



Full length article

A JAK-STAT pathway target gene encoding a single WAP domain (SWD)-containing protein from *Litopenaeus vannamei*Linwei Yang^{a,b}, Mengting Luo^{a,b}, Jianhui He^{a,b}, Hongliang Zuo^{a,b}, Shaoping Weng^{a,b}, Jianguo He^{a,b,c}, Xiaopeng Xu^{a,b,c,*}^a MOE Key Laboratory of Aquatic Product Safety, State Key Laboratory for Biocontrol, School of Life Sciences, Sun Yat-sen University, Guangzhou, PR China^b Institute of Aquatic Economic Animals and Guangdong Province Key Laboratory for Aquatic Economic Animals, Sun Yat-sen University, Guangzhou, PR China^c South China Sea Resource Exploitation and Protection Collaborative Innovation Center (SCS-REPIC), Guangzhou, PR China

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ABSTRACT

In shrimp, the JAK-STAT pathway is essentially implicated in both antiviral and antibacterial responses. However, few regulatory target genes of the JAK-STAT pathway in shrimp have been reported so far. In this study, a novel single WAP domain-containing peptide (LvSWD4) was identified from Pacific white shrimp *Litopenaeus vannamei*. The promoter of LvSWD4 was predicted to harbor multiple STAT-binding DNA motifs. Over-expression of the JAK-STAT pathway components STAT, JAK and Domeless *in vitro* significantly enhanced the transcriptional activity of the LvSWD4 promoter, and *in vivo* silencing of STAT and the JAK-STAT pathway upstream regulator IRF down-regulated the expression of LvSWD4, suggesting that LvSWD4 could be a target gene of the JAK-STAT pathway. The expression of LvSWD4 was significantly increased after infection with Gram-negative and positive bacteria, fungi and virus, and silencing of LvSWD4 increased the susceptibility of shrimp to *V. parahaemolyticus* and WSSV infections. *In vitro* experiments also demonstrated that the recombinant LvSWD4 protein had significant inhibitory activities against Gram negative bacteria *V. parahaemolyticus* and *E. coli* and Gram positive bacteria *S. aureus* and *B. subtilis*. Furthermore, silencing of LvSWD4 *in vivo* significantly affected expression of various immune functional genes and attenuated the phagocytic activity of hemocytes. These suggested that as a target gene of STAT, LvSWD4 was essentially implicated in shrimp immunity, which could constitute part of the mechanism underlying the immune function of the shrimp JAK-STAT pathway.

1. Introduction

Signal transducer and activator of transcription (STAT) is a family of conserved transcription factors widely present in metazoans, activation of which normally depends on the non-receptor Janus kinase (JAK)-mediated tyrosine phosphorylation [1]. The JAK/STAT pathway embracing a diversity of downstream target genes is a pivotal part of the innate immune system. In mammals, the JAK/STAT pathway serves as a key intracellular signal transduction channel downstream of various cytokines, interleukins and growth factors [2]. Through the JAK/STAT pathway, interferons (IFNs) produced in response to viral infection activate a series of interferon stimulated genes (ISGs) to establish the cellular antiviral state [3]. Although lacking the real IFN system, invertebrates possess the JAK/STAT pathway, activation of which is also central to the antiviral responses [4,5]. Compared with that in mammals, the JAK/STAT pathway in invertebrates is relatively simple with fewer components. In *Drosophila*, the fundamental structure of the JAK/

STAT pathway consists of three unpaired ligands (*Upd1*, *Upd2* and *Upd3*), a Domeless receptor, a JAK kinase Hopscotch (*hop*), a STAT called STAT92E and a set of STAT-target genes, such as *zfh2*, *raf*, *tep-1* and *Socs36e* [5–8].

The Crustin family is a group of antimicrobial peptides (AMPs) that play important roles in defense against a spectrum of invading pathogens in invertebrates [9]. Crustins contain a C-terminal whey acidic protein (WAP) domain of ~50 amino acids that possesses a four-disulfide core (4-DSC) consisting of eight conservatively arranged cysteines [10]. The crustin family can be categorized into I, II and III subgroups according to the domain structure between the WAP domain and the N-terminal signal sequence [11,12]. Type I and II crustins were characterized by the Cys-rich, and Gly/Cys-rich regions in the C-terminal regions, respectively, while type III crustins have only one WAP domain and are also named single WAP domain-containing peptides (SWDs) [13,14].

The Pacific white shrimp, *Litopenaeus vannamei* is a representative

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Table 1
Summary of primers used in this study.

Primers	Sequences(5' to 3')
DNA cloning	
LvSWD4-ORF-F	ATGATGACTTTTCGAGGAATAAGTGTGTG
LvSWD4-ORF-R	GGATCGGATGAGGAGATGAGGAGA
LvSWD4-5'RACE1	TCAACGCAAGATAAACCACC
LvSWD4-5'RACE2	TCAACTTCCTGTTCCGGTGTCT
LvSWD4-3'RACE1	GCGTCAAGAAATGTGGAGTGC
LvSWD4-3'RACE2	GCAGGATTCGGATTGTGATG
Real-time PCR analysis	
LvSWD4-F	ACGAGCACCGAACAGGAAG
LvSWD4-R	CGCAAGATAACCCACCAGG
LvEF-1a-F	CCTATGTGCGTGGAGACCTTC
LvEF-1a-R	GCCAGATTGATCCTTCTGTGTGAC
LvSTAT-F	CTTCGCCATCCGTCCTCTAG
LvSTAT-R	GGCTTGATCCTTAGGCACATTC
LvIRF-F	GCGAATGGCCCTGAATAAGT
LvIRF-R	GCGGCGATGTCGTAGGAAT
LvPEN2-F	CCAAGCGAAGCGTACAG
LvPEN2-R	CAATTGCGAGCATCTGAGAC
LvPEN3-F	CTCCTGCGTCCGCCATG
LvPEN3-R	GTGTAACCGCCCTTGTACAC
LvPEN4-F	GCCCGTTACCCAAACCATC
LvPEN4-R	AACAATCCCGTATCTGAAGC
LvCTL2-F	ACAAGCGGAGCAGTTCTGG
LvCTL2-R	CAGTCACCTTCATAAGACTGATCG
LvCTL4-F	CTTGAGCGCTTATGTCACCTAC
LvCTL4-R	CATCCTTGCTTGTATGTAGTCG
LvALF1-F	GGATGTGGTGTCTGGATGG
LvALF1-R	GCGTCGTCTCCGTGATG
LvALF3-F	GACCTGTCCAACCCTGAGC
LvALF3-R	TGGCCTCCTCCTCCGTATC
LvALF4-F	CCTGGTGGCACTCTTCGC
LvALF4-R	ACGGTGAAGCGGCACTTATG
LvALF-AVK-F	GTTCTGGTGGCACTCTTCG
LvALF-AVK-R	TCCGCTCCTCGTTCCTCC
LvCrustin-F	CACAACCTGTTCCAACGACTAC
LvCrustin-R	ACCTCGGATCCGAAGAATGAG
cMnSOD-F	TTGCCGCTACGAAGAAGTTG
cMnSOD-R	AGAAGATGGTGTGGTTCAAGTG
PPO1-F	TCTTCGCCTCACGATCTC
PPO1-R	TATCCTCACAGTCACTCCTTC
PPO2-F	TCACGAACGCCGAGGAAC
PPO2-R	GCAGCCGAGAAAGTTGAAAC
PPOAE2-F	AGTCGTCTTCATCCTTCCTTC
PPOAE2-R	GGATTGTGCGTTCCTGTTCAG
LvLys-F	CGGACTACGGCATCTCCAG
LvLys-R	TCATCGGACATCAGATCGGAAC
LvLys-IT-F	GGTTGCGTCATGCCAGATG
LvLys-IT-R	GGTTTATGTGCGTCTTCCCAG
Vpa-16s	GGGTAGCGGTGAAATGCGTAG
Vpa-16s	CCACAACCTCCAAGTAGACATCG
WSSV32678-F	GTTTTCTGTATGTAATGCGGTAGG
WSSV32753-R	CCCACCTCAGCCCTTCA
TaqMan probe-WSSV32706	CAAGTACCAGGCCAGTGTACATAGTT
dsRNA production	
dsLvSWD4-F:	GAATAAGTGTGTGCGTGGTGTG
dsLvSWD4-R:	TCTGGCTCAACGCAAGATAAC
ds LvSWD4-T7-F:	GGATCCTAATACGACTCACTATAGG GAATAAGTGTGTGCGTGGTGTG
dsLvSWD4-T7-R:	GGATCCTAATACGACTCACTATAGG TCTGGCTCAACGCAAGATAAC
GFP-F	ATGGTGAGCAAGGGCGAGGAG
GFP-R	TTACTTGTACAGCTCGTCCATGCC
T7-GFP-F	GGATCCTAATACGACTCACTATAGGATGGTGTGAGCAAGGGCGAGGAG
T7-GFP-R	GGATCCTAATACGACTCACTATAGGTTACTTGTACAGCTCGTCCATGCC
dsLvSTAT-F	TCAGTATGCCAGTCCTT
dsLvSTAT-R	CCTAACTCTTTCCTCTCC
T7-dsLvSTAT-F	GGATCCTAATACGACTCACTATAGGTCAGTATGCCAGTCCCT
T7-dsLvSTAT-R	GGATCCTAATACGACTCACTATAGGCCTAACTCTTTCCTCTCC
dsLvIRF-F	CTTTCACCAATGTCCCGATG
dsLvIRF-R	CGGCGATGTCGTAGGAATG
T7-dsLvIRF-F	GGATCCTAATACGACTCACTATAGGCTTTCACCAATGTCCCGATG
T7-dsLvIRF-R	GGATCCTAATACGACTCACTATAGGCGCGATGTCGTAGGAATG

Nucleotides in bold represent the restriction sites introduced for cloning.

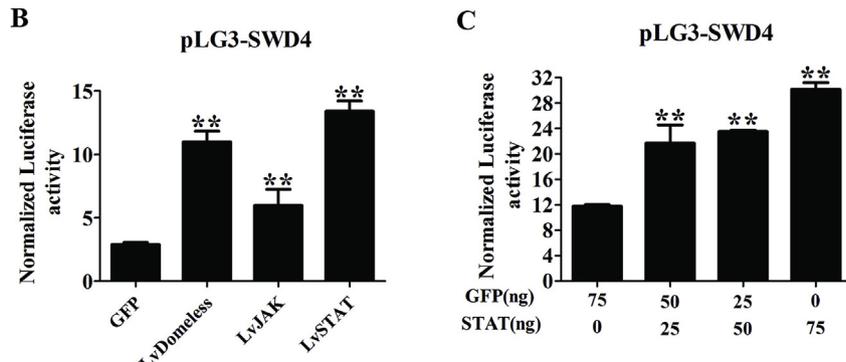
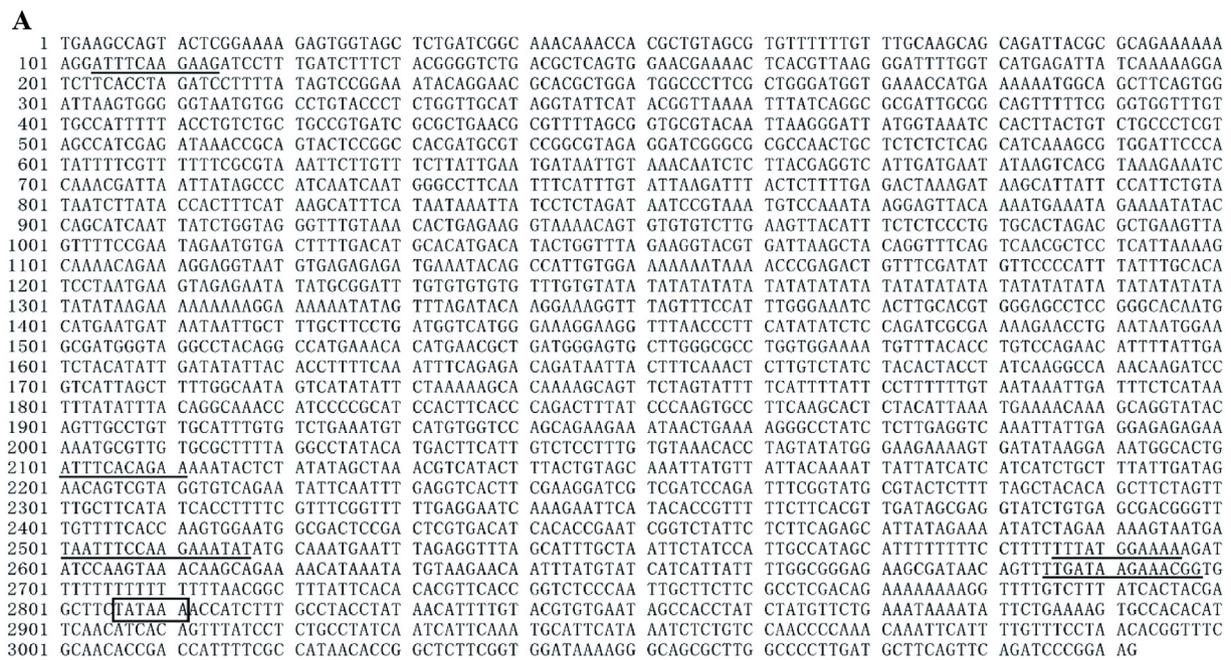


Fig. 2. Regulation of the LvSWD4 promoter by the JAK-STAT pathway. (A) Sequence analysis of the LvSWD4 promoter. The predicted TATA-box was boxed and the putative STAT binding sites were underlined. (B) The regulatory effects of STAT, Domeless and JAK on the LvSWD4 promoter detected by dual-luciferase reporter assays. (C) Effects of gradient transfection of STAT-expressing plasmids on the LvSWD4 promoter detected by dual-luciferase reporter assays. Each bar represents the mean \pm SD ($n = 8$) (*: $p < 0.05$, **: $p < 0.01$).

2.5. Dual luciferase reporter assays

The promoter of LvSWD4 was cloned using a genome walking strategy and cloned into the firefly luciferase plasmid pGL3-Basic (Promega, USA) as previously described [26]. The ORFs of *L. vannamei* Domeless, JAK and STAT genes were cloned into pAc5.1/V5-His A plasmid (Invitrogen, USA) to generate their expression vectors. For the dual luciferase reporter assays, S2 cells were plated in 96-well plate and transfected using 0.05 μ g reporter Firefly luciferase plasmid, 0.1 μ g gene expression vector, and 0.03 μ g pRL-TK renilla luciferase plasmid (as internal control) (Promega, USA). Cells were harvested at 48 h post transfection and lysed for examination of firefly and Renilla luciferase activities using a Dual-Luciferase Reporter Assay System (Promega, USA). Three independent experiments were performed and all assays were performed in eight independent transfections.

2.6. In vitro antibacterial assays

The coding sequence of LvSWD4 without the signal peptide was cloned into the pET-32a (+) vector (Merck Millipore, Germany) and transformed into the *Escherichia coli* strain BL21 (DE3) (Invitrogen, USA) and induced using IPTG. The recombinant 6His-tagged LvSWD4 protein was purified with Ni-NTA agarose (Qiagen, Germany) under

native conditions and dialyzed against PBS buffer. The recombinant Trx protein expressed by the empty pET-32a (+) vector was purified as control. The antibacterial activity of LvSWD4 against *V. parahaemolyticus*, *E. coli*, *Staphylococcus aureus*, and *Bacillus subtilis* was examined following a previously described method [27]. Briefly, Bacteria were cultured in LB medium to a midlogarithmic phase. The bacterial suspension was diluted to 5×10^4 /ml with LB medium containing gradient concentrations of LvSWD4 or Trx protein. The 0.1 μ g ampicillin and PBS buffer (10 μ l) were used as positive and negative controls, respectively. After incubation at 37 $^{\circ}$ C for 16 h, the bacterial concentration was determined by absorbance at 600 nm (A600).

2.7. RNA interference

Double stranded RNAs (dsRNAs) specific to LvSWD4 (dsRNA-LvSWD4) and green fluorescent protein (dsRNA-GFP, as control) were prepared by *in vitro* transcription using a T7 RiboMAXTM Express RNAi System (Promega, USA) as previously described [28]. Shrimp were injected with 50 μ l PBS containing 5 μ g dsRNA-LvSWD4 or dsRNA-GFP (as control). At 48 h post injection, the RNA interference (RNAi) efficiency of LvSWD4 and the expression of many immune related genes in gills were detected using real-time PCR with primers listed in Table 1. For immune challenge experiments, at 48 h post dsRNA injection,

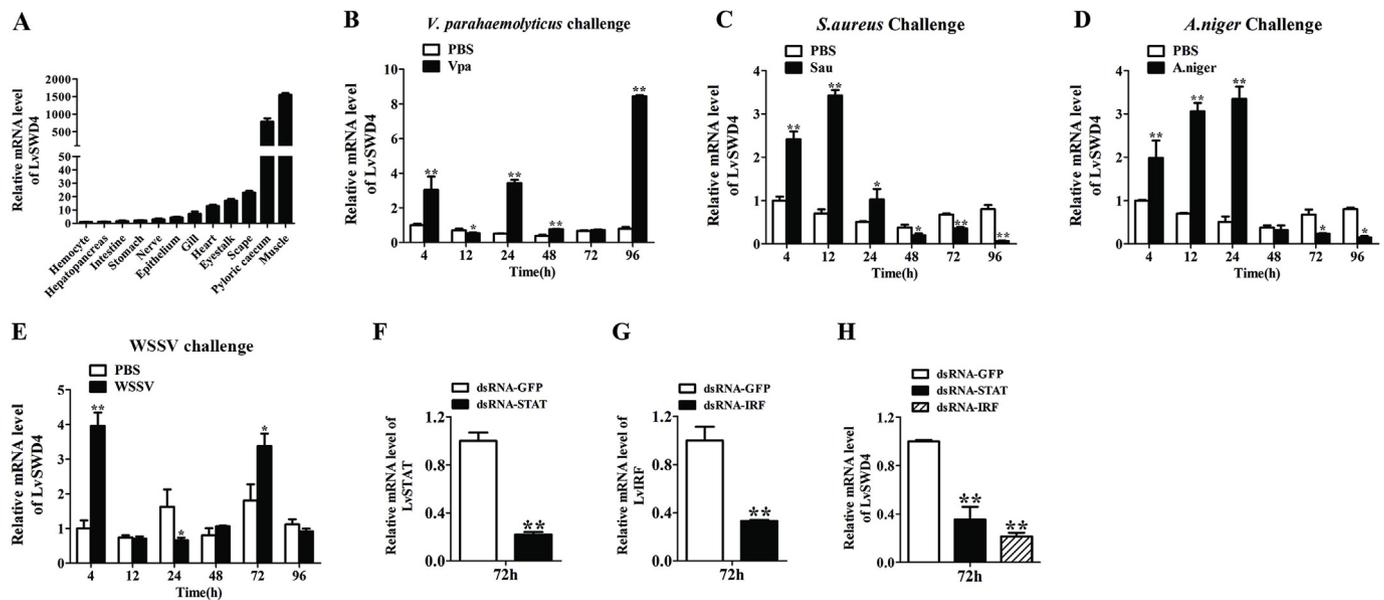


Fig. 3. Expression profiles of LvSWD4 analyzed using real-time PCR. Each bar represents the mean \pm SD of the three detections. (A) The transcription of LvSWD4 in *L. vannamei* tissues. The mRNA levels were calculated relative to that in hemocytes (set as 1.0). (B–E) The expression profiles of LvSWD4 in gills of *V. parahaemolyticus*-, *S. aureus*-, *A. niger*-, and WSSV-challenged shrimps were examined. (F and G) The knock-down efficiencies of STAT and IRF. (H) The mRNA level of LvSWD4 in STAT- and IRF-silenced shrimp. The statistical significance was calculated using Student's *t*-test. *, $p < 0.05$, **, $p < 0.01$.

shrimp were further injected with 10^6 copies of *V. parahaemolyticus* and WSSV ($n = 50$ in each group). Experiments were done in triplicate and the cumulative mortality was recorded. At 6 h and 12 h post *V. parahaemolyticus* infection, 96 h and 120 h post WSSV infection, the gill and muscle tissues were sampled and the copy number of *V. parahaemolyticus* and WSSV were determined using quantitative real-time PCR as previously described, respectively [28].

2.8. Phagocytic activity analysis

The phagocytic activity of hemocytes from shrimp at 48 h post dsRNA injection was analyzed following the method as previously described [25]. Briefly, hemocytes extracted from dsRNA-treated shrimp were washed with $2 \times$ Leibovitz's L-15 medium (Gibco, USA) triply and mixed with fluorescein isothiocyanate (FITC)-labeled *V. parahaemolyticus* at a 1:100 ratio of cells/bacteria and incubated at 28 °C for 1 h. Hemocytes were then detected using cytometry for the signals of FITC and the forward scatter (FSC) values of cells. The FSC threshold was determined by detecting the free FITC-labeled *V. parahaemolyticus* to eliminate cell debris and bacteria, and the fluorescence boundary was set based on detection of the self-fluorescence of untreated hemocytes. A total of 500,000 events were detected for each sample.

2.9. Statistical analysis

The statistical procedures were carried out using SPSS statistical software version 16.0. The mean and standard deviation (SD) from three detections was calculated. Student's *t*-test was used to compare the two means. The Kaplan-Meier plot (log-rank χ^2 test) was used to analyze the mortalities between different groups.

3. Results

3.1. Cloning and bioinformatics analysis of LvSWD4

The ORF of LvSWD4 gene is 282 bp encoding a protein of 93 amino acids (GenBank Accession No. MK354273). The LvSWD4 protein was predicted to possess an N-terminal signaling peptide (residues 1–23) and a single WAP domain in the C-terminal region (Fig. 1A). The

predicted mature LvSWD4 protein without the signal peptide has a calculated molecular weight of 7.42 kDa and a theoretical isoelectric point (pI) of 3.95. Multiple-sequence alignment indicated that the LvSWD4 protein shared 38%, 34% and 36% identities with the three previously reported *L. vannamei* SWDs LvSWD1, LvSWD2 and LvSWD3, and 38%, 33% and 28% with PmSWD1, PmSWD2 and PmSWD3 from black tiger shrimp *Penaeus monodon*, respectively. Among the analyzed sequences, the highest homology (47%) was observed between LvSWD4 and the *Panulirus argus* PaSWD. LvSWD4 also showed 27% homology with a SWD from pear oyster *Pinctada fucata* (Fig. 1B). Phylogenetic tree analysis showed that the distance between LvSWD4 and other shrimp SWDs was relative large (Fig. 1C). Considering its low pI which is different with other SWDs, these suggested that LvSWD4 could be a relatively unique member of the shrimp SWD family.

3.2. Promoter analysis of LvSWD4

The upstream 3,092-bp sequence upstream the transcriptional initiation site of LvSWD4 amplified using genome walking method was predicted to contain five potential STAT-binding motifs, indicating that the expression of LvSWD4 could be regulated by STAT (Fig. 2A). This sequence was then cloned into the PGL3 vector and subjected to dual-luciferase reporter assays. Compared with the control, expression of STAT and the two important components of the JAK-STAT pathway Domeless and JAK could significantly up-regulated the activity of LvSWD4 promoter (Fig. 2B). With the increase of the levels of transfected STAT-expressing plasmids, the activity of LvSWD4 promoter was also up-regulated (Fig. 2C). The dose-independent manner in which STAT regulated the LvSWD4 promoter confirmed that LvSWD4 was a regulatory target gene of STAT.

3.3. Tissue distribution and expression profile of LvSWD4

The tissue distribution of LvSWD4 mRNA in healthy shrimp was analyzed using real-time PCR (Fig. 3A). The lowest level of LvSWD4 mRNA was detected in hemocytes and the highest levels were detected in muscle and pyloric caecum, which were up to 1548.5- and 786.9-fold higher than that in hemocytes, respectively. In contrast, other tissues expressed 1.3- to 23.0-fold levels of LvSWD4. The expression of

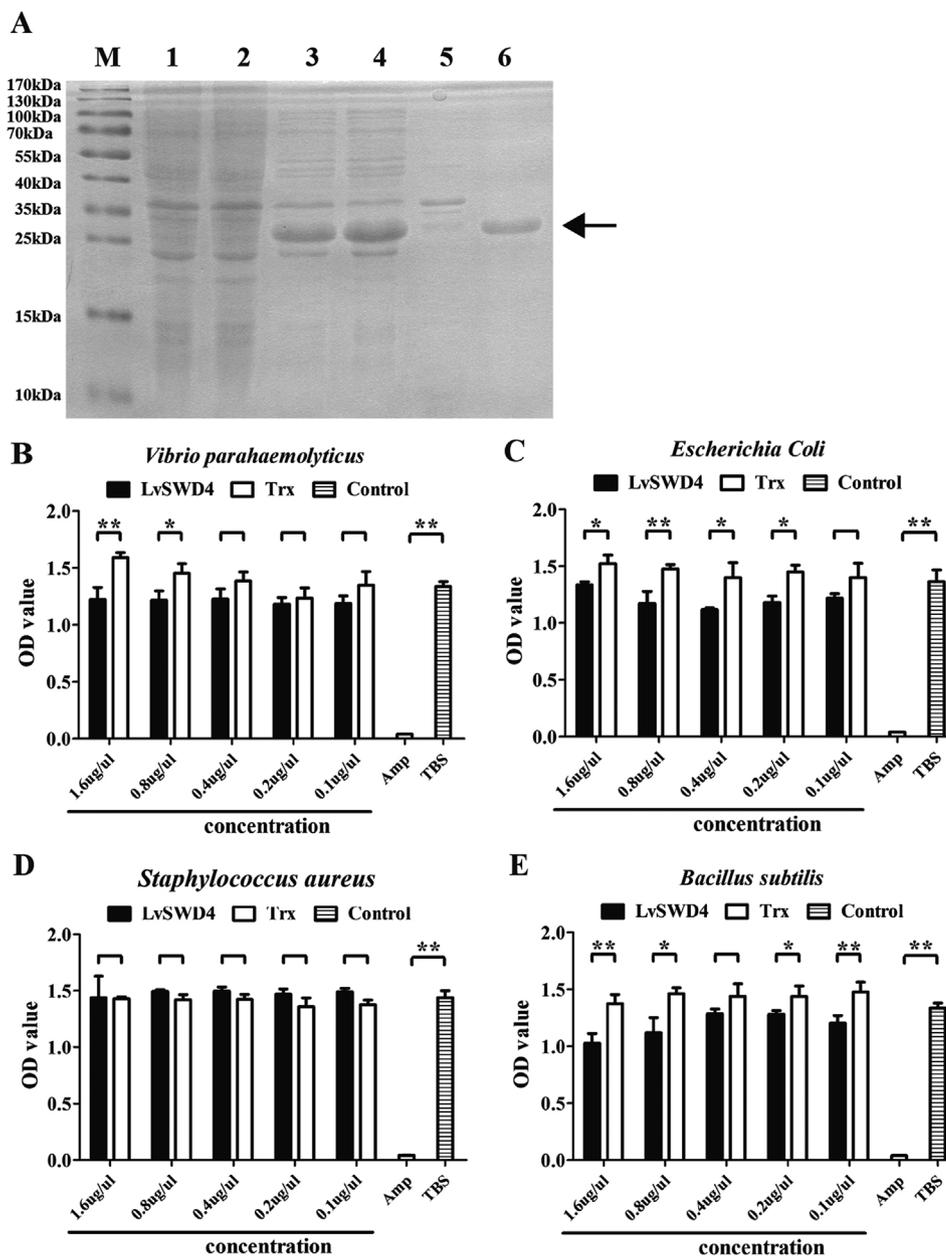


Fig. 4. *In vitro* antibacterial assays of LvSWD4. (A) SDS-PAGE analysis of the recombinant LvSWD4 protein expressed in *E. coli*. Line 1, untransformed *E. coli* cells; Line 2, uninduced *E. coli* transformed with LvSWD4; Line 3, induced *E. coli* transformed with LvSWD4; Line 4, supernatant of ultrasonic lysed *E. coli* expressing LvSWD4; Line 5, precipitate of lysed *E. coli* expressing LvSWD4; Line 6: purified recombinant LvSWD4 protein (black arrow). (B–E) Effects of gradient concentrations of recombinant LvSWD4 and Trx (negative control) proteins and 0.1 µg ampicillin (Amp, positive control) on the growths of *V. parahaemolyticus*, *E. coli*, *S. aureus*, and *Bacillus subtilis*. The bacterial concentration was determined by absorbance at 600 nm (A600). Each bar represents the mean ± SD of three samples. *, $p < 0.05$, **, $p < 0.01$.

LvSWD4 in gill, an important immune tissue of shrimp, during immune stimulation was further investigated (Fig. 3B–E). The increased expression of LvSWD4 was mainly observed at 4, 24 and 96 hpi in *V. parahaemolyticus*-challenged shrimp and at 4 and 72 hpi in WSSV-challenged shrimp, while in *S. aureus*- and *A. niger*-infected shrimp, the increase of LvSWD4 expression mainly occurred at 4–24 hpi. The regulatory effect of STAT on LvSWD4 expression was further explored *in vivo*. The expression of STAT and IRF was silenced using RNAi strategy and the mRNA level of LvSWD4 was analyzed (Fig. 3F). Compared with the control, silencing of STAT significantly decreased the expression of LvSWD4 (Fig. 3H). As the transcription factor IRF has been known as an upstream regulator of the JAK-STAT pathway in shrimp [17], we further knockdown the expression of IRF and analyzed the mRNA level of LvSWD4 *in vivo* (Fig. 3G). The result demonstrated that the expression of LvSWD4 in IRF-silenced shrimp was significantly down-regulated (Fig. 3H).

3.4. Immune function of LvSWD4

The LvSWD4 protein was recombinantly expressed in *E. coli* and purified using affinity chromatography (Fig. 4A). The antibacterial activity of recombinant LvSWD4 protein was investigated *in vitro* (Fig. 4B–E). The result showed that compared with the control Trx protein, LvSWD4 significantly inhibited the growth of Gram negative bacteria *V. parahaemolyticus* and *E. coli* and Gram positive bacterium *B. subtilis*. In contrast, no significant difference was observed between growths of LvSWD4- and Trx-treated *S. aureus*. To investigate the immune role of LvSWD4 *in vivo*, RNAi strategy was used to knockdown the expression of LvSWD4 in shrimp (Fig. 5A). Compared with the control, silencing of LvSWD4 significantly increased the mortality of shrimp infected with WSSV and *V. parahaemolyticus* (Fig. 5B and D). Consistent with these, the copy numbers of WSSV in muscle and *V. parahaemolyticus* in gill were also significantly increased after silencing of LvSWD4 (Fig. 5C and E). These suggested that LvSWD4 could play positively roles in both antiviral and antibacterial immune responses.

To further explore the role of LvSWD4 in shrimp immunity, the

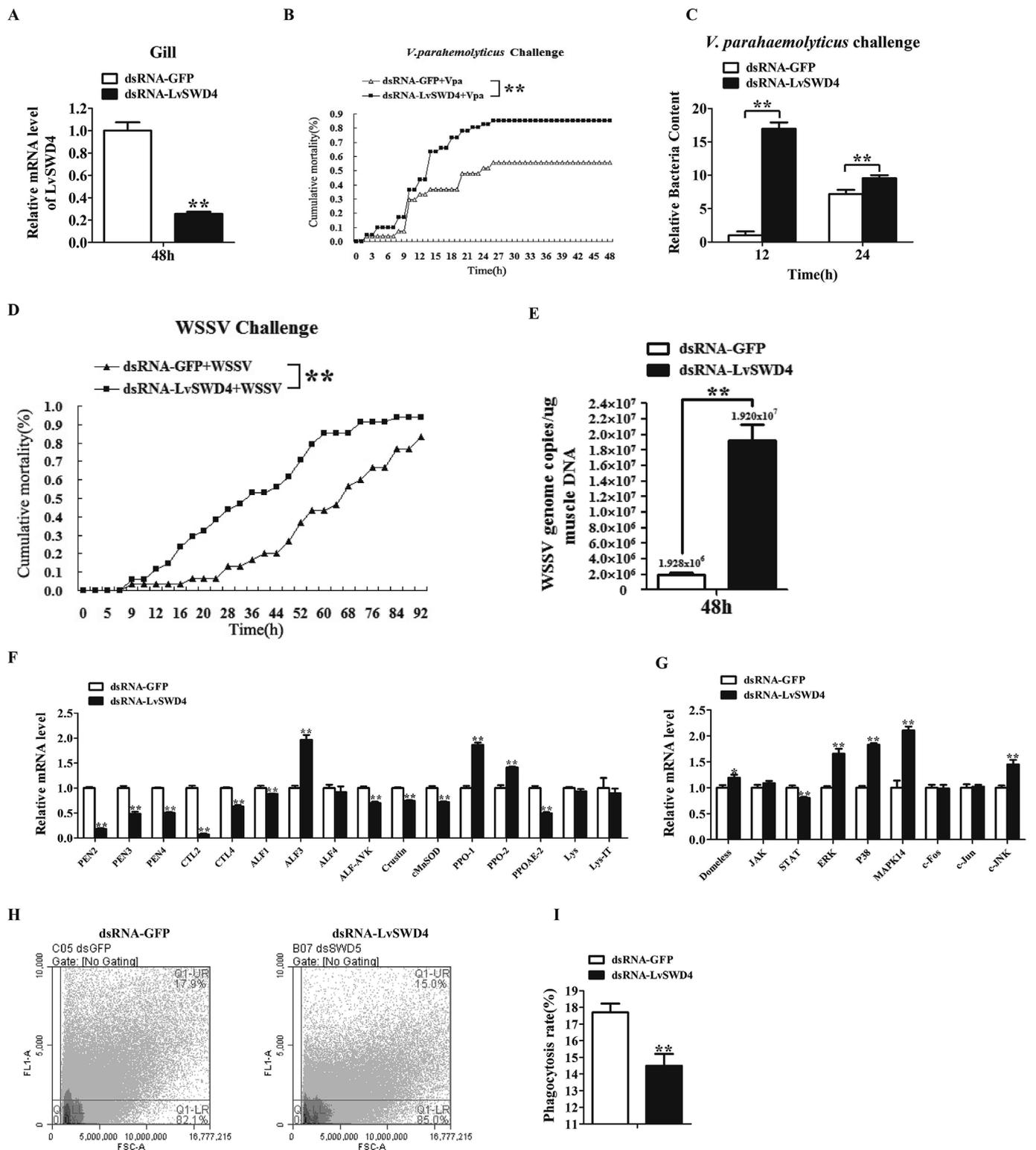


Fig. 5. Involvement of LvSWD4 in immune responses. (A) The knock-down efficiency of LvSWD4 in gill at 48 h post dsRNA injection. (B and D) Mortalities of LvSWD4-dsRNA and control GFP-dsRNA treated *L. vannamei* ($n = 50$) infected by *V. parahemolyticus* and WSSV. Cumulative mortalities were recorded every 4 h. Differences in cumulative mortality levels between treatments were analyzed by Kaplan-Meier log-rank χ^2 tests (** $p < 0.01$). (C and E) The relative bacterial load in gill and the absolute viral load in muscle detected by quantitative real-time PCR. Each bar represents the mean \pm SD of three samples (** $p < 0.01$). (F), expression of various immune related genes in LvSWD4-silencing shrimp analyzed by real-time PCR. Each bar represents the mean \pm SD of three samples. (G and H) Flow cytometry analysis of the phagocytic activities against FITC-labeled *V. parahemolyticus* of hemocytes from SWD-4 silenced shrimp. The scatter plots representing one of the three flow cytometric detections were shown in the left panel and the data were provided in the right panel. Cells were examined by forward scatter (FSC, x-axis) and the phagocytosis of FITC-labeled *V. alginolyticus* was indicated by intracellular green fluorescence (y-axis). ** $p < 0.01$.

expression of various immune functional genes in LvSWD4-silenced shrimp was analyzed using real-time PCR (Fig. 5F). The results demonstrated that after silencing of LvSWD4, expression of most of the detected AMPs, such as PEN2, PEN3, PEN4, ALF1, ALF-AVK, and Crustin was significantly down-regulated, but expression of ALF3 was significantly up-regulated and expression of ALF4 did not show significant change. Meanwhile, expression of some immune functional proteins including C-type lectin 2 (CTL2), CTL4, cytosolic manganese superoxide dismutase (C-MnSOD), and polyphenoloxidase PPOAE-2 was also significantly decreased, but expression of polyphenoloxidases PPO-1 and PPO-2 was significantly increased. In contrast, there was no significant change in the expression of lysozyme (Lys) and invertebrate lysozyme (Lys-IT). The influence of LvSWD4 on the phagocytic function of shrimp hemocytes was further investigated (Fig. 5G and H). The results showed that the phagocytic activity of hemocytes from LvSWD4-silenced shrimp against *V. parahaemolyticus* was significantly lower than those from the control shrimp, suggesting that LvSWD4 could positively regulate the phagocytic activity of hemocytes. Taking together, these results suggested that LvSWD4 could be implicated in regulation of both humoral and cellular immune responses.

4. Discussion

There are four JAK proteins (JAK1, JAK2, JAK3 and TYK2) and seven STAT proteins (STAT1-4, STAT5a and 5b, and STAT6) in human, indicating a complex mechanism underlying the signal transduction of the JAK-STAT pathway [29,30]. Similarly to that in *Drosophila*, the JAK-STAT pathway in *L. vannamei* has fewer components but can sufficiently mediate signal transduction analogous to that of vertebrates. There are only one STAT, one JAK and two negative regulators SOCSs identified so far in the JAK-STAT pathway in *L. vannamei* [19,20,31]. Besides, a cytokine receptor (Domeless) upstream of the JAK protein was also identified in *L. vannamei*, which played an important role in antiviral responses [18]. The promoter evidenced to be regulated by STAT is the promoter of the *ie1* gene (wsv069) from the shrimp viral pathogen WSSV, which has been widely used in studies on the JAK-STAT pathway in shrimp. However, few STAT-targeted genes from shrimp have been reported up to now [32]. In the current study, the promoter of the novel identified LvSWD4 gene was predicted to have multiple STAT-binding sites and was confirmed to be regulated by STAT and the JAK-STAT pathway components JAK and Domeless by dual luciferase reporter assays. The RNAi knockdown experiment also demonstrated that silencing of STAT and the JAK-STAT pathway upstream regulator IRF led to significant decrease of the LvSWD4 expression. These suggested that LvSWD4 was a novel regulatory target gene of the JAK-STAT pathway in shrimp.

SWDs are a group of single WAP domain-containing crustins found in invertebrates. At present, a growing number of attempts have been made to characterize the SWDs in shrimp, including those from *Litopenaeus vannamei*, *Penaeus monodon*, *Fenneropenaeus chinensis* and *M. japonicus* [33–37]. These proteins shared similar structure and high sequence homology. Studies in *P. monodon* and *F. chinensis* suggested that SWDs could inhibit the infection of Gram-positive and -negative bacteria through attenuating the hydrolysis activity of proteinases derived from bacteria [34,38]. The expression of these shrimp SWDs could be stimulated by WSSV infection but their roles in antiviral responses have not been investigated. Most of the identified crustacean SWDs have isoelectric points above neutral pH. A recent identified SWD protein from *L. vannamei* (LvSWD3) with a low isoelectric point did not show proteinase inhibitory and antibacterial activities but had a verified antiviral activity [39]. In the current study, *in vitro* experiments demonstrated that the recombinant LvSWD4 protein had significant inhibitory activities against Gram negative bacteria *V. parahaemolyticus* and *E. coli* and Gram positive bacterium *B. subtilis*, suggesting a broad-spectrum antibacterial activity of LvSWD4. Knockdown of LvSWD4 *in vivo* resulted in high susceptibility of shrimp to the infection by *V.*

parahaemolyticus. Also, LvSWD4 showed a significant antiviral activity against WSSV infection *in vivo*. Therefore, LvSWD4 could be the first member of the type III crustin group that has been showed to have both antibacterial and antiviral activities. Moreover, previous studies mainly focused on the role of the JAK-STAT pathway in antiviral responses. A recent study in *M. japonicus* showed that knockdown of STAT by RNAi declined the survival rate of *V. anguillarum*-infected shrimp and resulted in impaired bacterial clearance, suggesting an important role of the JAK-STAT pathway in antibacterial responses in shrimp [40]. Therefore, targeting LvSWD4 could be a mechanism underlying the antibacterial and antiviral functions of the JAK-STAT pathway, which requires further investigation.

It has been known that AMPs can function as immunomodulators to regulate innate immune responses through enhancing production of proinflammatory mediators, chemoattracting inflammatory and regulating complement activation in mammals [41–43]. In adaptive immunity, AMPs can recruit antigen-presenting dendritic cells, naïve T-cells, and memory T-cells to infected regions and can act on mast cells and macrophages to stimulate repair and clean-up of infected sites [44–46]. However, few studies concern the immune regulatory activity of AMPs in invertebrates. The current study demonstrated that knockdown of LvSWD4 *in vivo* could attenuate the phagocytosis of hemocytes, suggesting that LvSWD4 could positively regulate the cellular immune responses in shrimp. Furthermore, expression of many AMPs and immune functional genes was significantly down-regulated in LvSWD4-silenced shrimp, indicating that LvSWD4 could regulate the expression of these genes. Taking together, the evidence provided in this study demonstrated that a shrimp AMP LvSWD4 could play important roles in regulation of both humoral and cellular immune responses, which could be part of the mechanism underlying its antiviral and antibacterial functions.

Acknowledgements

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