



Changes in inflammatory protein and lipid mediator profiles persist after antitubercular treatment of pulmonary and extrapulmonary tuberculosis: A prospective cohort study

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ABSTRACT

Background: The identification of meaningful biomarkers of tuberculosis (TB) has potential to improve diagnosis, disease staging and prediction of treatment outcomes. It has been shown that active pulmonary TB (PTB) is associated with qualitative and quantitative changes in systemic immune profile, suggesting a chronic inflammatory imbalance. Here we characterized the profile of PTB and extrapulmonary TB (EPTB) in a prospective cohort study.

Methods: We measured a panel of 27 inflammatory cytokines, soluble receptors, and lipid mediators in peripheral blood from patients with PTB or EPTB from a prospective clinical study in China. Multidimensional analyses were performed to describe associations between plasma levels of biomarkers and different TB disease presentation profiles.

Results: *Mycobacterium tuberculosis* infection induced changes in both the expression and correlation profiles of plasma mediators of inflammation in patients with PTB compared to those with EPTB. Increases in mycobacterial loads in sputum smears were associated with rises in concentrations of several molecules involved in TB pathogenesis, such as IL-1 β , IFN- α , IL-10 and PGF2 α . Moreover, PTB patients presenting with severe disease exhibited a distinct inflammatory profile hallmarked by heightened levels of TNF- α , IL-1 β , IL17, IL-18 and IL-27. Interestingly, while antitubercular treatment (ATT) resulted in early changes of plasma concentrations of markers in PTB, changes were delayed in EPTB patients. Exploratory analyses of the molecular degree of perturbation (MDP) of the inflammatory mediators before and during ATT suggested the occurrence of infection

Abbreviations: AFB, acid fast bacilli; ATT, antitubercular treatment; BCG, bacillus Calmette-guérin; CCA, canonical correlation analysis; LXA, lipoxin; EPTB, extrapulmonary tuberculosis; HC, healthy control; IFN, interferon; IL, interleukin; IQR, interquartile range; LXA, lipoxin; MDP, molecular degree of perturbation; MPO, myeloperoxidase; PG, prostaglandin; PTB, pulmonary tuberculosis; TB, tuberculosis; TNF, tumor necrosis factor

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and/or treatment-induced long lasting “inflammatory imprinting” of biomarker profiles in TB. At 24 weeks post ATT commencement, markers underlying the observed increases in MDP scores were IL-27 in PTB and IL-1 β in EPTB patients.

Conclusion: Our findings describe systemic and durable changes in the concentrations of inflammatory cytokines and lipid mediators in both PTB and EPTB and emphasize the role of *M. tuberculosis* bacterial burden and site of disease in modulating patient immune biomarkers.

1. Introduction

Tuberculosis (TB) is a devastating disease and infects 10 million people worldwide every year and is among the top ten causes of death, particularly within low-income countries, according to the World Health Organization [1]. *M. tuberculosis* is among the most successful human pathogens, with up to 1/4 of the world’s population having been exposed to the bacterium, functioning similar to a commensal or parasite, as it exploits human cells for proliferation while simultaneously engineering a multi-faceted interaction with the host immune system [2]. A recent concern is the emergence of new multidrug resistant (MDR) *M. tuberculosis* strains, which have already reached 25% of cases in China and the emergence of totally resistant drug strains (TDR) in India and many other countries [2].

The immunological host response against *M. tuberculosis* is a complex interplay between innate and adaptive immune responses [3,4]. Initially, infection with *M. tuberculosis* triggers activation of innate immune cells such as alveolar macrophages and dendritic cells, leading to secretion of several pro-inflammatory cytokines, such as interferons, TNF, IL-1 and eicosanoid lipid mediators [5–8]. This scenario culminates in antigen presentation to lymphocytes in the lung draining lymph nodes and formation of pulmonary granulomas to promote containment of further bacillary growth and dissemination [7,9,10]. Understanding the inflammatory nuances of these immune interactions is important to drive the development of new therapeutic and preventative treatment strategies that are based on optimization anti-*M. tuberculosis* host responses.

We have recently described that active TB is associated with distinct expression of surrogates of oxidative stress, pro-inflammatory cytokines and lipid mediators [6,11]. Moreover, we have shown that cross-regulation of inflammatory pathways linking lipid mediators, IL-1 and type I interferons (IFN) can distinguish hallmarks of TB disease in patients and that manipulation of these pathways can lead to novel host-directed therapies [6]. Our studies demonstrated that prostaglandin E2 (PGE2) dampens uncontrolled type I IFN driven inflammation, suggesting that balance between eicosanoids and inflammatory cytokines was associated with control of bacillary growth and lung pathology [6]. However, when this balance is disturbed, as is the case in severe TB, suppression of PGE2 and/or high levels of type I IFN may occur, resulting in mycobacterial replication within the caseous lesions followed by an exuberant host immune activation and the chance of affecting sites other than lung [6]. Additionally, the lack of IL-1 and PGE2 leads to increased type I IFN production, which in turn increases IL-10 and IL-1RA levels, further reducing the concentration of IL-1 and PGE2 [5,6]. Most importantly, there is evidence that an inflammatory imbalance involving type I IFNs is likely an important component of TB pathogenesis [6,12–14].

Here, we measured and analyzed cytokines, chemokines, and lipid mediators of the IL-1, type IFN and eicosanoid axis in a prospective cohort of Chinese individuals infected with *M. tuberculosis* [6]. We explored the relationship between inflammatory immune responses in circulation of pulmonary versus extrapulmonary TB and bacillary sputum loads before and after antitubercular treatment (ATT). We found that both clinical forms of TB exhibited durable imprinting of specific biomarker profiles that persisted for up to 24 weeks of ATT.

2. Materials and Methods

2.1. Ethics statement

The original study is registered on the platform ClinicalTrials.gov (NCT01071603) and has been approved and reviewed by the Institutional Review Board (IRB) from Henan Chest Hospital (HCH), China, and US National Institute for Allergy and Infectious Disease (NIAID), National Institutes of Health (NIH), Bethesda, Maryland. Written informed consent was obtained from all participants or their legally responsible guardians, and all clinical investigations were conducted according to the principles expressed in the Declaration of Helsinki and local and national Chinese regulations.

2.2. Study design and participants

The cohort study was performed in Zhengzhou, China, between 2010 and 2012 [6,15,16]. Plasma samples were collected from 100 patients with active PTB, 50 patients with EPTB, and 11 age and gender-matched healthy controls, who served as reference for the laboratory measurements in an exploratory analysis. For the present study, 6 patients from the PTB group were excluded due to lack of sample available for the laboratory assays, thus the analyses shown here are from 94 individuals with pulmonary disease. Plasma was collected at baseline (first visit), 2 weeks, 8 weeks and 24 weeks after onset of treatment.

Persons with signs and symptoms indicative of active TB and who were HIV-unexposed and administered less than two weeks of anti-tubercular chemotherapy or community controls were enrolled into a prospective clinical protocol to assess response to chemotherapy (NCT01071603) conducted at the Henan Chest Hospital. For the present study, only treatment-naïve patients at study enrollment were included. Exclusion criteria were HIV infection, diabetes, cancer and other lung diseases identified at the first clinical visit and reported resistance to anti-TB drugs. The enrolled subjects all underwent a chest Computer Tomography (CT) scan, provided three sputum samples for AFB smear and culture by both the BACTEC MGIT 960 system (Becton, Dickenson and Company) and Lowenstein–Jensen medium (Chuang Xin Company; Hangzhou, China), and had blood drawn for routine blood chemistry and cytology as well as several experimental assays at enrollment. The HCH radiology department provided a scored assessment of the chest tomography (CT) scans that included locations of disease by lobe, type of abnormalities and number of cavities for each CT. The sputum smears were prepared by the Ziel–Nielsen method using 1% carbol-fuchsin and scored using the International Union Against Tuberculosis and Lung Disease (IUATLD) scale. The 94 subjects (347 samples) included in this analysis of the PTB were confirmed to match their cohort definition, and to have abnormal chest CT scans consistent with active PTB. The 50 patients (169 plasma samples) with EPTB were diagnosed on the basis of AFB staining and/or culture positivity of fine-needle aspiration biopsies of lymph nodes or pleural effusions. Of the 50 EPTB cases examined in the present study, 42 had pleural TB (n = 42) whereas 4 had TB lymphadenitis (n = 4) and 4 had miliary TB (n = 4). Healthy controls were recruited from Zhengzhou, China and included hospital personnel but excluded persons known to have a history of TB or HIV infection. Each enrolled individual was given a physical examination, a chest CT, and the same microbiology,

biochemical and cytology tests. Healthy controls (HC) participants presented in this analysis lacked radiologic and microbiological signs of active *M. tuberculosis* infection had no pulmonary symptoms of tuberculosis and had a negative result in the Quantiferon Gold in-the-tube test. BCG vaccination is administered in China and the majority of trial participants had a scar from vaccination and accordingly TST was not administered.

2.3. Immunoassays

Hematologic evaluation was performed using the hospital's clinical laboratory. We evaluated a panel of 27 cytokines, lipid mediators and soluble receptors to examine inflammation and immune activation using different immunoassays. The selection of variables was based on potential role in TB pathogenesis demonstrated by previous work from our group [6] and others [8,11]. We measured the biomarkers with commercial ELISA kits (for the soluble receptors CD40L, sIL-1R1, sIL-1R2, as well as MPO) (R&D Systems, Minneapolis, MN). The cytokines IL-1 α , IL-1 β , IL-1RA, IL-2, IL-5, IL-6, IL-8, IL-10, IL-12p70, IL-17A, IL-18, IL-27, IFN- α , IFN- β , IFN- γ , TNF- α , TNF- β and resistin were measured using a Flowcytomix Multiplex Array kit (eBioscience, San Diego, CA) according to the manufacturer's instructions. FlowCytomix is a bead-based ELISA like assay allowing for the results of several conventional ELISAs in a single assay [17]. This assay platform reduces sample volume requirements (< 20 μ L of sample) and assay times, all performed using a standard flow cytometer. Levels of lipid mediators (PGE2, PGF2 α , LXA4 and 15-EPI-LXA4) were assessed using EIA kits according to the manufacturer's directions (Oxford Biomedical Research, Oxford, MI).

2.4. Data analysis

The median values with interquartile ranges (IQR) were used as measures of central tendency and dispersion. Cytokines levels were compared between the study groups using the Mann-Whitney *U* (when 2 groups were compared) or the Kruskal-Wallis test with Dunn's multiple comparisons (when more than 2 groups were compared), to identify the biomarkers that were statistically significant between groups, or non-parametric linear trend post-test, to evaluate the tendency of linear increase or decrease in biomarkers values according to variations in AFB smear grade. Categorical variables were compared using the Fisher's exact test (2x2 contingency tables) or Pearson's chi-square test (contingence table with more variables) with Yates's correction for continuity. Hierarchical cluster analyses (Ward's method), with 100X bootstrap [18] of z-score normalized data were employed to depict the overall expression profile of indicated biomarkers in the study groups. Dendrograms represent Euclidean distance. Venn diagrams were used to illustrate differentially expressed markers as described in [19]. P-values were adjusted for multiple measurements using Holm-Bonferroni's method. All univariate comparisons with P-values < 0.05 after adjustments were considered statistically significant. Only adjusted P-values were considered.

Profiles of correlations between biomarkers in different clinical groups were examined using network analysis of the Spearman correlation matrices (with 100X bootstrap). In indicated analyses, only strong correlations, defined herein by Spearman rank values (ρ) > 0.4 or < -0.4 and $P < 0.05$ after Bonferroni adjustment (pre-specified arbitrary definition) were included in the network visualization. In such analyses, markers that exhibited similar correlation profiles were clustered based on a modularity [20], which infers a sub-networks inside the of the correlation network profiles and depicted using Fruchterman-Reingold algorithm (force-directed graph drawing) [21] (R scripts: <http://sachaepskamp.com/files/Cookbook.html#installing-r-packages> and https://jokergoo.github.io/circlize_book/book/index.html).

Sparse canonical correlation analysis (CCA) modelling was

employed to assess whether combinations of circulating biomarkers could discriminate between subgroups of PTB patients stratified by AFB sputum smear status and radiographic extension of lung lesions (unilateral or bilateral) as previously described [6,22] (R script: <https://www.jstatsoft.org/article/view/v023i12>). The CCA algorithm was chosen because many variables were studied. This approach reduces dimensionality for two co-dependent data sets (biomarker profile and baseline characteristics profile, which were age and gender) simultaneously so that the discrimination of the clinical endpoints represents a combination of variables that are maximally correlated. Thus, trends of correlations between parameters in different clinical groups rather than their respective distribution within each group are the key components driving the discrimination outcome. In our CCA algorithm, simplified and adapted from previously reported investigations of biomarkers for diagnosis of infectious diseases [6,23], linear regression graphs represent coefficients from different combinations of plasma factors and baseline characteristics. In the biomarker profile dataset, we included values of all the inflammatory marker variables that exhibited significant differences ($P < 0.05$) in the univariate analysis (MPO, resistin, IL-1 β , IL-10, IL-17, IL-4, IFN- α , IL-27, TNF- α , IL-1RA and IL-6). We pre-selected variables to include in the CCA algorithm to test whether the combination of the markers, rather than each one individually, could distinguish the groups. In addition, to our knowledge, investigating statistical relationships between the markers rather than just concentrations allow us to infer about regulatory immune networks [6].

The molecular degree of perturbation (MDP) was calculated to infer the overall inflammatory profile of TB over the course of antitubercular treatment. The MDP method used for the present study has been described previously [19] and is an adaptation of the molecular distance to health described by Pankla et al. [24] and employed to non-genomic measurements [25]. Healthy uninfected controls were defined as the "reference" group, and the average level and standard deviation of this reference group were calculated for the plasma concentrations of each inflammatory marker. The MDP score of an individual marker in a given sample "s" was defined by taking the difference in concentration level in sample "s" from the average of the marker in reference group divided by the corresponding standard deviation. The MDP score represents the number of standard deviations from the reference. Individuals who had MDP values above 2 standard deviations from mean value of controls were considered molecularly perturbed. The statistical analyses were performed using JMP 14 (SAS, Cary, NC) and Prism 7.0 (GraphPad Software, San Diego, CA) and R statistical software.

3. Results

3.1. Characteristics of the study participants

Baseline and post treatment plasma samples originated from persons with signs and symptoms indicative of active TB and who were HIV-uninfected and who were not undertaking antitubercular therapy or healthy controls that were enrolled into a prospective clinical protocol to assess response to chemotherapy (NCT01071603) conducted at the Henan Chest Hospital (HCH) in Zhengzhou, China from 2010 to 2012, as described previously [6,15,16]. Individuals with pulmonary tuberculosis (PTB) were similar to those presenting with extra pulmonary tuberculosis (EPTB) with regard to age (median [IQR] in years: 27 [23–44.7] vs. 27 [22–36], respectively; $P = 0.1933$; Supplemental Table 1) and gender ($P = 0.4916$, Table S1), with higher frequency of male individuals (61.7% vs. 52% vs. respectively).

3.2. Pulmonary and extrapulmonary TB patients display distinct expression profiles of inflammatory biomarkers in peripheral blood

We examined the expression profile of 27 soluble inflammatory proteins and eicosanoid lipid mediators related to IL-1 and type I IFN

driven inflammation in plasma from the study population, to test whether active TB in PTB or EPTB is associated with specific changes in these pathways. Preliminary analyses revealed that when z-score normalized values from all markers were considered, there was no discrimination between individuals from the HC, PTB and EPTB groups using unsupervised hierarchical cluster analysis (Supplemental Fig. 1). When the subtypes of EPTB were compared (pleural vs. miliary vs. lymph node TB), we found that the EPTB subgroups could not be clustered separately when concentration values from all markers were considered (Supplemental Fig. 2A). Although the sample size was small, we compared individual markers among the EPTB subtypes and found very few statistically significant markers (adjusted $P < 0.05$) with small fold-difference values (all below 2.0-fold difference) (Supplemental Fig. 2B). When EPTB and PTB were evaluated separately using the same statistical approach, we observed a heterogeneity of expression within each group (Fig. 1A and B). In both PTB and EPTB groups, the markers with more homogeneously high expression were CD40L, IL-1 α , IL-1 β , IL-8, IL-17, IL-27 and TNF- α (Fig. 1A and B), highlighting similarities between the different clinical forms of TB. Indeed, among all the markers, concentrations of only 4 were statistically different between the groups (Supplemental Table 2). We found that concentrations of IL-10, IL-12p70 and resistin were higher in PTB compared to EPTB whereas levels of sIL-1R2 were lower (Supplemental Table 2). Importantly, when all markers were included in a discriminant analysis model based on canonical correlation, we found that PTB patients could be distinguished from those with EPTB (Fig. 1C).

The markers with the strongest contributions to the canonical model were LXA4, resistin, IL-4, IL-10, sIL-1R2 and IL-5 (Fig. 1D). These findings indicate that the overall inflammatory status, as determined here by correlations between inflammatory plasma mediators, is qualitatively different between PTB and EPTB groups and likely underscores differences in the underlying immunopathology of different clinical forms of TB disease.

3.3. Unique correlation profiles of inflammatory biomarkers in peripheral blood between pulmonary and extrapulmonary TB patients

We have previously shown that using analysis of Spearman correlation matrices can provide insights on the degree and quality of inflammation in TB-HIV as well as in other diseases [26–28]. The results obtained from the canonical correlation model described above indicated that there were differences in the correlation profiles between the inflammatory markers from PTB vs. EPTB patients and we next utilized *Circos* plots to visualize such distinctions. Interestingly, we found that the presence of pulmonary infection was associated with a marked absence of negative correlations (Fig. 2A), suggesting that pulmonary infection triggers a coordinated response involving canonical induction of many inflammatory markers. Node analysis of the PTB network indicated that IL-1 β , IL-4 and IL-27, followed by IL-1RA were the most highly connected markers (Fig. 2), implying that their regulation may be preferentially more susceptible to modulation by pulmonary infection. Importantly, the correlation profile found in the

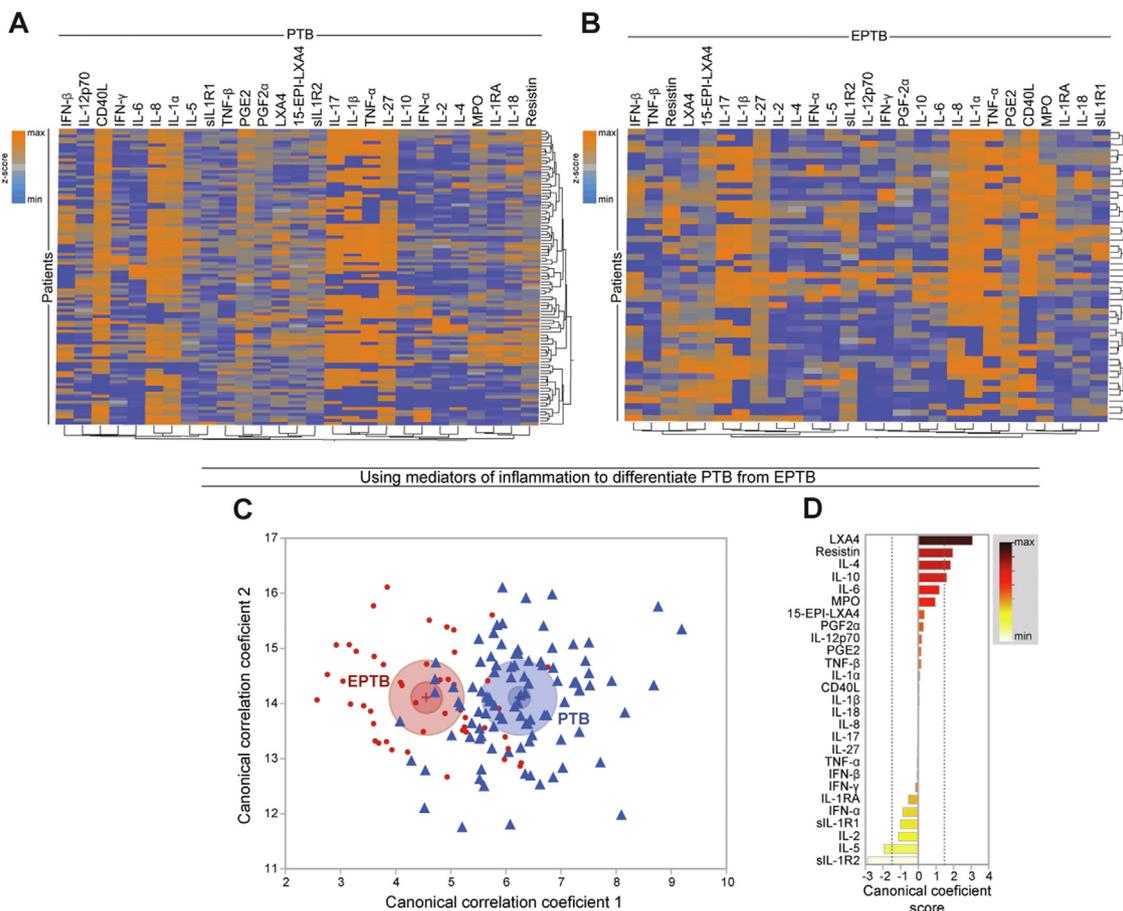


Fig. 1. Plasma levels of inflammatory mediators distinguish PTB from EPTB in Chinese patients. (A and B) Plasma levels of cytokines, soluble receptors and eicosanoids were assessed in samples from patients with pulmonary TB ($n = 94$) or extrapulmonary TB ($n = 50$). Data were log₁₀ transformed and z-score normalized. (A) A hierarchical cluster analysis (Ward's method with 100X bootstrap) was employed to test whether the overall expression profile of the biomarkers could separate the study groups. Dendrograms represent Euclidean distance. (C) Discrimination of groups using combination of plasma biomarkers. In an exploratory approach, a sparse canonical correlation analysis (sCCA) was employed to test whether patient groups could be distinguished. (D) Canonical discriminant was performed to evaluate the biomarkers responsible for the difference between groups in model.

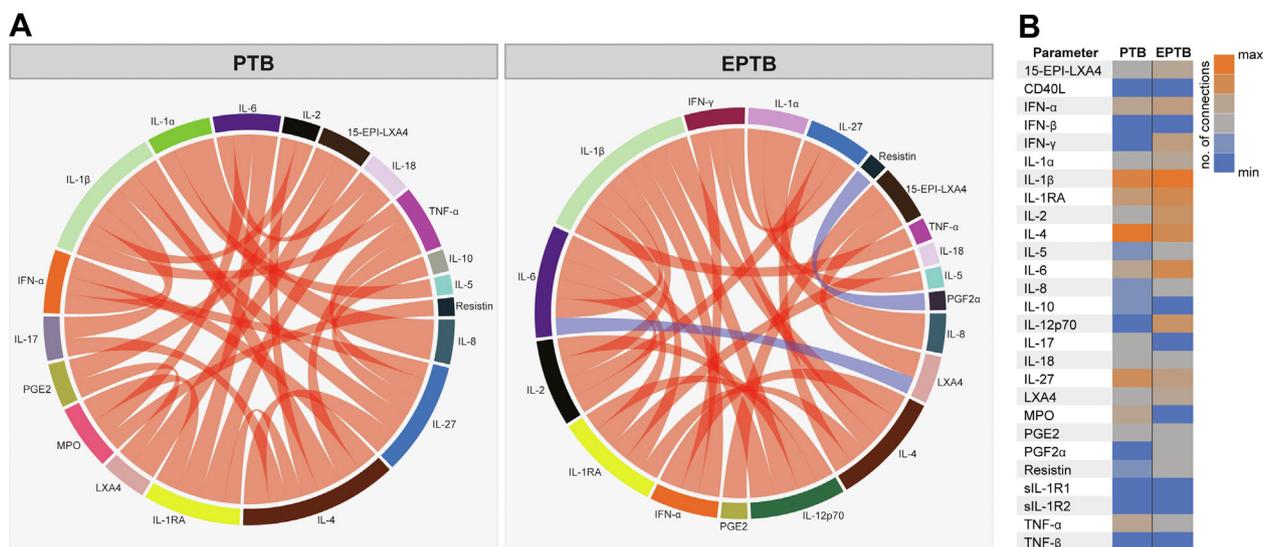


Fig. 2. Network analysis of inflammatory biomarker correlation matrices in pulmonary and extra pulmonary TB patients. (A) Spearman correlation matrices of the biomarker expression levels in each study group were built and *Circos* plots illustrate the correlation networks. Each bar represents a different plasma parameter. The length of each bar is proportional to the number of significant correlations. The connecting lines represent statistically significant correlations ($p < 0.05$). Red connecting lines represent positive correlations while blue lines infer negative correlations. The thickness of the connecting lines is proportional to the Spearman correlation coefficient value. Markers which did not exhibit statistically significant correlations are now shown in the *Circos* plots. (B) Node analysis: heatmap shows the number of statistically significant correlations involving each marker per clinical group. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

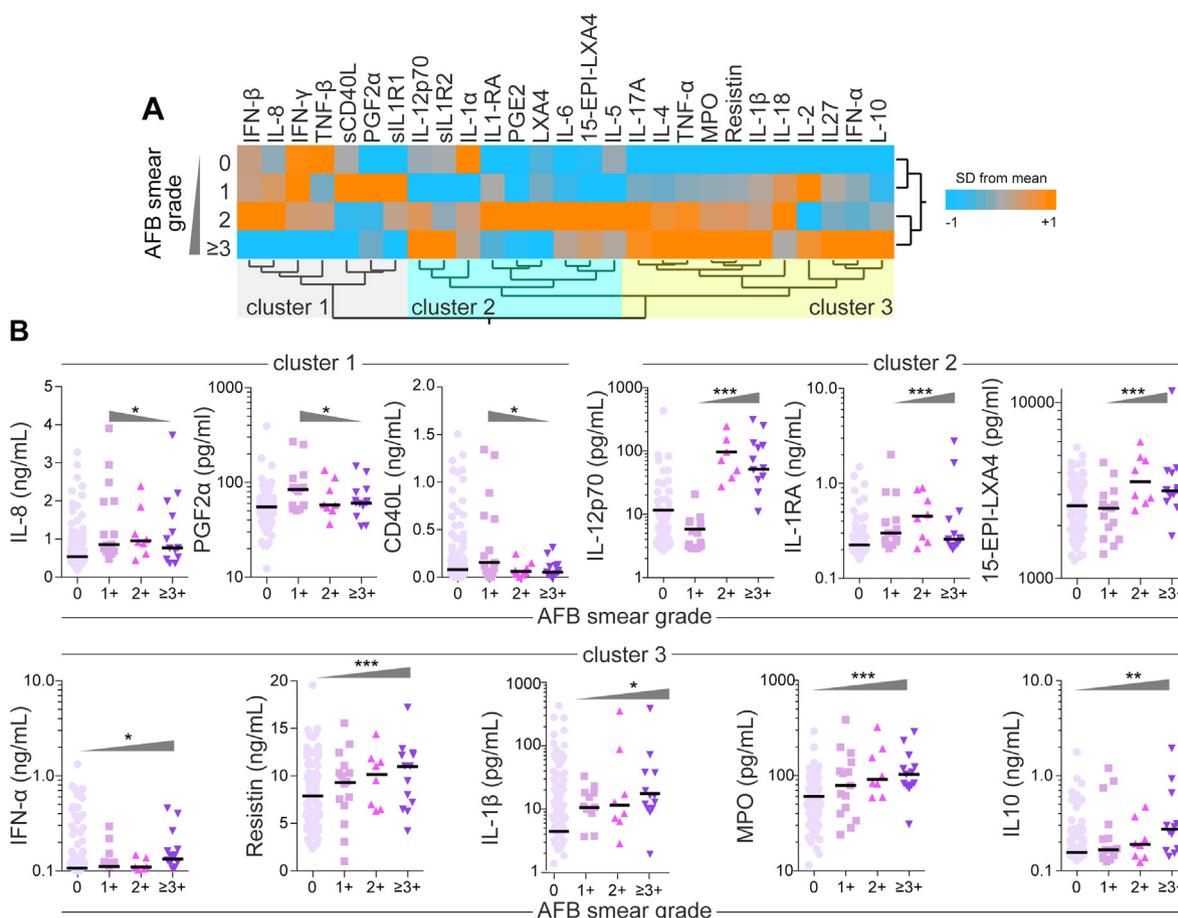


Fig. 3. Correlation of sputum AFB smear grade with concentrations of plasma biomarkers in PTB patients. (A) A hierarchical cluster analysis (Ward's method with 100X bootstrap) was employed to test whether the overall expression profile could separate the groups of PTB patients based on AFB sputum grades. Dendrograms represent Euclidean distance. Using this approach, 3 clusters of biomarkers were detected. (B) Scatterplots of concentrations of indicated biomarkers from each cluster shown in (A), which values presented statistically significant differences between the study groups using the Kruskal-Wallis test with linear trend *ad hoc* test, are displayed. Bars represent median values whereas triangles indicate the trends of data variation. * $p < 0.05$; ** $p < 0.01$; *** $p < 0.0001$.

EPTB group was distinct from the PTB group, with appearance of negative correlations involving resistin vs. PGF2 α and IL-6 vs. LXA4 (Fig. 2A). In addition, extrapulmonary infection was associated with a predominance of IL-1 β followed by IL-6, IL-4, IL-1RA and IL-2 (Fig. 2B). IL-12p70 and IFN- γ were not relevant in the PTB correlation plot but were relevant nodes in the EPTB group. Differences in correlation profiles between PTB and EPTB, including evidence that IL-12p70 has more statistical relationships with other markers in EPTB but not in PTB further underline potential inflammatory distinctions for extrapulmonary disease pathogenesis.

3.4. The inflammatory signature of pulmonary tuberculosis is substantially associated with changes in acid-fast bacilli smear grades in sputum

We have previously suggested that *M. tuberculosis* loads in sputum are directly associated with the status of systemic inflammation and

potentially impact the immune profile of patients from Brazil [26] and India [11]. To test whether this phenomenon would also be present in our Chinese patient population, we compared the levels of all the biomarkers between the subgroups of PTB patients presenting with different AFB grades in sputum smears (Supplemental Table 3 and Fig. 3). A hierarchical analysis identified three main groups of biomarkers based upon the expression profile between the study groups (Fig. 3A). While the first cluster included the markers that displayed higher values in the groups of PTB patients presenting with lower AFB grades (0 and 1+), the other two clusters delineated parameters with higher concentrations that associated with increased mycobacterial loads (AFB of +2 and \geq +3) (Fig. 3A). Linear trend *ad hoc* analyses of biomarker levels revealed that average levels of IL-8, PGF2 α and CD40L were gradually decreased with increased AFB grades (Fig. 3B). Average plasma concentrations of IL-12p70, IL-1RA and 15-EPI-LXA4 tended to follow increased mycobacterial sputum loads and were higher in

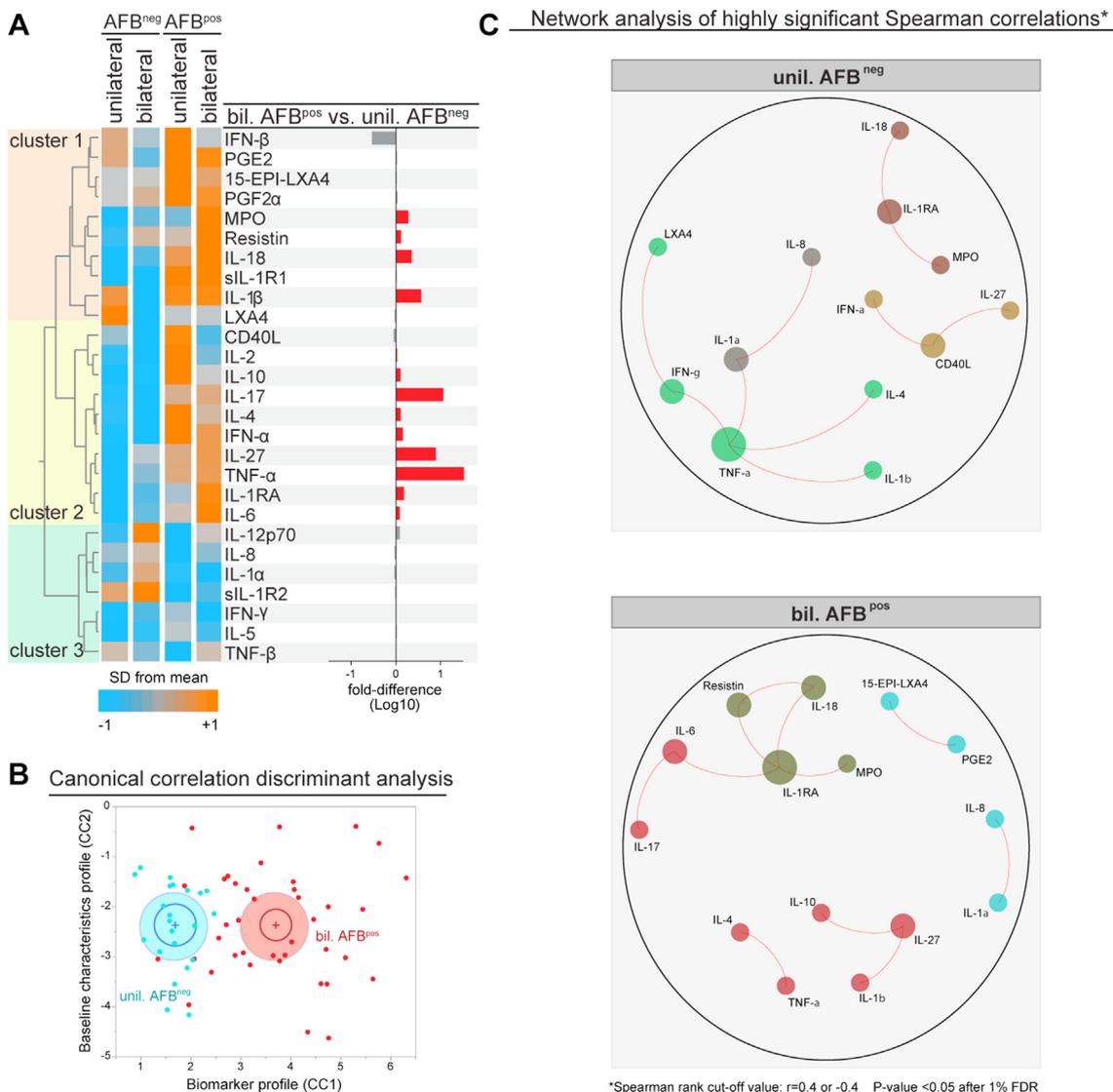


Fig. 4. Difference in AFB status and extent of radiographic disease associated with specific changes in plasma inflammatory biomarker profiles in PTB. (A) Hierarchical cluster analysis (Ward's method with 100X bootstrap) was employed to illustrate the overall expression profile of the biomarkers in PTB patients stratified per AFB smear status and lung disease extension (AFB^{neg} + unilateral disease, n = 22; AFB^{neg} + bilateral disease, n = 21; AFB^{pos} + unilateral disease, n = 09; AFB^{pos} + bilateral disease, n = 34). Dendrograms represent Euclidean distance. The bar graph on the right panel indicate the fold-difference variation from the group of PTB patients with mild disease (AFB^{neg} + unilateral disease) to that with severe disease (AFB^{pos} + bilateral disease). (B) Sparse canonical correlation analysis (sCCA) was employed using the markers indicated in (A) which were statistically different between the groups. (C) Networks represent strong Spearman correlations ($p < 0.05$ after 1% FDR adjustment; Spearman rank value > 0.4 or < -0.4). Markers were clustered based on a similarity index of the correlation profiles using a modularity algorithm and depicted with Fruchterman Reingold (force-directed graph drawing). Only markers which had strong correlations were plotted to reduce visual pollution. Size of the circles is proportional to number of connections.

individuals with AFB grade of 2+ (Fig. 3B). Other biomarkers such as IFN- α , resistin, IL-1 β , MPO and IL-10 consistently displayed higher average concentrations with increasing AFB smear grade values (Fig. 3B, Supplemental Table 3). Thus, the above analytical approaches revealed biomarkers for which their concentrations correlated both positively and negatively with sputum mycobacterial loads as measured via AFB grade.

3.5. Differences in systemic inflammation can further distinguish mild pulmonary TB patients from those with more severe disease presentation

In addition to mycobacterial loads in sputum, radiographic disease extension has been used by our group and others as a potential parameter of TB disease severity [19,26,29,30]. We next stratified the PTB patients into mild and severe clinical subgroups based on AFB smear status (positive vs. negative) and on radiographic extension of lung lesions (unilateral vs. bilateral) and compared the inflammatory signatures (Fig. 4). We found that, in general, plasma concentration of

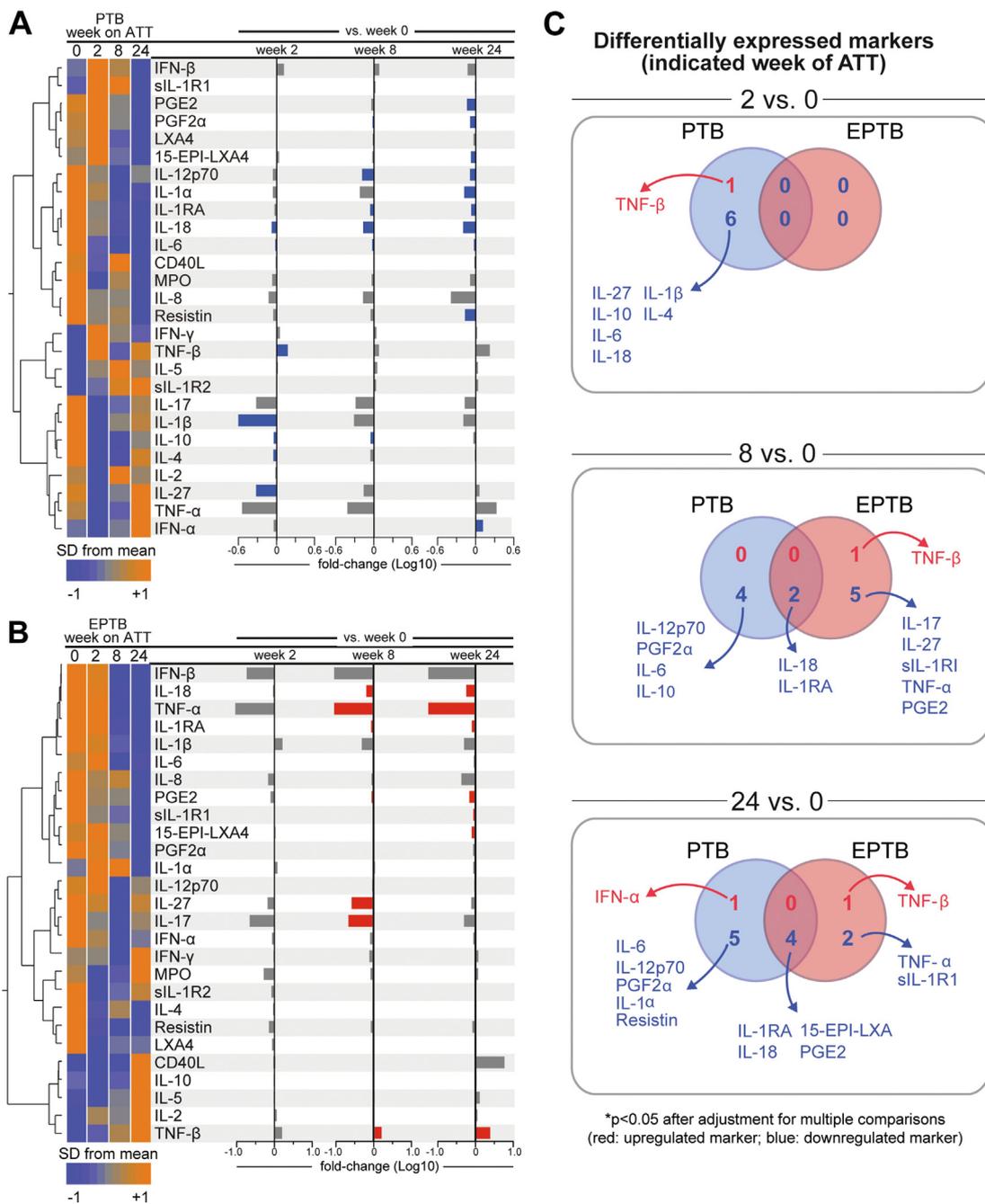


Fig. 5. Impact of antitubercular treatment on inflammatory balance and duration of changes observed in inflammatory biomarker profiles in PTB and EPTB patients. (A and B) Hierarchical cluster analysis (Ward’s method with 100X bootstrap) was employed to illustrate the overall expression profile of the biomarkers in the groups of patients with PTB (n = 94) or EPTB (n = 50). Dendrograms represent Euclidean distance. The bar graphs on the right panel indicate the fold-change variation in biomarker values between the indicated time points. Differences which reached statistical significance after adjustment for multiple comparisons (adjusted P < 0.05) are represented in colored bars. (C) Venn Diagrams describe the number of markers which values were statistically different (p < 0.05) between the indicated study time points in patients with pulmonary TB or extrapulmonary TB. Markers which were upregulated are illustrated in red whereas downregulated markers are shown in blue. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

most of the markers examined were higher in patients with positive AFB sputum smears, independent of radiographic disease extension, except for IL-1 α , IL-5, IL-8, IL-12p70, IFN- γ , sIL-1R2 and TNF- β (cluster 3, Fig. 4A). The highest change in fold-differences in biomarker concentrations were found in patients presenting simultaneously with positive AFB in smears and bilateral lung disease (more severe TB disease) when compared with patients with negative AFB smears and unilateral lesions (mild disease) (Fig. 4A and Supplemental Table 4). The cytokines TNF- α , IL-17, IL-27 and IL-1 β were ranked as top markers differentially expressed between these subgroups of PTB patients, all of them found at higher levels in individuals with more severe disease (Fig. 4A). We next asked whether correlations between plasma levels of the mediators could distinguish mild from severe PTB subgroups. A discriminant analysis using a model of canonical correlation with plasma levels of all the markers which were differentially expressed between mild and severe TB could discriminate between mild and severe TB (Fig. 4B). Network analysis of highly significant correlations confirmed the hypothesis that there are differences in the profiles between mild and severe PTB groups (Fig. 4C). In mild PTB, TNF- α was the most highly connected node, being linked to IL-1 β , IFN- γ , IL-1 α and IL-4, creating a correlation chain that defined this group (Fig. 4C). In severe PTB, a completely distinct pattern was detected and included IL-1RA as a central marker connected to MPO, IL-18, resistin and IL-6. These observations suggest that both the levels of inflammatory mediators and the relationships between such markers can identify PTB patients with more severe forms of TB based on AFB smear grade combined with radiographic extent of lung lesions.

3.6. Longitudinal changes in inflammatory profiles in patients with pulmonary or extrapulmonary tuberculosis undergoing antitubercular treatment

The results above strongly support our idea that the degree of bacterial burden during active TB disease drives major changes in systemic inflammation and suggest that these changes occur for both

pulmonary and extrapulmonary forms of disease. To further explore our hypothesis and ask whether the inflammatory profiles identified above are equally affected by chemotherapy in PTB and EPTB patients, we measured the same parameters at different time points after onset of antitubercular treatment (ATT), when bacterial burdens in patients are actively being reduced (Supplemental Table 5, Supplemental Table 6 and Fig. 5). We observed that in PTB patients, levels of TNF- β were significantly increased as early as 2 weeks after ATT initiation (Fig. 5A, C, Supplemental Table 5). At the week 2 study time point, IL-1 β , IL-4, IL-6, IL-10, IL-18 and IL-27 displayed significant reduction in plasma levels. Intriguingly, no early change in biomarker concentrations was found in the group of EPTB patients (Fig. 5B, C, Supplemental Table 6). After 8 weeks of ATT, several changes in plasma markers were noted in both TB disease groups, with most the parameters presenting with reduced concentrations compared to pre-treatment values, except for TNF- β which increased in EPTB patients (Fig. 5A–C).

At week 24 of ATT, we observed a reduction in a number of parameters that were significantly different from pre-treatment timepoints in both groups, including IL-1RA, IL-18, 15-EPI-LXA4 and PGE2 (Fig. 6A–C). At this post treatment period, IFN- α levels were increased whereas concentrations of IL-1 α , IL-6, IL-12p70, PFG2 α and resistin were decreased exclusively in PTB patients (Fig. 5C). In the EPTB group, TNF- β levels were once again found increased and values of TNF- α and sIL-1R1 were decreased (Fig. 5C). These findings demonstrate that ATT induced important global reductions in peripheral blood levels of inflammatory biomarkers, with some differences between PTB and EPTB, specifically with regard to timing of TNF- β increases in plasma concentrations.

3.7. Persistent molecular perturbation in PTB and EPTB patients during antitubercular treatment

Originally, the molecular degree of perturbation (MDP) is a numerical score of transcriptional perturbation made by summing the differences in the expression levels of pre-selected genes between a

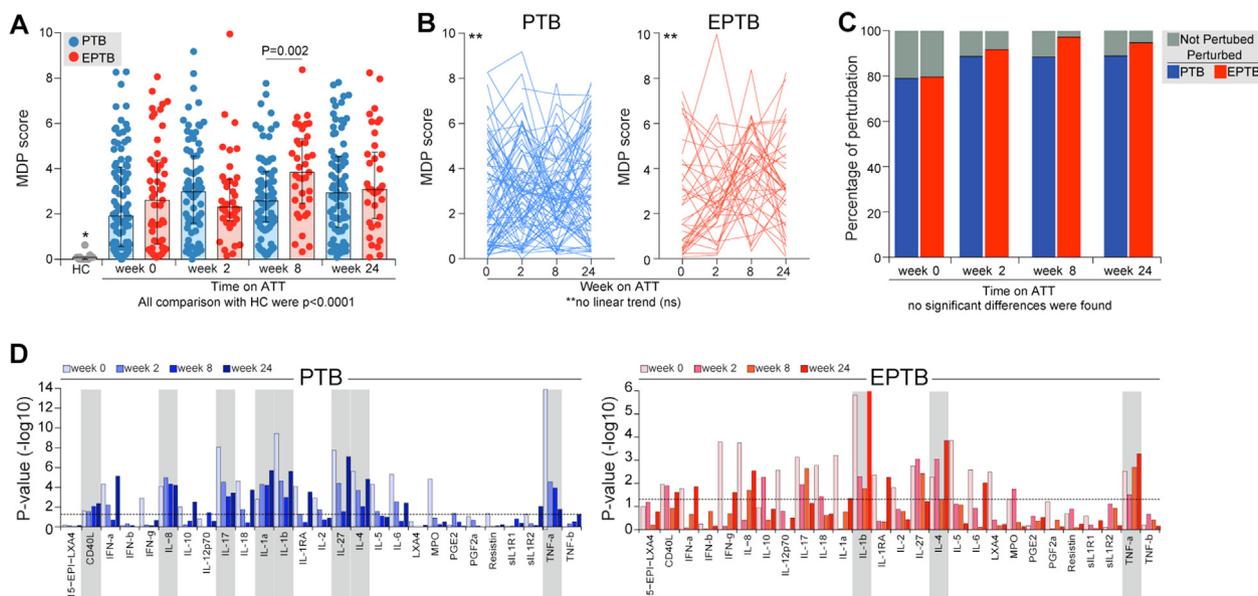


Fig. 6. Durable molecular degree of perturbation in TB patients undergoing antitubercular treatment. (A) The molecular degree of perturbation (MDP) score relative to healthy uninfected controls (HC) was calculated as described in Methods. Individual values with median and IQR per group are shown. Data between PTB and EPTB groups in each time point were compared using the Mann Whitney U test. The Kruskal Wallis test with Dunn's multiple comparisons was used to compare MDP values between each clinical group and time point with HC. (B) Variations of MDP individual values for each patient over time of treatment were analyzed using the Friedman matched test with non-parametric linear trend ad hoc test. (C) Frequencies of molecularly perturbed TB patients between PTB and EPTB groups in each time point were compared using the Fisher's exact test (no statistically significant differences were found). (D) Bar graphs display $-\log_{10}$ transformed p-values of the correlations between plasma levels of each biomarker and MDP score values in each disease groups and indicated time points. Shaded rectangles highlight the most relevant markers associated with MDP score values. ns, nonsignificant.

given sample group and healthy control participants [19] (see Methods for details). When applied to the blood transcriptome, MDP largely reflects immunological activation status [12,19]. Here, we employed this statistical approach in plasma concentrations of biomarkers [25] to assess global effects of PTB or EPTB on the blood inflammatory status that significantly differs from that detected in healthy controls (raw data is found in Supplemental File 1). To answer this question, we performed an exploratory analysis comparing the PTB and EPTB groups with healthy controls ($n = 11$) who were matched by age and sex (median age: 35 years, IQR: 23–40; 45.4% of male individuals). We found that, before ATT initiation, both PTB and EPTB were associated with substantial increases in MDP scores compared to uninfected controls (both $P < 0.0001$; Fig. 6A), and no statistical difference was observed in median values between the clinical groups. There was an important spread of MDP values in the TB groups, highlighting the heterogeneity in systemic inflammation and possibly disease activity as suggested previously [31]. The only difference in MDP values between the clinical groups was found at week 8 of treatment, with EPTB exhibiting higher scores than those with PTB ($P = 0.002$, Fig. 6A). Strikingly, the median MDP scores within each clinical group (PTB or EPTB) did not significantly change over time on treatment (Fig. 6B), demonstrating a persistent molecular perturbation even after 6 months of ATT. Indeed, at week 24, 89.7% individuals with PTB and 94.7% of those with EPTB were still molecularly perturbed (Fig. 6C). In addition, while most of the markers contributed to increased MDP values at treatment baseline in both PTB and EPTB patients, at week 24 of follow up, fewer parameters were driving changes in the MDP score (Fig. 6D). Importantly, the most influential markers to MDP score values during all the time points in both disease groups included IL-1 β , IL-4, and TNF- α Fig. 6D). In PTB patients, additional relevant markers associated with increased MDP values included IL-1 α , IL-8, IL-27 and CD40L (Fig. 6D). Importantly, these data suggest that *M. tuberculosis* infection or ATT may have durable long-lasting systemic effects on inflammatory responses in TB patients compared to uninfected and treatment naïve individuals.

4. Discussion

Infection with *M. tuberculosis* is known to cause profound stimulation of both innate and adaptive immune responses both *in vitro* and *in vivo*. *M. tuberculosis* subverts the inflammatory milieu to persist and proliferate in necrotic tissues and disseminate in susceptible hosts [2,3]. Such immunological events can be read by detecting expression of genes, proteins, lipid mediators and other molecules in the tissue [32] as well and in peripheral blood of patients [4,33]. While this should be ideally studied at the site of infection, sampling bronchoalveolar lavage or lung tissue is highly invasive, represents a significant exposure risk for health care professionals and is unfeasible for most studies. Studying plasma biomarkers in the context of TB may provide important insights into diagnosis, treatment efficacy and also disease pathogenesis [33]. Several prior studies have reported concentrations of cytokines, chemokines, acute phase proteins and lipid mediators in populations of pulmonary TB patients [30,34–41], but less is known about extrapulmonary infection [42–44]. Here, we performed multiparametric analyses of plasma concentrations of several inflammatory cytokines, soluble cytokine receptors, receptor ligands, alongside with lipid mediators in a large set of active TB patients with pulmonary or extrapulmonary disease to characterize the systemic inflammatory profile of this disease.

Our primary exploratory results indicate that both pulmonary and extrapulmonary TB states are associated with induction of changes in the systemic inflammatory milieu. At first glance, these findings may sound not surprising, considering that both clinical forms of active TB derive from *M. tuberculosis* infection of immune myeloid cells. Nevertheless, we were able to find exclusive qualitative and quantitative differences between PTB and EPTB groups in immune markers,

such as IL-10, IL-12p70, resistin and sIL-1R2. The distinct inflammatory profiles of PTB versus EPTB could simply be the consequence of the underlying pathology that leads to disseminated versus more localized infection. Alternatively, altered production of these cytokines may be involved in the dissemination of mycobacteria to extrapulmonary compartments. Future experimental studies are warranted to directly test these possibilities.

Perhaps a more important finding was the identification of eicosanoid lipid mediators differentially modulated in the distinct forms of active TB, which included PGE2, PGF2 α , LXA4 and 15-EPI-LXA4. The role of lipid mediators derived from cyclooxygenases or lipoxygenases has been extensively studied. We and others have shown that PGE2 promotes relative protection against disease associated with necrotic cell death, resulting in reduced disease burden whereas lipoxins can have detrimental effects on host containment of mycobacterial growth [6,36,45]. Here we find that both prostaglandins and lipoxins are induced in the majority of individuals during *M. tuberculosis* infection. Whether such systemic profile changes represent *in situ* modulation of lipid mediators at the phagocyte level, the local lung airways and tissue or systemic changes requires further investigation.

Immune homeostasis is a coordinated process that involves cross-regulation of production of several pro and anti-inflammatory mediators. These intricate relationships between the immune and inflammatory markers can be interrogated through analysis of correlation matrices, which have been used in several clinical scenarios [26,27]. In the present study, we found that PTB and EPTB displayed important differences in correlation profiles, involving the number of correlations, direction of the association as well as the main markers representing nodes with the highest connectivity index in *Circos* plots. It is possible that the inflammatory response is modulated in such a way during active EPTB disease to results in an unfettered, less organized immune activation. Furthermore, some of the most relevant markers sustaining the density of the correlation matrices in active TB, IL-12p70 and IFN- γ , were different between pulmonary and extrapulmonary disease presentations. Noteworthy, IL-1 β was shown to be relevant in matrices networks from both PTB and EPTB groups, underscoring a possible central importance of this marker in immune responses to TB, which has been previously suggested [5,6,46].

Our next exploratory analysis tried to delineate the role of mycobacterial loads in driving specific changes in systemic inflammation in pulmonary infection. We did this twofold; first, we show that presence of positive AFB screening in sputum smears was strongly linked to meaningful increases in concentrations of several inflammatory parameters. Secondly, we compared the inflammatory profiles after bacterial burdens were being reduced through antitubercular drug treatment. In the first scenario, we found that many of the induced markers are related to innate immune activation, such as IL-1 β , IL-6, and TNF- α . In addition, we found increased levels of IL-1RA, IL-27, sIL1R1, IFN- α and IL-10, which have been described to dampen effective anti-mycobacterial responses in both mice and humans [5,14,47,48]. It is possible that an environment dominated by hyperactivation of myeloid cells and simultaneous interference of effective microbial killing induced by IFN- α and/or IL-10 results in unrestrained *M. tuberculosis* growth, leading to increased risk of disease transmission through sputum dissemination. Consistent with this hypothesis, concentrations of both IFN- α and IL-10 gradually increased following the mycobacterial loads in sputum and were part of the bio-signature that defined patients with very high AFB sputum grades.

A number of prospective studies have previously reported changes in biomarker levels during antitubercular treatment in either pulmonary or extrapulmonary TB cases [15,30,33,35,37,39–41,49–51]. Our study adds to the current knowledge in the field by directly comparing these changes between PTB and EPTB disease forms while focusing on both lipid mediators and inflammatory cytokines. Our analyses demonstrated that only in pulmonary, but not EPTB disease, chemotherapy lead to very early effects on biomarker levels. It is

thought that the occurrence of EPTB is linked to dissemination of mycobacteria via hematogenous and/or lymphatic routes [52], implying a potentially higher relative microbial load in these patients. It is also possible that there is a limited uptake/availability of the TB drugs at extrapulmonary sites, resulting in delayed microbial clearance. Interestingly, the dynamics of TNF- β levels during treatment illustrate well the differences between the disease groups. Indeed, appearance of higher levels of TNF- β were observed as early as 2 weeks of ATT in PTB, and levels were no longer statistically significant later on during treatment. On the other hand, increases in TNF- β concentrations in EPTB were substantial only at week 8 of treatment, but persisted at high levels for up to week 24. TNF- β is also known as lymphotoxin A and shares receptors with TNF- α , such as TNF receptors 1 and 2, and many of their effects are similar [53]. This cytokine has been described to contribute to control of chronic *M. tuberculosis* infection [54]. Thus, it is possible that the expression levels of this cytokine in peripheral blood could serve as a surrogate of mycobacterial loads in TB patients undergoing treatment. A higher or more systemically availability of *M. tuberculosis* antigens in EPTB patients undergoing therapy may potentially explain a delayed modulation of immune activation. Although interesting, this hypothesis is difficult to test in patients, but could be addressed in appropriate animal models.

Tuberculosis can cause substantial tissue damage and the degree of lesion extension in the lungs is usually employed as a measure of disease activity and/or severity [19,26,29,30]. Here, additional analyses were performed comparing the biomarker signatures between subgroups of PTB stratified by AFB smear status and by extent of radiographic disease. The results demonstrated that more severe PTB was associated with higher levels of several inflammatory markers, including TNF- α , IL-17, IL-27 and IL-1 β , as well as changes in relationships between circulating biomarkers, with a central role for IL-1RA. We hypothesize that immune activation driven by direct stimulation with mycobacterial products linked to increased sputum grade and bilateral disease could drive robust inflammatory signals which may hallmark severe PTB. Prospective studies performing simultaneous radiographic and immunologic assessments in PTB patients upon ATT initiation are warranted to test this hypothesis.

A more interesting result was the finding of a potential systemic durable “inflammatory profile imprinting” of specific immune biomarkers in both PTB and EPTB patients at the end of ATT. After 6 months undergoing anti-TB chemotherapy, patients with active TB exhibited significant differences in molecular degree of perturbation score values compared to age and gender-matched healthy controls. The common biosignature driving increased molecular perturbation in blood was composed by key mediators of anti-*M. tuberculosis* innate immune response, such as IL-1 β and TNF- α , as well as IL-4 [36,55]. Previous studies have also reported abnormal immune responses in individuals with prior EPTB, supporting our findings of long lasting changes in immune profile [42–44]. Importantly, our findings have multiple possible implications. For one, it is possible that these biomarker differences in the patient cohorts represent an underlying immunological distinction, which may have been present even before exposure to *M. tuberculosis* and is therefore associated with outcome of PTB or EPTB, respectively. If so, this particular scenario may be of interest for diagnostics and disease outcome prediction. More targeted studies investigating similar cohorts for longer periods, with a more unbiased biomarker or transcriptional profiling approach, in areas with different TB epidemiology could provide valuable insight into the inflammatory basis of persistent profile “imprinting” for systemic biomarker assays. Our observation of a durable systemic inflammatory profile “imprinting” during *M. tuberculosis* infection and/or during drug-treatment in patients could be tested and explored more definitively in appropriate animal models. Specifically, animal models would make it possible to discern whether the persistent profile imprinting is the result of ongoing or previous *M. tuberculosis* infection or due to the extensive antibiotic drug treatment regimens themselves, which have

been shown to affect microbiota-immune axis and possible related immune functions [56,57]. Finally, the use of an appropriate animal model could provide insight whether and how the observed “imprinting” of inflammatory biomarker profiles is affecting the host’s ability to fight concomitant infections, particular re-infections and other co-morbidities.

Our study has some clear limitations. This investigation was exploratory and although the disease groups had relatively high number of individuals, the control group was small, but homogeneous. In addition, we investigated easily accessible, systemic circulating markers of inflammation and we have not examined tissue specimens. It is likely that the inflammatory biomarker profile at the site of disease differs from that described in peripheral blood. We also employed only a crude approximation of radiographic extent of disease, more sophisticated analysis to quantitate specific type of abnormalities may reveal more interesting associations. Lastly, we have not followed up patients for longer periods after termination of antitubercular treatment to evaluate TB recurrence, other late outcomes or determined the extent of the duration of the “inflammatory profile imprinting” beyond 6 months after TB chemotherapy.

5. Conclusions

The findings presented here demonstrate a perturbed systemic inflammatory response in active TB linking cytokines and eicosanoid lipid mediators. Our results highlight an active role of *M. tuberculosis* and its bacterial burden in subversion of the host immune responses as read out by durable changes in the inflammatory biomarker profiles dependent on pulmonary or extrapulmonary manifestations after successful anti-tubercular treatment.

Declaration of Competing Interest

None.

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Author contributions

CEB, LEV designed the clinical study and provided materials and infrastructural support; RS, WW, XY, GZ, YC performed the clinical study and manage data entry; KDMB performed the biomarker measurements; KDMB and BBA conceived the analysis approach and BBA supervised the data analysis; CLV, DOS, PSSM, BN, KFF, BBA performed the statistical analyses and interpretation. CLV, DOS, BN, BBA and KDMB wrote the manuscript.

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Appendix A. Supplementary material

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