



TNFR2 signaling modulates immunity after allogeneic hematopoietic cell transplantation

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ABSTRACT

Tumor necrosis factor- α (TNF- α) signaling through TNF receptor 2 (TNFR2) plays a complex immune regulatory role in allogeneic hematopoietic cell transplantation (HCT). TNF- α is rapidly released in the circulation after the conditioning regimen with chemotherapy and/or radiotherapy. It activates the function of donor alloreactive T cells and donor Natural Killer cells and promotes graft versus tumor effects. However, donor alloreactive T cells also attack host tissues and cause graft versus host disease (GVHD), a life-threatening complication of HCT. Indeed, anti-TNF- α therapy has been used to treat steroid-refractory GVHD. Recent studies have highlighted another role for TNFR2 signaling, as it enhances the function of immune cells with suppressive properties, in particular CD4⁺ Foxp3⁺ regulatory T cells (Tregs). Various clinical trials are employing Treg-based treatments to prevent or treat GVHD. The present review will discuss the effects of TNFR2 signaling in the setting of allogeneic HCT, the implications for the use of anti-TNF- α therapy to treat GVHD and the clinical perspectives of strategies that specifically target this pathway.

1. Introduction

Tumor necrosis factor- α (TNF- α) is a pleiotropic cytokine that plays a crucial role in immunity and inflammation [1–5]. Because of its pro-inflammatory activity, TNF- α is involved in the pathogenesis of immune-mediated diseases. Indeed, anti-TNF- α therapies are widely used for the treatment of autoimmune diseases [5,6]. However, recent studies show that TNF- α exerts a more complex immune regulatory role. TNF- α is expressed as a type II transmembrane protein, which can be converted to a soluble form. Both transmembrane and soluble TNF- α have biological activity and they act through two receptors, TNF receptor 1 (TNFR1) and 2 (TNFR2) [1–5]. TNFR1 is widely expressed and contains a cytoplasmic ‘death domain’, which recruits the adaptor molecule TNFR1-associated death domain protein (TRADD). TNFR1 interacts with different signaling complexes through TRADD, triggering either cell survival or cell death, depending on the cellular context [4,5]. TNFR1-deficient mice are defective in immunity to infection and

inflammation [7]. TNFR2 is expressed by immune, endothelial and neuronal cells, and it binds preferentially to transmembrane TNF- α [1–5]. TNFR2 binds directly the TNFR-associated factor 2, leading to activation of the nuclear factor ‘kappa-light-chain-enhancer’ of activated B cells and mitogen-activated protein kinase pathways [4,5]. Studies in TNFR2-deficient mice highlight the important role of TNFR2 in immune regulation. In fact, TNFR2-deficient mice are affected by exacerbated inflammation [7]. In mouse models of myelin oligodendrocyte glycoprotein-induced experimental autoimmune encephalomyelitis, TNFR2-deficiency is associated with severe disease, while TNFR1-deficiency with resistance to disease [8–10].

TNF- α effects on the function of immune cells after allogeneic hematopoietic cell transplantation (HCT) have been extensively investigated. Allogeneic HCT is the only cure for high-risk hematologic malignancies [11]. Donor alloreactive T cells are responsible for both beneficial and adverse reactions. Antigen presenting cells present host alloantigens to donor T cells. Once activated and expanded, alloreactive

Abbreviations: TNF- α , tumor necrosis factor- α ; TNFR2, TNF receptor 2; HCT, hematopoietic cell transplantation; GVHD, graft versus host disease; Tregs, CD4⁺ Foxp3⁺ regulatory T cells; TNFR1, TNF receptor 1; TRADD, TNFR1-associated death domain; GVT, graft versus tumor; NK, natural killer; IFN- γ , interferon- γ ; IL-2, interleukin-2; IL-12, interleukin-12; KIRs, killer cell immunoglobulin-like receptors; DCs, dendritic cells; Bregs, regulatory B cells; MDSCs, myeloid-derived suppressor cells; IL-10, interleukin-10

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T cells can eradicate malignant cells (graft-versus-tumor [GVT] effect), preventing relapse. However, they also attack host tissues and cause graft versus host disease (GVHD), a major cause of non-relapse mortality [11,12]. Approximately 30% of patients have an HLA-identical sibling donor. The remaining patients need an alternative donor, that could be a matched unrelated donor, an unrelated umbilical cord blood unit or a HLA haplotype mismatched (“haploidentical”) related donor [11,13,14]. Whatever the transplant protocol, relapse and GVHD are still the main causes of allogeneic HCT failure.

Preclinical and clinical studies showed that Natural Killer (NK) cells can exert a GVT effect without causing GVHD. NK cells are the first lymphocyte population to reconstitute after allogeneic HCT providing [15]. NK cells kill virus-infected and malignant cells in a MHC unrestricted manner and produce inflammatory cytokines such as interferon- γ (IFN- γ) and TNF- α , which contribute to the elimination of target cells and the regulation of the immune responses [16,17]. NK-cell activation is regulated by a balance of signals mediated by activating and inhibitory receptors. Human NK cells express inhibitory receptors for HLA class I molecules, including killer cell immunoglobulin-like receptors (KIRs) [16]. NK cells are “licensed/educated” by the interaction with self-HLA molecules and became reactive to transformed cells, that down-regulate HLA class I expression, or allogeneic targets that lack self-HLA molecules (so-called “missing self recognition”) [16,18,19]. After haploidentical transplantation, mismatches of the HLA-class I KIR ligands in the graft-versus-host direction (that is the donor possesses a HLA-class I KIR ligand that is absent in the recipient), trigger donor-versus-recipient NK cell alloreactions. These NK cell alloreactions are associated with reduced relapse and improved survival, particularly in patients with acute myeloid leukaemia [14,20–22]. Interestingly, alloreactive NK cells kill recipient hematopoietic targets but spare other tissues. They can even protect from T cell-mediated GVHD as they kill recipient antigen presenting cells [20–22]. Other studies showed that NK cells protect from GvHD by inhibiting the function of alloreactive T cells, while retaining the anti-tumor response [23,24]. At the same time, activated NK cells can indirectly contribute to T-cell mediated GVHD by production of IFN- γ and TNF- α . Moreover, a recent study has suggested that activated unlicensed host type NK cells promote allogeneic reconstitution and tolerance after HCT in a GM-CSF dependent manner [25].

GVHD prevention and treatment are mainly based on pharmacological immune suppression, which also affects the GVT effect [11–14]. Recent advancements in the prevention of GVHD are based on the adoptive transfer of regulatory CD4⁺CD25⁺FOXP3⁺ T cells (Tregs) that can prevent GVHD caused by the co-infusion of effector T cells even in the absence of post-transplant immune suppression. Tregs play a key role in the maintenance of self-tolerance and in immune homeostasis through their capability of suppressing the function of CD4⁺ and CD8⁺ effector T cells, B cells, NK cells, and antigen presenting cells [26–29]. Moreover, recent studies show that Tregs localize in the hematopoietic stem cell niche, where they contribute to HSCs maintenance and promote engraftment and B cell differentiation [30–32]. After allogeneic HCT, Tregs can inhibit the function of antigen presenting cells and suppress activation and expansion of alloreactive T cells in lymph nodes and in peripheral tissues [33–36]. Studies in preclinical models and clinical trials of HCT demonstrated that infusion of donor Tregs suppresses alloreactions against normal tissues, thus preventing GVHD [36–39]. Most importantly, Tregs do not impair alloreactions against tumor cells and, consequently, the GVT effect is preserved. The mechanism through which Treg adoptive transfer helps separating GVT from GVHD is under investigation. Moreover, infusions of low-dose IL-2, that selectively activate Tregs, have been used to treat chronic GVHD [40].

TNF- α levels are increased in patients with acute GVHD and correlate with disease progression [12,41–43]. After the conditioning regimen with chemotherapy and/or radiotherapy, TNF- α is rapidly released by tissue macrophages and it activates donor alloreactive T cells

that attack host tissues and cause GVHD. Donor T-cell derived TNF- α may also contribute to this detrimental activity [44]. The anti-TNF- α monoclonal antibody infliximab and the human recombinant TNF- α receptor etanercept have been used to treat steroid-refractory GVHD [42,45,46]. However, their clinical use has been limited by the lack of response in some patients, the high-risk of life-threatening infections, and the possibility of GVHD progression or even exacerbation [45]. Studies in murine models of allogeneic HCT demonstrated that TNF- α is also required for optimal GVT activity. Anti-TNF- α antibody administration is associated with early relapse in mice inoculated with a leukemia cell line [47,48]. Similar results were obtained when the transplant recipients were TNFR1-deficient or received TNF- α -deficient T cells [44,48].

The present review describes how TNFR2 signaling can affect the activity of various subsets of immune cells in the setting of allogeneic HCT. These subsets include effector T cells and NK cells, but also cells with regulatory and immune suppressive properties. We also discuss the clinical perspectives of strategies that specifically target this pathway in HCT.

2. TNFR2 signaling in effector T cells and NK cells

In addition to CD28-related molecules, several TNFR family members can act as co-stimulatory molecules for TCR-dependent T cell activation [49,50]. Studies in TNFR2-deficient mice and -deficient T cells showed that TNFR2 expression is upregulated on activated T cells and TNFR2 can act as a co-stimulatory molecule for T cell activation. In the early phase of T cell response, TNFR2 signaling promotes survival and regulates the threshold for clonal expansion through induction of interleukin-2 (IL-2) and anti-apoptotic molecules. As a consequence, TNFR2 deficiency is also associated with reduced pools of memory T cells in mice. Moreover, TNFR2 signaling activates CD8⁺ T cell effector functions, as it induces production of IFN- γ and expression of Fas-L and granzyme B [49–59]. Upon TCR stimulation, effector CD4⁺ T cells upregulate TNFR2 expression and become more resistant to suppression mediated by Tregs [60,61]. One study showed that TNFR2 expression by effector CD4⁺ T cells is required to induce full-fledged experimental colitis in mice [62]. TNFR2 signaling has also anti-inflammatory effects as it may contribute to clonal contraction and apoptosis of effector T cells. Chronic exposure to TNF- α or chronic TNFR2 stimulation has been associated with impairment of TCR signaling with a reduction of cytokine production, including IL-2 [50,53,63–67]. TNFR2 stimulation can also induce activated cell death of CD8⁺ T cells [59,68–70]. Finally, TNFR2 signaling inhibits naïve CD4⁺ T cell differentiation into Th17 cells, which are essential for immunity against fungal and extracellular bacterial infections, but are also involved in chronic inflammation and autoimmunity [71].

Some studies suggest TNFR2 signaling also plays a role in NK cell activation. NK cell differentiation, maturation and activation are reduced when TNF- α is blocked [72] and their ability to kill tumor cells is impaired in murine TNF- α ^{-/-} cells [73]. A recent study showed that NK cell degranulation and IFN- γ production are defective in rheumatoid arthritis patients after treatment with infliximab, adalimumab or etanercept [74]. Other studies also showed that IFN- γ release by NK cells is impaired in TNF- α or TNFR2 knockout mice. Exogenous administration of TNF- α fails to restore IFN- γ release in TNFR2 deficient NK cells [75,76]. Blockade of TNF- α or TNFR2, but not of TNFR1, reduced hepatic NK cell cytotoxicity [77]. However, the mechanisms that regulate TNFR2 expression on NK cells are still under investigation. TNFR2 is differently expressed by various NK cell subsets. Human CD56^{dim} NK cells and their mouse CD27^{dim} counterpart are mature cells endowed with the highest cytotoxic function. They display higher TNFR2 levels compared with the human CD56^{bright} and mouse CD27^{high} NK cell subsets, respectively. In contrast, TNFR1 expression is similar among these different subsets [76]. These studies suggest that CD56^{dim} NK cells have a lower threshold towards TNFR2 signaling. Additionally, upon

activation, NK cells produce both transmembrane and soluble TNF- α , and further increase the expression of TNFR1 and TNFR2 [74,77]. Several studies have shown that interleukin-12 (IL-12) can increase TNFR2 expression on NK cells and can enhance NK cell activation in conjunction with TNF- α or a TNFR2 agonist [75,76,78]. Interestingly, TNFR2 signaling is involved in the crosstalk between dendritic cells (DCs) and NK cells, which results in a bidirectional activation of the two cell population [77,78]. Recent studies have highlighted the importance of DC-NK cell crosstalk to generate strong anti-tumor responses. Thus, TNF- α /TNFR2 pathway can be exploited to enhance NK-cell immunity against cancer [79–82].

3. TNFR2 signaling in immune regulatory cells

Recent studies suggest that TNF- α promotes the function of immune cells with regulatory and suppressive properties, in particular of CD4⁺ Tregs. Mouse and human Tregs express higher levels of TNFR2 compared with effector T cells and priming with TNF- α in combination with IL-2 increases the expression of CD25 and FoxP3, and enhances mouse Treg proliferation and suppressive function *in vitro*. TNFR2⁺ Tregs display an activated phenotype and suppress Tcon proliferation and function more efficiently than TNFR2⁻ Tregs [60,83–89]. Further, TNFR2 can provide a co-stimulus to TCR signaling for effective mouse Treg development in the thymus [90]. Several reports show that TNF- α /TNFR2 pathway is required for optimal function of mouse Treg *in vivo*. For example, Tregs are capable of controlling colitis induced by the transfer of naïve CD4⁺ T cells into Rag1^{-/-} mice, while TNFR2-deficient Tregs are not [91,92]. In murine models of autoimmune diabetes, TNF- α produced by pathogenic T cells induces Tregs and exert a paradoxical protective effect [93]. The role of TNF- α /TNFR2 pathway has been extensively investigated in Treg-mediated prevention of GVHD. In a mouse model of HSC transplantation, mouse Tregs lost the ability to control GVHD caused by co-infused T cells when treated with a TNFR2 blocking antibody, or when they were TNFR2 deficient. In addition, Tregs did not control GVHD when TNF- α deficient T cells had been infused. Thus, Tregs need exogenous TNF- α production for their *in vivo* function [94]. Another study showed that activation with TNF- α could even improve control of GVHD exerted by mouse Treg. When donor Tregs were primed with TNF- α , they prevented GVHD and improved survival at an unfavorable Treg:Tcon ratio compared with unprimed Tregs [95]. Finally, Chopra et al. treated irradiated recipient mice with a TNFR2 agonist protein. The treatment expanded radiation-resistant host Tregs and was associated with reduced GVHD severity and prolonged survival after transplant. The beneficial effect of treatment with TNFR2 agonist was abolished in TNFR2 deficient recipients or where recipients were depleted of Tregs [96]. The last two studies also reported that the GVT effect was unaffected by Treg stimulation. Fig. 1 summarizes how TNFR2 signaling in mouse Tregs impacts on GVHD prevention. Some studies reported that the interaction between TNFR2 and transmembrane TNF- α induced Treg expansion [97,98]. However, there are contradictory data about the effects of TNF- α on human Tregs *in vitro* [89]. Thus, the role of the TNF- α /TNFR2 pathway in human Treg function needs further investigation in preclinical models. TNFR2 is also a marker of a subset of CD8⁺FoxP3⁺ T cells that are endowed with suppressive activity on effector T cells [50,99]. CD8⁺FoxP3⁺ T cells can be induced *in vitro* with anti-CD3 antibodies or anti-CD3/CD28 beads. Such cells express TNFR2 and TNF- α /TNFR2 interaction is involved in their induction [100,101].

TNF- α /TNFR2 pathway can affect the function of other subpopulations of immune cells with suppressive and tolerogenic properties that can contribute to GVHD control, such as regulatory B cells (Bregs) and myeloid-derived suppressor cells (MDSCs). Bregs exert their immune suppressive function mainly by releasing interleukin-10, playing an important role during infection and autoimmunity [102–106]. The occurrence of Bregs after allogeneic HCT has been correlated with reduced GVHD incidence [106]. Several studies suggest

that Bregs can regulate T cell polarization toward a regulatory FoxP3⁺ phenotype [106,107]. Both host and donor type IL-10 producing B cells can play an important role in the prevention of GVHD as IL-10 deficiency in the B cell compartment exacerbates GVHD [104]. Interestingly, TNFR2 signaling enhances IL-10 release by Bregs, and TNFR2 expression has been proposed as a marker to identify and select IL-10 producing Bregs [105]. Recent studies suggest that TNF- α promotes survival and enhances suppressive activity of MDSCs. They are a heterogeneous population of myeloid progenitors and immature myeloid cells that activate and expand in pathologic conditions, including cancer, inflammation, chronic infection, and autoimmune diseases [108,109]. Expansion and activation of MDSCs are mainly induced by growth factors and cytokines produced by tumor cells and activated T cells. Once activated, they gain the ability to suppress T cell responses and accumulate in lymphoid organs and in tumors, where they can also promote angiogenesis and metastasis. Some studies suggest MDSCs can also induce Tregs, and can suppress allogeneic T cells that cause GVHD [108,110–112]. TNF- α has been shown to block differentiation of immature myeloid cells and to promote MDSC survival, expansion and suppressive activity in mouse models of chronic inflammation and tumor [113–115]. Several studies suggest transmembrane TNF- α specifically promotes optimal MDSC function via TNFR2 [116–118].

4. Modulation of TNFR2 signaling to improve outcomes of allogeneic HCT

TNF- α promotes activation and proliferation of T cells and these effects are mainly mediated by signaling through TNFR2. After allogeneic HCT, TNF- α triggers donor T cell alloreactions, which target malignant cells but also normal host tissues. Moreover, TNF- α can also boost NK cell-mediated GVT effect. At the same time, TNF- α activates Tregs, which express higher levels of TNFR2 compared with effector T cells [60,81,85], and can suppress T cell alloreactions and prevent GVHD. Thus, TNF- α /TNFR2 pathway plays a complex immune regulatory role in allogeneic HCT, affecting the balance between GVT and GVHD (Fig. 2).

The role of TNFR2 signaling in Treg activation can also affect the efficacy of TNF- α blocking therapies in immune mediated diseases. In the setting of HCT, etanercept and infliximab are mainly used for the treatment of steroid-refractory GVHD. Indeed, some patients do not respond or even worsen after anti-TNF- α therapy. A pitfall of this therapy could be the inhibition of immune cells with suppressive function, particularly Tregs. The use of these anti-TNF- α drugs as form of GVHD prevention could be particularly detrimental, because Tregs suppress donor alloreactive T cell proliferation and mainly exert their protective effect against GVHD soon after transplant [34,119,120].

As signaling through the two TNF- α receptors may have different effects in the regulation of immune responses, reagents that specifically target TNFR2 have been recently developed [121]. They could represent a new option for the treatment of immune-mediated diseases, such as autoimmune diseases and GVHD. TNFR2 agonists can be exploited to selectively boost Treg function in order to improve prevention and therapy of GVHD. A limitation of Treg-based cell therapies in allogeneic HCT is the paucity of Tregs, as they constitute a small fraction of peripheral blood CD4⁺ T cells. Consequently, different strategies were developed to expand their number *ex-vivo*, while preserving their purity and stability as much as possible [122]. Current expansion protocols mainly use anti-CD3/anti-CD8 monoclonal antibody-coated beads plus IL-2 and rapamycin. One study showed that when TNF- α or a TNFR2 agonist antibody are added to those expansion protocols TNFR2⁺ Tregs are selectively expanded and are highly suppressive [86]. This strategy successfully expanded activated TNFR2⁺ Tregs from type 1 diabetic patients, who have decreased numbers of them [87]. Moreover, the addition of a TNFR2 agonist to a protocol of Treg expansion including a CD28 superagonist and rapamycin enhances hypo-methylation of the *FOXP3* gene and consequently promotes Treg

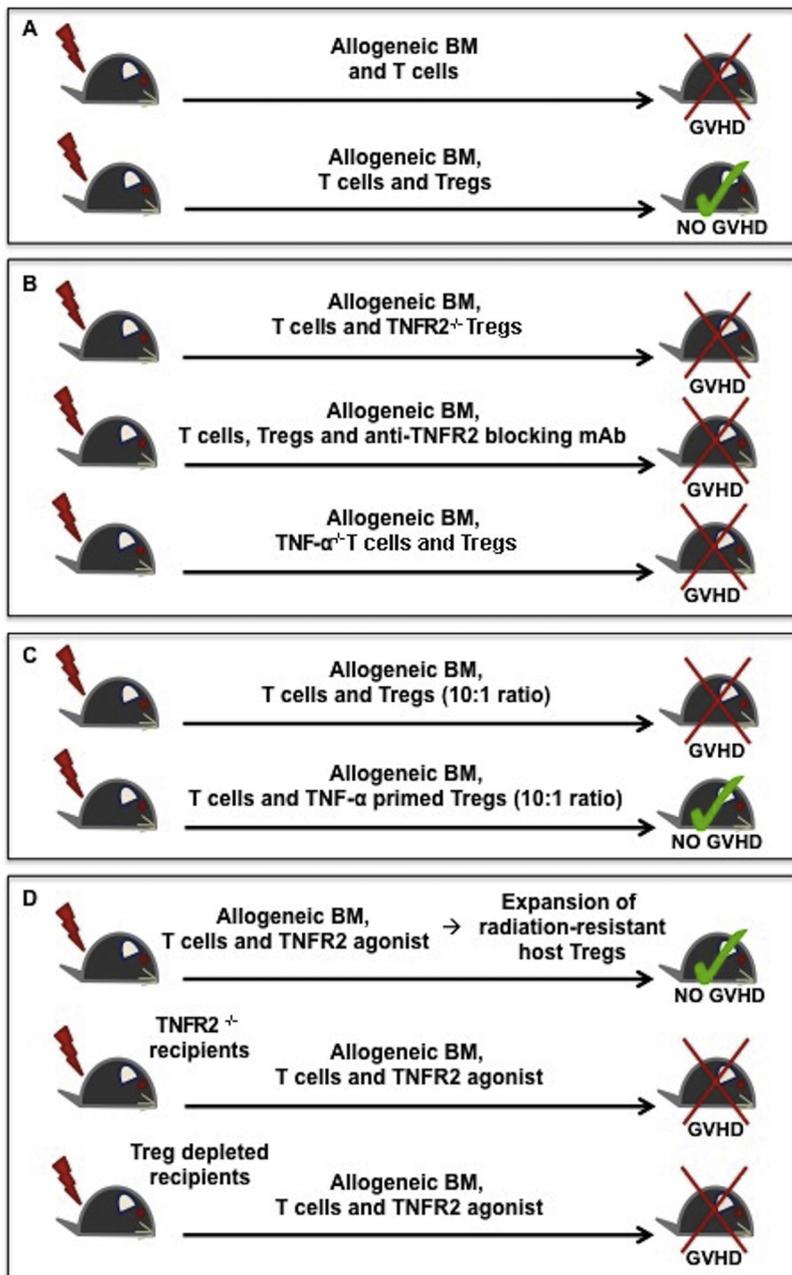


Fig. 1. TNFR2 signaling in mouse Tregs is essential for GVHD control after allogeneic HCT. (A) In mouse models of allogeneic HCT, adoptive transfer of donor Tregs prevents GVHD caused by co-infused donor alloreactive T cells. (B) Leclerc et al. showed that GVHD control is abrogated when mice are infused with either TNFR2 deficient Tregs or a TNFR2 blocking monoclonal antibody or TNF- α deficient T cells. (C) Pierini et al. showed that ex-vivo TNF- α primed Tregs prevents GVHD at an unfavorable Treg:Tcon ratio (1:10), while unprimed Tregs do not. (D) Chopra et al. showed that treatment with a TNFR2 agonist expands radiation resistant host Tregs, which reduce GVHD severity and improve survival. This effect is abrogated when recipient mice are TNFR2 deficient or are depleted of Tregs. BM, bone marrow; mAb, monoclonal antibody.

stability [123]. TNFR2 agonists can be also used to activate NK cells to be infused in patients who relapse after transplant, without the risk to induce GVHD. Indeed, recent studies have used cytokines to expand NK cells before infusing them in tumor-bearing mice. Furthermore, clinical trials with the adoptive transfer of expanded NK cells after chemotherapy are undergoing in patients with leukemia that are unfit for or refractory to standard treatments. These approaches have used IL-2, interleukin-15 (IL-15) and combinations of IL-15, IL-12 and interleukin-18, with promising results [15,124]. They can also be an option to prevent or treat relapse in HCT and could benefit from TNFR2 stimulation. However, further studies are needed to understand the full extent of NK cell activation induced by TNF- α or TNFR2 agonists.

Stimulation with TNF- α or TNFR2 agonists could be also exploited to expand Treg number and/or enhance Treg function in vivo. Tregs are supposed to be preferentially activated when total CD4⁺ T cells are stimulated with TNF- α or a TNFR2 agonist. Okubo et al. showed that Tregs specifically expanded in a subject treated with Bacillus Calmette Guérin, that induces secretion of TNF- α [86]. Moreover, TNFR2

agonists can promote the function of other immune suppressive cell subsets, such as Bregs, MDSCs and CD8⁺FoxP3⁺ T cells, which can contribute to GVHD control [112]. However, the possible side effects and the effectiveness of the stimulation of the TNF- α /TNFR2 pathway in vivo should be carefully evaluated. In fact, TCR engagement induces upregulation of TNFR2 expression on CD4⁺ effector T cells, which become more resistant to Treg-mediated suppression [61] and can trigger GVHD. In addition, one may hypothesize in vivo TNFR2 stimulation also enhances the NK cell-mediated tumor killing.

Further studies will ascertain if Treg activation with TNF- α or TNFR2 agonists could also inhibit T cell- and NK cell-mediated GVT effects. However, two recent preclinical studies of HCT showed that stimulation of TNFR2 signaling in mouse Tregs does not impair leukemia clearance [95,96].

5. Concluding remarks

Recent studies suggest TNF- α plays a regulatory role in immune

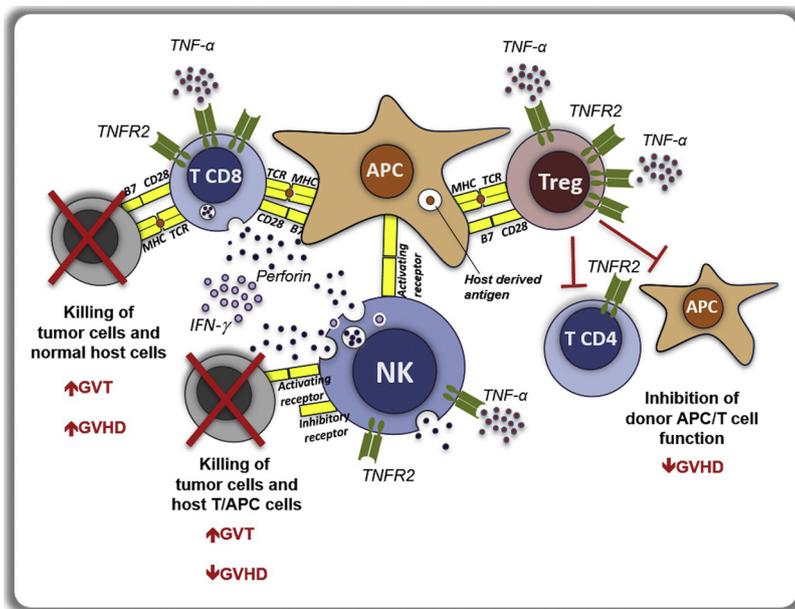


Fig. 2. TNFR2 signaling affects the balance between GVT and GVHD after HCT. After the conditioning regimen with chemotherapy and/or radiotherapy, TNF- α is rapidly released. TNFR2 signaling activates the function of donor alloreactive T cells and NK cells that exert a strong GVT effect. However, donor alloreactive T cells attack normal host cells causing GVHD. These adverse reactions can be prevented by Tregs. Tregs express high levels of TNFR2 and TNF- α strengthens their function.

homeostasis. In the setting of HCT, TNFR2 signaling can affect the balance between GVT and GVHD, because it promotes the function of immune cells endowed with effector functions, but also of immune cells with regulatory and suppressive properties. Promising studies in humanized mouse models are on going to clarify if targeting TNF- α /TNFR2 pathway could be useful to prevent or treat GVHD while retaining the GVT effect.

Conflict of interest

None.

Author contributions

A.M., writing; M.A., writing; S.P., writing; A.V., review and editing; A.P., writing, review and editing.

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