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## The role of IFN in the development of NAFLD and NASH

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### ABSTRACT

Non-alcoholic fatty liver disease (NAFLD) and its progressive inflammatory form non-alcoholic steatohepatitis (NASH) are major health challenges due to a significant increase in their incidence and prevalence. While NAFLD is largely benign, the chronic liver inflammation in NASH patients may cause progression to liver cirrhosis and hepatocellular carcinoma. There is an urgent need for a better understanding of the factors, which drive the progression from NAFLD to NASH and how to use this information both to improve diagnostic and to develop new treatment strategies. Increasing evidence points to interferons (IFNs) as key players in NAFLD and particular in the progression to NASH. IFNs crucial role in disease development is supported by both genetic evidence and animal studies. In this review, we describe the involvement of both type I and type III IFNs in the development and progression of NAFLD and NASH.

### 1. The epidemiology and pathophysiology of NAFLD

Non-alcoholic fatty liver disease (NAFLD) is a global epidemic estimated to affect 20–30% of the general population [1]. Not surprisingly, NAFLD is the leading cause of chronic liver disease in the Western world [2] and therefore also among the leading causes of liver transplantation in North America [3].

The spectrum of NAFLD ranges from simple steatosis, i.e. the accumulation of lipids in hepatocytes, to the inflammatory form of the disease, steatohepatitis (NASH), which can progress to liver fibrosis and cirrhosis. This transition is a cardinal feature of progressive disease, which in up to 20% of NASH patients will result in development of liver cirrhosis [4], and it is also a major risk factor for the development of hepatocellular carcinoma [5,6]. The specific molecular mechanisms driving this transition and chronic inflammation in NASH patients are currently unknown, but innate immune signalling plays a pivotal role.

The pathogenesis of NASH is complex and was initially described by a “two-hit” hypothesis where the “first hit” led to hepatic steatosis and was followed by a “second hit”, leading to the development of steatohepatitis and fibrosis [7]. This model is superseded by a “multiple parallel hits” hypothesis in which a number of different processes contribute to hepatic steatosis, inflammation and fibrosis contemporaneously rather than sequentially. Inflammatory mediators, especially those deriving from the gut-liver axis, infiltrating immune

cells and the adipose tissue, all play crucial roles in making NAFLD/NASH a systemic metabolic disorder [8].

### 2. Metabolic and genetic risk factors associated with development of NAFLD and transition to NASH

NAFLD is a complex phenotype with substantial inter-individual variation in disease progression. Hence, a dynamic interaction between the environment and a polygenic background determine disease susceptibility, progression and outcomes [9]. Risk factors for NAFLD include obesity [10,11], type 2 diabetes mellitus [12–14], dyslipidemia, hypertriglyceridemia [15], a history of cyclic weight gain and loss [16], hypertension [17] and alterations in gut microbiota [18]. Recent work has revealed several genetic modifiers of NAFLD: The palatin-like phospholipase domain-containing 3 (*PNPLA3*) gene is consistently identified as a major common genetic determinant of the entire spectrum of NAFLD [19,20]. Other characterised variants, which influence the development of disease, include the transmembrane 6 superfamily member 2 (*TM6SF2*) [21,22], glucokinase regulator (*GCKR*) [23] and hydroxysteroid (17 $\beta$ ) dehydrogenase 13 (*HSD17B13*) [24] genes. Finally, a variant in the membrane bound O-acyltransferase domain-containing 7 (*MBOAT7*) gene, originally discovered as a risk variant for alcoholic cirrhosis [25], is also a risk variant for NAFLD progression [26] and even for viral liver diseases [27,28].

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Inflammation and fibrosis share common pathways across different liver disease aetiologies. Therefore, it was not surprising that several single nucleotide polymorphisms (SNPs) located within the interferon (IFN) lambda (*IFNL3/IFNL4*) region and originally described as a genetic determinant of hepatitis C virus (HCV) clearance, have been linked to the progression from NAFLD to NASH [29–31]. This discovery underlines the potential role of the IFNs in the development of NASH but also highlights our lack of a detailed mechanistic understanding of how IFNs influence hepatic inflammation and disease. This we will discuss in more detail in the following sections.

### 3. Interferons (IFNs)

The IFNs are classic antiviral cytokines, which constitute our crucial first line of defence against infections, and they are divided into three groups (type I, II and III IFNs) based on their receptor usage. Type II IFN (IFN- $\gamma$ ) originates largely from T-helper cells and is mainly associated with the adaptive immune system and Th1 type immune responses and is therefore mechanistically completely different from the classical antiviral IFNs (type I and type III IFNs), which are the focus of this review. Hence, type II IFN is not considered further.

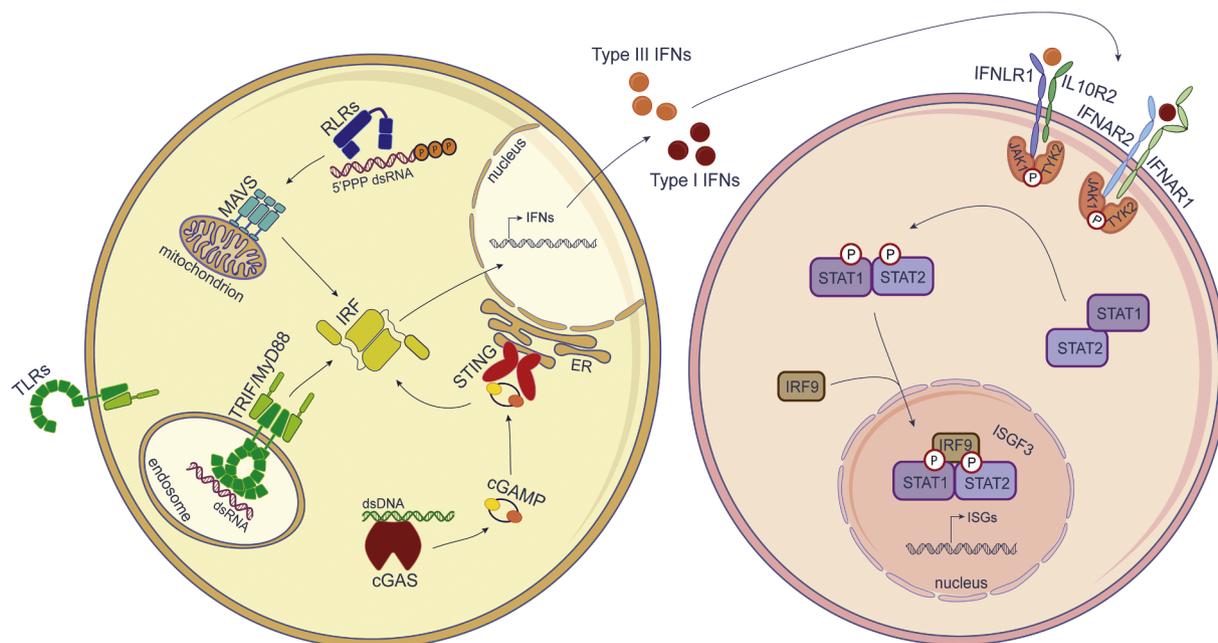
The IFNs are produced and secreted as a response to pathogen-associated molecular patterns (PAMPs) or damage-associated molecular patterns (DAMPs), which are detected by a variety of pattern recognition receptors (PRRs) on host cells. These PRRs activate the transcription factors, primarily nuclear factor  $\kappa$ B (NF $\kappa$ B) and IFN regulatory factors (IRFs), which subsequently drive transcription of *IFN* genes (Fig. 1, left).

Almost all nucleated cells respond to type I IFNs (IFN- $\alpha$  and IFN- $\beta$ ), which therefore mediate a strong systemic response, sometimes at the cost of significant immune pathology [32]. In contrast, the spectrum of action for the type III IFNs (IFN- $\lambda$ ) is much more limited, their primary role appears to provide an efficient first line defence of mucosal surfaces [33]. Human hepatocytes respond well to IFN- $\lambda$  [34,35] and pegylated-IFN- $\lambda$ 1 was effective in treating HCV in a phase II clinical trial [36], suggesting that human hepatocytes respond well to IFN- $\lambda$  *in vivo*. In contrast, mice hepatocytes showed low or no response both *in vitro* and *in vivo* [37]. Type I and type III IFNs signal via two different

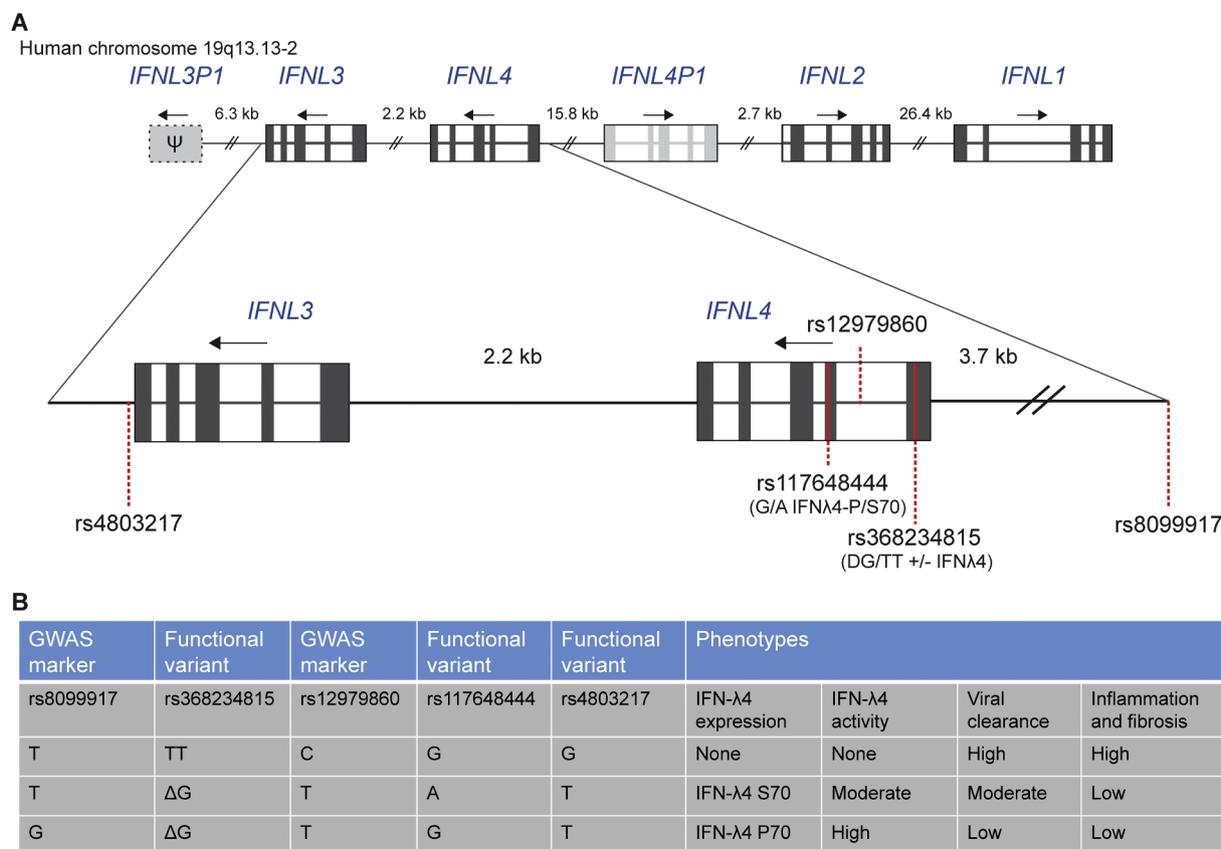
heterodimeric complexes, nevertheless they both lead to activation of highly similar subset of IFN stimulated genes (ISGs) [38]. The engagement of both receptor complexes leads to a phosphorylation cascade, which triggers the activation of signal transducer and activator of transcription proteins (STATs). Type I and type III IFNs have a unique ability to activate the transcription factor STAT2 and signalling leads to the formation of a STAT1/STAT2 heterodimer, which interacts with IFN regulatory factor 9 (IRF9) to form the IFN-stimulated gene factor 3 (ISGF3) complex. The ISGF3 complex translocates to the nucleus where it binds IFN-stimulated response elements (ISRE) and activates the transcription of hundreds of ISGs (Fig. 1, right).

### 4. Genetic variation within the *IFNL3/4* locus and liver diseases

Humans possess four functional type III IFN genes, designated *IFNL1* to *-4*, which are clustered together on chromosome 19 (19q13.13-2). The *IFNL3* and *IFNL4* genes are transcribed in the opposite direction of the *IFNL1* and *IFNL2* genes (Fig. 2A). In other mammals, the *IFNL* genes are organized and transcribed in similar directions [39], although not all four genes are present in every species. Notably, the *IFNL4* gene is not present in mouse and rat genomes. A phylogenetic analysis of different mammals revealed that IFN- $\lambda$ 4 constitutes a separate clade in the type III IFN tree, whereas IFN- $\lambda$ 1, -2 and -3 group according to species [33]. This suggests that the common ancestor of all mammals had two different *IFNL* genes, one *IFNL1-3*-like and one *IFNL4*-like. The *IFNL1-3*-like gene has undergone several independent duplication events during the evolution, giving rise to the *IFNL1-3* genes, whereas the *IFNL4* gene is evolutionarily conserved in mammals, indicating its functional relevance [40]. However, in the majority of the human population, *IFNL4* is a pseudogene due to a substitution of a single guanine base to two thymines (rs368234815 TT/ $\Delta$ G) [41]. The ancestral  $\Delta$ G variant of the rs368234815 SNP creates a functional IFN- $\lambda$ 4 protein, whereas the TT insertion causes a frameshift and thus eliminates its expression. The rs368234815 SNP is in high linkage disequilibrium with the rs12979860 SNP [41] and another SNP (rs4803217) in the 3'UTR region of *IFNL3* [42]. Originally, the genetic variations within the *IFNL3/IFNL4* region were linked to the outcome of HCV treatment as well as spontaneous clearance of HCV [43,44]. These variations create three



**Fig. 1.** Type I and type III interferon induction and signalling. Activation of pattern recognition receptor pathways lead to activation of interferon response factors (IRFs), which induce interferon (IFN) production. The IFNs are secreted out of the cell and signalling occurs through a heterodimeric receptor complex distinctive to the IFN type. The downstream signalling pathways are similar in type I and type III IFNs and lead to the induction of interferon stimulated genes (ISGs).



**Fig. 2.** Schematic organisation of the *IFNL* genes and genetic variants. The genomic organization of the type III IFNs in humans (A). The functional genes are shown in dark grey, whereas pseudogenes are coloured light grey. All exons and introns are drawn in scale except for the pseudogene with the  $\psi$  symbol and the distances between the genes. Arrows indicate the direction of transcription. Functional variants and GWAS markers in the *IFNL3/IFNL4* genomic region grouped according to haplotype and shown with their associated phenotypes (B).

dominant haplotypes in Caucasians and Asians (see Fig. 2), which have significantly different clearance rates of the HCV infection [41,45]. The haplotypes are characterised by different activity levels of IFN- $\lambda$ 4. The first haplotype with a non-functional *IFNL4* gene is characterised by the TT allele for rs368234815 and allele C for rs12979860. The second haplotype encodes a highly active IFN- $\lambda$ 4-Pro70 and is characterised by the G allele of rs117648444 and the G allele for rs8099917 [41]. The third haplotype with a lesser active IFN- $\lambda$ 4-Ser70 is characterised by allele A for rs117648444 [46]. (Fig. 2B).

### 5. The *IFNL3/IFNL4* genotype is associated with chronic liver inflammation and NAFLD

The *IFNL3/IFNL4* genotype not only affects HCV clearance but also demonstrates an effect on hepatic inflammation and fibrosis in HCV infected patients. Thus, patients harbouring SNPs associated with elimination of functional *IFNL4* (rs12979860 C or rs368234815 TT) exhibited better HCV clearance but also developed increased liver inflammation and fibrosis [47,48]. Importantly, it is now evident that the *IFNL3/IFNL4* genotype not only affects HCV-related liver outcomes but also has a broader aetiology-independent effect on the inflammatory state in the liver. In a study comprising a large cohort of patients with NAFLD or chronic hepatitis B or C, the rs12979860 C/C genotype was associated with increased hepatic inflammation and fibrosis in an aetiology-independent manner [31]. This result is in accordance with an independent study on a large cohort of NAFLD patients (n = 946) [29,30]. Interestingly, whether HCV-infected patients harboured the rs117648444 G allele (highly active IFN- $\lambda$ 4-Pro70) or the rs117648444 A allele (less active IFN- $\lambda$ 4-Ser70) did not appear to influence their degree of liver fibrosis [49]. This important observation opens up for

two interpretations. Either *IFNL4* is not the causative gene in relation to liver fibrosis and the variants observed alter the transcription of the neighbouring *IFNL3* gene or the rs117648444 A allele is an adaptive mutation, which combines high viral clearance with a low degree of inflammatory damage. Further studies will be required to explain the effect of *IFNL3/IFNL4* SNPs on NAFLD.

Though the functional mechanisms of these genetic associations are not clear, some hypotheses can be postulated. Notably and similar to what has been reported in HCV patients [50], Petta et al. found that hepatic ISGs expression was increased in NAFLD patients carrying the functional *IFNL4* gene. [30]. This observation is different from a previous study showing that ISG expression differed according to *IFNL3/IFNL4* genotype in patients with HCV genotype 3, but not in patients with NAFLD [51]. The discrepancy between the two studies may be attributed to differences in sample size and ethnicity.

### 6. IFN involvement in the development and progression of NAFLD in mice models

Multiple animal studies are providing further evidence for a role for IFN in NAFLD. When fed a high-fat diet (HFD), mice lacking a functional type I IFN receptor (IFN $\alpha$ R1) are protected from steatosis and insulin resistance (IR) compared to wild-type (WT) mice [52]. This study observed the same protection in mice with IFN $\alpha$ R1-deficient bone marrow-derived CD8<sup>+</sup> T cells. Consistently, another study demonstrated that mice lacking IFN $\alpha$ R1 and deficient in plasmacytoid dendritic cells (pDCs) (B6.E2-2 fl/fl.Itgax-cre) fed with HFD were protected against metabolic syndrome and IR compared to WT mice [53]. However, IFN $\alpha$ R1-deficient mice are shown to be more susceptible to liver fibrosis than WT mice [54]. A time-course transcriptome study on a

choline-deficient (CD) diet NAFLD mice model, also observed that STAT1 and IFN-regulated genes were up-regulated compared to control, further suggesting a role for IFN signalling in NAFLD progression [55].

A recent study has investigated the tissue-specific role of type I IFN signalling in NAFLD, namely in hepatocytes, adipocytes, intestinal epithelial cells and myelocytes [56]. The study found a differential role for type I IFN signalling in each tissue affecting different aspects of NAFLD. Deleting IFN $\alpha$ 1 specifically in hepatocytes led to increased steatosis but no IR in methionine-/choline deficient diet (MCD) or HFD fed mice, whereas in adipocyte-IFN $\alpha$ 1-deficient mice increased IR and no steatosis was observed. In contrast, deletion of IFN $\alpha$ 1 in myeloid or intestinal epithelial cells did not affect susceptibility to metabolic or liver injury. The authors proposed that steatosis and IR are independent factors in NAFLD development and progression. However, it is also possible that the organ-specific knockout of IFN $\alpha$ 1 influences cells of the immune system and alters the disease. This might explain the aforementioned observation of protection from steatosis and IR in mice with IFN $\alpha$ 1-deficient bone marrow-derived CD8<sup>+</sup> T cells [52]. Taken together the IFN $\alpha$ 1 knockout studies clearly demonstrate the involvement of type I IFN in pathogenesis of NAFLD/NASH. However, whether a similar effect will be observed with type III IFN receptor knockout mice requires investigation.

## 7. IFN induction is involved in NAFLD progression

Recent reports suggest that IFN regulatory factors (IRFs), which are the key molecules involved in the induction of IFN transcription, play a pivotal role in NAFLD/NASH. Both IRF3 and IRF7 expression is increased in obese mice and humans [57,58]. Knockout of IRF3 protects from IR in HFD-fed mice [57] and IRF7-deficient mice show improved insulin sensitivity and glucose- and lipid-metabolism [58]. Consistently, higher expression of IRF5 was observed in human NASH compared to controls and IRF5 knockout in myeloid cells protected from hepatic fibrosis induced by metabolic or toxic stress in MCD and bile duct ligation (BDL) animal models [59]. Similarly, the expression of stimulator of IFN genes (STING), an important protein in the innate immune signalling pathway, and its downstream factor IRF3 were found to be upregulated in the livers of HFD-fed mice. Knocking down either STING or IRF3 led to an attenuation in free fatty acid (FFA)-induced hepatic inflammation and apoptosis [60], further suggesting a role for IFNs in the development of IR and inflammation. However, one study found IRF3 expression to be reduced in the liver of obese mice and if IRF3-deficient mice were given HFD they exhibited increased IR and steatosis [61]. The reasons for the discrepancy between studies is not clear but may be due to differences in study design or mice strain, suggesting that other factors such as microbiota might be involved. Furthermore, myeloid cell-specific IRF4 knockout mice on a HFD developed significant IR and increased expression of inflammatory genes [62], indicating IRF4 to be a negative regulator of inflammation in diet-induced obesity. Similarly, IRF9 was shown to protect against hepatic IR, steatosis and inflammation in whole-body IRF9 knockout mice [63].

Additionally, mitochondrial antiviral-signalling protein (MAVS) located upstream of IRFs in one of the IFN induction pathways (Fig. 1) is decreased in MCD-fed mice and NASH patients [64]. In conclusion, these data suggest that different IRFs may have differential impacts on NAFLD.

## 8. The role of toll-like receptors and the inflammasome in NAFLD

The gut-liver axis, including the recruitment of pro-inflammatory immune cells with release of inflammatory cytokines, e.g. IFNs, and adipose-tissue inflammation, plays a key role in the NAFLD pathogenesis (Table 1). A Western diet, for example, increases circulating levels of bacterial products including lipopolysaccharides (LPS) by altering gut flora and intestinal permeability in patients and in murine models [65,66]. Intra-hepatic sensing of these products by toll-like receptors

(TLRs; particularly TLR2, -4 and -9) is a key event for the initiation of liver injury [67–69]. In particular, liver resident or infiltrating macrophages express high levels of TLRs and are the primary mediators of TLR-dependent inflammatory responses [70]. Tight regulation of TLR signalling is essential to avoid unchecked amplification of inflammation [71,72].

There is fairly consistent evidence for a role for TLRs in NAFLD/NASH. Mutation of TLR4 in mice leads to a decreased steatosis and resistance to NASH [73–76]. In agreement with this, TLR9 full-body knockout improves steatosis, inflammation and fibrosis in choline-deficient L-amino acid-defined (CDAA) diet [77] and in HFD fed mice [78]. However, in TLR2-deficient mice, the phenotype of NAFLD/NASH depends on the disease-inducing diet. TLR2-deficient mice fed a MCD exhibit an equivalent or a more severe steatohepatitis [79,80], whereas mice fed a HFD or CDAA diet are resistant to development of steatohepatitis and IR [67,81,82]. The contrasting results between the diets may arise from changes in the gut microbiota. These changes could be mitigated by either diet change or the fact that these studies were conducted at different facilities. Interestingly, TLR5 knockout mice spontaneously develop steatosis and obesity on a standard diet [83]. TLR5 recognises flagellin and expression of TLR5 in the intestinal mucosa plays a critical role for the development of metabolic syndrome [84,85], suggesting that the lack of TLR5 in the intestine can influence the development of NAFLD. Knockout of TLR7 in different murine fibrosis models increases fibrosis [54], suggesting that TLR7 has a protective role in disease progression. Overall, studies in mice demonstrate that PRRs are able to influence the progression of NAFLD at different stages and their involvement is PAMP/pathway dependent.

Inflammasomes govern caspase 1 activation and subsequent secretion of pro-inflammatory interleukins (IL-) 1 $\beta$  and IL-18 [86], which are critical in the development of liver damage in NAFLD [87]. TLR activation can provide one of the two signals (signal 1) required for inflammasome activation. Signal 2 is provided by stimuli from damaged cells. Elevated LPS in NAFLD [65,66] provides the critical signal 1 for sustained inflammasome activation [86], while toxic cell metabolites are a source of signal 2, as shown in macrophages [88]. Four types of inflammasomes, the NOD-like receptor family pyrin domain containing (NLRP) 1 and 3, CARD domain containing 4 (NLRC4) and absent in melanoma 2 (AIM2), have been described. A recent study demonstrated that NLRP3 inflammasome blockade using the selective small molecule NLRP3 inhibitor, MCC950, attenuates hepatic inflammation and fibrosis in murine mouse models [89].

## 9. Translational implications and future challenges

From what we have discussed, it is clear that IFN signalling can be a therapeutic target in NAFLD. The restricted expression pattern of type III IFN renders it a more attractive target than type I IFN [90]. Further investigation is needed to test whether the antagonism of type III IFN, or any of the components of its regulatory pathway, could be exploited as a therapeutic target to inhibit the progression of simple steatosis to NASH. Notably, we recently demonstrated a new role for zinc as a potent and specific inhibitor of IFN- $\lambda$ 3 signalling with strong inhibitory effects on hepatic inflammation [91]. Discovering specific modulators of type III IFN signalling and specific compounds, which can differentiate between anti-viral and pro-inflammatory effects, deserves further investigation. A recent study succeeded in developing a predictive score for liver fibrosis in NAFLD by including the *IFNL4* genotype in conjunction with clinical factors in multi-scoring diagnostic panels [92].

In spite of the strong evidence from human studies for a role of the type III IFNs in NAFLD progression, investigating the underlying functional mechanisms is hindered by the limitation of currently available murine models wherein the *IFNL4* gene is lost in contrast to most other mammals wherein the gene is preserved. In addition, mice do not express IFN $\lambda$ R1 in the liver and thus mice hepatocytes do not respond to

**Table 1**

The effect of genetic modification in NAFLD/NASH animal models on elements connected to interferon induction or signalling. HFD: High-fat-diet, MCD: methionine-/choline deficient diet, BDL: Bile duct ligation, CDAA: choline-deficient L-amino acid-defined diet, IR: insulin resistance.

	Animal model	Effect	Source
IFN $\alpha$ R1 -/-	HFD	Protected from steatosis and IR	[52]
IFN $\alpha$ R1 -/- <i>pDCs</i>	HFD	Protected from IR	[53]
IFN $\alpha$ R1 -/- <i>hepatocytes</i>	HFD, MCD	Increased steatosis, but no effect on metabolic parameters	[56]
IFN $\alpha$ R1 -/- <i>adipose tissue</i>	HFD, MCD	Increased IR, but no susceptibility to steatosis	[56]
IRF3 -/-	HFD	Protected from IR	[57]
IRF3 -/-	HFD	Increased IR and steatosis	[61]
IRF4 -/- <i>myeloid cells</i>	HFD	Increased IR	[62]
IRF5 -/- <i>myeloid cells</i>	MCD, BDL	Protected from hepatic fibrosis	[59]
IRF7 -/-	HFD	Increased insulin sensitivity	[58]
IRF9 -/-	HFD	Increased IR and steatosis	[63]
TLR4 mut	MCD, HFD Fructose	Decreased steatosis	[73,74,75,76]
TLR9 -/-	CDAA HFD	Decreased steatosis and fibrosis	[77,78]
TLR2 -/-	MCD HFD CDAA	Uncertain – diet dependent	[67,79,80,81,82]
TLR5 -/-	-	Spontaneous steatosis	[83]
TLR7 -/-	BDL, CCL <sub>4</sub> -induced fibrosis	Increased liver fibrosis	[54]

type III IFN [33]. However, similar effects to IFN- $\alpha$  would be expected. As stated above, type I IFN involvement in NAFLD and NASH has been broadly studied in rodents. It is noteworthy that a porcine animal model might be convenient in further studies of type III IFNs since pigs possess *IFNL4* and according to RNAseq experiments the porcine liver express IFN $\lambda$ R1 [93]. Furthermore, human and porcine genetics have a high degree of similarity. A recent study in pigs using gene co-expression network analysis showed that liver network modules relevant to fatty acid unsaturation index were enriched in the type I IFN signalling pathway, emphasising the connections between both systems [94]. Even though, the domesticated pig is resistant to HFD induced NAFLD, the Ossabaw miniature swine develops metabolic syndrome and progressive histologic features of NASH when fed a diet high in fructose, saturated fat and cholesterol [95] and may in the future be a better animal model for studying NAFLD and the role of IFNs in this complex phenotype.

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