

# Effectiveness of Nonvitamin K Antagonist Oral Anticoagulants and Warfarin for Preventing Further Cerebral Microbleeds in Acute Ischemic Stroke Patients with Nonvalvular Atrial Fibrillation and At Least One Microbleed: CMB-NOW Multisite Pilot Trial

Mutsumi Yokoyama, MD, PhD,<sup>\*,1</sup> Atsushi Mizuma, MD, PhD,<sup>†,1</sup>  
Tohru Terao, MD, PhD,<sup>‡</sup> Fumiaki Tanaka, MD, PhD,<sup>§</sup>  
Kazutoshi Nishiyama, MD, PhD,<sup>||</sup> Yasuhiro Hasegawa, MD, PhD,<sup>¶</sup>  
Eiichiro Nagata, MD, PhD,<sup>†</sup> Shigeru Nogawa, MD, PhD,<sup>#</sup>  
Hiroyuki Kobayashi, MD, PhD,<sup>\*\*</sup> Noriharu Yanagimachi, MD,<sup>††</sup>  
Takashi Okazaki, MD,<sup>††</sup> Kazuo Kitagawa, MD, PhD,<sup>‡‡</sup>  
Shunya Takizawa, MD, PhD,<sup>†</sup> and for the CMB-NOW Study Investigators

*Background:* Nonvitamin K antagonist oral anticoagulants (NOACs) are considered superior, or at least noninferior, to warfarin in preventing stroke or systemic embolism in patients with nonvalvular atrial fibrillation. Here, we recruited acute ischemic stroke patients with nonvalvular atrial fibrillation and at least one cerebral microbleed (CMB), and evaluated the proportion of patients who had an increased number of CMBs (%) after receiving anticoagulant therapy with NOACs or with warfarin for 12 months. *Methods:* This was a multicenter, prospective, observational cohort study at 20 centers, conducted between 2015 and 2017, in which we recruited 85 patients with at least one CMB detected by 1.5T magnetic resonance imaging (T2\*WI) at baseline, who received NOACs or warfarin for at least 12 months. We compared the proportions of patients with increased numbers of CMBs in the NOACs and warfarin treatment groups. *Results:* The proportions of patients with increased numbers of CMBs at month 12 of treatment were 28.6% and 66.7% in the NOACs and warfarin groups, respectively. The new CMBs showed no specific regional localization in either group. In the NOACs and warfarin groups, physicians prescribed lower-than-standard dosing in 13.3% and 50% of the cases, respectively. The administration of reduced doses at physicians' discretion did not appear to alter the incidence of new CMBs. *Discussion:* This is the first evidence to suggest

From the \*Department of Neurology, Fujisawa City Hospital, Fujisawa, Kanagawa, Japan; †Departments of Neurology, Tokai University School of Medicine, Isehara, Tokyo, Japan; ‡Department of Neurosurgery, Atsugi City Hospital, Atsugi, Kanagawa, Japan; §Department of Neurology and Stroke Medicine, Yokohama City University Graduate School of Medicine, Yokohama, Kanagawa, Japan; ||Department of Neurology, Kitasato University School of Medicine, Tokyo, Japan; ¶Department of Neurology, St. Marianna University School of Medicine, Kawasaki, Kanagawa, Japan; #Department of Neurology, Tokai University Hachioji Hospital, Hachioji, Tokyo, Japan; \*\*Department of Clinical Pharmacology, Tokai University School of Medicine, Isehara, Tokyo, Japan; ††Department of Diagnostic Radiology, Tokai University School of Medicine, Isehara, Tokyo, Japan; and ‡‡Department of Neurology, Tokyo Women's Medical University, Shinjuku City, Tokyo, Japan.

Received January 8, 2019; revision received March 5, 2019; accepted March 23, 2019.

ClinicalTrials.gov Identifier: [NCT02356432](https://doi.org/10.1136/nct02356432)

Financial Disclosure: The study was supported by Daiichi Sankyo Inc.

Address correspondence to Shunya Takizawa, MD, PhD, Department of Neurology, Tokai University School of Medicine, 143 Shimokasuya, Isehara, Kanagawa, 259-1193, Japan. E-mail address: [shun@is.icc.u-tokai.ac.jp](mailto:shun@is.icc.u-tokai.ac.jp).

<sup>1</sup>Both authors contributed equally, and share the "main author" credit.

1052-3057/\$ - see front matter

© 2019 Elsevier Inc. All rights reserved.

<https://doi.org/10.1016/j.jstrokecerebrovasdis.2019.03.050>

efficacy of NOACs for preventing further CMBs in patients with at least one CMB, although no statistical evaluation was carried out.

**Key Words:** Acute ischemic stroke—anticoagulant—cerebral microbleeds—MRI—NOACs—warfarin

© 2019 Elsevier Inc. All rights reserved.

## Introduction

Anticoagulants, especially warfarin, are generally prescribed to prevent the recurrence of ischemic stroke (IS) in patients with nonvalvular atrial fibrillation (NVAF)<sup>1</sup>, but may cause bleeding, particularly intracranial hemorrhage, including intracerebral hemorrhage (ICH).<sup>2</sup> Cerebral microbleeds (CMBs) appear as small round hypointense lesions on T2\*-weighted<sup>3</sup> and susceptibility-weighted magnetic resonance imaging (MRI),<sup>4</sup> and were identified as a predictor of future ICH in prospective observational studies of patients with either ICH or IS.<sup>5,6</sup> A high incidence of ICH is reported in patients with multiple CMBs, particularly those with CMBs  $\geq 5$ .<sup>7</sup> Among CMB-positive patients, a high incidence of intracranial hemorrhage associated with oral anticoagulation therapy has been reported.<sup>8</sup> The incidence and absolute number of CMBs increase in proportion to CHADS<sub>2</sub> score in patients with IS accompanied by NVAF.<sup>9</sup> Imaizumi et al.<sup>10</sup> found that warfarin alone did not increase the incidence of ICH in all subjects with CMBs, but subjects with 3 or more deep CMBs did show an increased incidence. A meta-analysis conducted by Lovelock et al.<sup>11</sup> found a higher incidence of CMBs in patients with ICH receiving warfarin than in patients with ICH not receiving antithrombotic therapy. Further, Orken et al.<sup>12</sup> found that patients who had one or more CMBs at baseline developed more additional CMBs after 2 years (26%), compared with patients (12%) who did not have any CMB at baseline ( $P = .03$ ). All these findings strongly suggest a relationship between the occurrence or absolute number of CMBs and the development of intracranial hemorrhage during warfarin therapy. However, there has been no study so far on the progression of CMBs in patients receiving nonvitamin K antagonist oral anticoagulants (NOACs).

Recent studies indicate that NOACs, including dabigatran,<sup>13</sup> rivaroxaban,<sup>14</sup> apixaban,<sup>15</sup> and edoxaban,<sup>16</sup> are superior, or at least noninferior, to warfarin in preventing IS or systemic embolism in patients with NVAF, and they have been reported to significantly reduce the incidence of hemorrhagic stroke. On the other hand, the proportion of patients with at least one CMB was higher in ICH patients than in IS patients receiving NOACs, as was the absolute number of CMBs.<sup>4</sup> In this context, we recruited acute IS patients with NVAF who showed at least one CMB, and we evaluated and compared the proportion of patients with an increased number of CMBs (%) after receiving anticoagulant therapy with NOACs or with warfarin for 12 months.

## Methods

### *Study Design*

The Cerebral Microbleeds as a Predictor of Future Intracerebral Hemorrhage during NOACs or Warfarin Therapy in NVAF Patients with Acute Ischemic Stroke (CMB-NOW) study was an investigator-initiated, multicenter, cohort, prospective, observational clinical trial comparing NOACs (dabigatran, rivaroxaban, apixaban, or edoxaban) and warfarin therapy during 12 months.<sup>17</sup> This trial was registered with ClinicalTrials.gov (ClinicalTrials.gov Identifier: [NCT02356432](https://clinicaltrials.gov/ct2/show/study/NCT02356432)), and was approved by each local ethics committee, including that of Tokai University Hospital (No. 14R-155). All patients gave written informed consent, or assent was obtained from relatives of patients who were unable to provide consent.

We recruited acute IS patients with NVAF and at least one CMB detected by 1.5T MRI (T2\*WI) at baseline, who were given NOACs or warfarin for at least 12 months. We compared the proportion of patients with an increased number of CMBs at month 12 of treatment with NOACs or warfarin. Trained neurologists, neurosurgeons or nurses in participating hospitals performed clinical assessment at baseline, 6 months, and 12 months after registration. Prior to registration, clinical assessment items included age, gender, height, weight, smoking history, amount of alcohol consumption, pre-existing conditions and co-morbidity, and antithrombotic therapy prior to onset of IS/transient ischemic attack; these data were recorded. For quality assurance, data validation and registry procedures included site monitoring and auditing.

### *Selection Criteria*

Inclusion and exclusion criteria were listed elsewhere.<sup>17</sup> Briefly, medication with NOACs (dabigatran, rivaroxaban, apixaban, or edoxaban) or warfarin, as well as dosing, was prescribed by each attending physician without restriction, based on assessment of the condition of each patient. The physicians' discretion to prescribe appropriate treatment (drugs, regimen, and dosage) for atrial fibrillation, dyslipidemia, diabetes mellitus, and hypertension during the follow-up period was also not restricted in any way. Patients receiving antiplatelet agents were not permitted to receive anticoagulants concomitantly.

### *Dosing Schedules*

Standard anticoagulant treatment schedules were as follows.

**Dabigatran:** Dabigatran 150 mg was given orally twice daily after meals. For patients meeting any one of the following criteria, the dose was 110 mg orally twice daily after meals: age  $\geq 70$  years, moderate renal impairment (CrCL 30-50 mL/min), receiving P-glycoprotein inhibitors, or at increased bleeding risk.

**Rivaroxaban:** Rivaroxaban 15 mg was given once daily after a meal. For patients with renal impairment (CrCL 30-49 mL/min), the dose was 10 mg orally once daily after a meal.

**Apixaban:** Apixaban 5 mg was given orally twice daily after meal. For patients meeting any 2 of the following criteria, the dose was 2.5 mg orally twice daily after meals: age  $\geq 80$  years, body weight  $\leq 60$  kg, and serum creatinine  $\geq 1.5$  mg/dL.

**Edoxaban:** Edoxaban 60 mg was given orally once daily after meals. For patients meeting any one of the following criteria, the dose was 30 mg orally once daily after meals: renal impairment (CrCL 30-50 mL/min), body weight  $\leq 60$  kg or receiving P-glycoprotein inhibitors.

**Warfarin:** Prothrombin time-international normalized ratio (PT-INR) was controlled in accordance with the JCS2008 guideline concerning the drug treatment of atrial fibrillation, that is, INR 2.0 to 3.0 in patients younger than 70 years, or INR 1.6 to 2.6 in patients not younger than 70 years.<sup>18</sup>

Lower doses than those described above were given at the attending physician's discretion, and are described as "reduced doses" in this work.

### Imaging Analysis

CMBs were defined as asymptomatic, small, round, hypointense spots with diameter  $\leq 10$  mm on a T2\*-weighted gradient-echo MR image.<sup>19</sup> Prior to registration, the presence of CMBs was diagnosed by means of 1.5 Tesla MRI by neurologists or neurosurgeons with Japanese Board Certification in Neurology or Neurosurgery, respectively, who are members of the CMB-NOW study. Subsequently,

all radiological data including diffusion-, T1-, T2-, and T2\*-weighted images was evaluated by neuroradiologists (N.Y. and T.O.) in the Cerebral Microbleeds Evaluation Committee using the assessment scale of Gregoire et al.<sup>19</sup> in a blinded state. White matter lesions were also evaluated according to the classification by Fazekas et al.<sup>20</sup>

### Statistical Analysis

Primary endpoint was the proportion of subjects with an increased number of CMBs at month 12 of treatment with NOACs or warfarin. Comparison between the NOACs group and the warfarin group was also made for secondary endpoints (proportion with new CMBs at month 6, number of new CMBs at months 6 and 12, location of CMBs (infarctorial, lobar, and deep described as previous paper<sup>21</sup>), white matter lesions, and the incidence of adverse events).

Data are presented as the mean  $\pm$  SD (normal continuous distribution data; patients' characteristics) or median with interquartile range (non-normal continuous data; whole counts of CMBs), and as counts or percentages for categorical data. Statistical significance was defined as 2-sided at the 5% level. For normal continuous distribution data, the independent sample *t* test was employed. When the 2 caudal sides were  $P < .05$ , the difference was taken as statistically significant. The analysis was done with SPSS Statistics 20.0 software.

### Results

#### Baseline Characteristics of Patients

Between March 30, 2015, and September 26, 2017, 85 potentially eligible patients (75 in the NOACs group and 10 in the warfarin group) at 20 hospitals located mainly in Kanagawa prefecture, Japan (see Supplementary File), were recruited to join the study. After neuroimaging quality assurance, our final analysis included 66 patients at 6 months and 62 patients at 12 months after registration.

**Table 1.** Demographic characteristics of patients

| Variable                                       | Total          | NOACs group    | Warfarin group  | <i>P</i> value |
|--|----------------|----------------|-----------------|----------------|
| Number of patients n, (%)                      | 85             | 75 (88.2)      | 10 (11.8)       | —              |
| Age (y, mean $\pm$ Standard deviation)         | 74.6 $\pm$ 7.6 | 74.8 $\pm$ 7.3 | 73.0 $\pm$ 10.1 | .60            |
| Sex distribution n, (% men)                    | 60 (70.1)      | 53 (70.7)      | 7 (70.0)        | 1.00           |
| Smoking n, (%)                                 | 33 (38.8)      | 28 (37.3)      | 5 (50.0)        | .64            |
| Alcohol abuse n, (%)                           | 33 (38.8)      | 28 (37.3)      | 5 (50.0)        | .22            |
| Previous atrial fibrillation n, (%)            | 62 (72.9)      | 52 (69.3)      | 10 (100.0)      | .06            |
| Previous paroxysmal atrial fibrillation n, (%) | 23 (27.1)      | 23 (30.7)      | 0 (0.0)         | .06            |
| Previous cerebral infarct n, (%)               | 18 (21.2)      | 15 (20.0)      | 3 (30.0)        | .44            |
| Previous transient ischemic attack n, (%)      | 1 (1.2)        | 1 (1.3)        | 0 (0.0)         | 1.00           |
| Previous anticoagulant medication n, (%)       | 28 (32.9)      | 24 (32.0)      | 4 (40.0)        | .72            |
| Hypertension n, (%)                            | 57 (67.1)      | 50 (66.7)      | 7 (70.0)        | 1.00           |
| Diabetes mellitus n, (%)                       | 23 (27.1)      | 15 (20.0)      | 8 (80.0)        | .44            |
| Dyslipidemia n, (%)                            | 28 (32.9)      | 22 (29.3)      | 6 (60.0)        | .07            |

NOACs, Nonvitamin K antagonist oral anticoagulants.

**Table 1** summarizes the demographic characteristics of patients in the NOACs and warfarin groups. We found no significant differences in demographics or stroke risk factors between the 2 groups ( $P < .05$ ). The baseline characteristics of patients, including blood pressure, body mass index, and blood chemistry, are summarized in **Table 2**; there were no significant differences between the 2 groups.

#### *Proportion of Patients with an Increased Number of CMBs*

The proportions of patients with an increased number of CMBs at month 12 were 28.6% (16 out of 56 patients) and 66.7% (4 out of 6 patients) in the NOACs and warfarin groups, respectively. The corresponding proportions at 6 months were 22.0% (13 out of 59 patients) and 57.1% (4 out of 7 patients), respectively. The whole number of CMBs was not significantly changed both in NOACs group (median: 3.0 [interquartile range: 8.0] versus 3.0 [7.0]) and in warfarin group (6.0 [5.0] versus 6.0 [7.0]) between 6 months and 12 months. These primary endpoints are summarized in **Table 3**. We did not carry out statistical comparison, because the numbers of subjects were small.

#### *Locations of CMBs and White Matter Lesions at Month 12*

The proportions of patients with an increased number of CMBs (%) in the infratentorial, lobar, and deep white

matter regions are summarized in **Table 4**. There were no clear specific regional differences, although statistical comparison was not carried out. As for white matter lesions, there were no apparent differences according to Fazekas' classification.<sup>20</sup>

#### *Adverse Events in Each Group During 12 Months*

**Table 5** showed adverse events in each group during 12 months, including death due to cardiovascular events, cerebral infarction, symptomatic intracranial hemorrhage and others. The incidence of adverse events was rare in both groups.

#### *Influence of Reduced Dosing*

In this study, doctors' discretion to prescribe appropriate treatment was not restricted, and reduced doses of warfarin and NOACs were prescribed in 50% and 13.3% of the cases, respectively. Reduced dosing did not appear to be related to increased numbers of CMBs. Thus, "real-world" treatment with reduced doses of anticoagulants does not appear to have adverse consequences for patients (**Table 6**).

#### *Relation Between Increase of CMBs and Blood Chemistry*

The low-density lipoprotein (LDL) cholesterol level appeared to be unrelated to increase of CMBs ( $109.5 \pm 29.1$  in all patients;  $107.1 \pm 34.2$  in patients with an increased number of CMBs). We also found no relation between

**Table 2.** Baseline characteristics of patients at registration

| Variable                    | Total         | NOACs group   | Warfarin group | P value |
|-----------------------------|---------------|---------------|----------------|---------|
| Blood pressure              |               |               |                |         |
| Systolic pressure (mmHg)    | 144.4 (25.4)  | 144.4 (26.3)  | 144.8 (17.5)   | .96     |
| Dyastolic pressure (mmHg)   | 83.1 (16.8)   | 82.7 (16.9)   | 86.6 (16.7)    | .49     |
| Pulse rate (min)            | 75.5 (20.1)   | 75.7(19.1)    | 74.6 (28.0)    | .88     |
| BMI                         | 23.7 (4.2)    | 23.5 (4.1)    | 25.1 (4.7)     | .25     |
| Hemoglobin (gram/dL)        | 13.7 (1.8)    | 13.7 (1.8)    | 13.5 (1.4)     | .67     |
| Ht (%)                      | 41.2 (5.2)    | 41.2 (5.3)    | 41.0 (4.5)     | .90     |
| PT-INR                      | 1.9 (0.3)     | -             | 1.9 (0.3)      | -       |
| AST (U/L)                   | 26.1 (13.8)   | 26.5 (14.4)   | 23.7 (7.1)     | .33     |
| ALT (U/L)                   | 21.2 (27.2)   | 21.7 (28.8)   | 16.9 (8.3)     | .26     |
| Blood urea nitrogen (mg/dL) | 16.5 (5.8)    | 16.5 (5.7)    | 17.2 (6.6)     | .70     |
| T-Bil (mg/dL)               | 0.9 (0.4)     | 0.9 (0.4)     | 0.7 (0.2)      | .08     |
| Creatinine (mg/dL)          | 0.9 (0.3)     | 0.9 (0.3)     | 1.0 (0.4)      | .46     |
| Ccr (mL/min)                | 64.3 (23.0)   | 64.0 (22.4)   | 66.6 (28.4)    | .73     |
| T-Chol (mg/dL)              | 181.2 (33.7)  | 182.2 (32.5)  | 172.7 (45.3)   | .52     |
| LDL-Chol (mg/dL)            | 109.5 (29.1)  | 110.4 (27.4)  | 102.0 (40.8)   | .42     |
| HDL-Chol (mg/dL)            | 53.3 (14.1)   | 53.1 (13.9)   | 55.5 (16.6)    | .65     |
| Triglyceride (mg/dL)        | 106.2 (52.5)  | 105.9 (53.7)  | 108.8 (45.2)   | .88     |
| Fasting glucose (mg/dL)     | 126.0 (43.4)  | 123.6 (42.2)  | 142.7 (50.0)   | .19     |
| HbA1c (%)                   | 6.2 (1.1)     | 6.2 (1.2)     | 6.4 (1.1)      | .53     |
| BNP (pg/mL)                 | 219.8 (235.8) | 219.4 (249.3) | 222.3 (150.2)  | .97     |

AST, aspartate transaminase; ALT, Alanine aminotransferase; BNP, brain natriuretic peptide; HbA1c, Hemoglobin A1C; HDL Chol, high-density lipoprotein; LDL Chol, low-density lipoprotein; NOACs, Nonvitamin K antagonist oral anticoagulants.

**Table 3.** CMBs at months 6 and 12 of treatment with NOACs or warfarin

| Proportion of patients with increased number of CMBs at month 12                   |             |             |                |
|--|-------------|-------------|----------------|
|  | Total group | NOACs group | Warfarin group |
| Total patients (n)   | 62          | 56          | 6              |
| Patients with increased number of CMBs (n)   | 20          | 16          | 4              |
| Proportion of patients with increased number of CMBs (%)                           | 32.3        | 28.6        | 66.7           |
| Proportion of patients with increased number of CMBs at month 6                    |             |             |                |
|  | Total group | NOACs group | Warfarin group |
| Total patients (n)   | 66          | 59          | 7              |
| Patients with increased number of CMBs (n)   | 17          | 13          | 4              |
| Proportion of patients with increased number of CMBs (%)                           | 25.8        | 22.0        | 57.1           |
| Whole counts of CMBs in patients treated with in NOACs and warfarin (median (IQR)) |             |             |                |
|  | Total group | NOACs group | Warfarin group |
| Baseline   | 3.0 (7.0)   | 2.0 (6.0)   | 6.5 (7.0)      |
| At 6 months  | 3.0 (6.0)   | 3.0 (8.0)   | 6.0 (5.0)      |
| At 12 months   | 3.5 (7.0)   | 3.0 (7.0)   | 6.0 (7.0)      |

CMBs, Cerebral microbleeds; IQR, Interquartile Range; NOACs, Nonvitamin K antagonist oral anticoagulants.

increase of CMBs and abnormalities of blood chemistry, including hemoglobin, PT-INR, aspartate transaminase, alanine aminotransferase, urea nitrogen, total bilirubin, creatinine, total cholesterol, high-density lipoprotein cholesterol, triglyceride, glucose, HbA1c, and brain natriuretic peptide (data not shown).

## Discussion

This is the first study of the efficacy of NOACs for preventing increase of CMBs in acute IS patients with NVAF and at least one CMB, although the number of patients was too small for statistical evaluation to be carried out.

NOACs have been reported to show secondary preventive effects against hemorrhagic transformation depending on age, body weight, and renal function in several large clinical trials and also in postmarketing research.<sup>22,23</sup> Soo et al.<sup>24</sup> reported that NOACs exposure was unrelated to the development of new CMBs. However, the duration of NOACs exposure was variable, and no comparison with warfarin was made.<sup>24</sup> Further, NOACs have been also started prior to recruitment in about 40% of IS patients,<sup>24</sup> which is different from our study protocol that NOACs was started after recruitment. Interestingly, we found that new CMBs developed in 6 months after given not only warfarin (57.1%), but also NOACs (22.0%)

**Table 4.** Proportion of subjects with increased numbers of CMBs in each brain region at 12 months

| CMBs   |   | Total | NOACs group | Warfarin group |
|--|---|-------|-------------|----------------|
| Infratentorial   | Patients with increased number of CMBs (n)                                | 9     | 8           | 1              |
|  | Proportion of patients with increased number of CMBs (%)                  | 14.5  | 12.9        | 16.7           |
| Lobar  | Patients with increased number of CMBs (n)                                | 15    | 13          | 2              |
|  | Proportion of patients with increased number of CMBs (%)                  | 24.2  | 23.2        | 33.3           |
| Deep   | Patients with increased number of CMBs (n)                                | 16    | 15          | 2              |
|  | Proportion of patients with increased number of CMBs (%)                  | 25.8  | 26.8        | 33.3           |
| White matter changes   |   | Total | NOACs group | Warfarin group |
| Deep and periventricular white matter lesion (Fazekas et al, Ref 21) | Patients with increased grade of Fazekas classification (n)               | 0     | 0           | 0              |
|  | Proportion of patients with increased grade of Fazekas classification (%) | 0.0   | 0.0         | 0.0            |

CMBs, Cerebral microbleeds; NOACs, Nonvitamin K antagonist oral anticoagulants.

**Table 5.** Adverse events in each group during 12 months

|  | Total   | NOACs group | Warfarin group |
|--|---------|-------------|----------------|
| Death due to cardiovascular events n, (%)              | 1 (1.2) | 1 (1.3)     | 0 (0.0)        |
| Reccurrence of cerebral infarction n, (%)              | 2 (2.4) | 2 (2.7)     | 0 (0.0)        |
| Occuence of symptomatic intracranial hemorrhage n, (%) | 2 (2.4) | 2 (2.7)     | 0 (0.0)        |
| Others*  | 5 (5.9) | 3 (4.0)     | 2 (20.0)       |

NOACs, Nonvitamin K antagonist oral anticoagulants.

\*Death due to unknown etiology (2 patients), Admission due to pneumonia (1 patient), lung carcinoma (1 patient) and mammary carcinoma (1 patient)

treatments, in patients with at least one CMB. We believe our present results will be helpful in selecting the appropriate anti-coagulant for patients with at least one CMB.

It has been suggested that NOACs could be a risk factor for cerebral hemorrhage in CMB-positive patients, compared to CMB-negative patients.<sup>25</sup> In the present study, anticoagulants were chosen at the discretion of the attending clinicians, so there is a possibility of selection bias. Nevertheless, patients with an increase in the number of CMBs were more frequently seen in the warfarin group than in the NOAC group at both 6 and 12 months after starting treatment in our study. Although we cannot conclude the superiority of NOACs for CMB-positive patients because of the small numbers of patients, we can at least say that NOACs appear to be safe and noninferior to warfarin in these patients. Increases of CMBs counts were seen equally in both lobar and deep white matter regions, regardless of the anticoagulant used. Lee et al.<sup>8</sup> reported a higher prevalence of CMBs in lobar regions after

anticoagulation, which was suggestive of amyloid angiopathy. On the other hand, Romero et al.<sup>21</sup> reported that hypertension, lower total cholesterol, and use of statins were related to the incidence of CMBs in both lobar and deep white matter, which was different from our results. Marinescu et al.<sup>26</sup> reported that anticoagulation with warfarin or dabigatran did not influence the increase of CMB counts in amyloid precursor protein 23 mice, which developed CMBs in both lobar and deep brain lesions. Thus, the increase of CMBs counts due to anticoagulants might be promoted by atherosclerotic factors including hypertension, but not amyloid angiopathy. Further, the increase of CMBs counts were frequently seen in first 6 months rather than after 6 months. Several factors might be associated as follows: initially, blood pressure could fluctuate largely in early phase of ischemic stroke. Subsequently, vascular endothelial dysfunction leading to CMB appearance could not recover in first 6 months. In addition, disappearance of CMBs due to phagocytosis by microglia

**Table 6.** Patients receiving reduced doses of each anticoagulant

| Anticoagulants |  | Number of patients, n | Number of patients receiving normal dose, n (%) | Number of patients receiving underdose, n (%) |
|----------------|--|-----------------------|---|---|
| Warfarin       | Total patients   | 10                    | 5 (50.0)  | 5 (50.0)                                      |
|                | Patients with increased number of CMBs                       | 4                     | 2 (50.0)  | 2 (50.0)                                      |
|                | % of patients with increased number of CMBs                  |                       | 40%   | 40%   |
| NOACs          | Total patients (edoxaban, dabigatran, rivaroxaban, apixaban) | 75                    | 65 (86.7)                                       | 10 (13.3)                                     |
|                | Patients with increased number of CMBs                       | 16                    | 14 (87.5)                                       | 2 (87.5)                                      |
|                | % of patients with increased number of CMBs                  |                       | 21.5%   | 20.0%   |
| Edoxaban       | Total patients   | 11                    | 9 (81.1)  | 2 (18.2)                                      |
|                | Patients with increased number of CMBs                       | 1                     | 1 (100)   | 0 (0.0)                                       |
| Dabigatran     | Total patients   | 6                     | 4 (66.7)  | 2 (33.3)                                      |
|                | Patients with increased number of CMBs                       | 0                     | 0 (0.0)   | 0 (0.0)                                       |
| Rivaroxaban    | Total patients   | 28                    | 26 (92.9)                                       | 2 (7.1)                                       |
|                | Patients with increased number of CMBs                       | 8                     | 7 (87.5)  | 1 (12.5)                                      |
| Apixaban       | Total patients   | 30                    | 26 (86.7)                                       | 4 (13.3)                                      |
|                | Patients with increased number of CMBs                       | 7                     | 6 (85.7)  | 1 (14.3)                                      |

CMBs, Cerebral microbleeds; NOACs, Nonvitamin K antagonist oral anticoagulants.

and oligodendrocyte<sup>27</sup> has gradually occurred depends on the duration after the stroke onset, which might affect the changes in CMBs.

As regards the dose of anticoagulant, reduced-dose treatment was given in 50.0% of the warfarin group and 13.3% of the NOAC group. Pharithi et al.<sup>28</sup> reported reduced dosing of NOAC in about 19.0% of recruited patients. In general, warfarin treatment in CMB-positive patients is well known as a risk factor of hemorrhagic transformation, and thus CMB-positive patients are often given a reduced dose of warfarin. Interestingly, reduced-dose treatment did not appear to alter the efficacy of treatment for preventing increase of CMB counts among our patients. Recently, Sato et al.<sup>29</sup> has reported that inappropriate low dose NOAC treatment could not reduce the incidence of major bleeding, compared to appropriate dose NOAC treatment, suggesting that hemorrhagic transformation through vascular endothelial dysfunction may be induced by NOACs treatments, regardless of these dosages. Apart from anticoagulant and dosing, Lee et al.<sup>30</sup> reported that higher LDL-C was associated with a decrease of CMB counts. In contrast, we found no significant relationship between LDL-C and CMB counts. However, hydroxymethylglutaryl-CoA reductase was more frequently used in the NOACs group (88.2%) compared to the warfarin group (11.8%) before starting anti-coagulant (Supplementary File 2), and this could have affected the baseline LDL-C.

Finally, our study has various limitations. In particular, the number of patients was small, because only acute IS patients with NVAF who showed at least one CMB were entered into this study. In addition, selection bias arising from the judgment of the attending physicians and nonrandomization could have influenced the outcome. In fact, the number of recruited patients was 7.5% (52 of 693 NVAF patients) of all cardiogenic cerebral infarct patients in the 5 major hospitals in our study. This is fewer than in previous studies<sup>4,9,25</sup>, which may mean that severely ill patients had been excluded. Further, 3.0 T MRI and susceptibility-weighted imaging sequences have been used for detection of CMB in some studies, and so the detection sensitivity might be different among the studies.<sup>4,9,25</sup>

In conclusion, the results of this pilot study suggest that the incidence of hemorrhagic stroke may be lower in patients treated with NOACs than in those treated with warfarin, and this difference may be due to a difference in the effects of warfarin and NOACs on the progression of CMBs in patients with at least one CMB. A further study is planned to test this hypothesis.

### Study Organization

The CMB-NOW study was organized by a central coordinating center located at the Department of Neurology, Tokai University School of Medicine, and was conducted

at approximately 20 centers, located mainly in Kanagawa Prefecture, Japan.

### Conflicts of Interest

Authors have received funds from the following companies.

Takizawa S; Daiichi Sankyo Inc., Bayer HealthCare Pharmaceuticals Ltd., Sanofi Co. Ltd.

Nishiyama K; Otsuka Co. Ltd.

Hasegawa Y; Nippon Boehringer Ingelheim Co., Ltd., Bayer HealthCare Pharmaceuticals Ltd.

Kitagawa K; Sanofi Co. Ltd., Daiichi Sankyo Inc., Bayer HealthCare Pharmaceuticals Ltd, Nippon Boehringer Ingelheim Co. Ltd., Pfizer Co. Ltd., AstraZeneca Co. Ltd., Otsuka Pharmaceuticals Co. Ltd.

### Supplementary materials

Supplementary material associated with this article can be found in the online version at doi:[10.1016/j.jstrokecerebrovasdis.2019.03.050](https://doi.org/10.1016/j.jstrokecerebrovasdis.2019.03.050).

### References

1. Risk factors for stroke and efficacy of antithrombotic therapy in atrial fibrillation: analysis of pooled data from five randomized controlled trials. *Arch Intern Med* 1994;154:1449-1457.
2. Levine MN, Raskob G, Landefeld S, et al. Hemorrhagic complications of anticoagulant treatment. *Chest* 2001;119:108S-121S.
3. Offenbacher H, Fazekas F, Schmidt R, et al. MR of cerebral abnormalities concomitant with primary intracerebral hematomas. *AJNR Am J Neuroradiol* 1996;17:573-578.
4. Purrucker JC, Wolf M, Haas K, et al. Microbleeds in ischemic vs hemorrhagic strokes on novel oral anticoagulants. *Acta Neurol Scand* 2018;138:163-169.
5. Greenberg SM, Eng JA, Ning M, et al. Hemorrhage burden predicts recurrent intracerebral hemorrhage after lobar hemorrhage. *Stroke* 2004;35:1415-1420.
6. Fan YH, Zhang L, Lam WW, et al. Cerebral microbleeds as a risk factor for subsequent intracerebral hemorrhages among patients with acute ischemic stroke. *Stroke* 2003;34:2459-2462.
7. Soo YO, Yang SR, Lam WW, et al. Risk vs benefit of antithrombotic therapy in ischaemic stroke patients with cerebral microbleeds. *J Neurol* 2008;255:1679-1686.
8. Lee SH, Ryu WS, Roh JK. Cerebral microbleeds are a risk factor for warfarin-related intracerebral hemorrhage. *Neurology* 2009;72:171-176.
9. Song TJ, Kim J, Lee HS, et al. The frequency of cerebral microbleeds increases with CHADS(2) scores in stroke patients with non-valvular atrial fibrillation. *Eur J Neurol* 2013;20:502-508.
10. Imaizumi T, Inamura S, Kohama I, et al. Antithrombotic drug uses and deep intracerebral hemorrhages in stroke patients with deep cerebral microbleeds. *J Stroke Cerebrovasc Dis* 2013;22:869-875.
11. Lovelock CE, Cordonnier C, Naka H, et al. Antithrombotic drug use, cerebral microbleeds, and intracerebral

- hemorrhage: a systematic review of published and unpublished studies. *Stroke* 2010;41:1222-1228.
12. Orken DN, Uysal E, Timer E, et al. New cerebral microbleeds in ischemic stroke patients on warfarin treatment: two-year follow-up. *Clin Neurol Neurosurg* 2013;115:1682-1685.
  13. Connolly SJ, Ezekowitz MD, Yusuf S, et al. Dabigatran versus warfarin in patients with atrial fibrillation. *N Engl J Med* 2009;361:1139-1151.
  14. Patel MR, Mahaffey KW, Garg J, et al. Rivaroxaban versus warfarin in nonvalvular atrial fibrillation. *N Engl J Med* 2011;365:883-891.
  15. Granger CB, Alexander JH, McMurray JJ, et al. Apixaban versus warfarin in patients with atrial fibrillation. *N Engl J Med* 2011;365:981-992.
  16. Giugliano RP, Ruff CT, Braunwald E, et al. Edoxaban versus warfarin in patients with atrial fibrillation. *N Engl J Med* 2013;369:2093-2104.
  17. Takizawa S, Tanaka F, Nishiyama K, et al. Protocol for cerebral microbleeds during the non-vitamin K antagonist oral anticoagulants or warfarin therapy in stroke patients with nonvalvular atrial fibrillation (CMB-NOW) study: multisite pilot trial. *J Stroke Cerebrovasc Dis* 2015;24:2143-2148.
  18. JCS Joint Working Group. Guidelines for pharmacotherapy of atrial fibrillation (JCS 2008): digest version. *Circ J* 2010;74:2479-2500.
  19. Gregoire SM, Chaudhary UJ, Brown MM, et al. The microbleed anatomical rating scale (MARS): reliability of a tool to map brain microbleeds. *Neurol* 2009;73:1759-1766.
  20. Fazekas F, Chawluk JB, Alavi A, et al. MR signal abnormalities at 1.5T in Alzheimer's dementia and normal aging. *AJNR* 1987;8:421-426.
  21. Romero JR, Preis SR, Beiser A, et al. Risk factors, stroke prevention treatments, and prevalence of cerebral microbleeds in the Framingham Heart Study. *Stroke* 2014;45:1492-1494.
  22. Almutairi AR, Zhou L, Gellad WF, et al. Effectiveness and safety of non-vitamin K antagonist oral anticoagulants for atrial fibrillation and venous thromboembolism: a systematic review and meta-analyses. *Clin Ther* 2017;39:1456-1478.
  23. Shimokawa H, Yamashita T, Uchiyama S, et al. The EXPAND study: efficacy and safety of rivaroxaban in Japanese patients with non-valvular atrial fibrillation. *Int J Cardiol* 2018;258:126-132.
  24. Soo Y, Abrigo Jill, Leung KT, et al. Correlation of non-vitamin K antagonist oral anticoagulant exposure and cerebral microbleeds in Chinese patients with atrial fibrillation. *J Neurol Neurosurg Psychiatry* 2018;89:680-686.
  25. Lau KK, Wong YK, Teo KC, et al. Long-term prognostic implications of cerebral microbleeds in Chinese patients with ischemic stroke. *J Am Heart Assoc* 2017;6: pii: e007360.
  26. Marinescu M, Sun L, Fatar M, et al. Cerebral microbleeds in murine amyloid angiopathy: natural course and anticoagulant effects. *Stroke* 2017;48:2248-2254.
  27. Fisher M, Wang Z, Soo YO, et al. Cerebral microbleeds: is antithrombotic therapy safe to administer? *Stroke* 2014;45:2811-2817.
  28. Pharithi RB, Ranganathan D, O'Brien J, et al. Is the prescription right? A review of non-vitamin K antagonist anticoagulant (NOAC) prescriptions in patients with non-valvular atrial fibrillation. Safe prescribing in atrial fibrillation and evaluation of non-vitamin K oral anticoagulants in stroke prevention (SAFE-NOACS) group. *Ir J Med Sci* 2019;188:101-108. 2018.
  29. Sato T, Aizawa Y, Fuse K, et al. The Comparison of Inappropriate-Low-Doses Use among 4 Direct Oral Anticoagulants in Patients with Atrial Fibrillation: from the Database of a Single-Center Registry. *J Stroke Cerebrovasc Dis* 2018;27:3280-3288.
  30. Lee SH, Lee ST, Kim BJ, et al. Dynamic temporal change of cerebral microbleeds: long-term follow-up MRI study. *PLoS One* 2011;6:e25930.