulted in removal of the patient from protocol treatment.

Chemotherapy was reduced to 75% of the original dosage if patients experienced any continuous grade ≥ 2 hematological toxicities or uncontrolled grade ≥ 3 nonhematological toxicities. If the neutrophil remained $<500/\mu\text{L}$ or if the platelet remained $<50,000/\mu\text{L}$, chemotherapy was delayed. Resumption of chemotherapy was allowed once toxicities had resolved to grade ≤ 2 .

Modification of the endostar dose was made at the discretion of the treating physicians. Attention was paid to blood pressure and bleeding at the time of endostar delivery. If abnormal blood

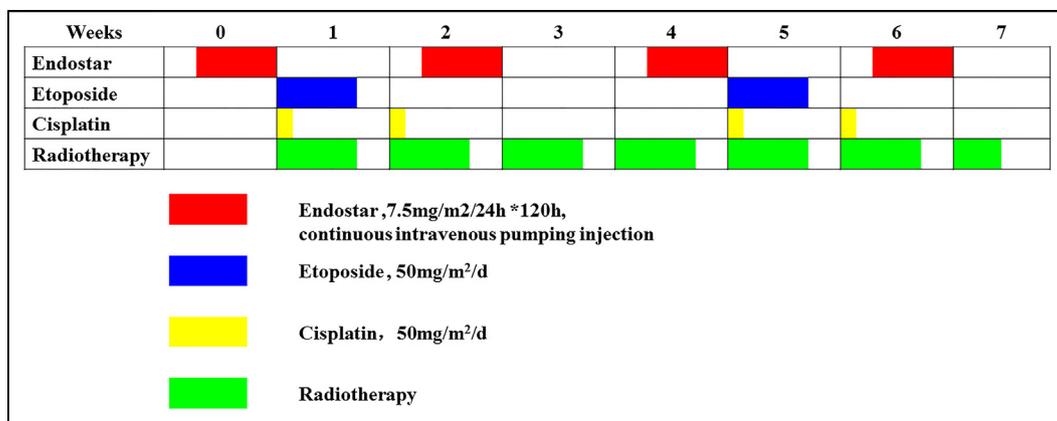


Fig. 1. Treatment procedure.

coagulation, platelet count <50,000/ μ L, or any hemorrhage toxicities were observed, endostar would be delayed.

If one or more treatment agents were discontinued, further treatment with the remaining therapies was allowed. If positive results for an allergic reaction were recorded, the related regimens were canceled.

Follow-up evaluations

During the treatment, patients' symptoms, physical examinations, blood pressure and blood counts were re-assessed weekly. Electrolytes, glucose, calcium, albumin, transaminases, alkaline phosphatases, total bilirubin, and creatinine were re-assessed before the delivery of chemotherapy and endostar. ECG, chest and abdominal CTs, and cervical lymph node ultrasonography were assessed at the end of treatment, 1 month after treatment, and every 3 months for 2 years and thereafter every 6 months for 3 years. Imaging examinations were obtained when recurrence was suspected. Response was assessed by a senior radiologist and a radiation oncologist in enrolling site initially and then confirmed by a certain people for 1 month after treatment according to RECIST 1.0.

Statistical design and analysis

The primary endpoints were progression-free survival (PFS). Previous studies had indicated a median PFS of about 12 months following CCRT [19]. We conjectured that our study would improve median PFS to 18 months. Using a 20% bilateral Z test ($\alpha = 0.05$, $\beta = 0.2$), 65 patients would need to be evaluated. Based on the assumption that 10% of the patients would be lost at follow-up, 72 patients were needed. The secondary endpoints were response, overall survival (OS), local regional relapse-free survival (LRFS), distant metastasis-free survival (DMFS) and toxicities. Survival time was calculated from the time of the diagnosis. PFS was calculated as the time to documented clinical progression or to the patient's death. OS was calculated as the time to death. LRFS was calculated as the time to local progression, which defined the primary tumor and regional lymph node recurrence (both in-field and out-of-field recurrence). DMFS was calculated as the time to distant metastasis. Survival was calculated with the use of Kaplan-Meier method. Univariate analysis including the following variables: sex, age, Eastern Cooperative Oncology Group performance status (ECOG PS) score, smoking, stage and histology, was performed using the log-rank test. A *P* value of <0.05 was considered statistically significant. All statistical analyses were conducted

using the SPSS statistical software package version 20.0 (SPSS Inc., Chicago, IL).

Results

From November 2012 to June 2015, 73 patients were enrolled and six patients were ineligible. Of these six patients, three had distant metastasis before treatment and three refused treatment after consenting to therapy. Sixty-seven patients were evaluable. The demographics and characteristics of the 67 patients are listed in Table 1.

A full course of the planned therapy was completed in 58 patients (86.6%). Radiation dose was reduced in three patients. The median volume of GTV and PTV were 95.3 ml (16.7–373.8 ml) and 440.2 ml (222.8–904.0 ml), respectively. The median mean lung dose was 15.6 Gy (8.6–19.6 Gy). The median relative volume of total lung received more than 20 Gy was 26.8% (18–34.5%). All but three of the 67 patients (95.5%) completed two cycles of chemotherapy. Chemotherapy dose reduction was recorded in six patients for hematological toxicities. Cycle reduction in endostar were recorded in 5 patients for patient refusal to continue on protocol. Patients refused to continue their medication of endostar by reasons of Grade 3–4 leukopenia (3 patients) and Grade 3 esophagitis (2 patients), although all the above toxicities were improved after intervention. Details of the treatment administration are listed in Supplement Table S1.

The adverse events (AEs) are outlined in Table 2. Overall, 39 patients (58.2%) had grade ≥ 3 AEs, including 14 patients (20.9%) with grade ≥ 3 nonhematologic AEs and 33 patients (49.3%) with grade ≥ 3 hematologic AEs. The most common AE was leukopenia (95.5%). No treatment-related cardiovascular events were observed. Hemoptysis was observed in 16.4% patients and for all it occurred before treatment, and no new cases were observed following treatment. Grade 5 AEs were observed in two patients (one with massive hemoptysis and one with suspected radiation induced pneumonitis).

Fifty-one patients (76.1%) achieved objective response, including eight (11.9%) with CR and 43 (64.2%) with PR as their best outcome. Twelve patients (17.9%) had stable disease (SD) and 4 (6.0%) had progressive disease (PD).

The median follow-up time was 37.1 months (20.1–52.1 months). At the last follow-up, 32 patients (47.8%) had died. Forty-four patients (65.7%) developed disease progression. Locoregional recurrence was observed in 29 patients (43.3%), and distant metastasis was observed in 23 patients (34.3%), including 8 patients (11.9%) with both locoregional recurrence and distant metastasis. Among the patients with locoregional relapse, 27

Table 1
Patient demographics and characteristics (N = 67).

	n (%)		n (%)
Sex		Age (years)	59 (31–69)
Male	56 (83.6)	Comorbidities	
Female	11 (16.4)	Yes	35 (52.2)
ECOG PS		No	32 (47.8)
0	9 (13.4)	Diabetes	6 (9.0)
1	57 (85.1)	Hypertension	17 (25.4)
2	1 (1.5)	Coronary disease	2 (3.0)
Tumor Location		Arrhythmia	3 (4.5)
Left	32 (47.8)	T Stage	
Right	35 (52.2)	T1	8 (11.9)
Pathology		T2	17 (25.4)
Squamous carcinoma	44 (65.7)	T3	21 (31.3)
Adenocarcinoma	19 (28.4)	T4	21 (31.3)
Large cell carcinoma	1 (1.5)	N Stage	
Not defined	3 (4.5)	N0	1 (1.5)
Great vessel invasion		N1	3 (4.5)
Yes	9 (13.4)	N2	38 (56.7)
No	58 (86.6)	N3	25 (37.3)
Smoking		Stage	
Yes	51 (76.1)	IIIA	29 (43.3)
No	16 (23.9)	IIIB	38 (56.7)

patients were with in-field recurrence and 3 patients with out-of-field local recurrence, including 1 patient with both. The median PFS, OS, LRFS and DMFS were 13.3 months, 34.7 months, 27.1 months and 41.7 months, respectively. The 1, 2, and 3-year PFS were 50.7%, 34.8%, and 28.2%, respectively. The corresponding OS were 82.1%, 59.9% and 47.7%; LRFS were 72.7%, 54.7% and 49.9%; and DMFS were 73.8%, 68.5% and 65.7% respectively. Survival curves are shown in Fig. 2a–d.

Univariate analysis indicated that sex, smoking, age, pathology type, or stage subgroups (IIIA/IIIB) were not associated with PFS, OS or LRFS. Sex, smoking, stage subgroups and histology were associated with DMFS in univariate analysis while none of them was an independent prognosticator in multivariate analysis (Table 3).

Discussion

In our study, MPFS (13.3 months) was not improved as we conjectured (18 months). MPFS in our study was better than in the RTOG 9106 and PROCLAIM studies and was similar to Liang's study, all of which used CCRT alone [18,20,21]. Still, 2-year PFS in our study was superior to that in NPC 9501 and RTOG9106 [7,20]. The inconsistency of promising 2-year PFS but modest MPFS

Table 2
Adverse events.

AE	No. of Patients (%)					Total
	Grade 1	Grade 2	Grade 3	Grade 4	Grade 5	
RIP	7(10.4)	5(7.5)	2(3.0)	0 (0.0)	1(1.5)	15(22.4)
Esophagitis	0(0.0)	53(79.1)	9(13.4)	0 (0.0)	0 (0.0)	62(92.5)
Dermatitis	45(67.2)	6(9.0)	0 (0.0)	0 (0.0)	0 (0.0)	51(76.1)
Hair Loss	48(71.6)	2(3.0)	0(0.0)	0 (0.0)	0 (0.0)	50(74.6)
Fatigue	33(49.3)	13(19.4)	1(1.5)	0 (0.0)	0 (0.0)	47(70.1)
Anorexia	42(62.7)	9(13.4)	4(6.0)	0 (0.0)	0 (0.0)	55(82.1)
Nausea	31(46.3)	6(9.0)	4(6.0)	0 (0.0)	0 (0.0)	41(61.2)
Vomiting	20(29.9)	6(9.0)	2(3.0)	0 (0.0)	0 (0.0)	28(41.8)
Renal Injury	0(0.0)	1(1.5)	0 (0.0)	0 (0.0)	0 (0.0)	1(1.5)
Hemoptysis	8(11.9)	2(3.0)	0 (0.0)	0 (0.0)	1(1.5)	11(16.4)
Leucopenia	9(13.4)	25(37.3)	19(28.4)	11(16.4)	0 (0.0)	64 (95.5)
Neutropenia	12(17.9)	23(34.3)	18(26.9)	8(11.9)	0 (0.0)	61(91.0)
Anemia	20(29.9)	21(31.3)	8(11.9)	2(3.0)	0 (0.0)	51(76.1)
TBC	15(22.4)	10(14.9)	7(10.4)	4(6.0)	0 (0.0)	36(53.7)
Febrile	0 (0.0)	0 (0.0)	14(20.9)	0 (0.0)	0 (0.0)	14(20.9)

Abbreviations: AE = adverse event; RIP = radiation induced pneumonitis; TBC = thrombocytopenia.

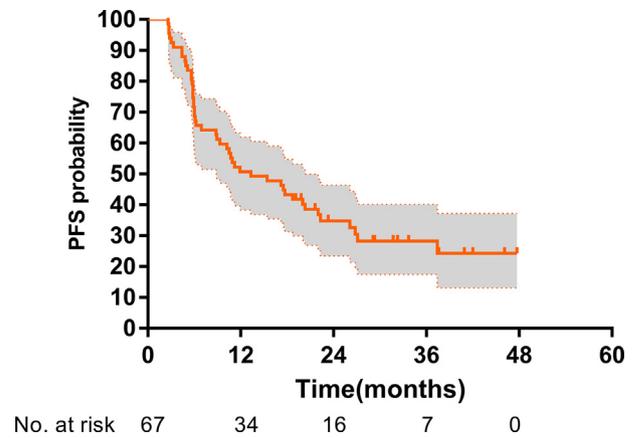


Fig. 2a. Progression free survival.

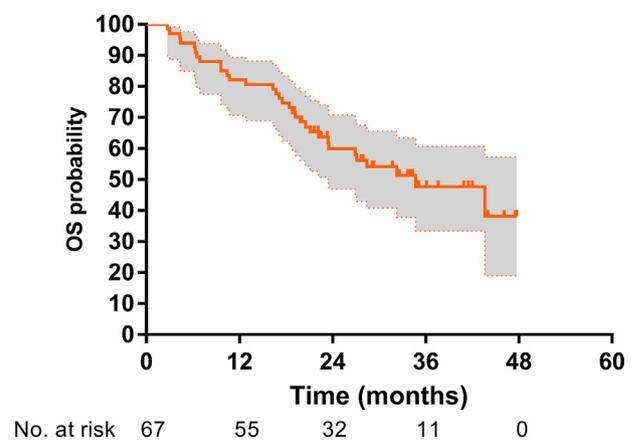
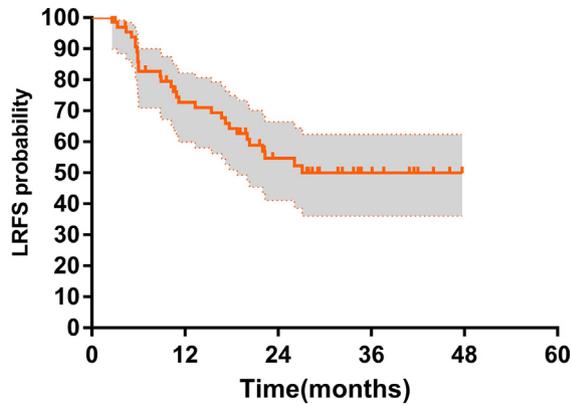


Fig. 2b. Locoregional relapse-free survival.

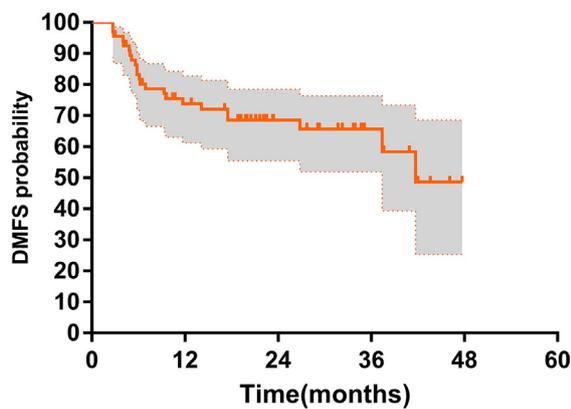
in the comparisons might on account of different data from different trials and limited number of patients in HELPER study. Additionally, several studies stated that PFS is only a surrogate for OS in studies with limited follow up time and OS should remain the gold standard endpoint [22,23].

We also compared the response rates and survival of patients from the HELPER study to historical studies of treatment of stage III NSCLC that used concurrent EP plus radiotherapy. The results



No. at risk 67 43 23 9 0

Fig. 2c. Locoregional relapse-free survival.



No. at risk 67 44 24 9 0

Fig. 2d. Distant metastasis-free survival.

are listed in Table 4. Generally, RR and CR rates in the HELPER study were similar to those of RTOG 9106 and Liang’s study [18,20], and better than those reported in RTOG 9410, SWOG 9504, NPC95-01 and PROCLAIM [4,7,11,21]. However, we also noted that most of these studies were conducted in the 1990s and in the 2D RT era aside from PROCLAIM and Liang et al’s study while IMRT was delivered in our study [18,21]. Modern techniques might bring substantial benefits in both prognosis and toxicities.

Results from the HELPER study indicated a prolonged MST compared with results from historical studies which treated patients with concurrent EP and radiotherapy [7,10,11,20]. Two-year and 3-year OS were superior to the previous studies, as well. Before the HELPER study, our team carried out another multicenter trial to compare the efficacy of concurrent radiotherapy with EP or carboplatin/paclitaxel (PC) in patients with stage III NSCLC, whose enrollment criteria and participating institutions were similar to those of our study. Grade 3–4 leucopenia was almost equivalent between these two studies. The median survival time and 2-year OS of EP plus radiotherapy in that study were 23.3 months and 48.4%, respectively [18,19]. The comparison indicates that the addition of endostar resulted in better treatment responses, longer survival without increment of severe toxicities.

After these comparisons, one important finding in our study was the demonstration of the equal MPFS but significantly better OS. Besides available salvage therapeutic options for patients who progress nowadays, favorable OS may also attribute to favorable DMFS in HELPER study. Despite the longer median follow up time compared with PROCLAIM study (37.1 months vs. 22.5 months), distant failure in HELPER study was much lower (34.3% vs.76.1%) [21]. MDMFS and 3-year DMFS in our study were also longer than that in several retrospective studies using CCRT alone (41.7 months vs. 11.7–15 months; 68.5% vs.24%) [24,25]. However, longer MDMFS might also attribute to modern radiation technique, different pathology types and different PTV volumes beside the addition of new regimens. Meanwhile, two-year LRFS (54.7%) was lower than RTOG 9410 (71%), RTOG 0617 (62.4%),

Table 3
Univariate Analysis.

Factors	MPFS (m)	2y PFS %	MST (m)	2y OS	MLRFS (m)	2y LRFS %	MDMFS (m)	2y DMFS %
Sex								
Male	15.4	36.6	32.3	57.4	26.1	52.6	NA	75.3
Female	6.9	24.2	36.7	72.7	NA	65.6	9.5	36.4
P value	0.491		0.551		0.291		0.008	
Age								
<60	10.9	35.6	34.7	60.1	NA	55.8	41.7	65.3
≥60	17.2	34.0	32.3	59.8	26.1	53.7	NA	72.9
P value	0.908		0.619		0.938		0.644	
Smoking								
Yes	17.7	40.2	28.4	55.1	27.0	54.0	NA	76.7
No	8.8	16.7	34.7	75.0	NA	56.9	9.5	43.8
P value	0.089		0.314		0.555		0.003	
ECOG PS								
0	18.7	44.4	NA	77.8	18.7	50.0	37.4	87.5
≥1	11.2	33.0	28.4	56.8	NA	55.1	NA	65.9
P value	0.857		0.273		0.540		0.673	
Stage								
IIIa	22.3	47.0	NA	67.8	27.1	61.5	NA	81.4
IIIb	10.5	25.5	32.2	54.1	22.0	49.0	37.4	58.8
P value	0.121		0.220		0.623		0.034	
Histology								
Squamous	15.4	34.4	28.4	55.5	21.9	43.5	NA	81.1
Adeno	11.9	31.6	NA	72.9	NA	70.6	17.5	42.1
P value	0.674		0.258		0.052		0.002	

Abbreviations: Adeno = Adenocarcinoma; DMFS = distant metastasis free survival; ECOG PS = Eastern Cooperative Oncology Group performance status score; LRFS = local-regional relapse-free survival; m = months; MDMFS = median distant metastasis-free survival time; MLRFS = median localregional relapse-free survival time; MPFS = median progression-free survival time; MST = median survival time; NA = not achieved; OS = overall survival; PFS = progression free survival.

Table 4
Comparative toxicities, responses and survivals across clinical trials of CCRT (EP + RT).

	No. of patients	Grade ≥ 3 esophagitis (%)	Grade ≥ 2 RIP (%)	Grades 3–4 leucopenia (%)	Grade 5 AEs (%)	RR, %	MST (months)	2yOS (%)	3yOS (%)	MPFS (months)	2yPFS (%)
HELPER	67	13.4	11.9	44.8	3.0	76.1	34.7	59.9	47.7	13.3	34.8
RTOG9410 [4]	187	45	2.1	67	4.3	65	15.6	–	–	–	–
NPC9501 [7]	100	32	5	48	–	32	16.3	39.3	24.8	–	29.5
SWOG9504 [11]	83	17	7	54	4	67	26	54	37	–	–
RTOG9106 [20]	76	53	25	–	–	70	18.9	35	–	8.3	21
SWOG9019 [10]	50	20	0	–	–	–	15	33	17	–	–
PROCLAIM [21]	272	18.8	2.6	25.4	0.4	33.0	25	52	37	9.8	–
Liang [18]	95	20.0	18.9	30.5	4.2	73.7	23.3	48.4	41.1	14	–

Abbreviations: AE = adverse events; CCRT = concurrent chemoradiotherapy; CR = complete response; EP = etoposide and cisplatin; MPFS = median progression free survival time; MST = median survival time; OS = overall survival; PFS = progression free survival; RIP = radiation induced pneumonitis; RR = response rate; RT = radiotherapy; y = year. * Radiotherapy was delivered twice daily.

PROCLAIM (54.2%), better than RTOG 9106(29%, 15.4 months). After the comparison, it is still difficult to draw a definite conclusion of whether this treatment impact the LRFs because of high ratio of squamous carcinoma in our study, which is more likely to develop to local progression than other subtypes. Based on the result that the majority of locoregional recurrences were with in-field recurrence, simultaneous integrated boost of GTV should be taken into consideration in the future.

Previous randomized studies showed that CCRT confers a long-term survival benefit compared with the sequential delivery of these therapies, but at the expense of increased toxicities for patients with locally advanced NSCLC [4,5,7]. Therefore, the safety of endostar combined with CCRT was of concern. In our study, grade 5 AEs were observed in two patients, a finding consistent with previous reports of CCRT alone [4,11]. Compared with CCRT using EP regimens in previous studies, the incidence of grade ≥ 2 radiation-induced pneumonitis was not increased. One suspected grade 5 pneumonitis in our study occurred in a 60-year-old male patient, who was treated with a full dose of chemoradiotherapy and two cycles of endostar. Severe cough, short of breath and fever were observed at the third month after treatment. The patient refused any further intervention and died 4.4 months after treatment.

The most common AEs were leucopenia and esophagitis, which are similar to those of CCRT studies [7,10,11,20,21]. However, if the comparison is restricted to the more modern studies, such as PROCLAIM and Liang's study, the Grade 3–4 leukopenia risk of the current study was a little higher. Grade ≥ 2 radiation induced pneumonitis was higher than that in PROCLAIM but lower than that in Liang's study. Generally, the addition of endostar to concurrent EP and radiotherapy proved to be well tolerated and did not result in serious AEs. Details of the comparison are presented in Table 4.

A recently published meta-analysis indicated that the use of angiogenesis inhibitors is associated with a significantly higher odds ratio of hypertension, arterial thromboembolism, cardiac dysfunction, and cardiac ischemia (OR1.35–5.59) [26]. Bevacizumab was the predominant treatment used in the meta-analysis, while endostar was not included. In our study, none of the previous toxicities were observed, although more than 25% of study patients were diagnosed with simultaneous cardiovascular disease. Hemoptysis was observed in 11 patients before treatment, and no new cases were observed following treatment. One patient diagnosed with T4 disease with severe thoracic aorta tumor invasion received a full course and dose of therapy and died of massive hemoptysis 1 month after treatment. We could not definitively determine if the hemoptysis was associated with therapeutic agents, or primary disease. The other 10 patients developed grade 1–2 hemoptysis which were successfully treated.

In the last decade, another antiangiogenic agent, bevacizumab, has been evaluated in phase I/II trials in combination with chemoradiotherapy, but has been found to induce high rates of pneumonitis (Grade 2: 4/6) and pulmonary hemorrhage (Grade 3:12.5%, Grade 5: 8.3%) especially in patients with squamous cell carcinoma [27–29]. In our study, the hemoptysis rate (Grade 3:0%, Grade 5:1.5%) is significantly lower than the former studies, even though the majority (65.7%) of patients in our study had squamous cell carcinoma histology. Hence, the advantage of endostar compared with bevacizumab is its lower rate of hemoptysis and pneumonia as well as its applicability to different histological pathologies. Another advantage is that the response rate of patients in the HELPER study was higher than SWOG S0533 (76.1% vs. 66.7%), which used CCRT and sequential bevacizumab [29].

Several studies have focused on the addition of an epidermal growth factor receptor inhibitor to CCRT [30,31]. Both RTOG 0324 and RTOG 0617 evaluated the combination of cetuximab with chemoradiotherapy. The MST and 2-year OS in our study was superior to RTOG 0324 and RTOG 0617 (34.7 months vs. 22.7–25 months, 59.9% vs. 49.3%–52.3%) [30,31]. Our study also got higher overall response rate (76.1% vs. 62%) than RTOG 0324, as well as higher 2-year PFS (34.8% vs. 24.2%) and 2-year DMFS (68.5% vs. 47.4%) than RTOG 0617.

Recently, several studies stated to evaluate the efficacy of immunotherapy. PACIFIC study showed a significant increment in PFS and no new safety signals with durvalumab in patients with stage III, unresectable NSCLC who had received chemoradiotherapy [32]. The MPFS and 2-year PFS in PACIFIC study was preferable to our study (16.8 months vs. 13.3 month, 44.2% vs. 34.8%), although OS was not reported. Compared with PACIFIC study, our study got prolonged MDMFS (41.7 months vs. 23.2 months), partly due to the different distribution of pathological types (squamous in PACIFIC and our study: 47.1% vs. 65.7%). Meanwhile, some studies demonstrated that antiangiogenic agents have the potential for enhancing the results of immunotherapy by modulating the tumor microenvironment [33]. Accordingly, a new strategy combining the endostar with durvalumab might be investigated in the future.

To date, there are two published phase II trials in which patients with unresectable stage III NSCLC were treated with CCRT and endostar (Supplement Table S2) [17,34]. The major differences between the HELPER study and the two studies were the chemotherapy regimens and the drug delivery methods. In Bao's study, docetaxel and cisplatin were delivered and in Sun's study, PC was delivered [17,34]. The HELPER study resulted in similar response rates but with better survival compared to either of the previous studies just cited. All AEs, except for hematologic AEs, were equal or reduced in severity from the previous two studies. These results are consistent with our previous study which

compared EP with PC regimens in the administration of CCRT in NSCLC [18]. Moreover, the difference in stage IIIA vs IIIB distribution among patients in our study and these two cited studies is a confounder with respect to survival time comparisons (Stage IIIB: 57% vs. 83% and 74%). However, four patients (21.1%) experienced grade 3 pulmonary injury in Sun's study [34], which delivered endostar as a consolidated therapy, leading to the early closure of the trial. Therefore, it remains unclear if consolidation with endostar after concurrent treatment is a safe and effective method.

The importance of our results is multifold. To our knowledge, this is the first study involving continuous intravenous endostar treatment plus concurrent EP chemoradiotherapy to treat unresectable locally advanced NSCLC. The actual enrolled number and evaluable number of patients met protocol requirements. The trial also met its feasibility endpoint regarding compliance because most of the enrolled patients were able to complete the intended therapy. Patients enrolled in the HELPER study also achieved favorable survival rates and favorable DMFS.

As a pilot study, our study has some limitations. First, although the statistical calculation was based on MPFS and the result demonstrated higher 2-year PFS, MPFS was not improved as we conjectured before. Secondly, the most effective endostar delivery method and the most feasible number of cycles are still uncertain despite the result that continuous intravenous pumping in our study got favorable survivals. Thirdly, consolidation chemotherapy with endostar was not evaluated in this study. Lastly, because this was a single arm study with a limited number of patients, the comparison between endostar plus CCRT and CCRT alone was not performed.

For treatment of patients with unresectable stage III NSCLC, the addition of continuous intravenous human recombinant endostatin to concomitant etoposide plus cisplatin and radiotherapy got preferable OS, promising 2-year PFS and favorable DMFS with tolerable toxicities, a finding consistent with our previous CCRT study. A randomized phase III study has been planned. According to the drawbacks of our study and the inspiring results in PACIFIC study, simultaneous integrated boost of GTV and consolidated durvalumab might be considered in the next study as well.

Acknowledgements

We acknowledge all the investigators, nurses and their teams from four participating Chinese centers. We also thank the patients and their families.

Funding

This trial was funded by the Chinese Academy of Medical Sciences (CAMS) Initiative for Innovative Medicine (CAMS-I2M-1-001) and the National Key Projects of Research and Development of China (2016YFC0904600).

Disclosure

The authors have declared no conflicts of interest.

Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.radonc.2018.10.032>.

References

- [1] Siegel RL, Miller KD, Jemal A. Cancer statistics, 2017. *CA Cancer J Clin* 2017;67:7–30.
- [2] Chen W, Zheng R, Baade PD, Zhang S, Zeng H, Bray F, et al. Cancer statistics in China, 2015. *CA Cancer J Clin* 2016;66:115–32.
- [3] Yang P, Allen MS, Aubry MC, Wampfler JA, Marks RS, Edell ES, et al. Clinical features of 5,628 primary lung cancer patients: experience at Mayo Clinic from 1997 to 2003. *Chest* 2005;128:452–62.
- [4] Curran Jr WJ, Paulus R, Langer CJ, Komaki R, Lee JS, Hauser S, et al. Sequential vs. concurrent chemoradiation for stage III non-small cell lung cancer: randomized phase III trial RTOG 9410. *J Natl Cancer Inst* 2011;103:1452–60.
- [5] Auperin A, Le Pechoux C, Pignon JP, Koning C, Jeremic B, Clamon G, et al. Concomitant radio-chemotherapy based on platinum compounds in patients with locally advanced non-small cell lung cancer (NSCLC): a meta-analysis of individual data from 1764 patients. *Ann Oncol* 2006;17:473–83.
- [6] Vansteenkiste J, De Ruysscher D, Eberhardt WE, Lim E, Senan S, Felip E, et al. Early and locally advanced non-small-cell lung cancer (NSCLC): ESMO Clinical Practice Guidelines for diagnosis, treatment and follow-up. *Ann Oncol* 2013;24:vi89–98.
- [7] Fournel P, Robinet G, Thomas P, Souquet PJ, Lena H, Vergnenegre A, et al. Randomized phase III trial of sequential chemoradiotherapy compared with concurrent chemoradiotherapy in locally advanced non-small-cell lung cancer: Groupe Lyon-Saint-Etienne d'Oncologie Thoracique-Groupe Français de Pneumo-Cancerologie NPC 95–01 Study. *J Clin Oncol* 2005;23:5910–7.
- [8] Huang EH, Liao Z, Cox JD, Guerrero TM, Chang JY, Jeter M, et al. Comparison of outcomes for patients with unresectable, locally advanced non-small-cell lung cancer treated with induction chemotherapy followed by concurrent chemoradiation vs. concurrent chemoradiation alone. *Int J Radiat Oncol Biol Phys* 2007;68:779–85.
- [9] Vokes EE, Herndon 2nd JE, Kelley MJ, Cicchetti MG, Ramnath N, Neill H, et al. Induction chemotherapy followed by chemoradiotherapy compared with chemoradiotherapy alone for regionally advanced unresectable stage III Non-small-cell lung cancer: Cancer and Leukemia Group B. *J Clin Oncol* 2007;25:1698–704.
- [10] Albain KS, Crowley JJ, Turrisi 3rd AT, Gandara DR, Farrar WB, Clark JJ, et al. Concurrent cisplatin, etoposide, and chest radiotherapy in pathologic stage IIIB non-small-cell lung cancer: a Southwest Oncology Group phase II study, SWOG 9019. *J Clin Oncol* 2002;20:3454–60.
- [11] Gandara DR, Chansky K, Albain KS, Gaspar LE, Lara Jr PN, Kelly K, et al. Long-term survival with concurrent chemoradiation therapy followed by consolidation docetaxel in stage IIIB non-small-cell lung cancer: a phase II Southwest Oncology Group Study (S9504). *Clinical Lung Cancer* 2006;8:116–21.
- [12] Ling Y, Yang Y, Lu N, You QD, Wang S, Gao Y, et al. Endostar, a novel recombinant human endostatin, exerts antiangiogenic effect by blocking VEGF-induced tyrosine phosphorylation of KDR/Flk-1 of endothelial cells. *Biochem Biophys Res Commun* 2007;361:79–84.
- [13] Yang L, Wang JW, Sun Y, Zhu YZ, Liu XQ, Li WL, et al. Randomized phase II trial on escalated doses of Rh-endostatin (YH-16) for advanced non-small cell lung cancer. *Zhonghua zhong liu za zhi [Chin J Oncol]* 2006;28:138–41.
- [14] Ge W, Cao DD, Wang HM, Jie FF, Zheng YF, Chen Y. Endostar combined with chemotherapy versus chemotherapy alone for advanced NSCLCs: a meta-analysis. *Asian Pacific J Cancer Prevent APJCP* 2011;12:2705–11.
- [15] Meng MB, Jiang XD, Deng L, Na FF, He JZ, Xue JX, et al. Enhanced radioresponse with a novel recombinant human endostatin protein via tumor vasculature remodeling: experimental and clinical evidence. *Radiother Oncol* 2013;106:130–7.
- [16] Jiang XD, Dai P, Wu J, Song DA, Yu JM. Effect of recombinant human endostatin on radiosensitivity in patients with non-small-cell lung cancer. *Int J Radiat Oncol Biol Phys* 2012;83:1272–7.
- [17] Bao Y, Peng F, Zhou QC, Yu ZH, Li JC, Cheng ZB, et al. Phase II trial of recombinant human endostatin in combination with concurrent chemoradiotherapy in patients with stage III non-small-cell lung cancer. *Radiother Oncol* 2015;114:161–6.
- [18] Liang J, Bi N, Wu S, Chen M, Lv C, Zhao L, et al. Etoposide and cisplatin vs paclitaxel and carboplatin with concurrent thoracic radiotherapy in unresectable stage III non-small cell lung cancer: a multicenter randomized phase III trial. *Ann Oncol* 2017;28:777–83.
- [19] Wang L, Wu S, Ou G, Bi N, Li W, Ren H, et al. Randomized phase II study of concurrent cisplatin/etoposide or paclitaxel/carboplatin and thoracic radiotherapy in patients with stage III non-small cell lung cancer. *Lung Cancer* 2012;77:89–96.
- [20] Lee JS, Scott C, Komaki R, Fossella FV, Dundas GS, McDonald S, et al. Concurrent chemoradiation therapy with oral etoposide and cisplatin for locally advanced inoperable non-small-cell lung cancer: radiation therapy oncology group protocol 91–06. *J Clin Oncol* 1996;14:1055–64.
- [21] Senan S, Brade A, Wang LH, Vansteenkiste J, Dakhil S, Biesma B, et al. PROCLAIM: randomized phase III trial of pemetrexed-cisplatin or etoposide-cisplatin plus thoracic radiation therapy followed by consolidation chemotherapy in locally advanced nonsquamous non-small-cell lung cancer. *J Clin Oncol* 2016;34:953–62.
- [22] Cheema PK, Burkes RL. Overall survival should be the primary endpoint in clinical trials for advanced non-small-cell lung cancer. *Curr Oncol* 2013;20:e150–60.
- [23] Soria JC, Massard C, Le Chevalier T. Should progression-free survival be the primary measure of efficacy for advanced NSCLC therapy? *Ann Oncol* 2010;21:2324–32.
- [24] Topkan E, Parlak C, Selekt U. Impact of weight change during the course of concurrent chemoradiation therapy on outcomes in stage IIIB non-small cell

- lung cancer patients: retrospective analysis of 425 patients. *Int J Radiat Oncol Biol Phys* 2013;87:697–704.
- [25] Sher DJ, Gielda BT, Liptay MJ, Warren WH, Batus M, Fidler MJ, et al. Prognostic significance of weight gain during definitive chemoradiotherapy for locally advanced non-small-cell lung cancer. *Clinical Lung Cancer* 2013;14:370–5.
- [26] Abdel-Qadir H, Ethier JL, Lee DS, Thavendiranathan P, Amir E. Cardiovascular toxicity of angiogenesis inhibitors in treatment of malignancy: a systematic review and meta-analysis. *Cancer Treat Rev* 2017;53:120–7.
- [27] Lind JS, Senan S, Smit EF. Pulmonary toxicity after bevacizumab and concurrent thoracic radiotherapy observed in a phase I study for inoperable stage III non-small-cell lung cancer. *J Clin Oncol* 2012;30:e104–8.
- [28] Johnson DH, Fehrenbacher L, Novotny WF, Herbst RS, Nemunaitis JJ, Jablons DM, et al. Randomized phase II trial comparing bevacizumab plus carboplatin and paclitaxel with carboplatin and paclitaxel alone in previously untreated locally advanced or metastatic non-small-cell lung cancer. *J Clin Oncol* 2004;22:2184–91.
- [29] Wozniak AJ, Moon J, Thomas Jr CR, Kelly K, Mack PC, Gaspar LE, et al. A pilot trial of cisplatin/etoposide/radiotherapy followed by consolidation docetaxel and the combination of bevacizumab (NSC-704865) in patients with inoperable locally advanced stage III non-small-cell lung cancer: SWOG S0533. *Clin Lung Cancer* 2015;16:340–7.
- [30] Blumenschein Jr GR, Paulus R, Curran WJ, Robert F, Fossella F, Werner-Wasik M, et al. Phase II study of cetuximab in combination with chemoradiation in patients with stage IIIA/B non-small-cell lung cancer: RTOG 0324. *J Clin Oncol* 2011;29:2312–8.
- [31] Bradley JD, Paulus R, Komaki R, Masters G, Blumenschein G, Schild S, et al. Standard-dose versus high-dose conformal radiotherapy with concurrent and consolidation carboplatin plus paclitaxel with or without cetuximab for patients with stage IIIA or IIIB non-small-cell lung cancer (RTOG 0617): a randomised, two-by-two factorial phase 3 study. *Lancet Oncol* 2015;16:187–99.
- [32] Antonia SJ, Villegas A, Daniel D, Vicente D, Murakami S, Hui R, et al. Durvalumab after chemoradiotherapy in stage III non-small-cell lung cancer. *N Engl J Med* 2017;377:1919–29.
- [33] Huang Y, Yuan J, Righi E, Kamoun WS, Ancukiewicz M, Nezivar J, et al. Vascular normalizing doses of antiangiogenic treatment reprogram the immunosuppressive tumor microenvironment and enhance immunotherapy. *Proc Natl Acad Sci USA* 2012;109:17561–6.
- [34] Sun XJ, Deng QH, Yu XM, Ji YL, Zheng YD, Jiang H, et al. A phase II study of Endostatin in combination with paclitaxel, carboplatin, and radiotherapy in patients with unresectable locally advanced non-small cell lung cancer. *BMC Cancer* 2016;16:266.