



## Folate-targeted immunotherapies: Passive and active strategies for cancer

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### ABSTRACT

The glycoprotein FR $\alpha$  is a membrane-attached transport protein that is shielded from the immune system in healthy cells. However, it is upregulated in various malignancies, involved in cancer development and is also immunogenic. Furthermore, FR $\alpha$  is a tumor-associated antigen endowed with unique properties, thus rendering it a suitable target for immunotherapeutic development in cancer. Various anti-FR $\alpha$  immunotherapeutic strategies are thus currently being developed and clinically assessed for the treatment of various solid tumors. These approaches include passive anti-FR $\alpha$  immunotherapies, such as monoclonal antibodies, or active immunotherapies, such as CART, folate haptens and vaccines. In this review, we will explore the advances in the field of FR $\alpha$ -based immune therapies and discuss both their successes and shortcomings in the clinical setting.

### 1. Introduction

The human folate receptor (FR) is a glycoprotein with increased affinity for folic acid and circulating folate, (6S)N<sup>5</sup>-methyltetrahydrofolate [1]. Three genes, hFR $\alpha$ , hFR $\beta$  and hFR $\gamma$ , can encode functional folate receptors in humans [2]. hFR $\alpha$  is the most common isoform. It is expressed at the luminal surfaces of polarized epithelial cells in adult healthy tissues, such as type I and II pneumocytes in the lungs, proximal kidney tubes, choroid plexus, uterus, epididymis, fallopian tube, ovary and throphoblasts in the placenta [3]. The FR $\alpha$  consists of a globular structure comprising 4 long  $\alpha$  helices, 2 short  $\alpha$ -helices, 4 short  $\beta$ -strands linked to multiple loop regions [4]. Although its expression is limited in healthy tissues, it is upregulated in various cancers [5,6], such as non-mucinous adenocarcinomas of the uterus, cervix and ovary, testicular choriocarcinoma and brain tumors, breast, lung, colon and kidney cancers.

The overexpression of FR $\alpha$  in many solid tumors, its involvement in

cancer pathogenesis and its immunogenicity have rendered it a potential drug target for solid cancers. In fact, research has unveiled the pivotal role that FR $\alpha$  plays in cancer development. For instance, the upregulation of FR $\alpha$  in tumor tissue correlates with an elevated uptake of folate, a key nutrient for dividing cells. Transfecting FR $\alpha$  into cancer cells thus induces faster replication, resulting in worse prognosis and treatment resistance in various malignancies. Seeing as FR $\alpha$  is immunogenic, it has additionally been studied as a promising target for immunotherapeutic interventions. FR $\alpha$  harbors immunogenic sequences that are recognizable by tumor-associated lymphocytes (TAL). Furthermore, two immunogenic peptides derived from FR $\alpha$ , namely E39 and E41, can improve TAL induction and anti-cancer action [7]. In fact, when TALs are stimulated with these peptides, they can recognize and lyse FR $\alpha$  expressing cancer cells from various tissue lineages [8]. These properties have positioned FR $\alpha$  at the forefront of immunotherapeutic drug design, making it an ideal target for the design of passive and active immuno-strategies, such as monoclonal antibodies,

**Abbreviations:** ACT, adoptive cell transfer; ADC, antibody-drug conjugates; ADCC, antibody-dependent cell-mediated cytotoxicity; BBB, blood-brain-barrier; CAR, chimeric antigen receptor; CART, chimeric antigen receptor T cell therapy; DNA, deoxyribonucleic acid; EGFR, epithelial growth factor receptor; EOC, epithelial ovarian cancer; FA, folic acid; FR, folate receptor; FR $\alpha$ , folate receptor alpha; hFR $\alpha$ , human folate receptor alpha; FR $\beta$ , folate receptor beta; IgE, immunoglobulin E; IgG, immunoglobulin G; mAb, monoclonal antibody; NSCLC, non-small cell lung cancer; NFkB, nuclear factor kappa-light-chain-enhancer of activated B cells; PD-1, programmed cell death protein 1; PTEN, phosphatase and tensin homolog; RCC, renal cell carcinoma; RNA, ribonucleic acid; SEERS, surface-enhanced, resonance Raman scattering; siRNA, silencing RNA; TAA, tumor-associated antigens; TAL, tumor-associated lymphocyte; TCB, T cell bispecific antibody; TLR, toll-like receptors; TNF $\alpha$ , tumor necrosis factor alpha; VEGF, vascular endothelial growth factor

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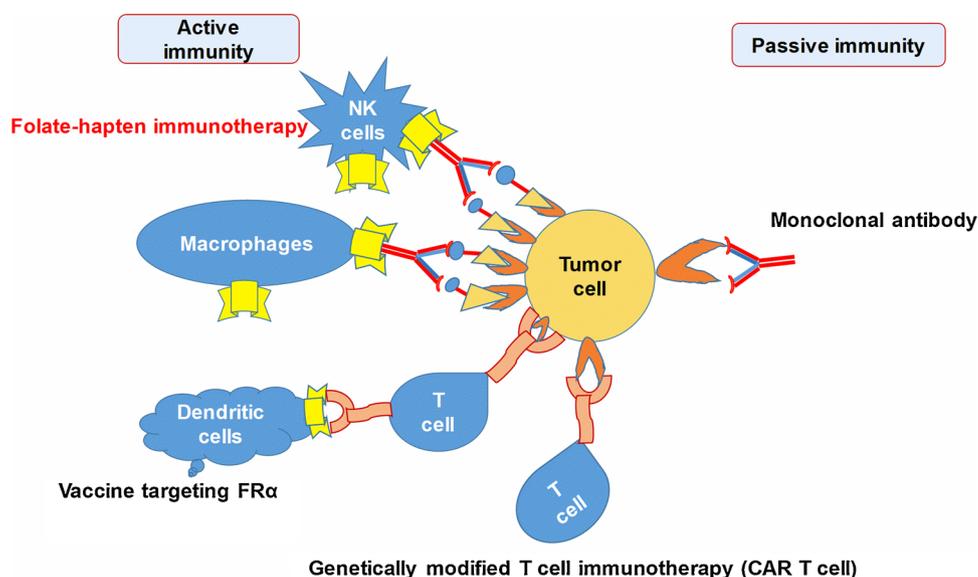
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**Fig. 1.** Different immunotherapy approaches to target FR $\alpha$ . FR $\alpha$  is an ideal target for the design of both passive and active immunotherapies. Passive immunotherapy includes the injection of monoclonal antibodies that recognize FR $\alpha$  and inhibit its signaling pathways, resulting in malignant cell death. Active strategies include: 1) Chimeric antigen receptor (CAR) T cells, which recognize FR $\alpha$ , resulting in the killing of tumor cells; 2) Vaccines targeting FR $\alpha$ : dendritic cells containing FR $\alpha$  mRNA elicit an anti- FR $\alpha$  immune response carried out by T cells; 3) Folate-hapten immunotherapy: immune effector cells harboring Fc receptors bind to the folate-hapten and FR $\alpha$  complex, leading to antibody-dependent cell mediated cytotoxicity (ADCC) and phagocytosis (ADCP).

CART therapies or folate-based vaccines, aimed at re-instating the body's humoral immune response against tumor cells (Fig. 1). In this review, the various strategies of FR $\alpha$ -based immunotherapies developed to date will be discussed in detail to delineate the advances achieved in this field and the various hurdles that need to be overcome to achieve successful outcomes.

## 2. FR $\alpha$ in passive immunity

FR $\alpha$  constitutes an attractive immunotherapeutic target to bypass tumor-induced immunosuppression and restore a long-lasting, robust immune response. Consequently, it can be used as a 'docking site' for delivering folate-associated immunotherapeutic agents to FR $\alpha$ -overexpressing tumor cells. Hence, enriching tumor surfaces with foreign haptens through FR $\alpha$  could offer an efficient approach for improving the immunogenicity of tumor cells without provoking an inflammatory response [9]. Various passive and active immunotherapies have so far been tailored to target immunotherapeutic drugs to tumors that overexpress FR $\alpha$ , including 1) monoclonal anti- FR $\alpha$  antibodies, 2) FR $\alpha$ -targeted localization of T cells, 3) radioimmunotherapy, 4) vaccination against FR $\alpha$  using different approaches, and 5) folate-linked haptens, to name a few [8,9]. A number of these strategies were evaluated in the clinical setting, with differing degrees of success that will be discussed below in greater detail.

### 2.1. MOv18

The central premise of passive immunity is based on the administration of monoclonal antibody therapy. Accordingly, FR $\alpha$  has been passively targeted with chimeric, mouse and human antibodies, alone or as conjugates for the delivery of T cells, radionuclides and cytokines to cancer tissues [8]. Another approach consists in the autologous transfusion of T cells, which employs T cells that have been genetically altered to localize to FR $\alpha$ -overexpressing cancer cells [8]. Historically, the association between FR $\alpha$  expression and cancer was established following the discovery of 2 mouse monoclonal antibodies, i.e. MOv18 and 19, that can react with OC antigens exclusively without affecting normal tissue [10]. The protein targeted by these antibodies was later isolated and identified as FR $\alpha$  [11]. To reduce their immunogenicity and improve their efficacy, both MOv18 and 19 were modified into chimeric antibodies [12]. A phase I clinical investigation that ensued assessed the effects of increased doses of chimeric MOv18 on patients with recurring OC and reported favorable results that were, however,

not corroborated by a subsequent study [13,14]. These findings thus stressed the need for improving these monoclonal antibodies in order to enhance their pharmacokinetic properties. A subsequent investigation evaluated the feasibility of labelling MOv19 with the radioisotope 90Y and found that the antibody retained its binding ability and specific *in vivo* localization capacity to FR $\alpha$ -expressing malignancies, suggesting that the 90Y-MOv18 antibody might be clinically useful [15]. Further optimization of the antibody generated the (177)Lu-labeled MOv18, which displayed greater pharmacokinetic activity and improved therapeutic outcomes as compared to 90Y-MOv18 [16]. Advances have also permitted the development of a monoclonal antibody that reacts against both FR $\alpha$  and FR $\beta$ . The rationale behind this development is that a monoclonal antibody that can target both FR $\alpha$ -overexpressing and FR $\beta$ -overexpressing tumor-linked macrophages could exert enhanced anti-tumor activity compared to individual anti-FR $\alpha$  and anti-FR $\beta$  antibodies [17]. Further development is required to improve this strategy and its clinical outcomes, as results have so far been disappointing.

### 2.2. MORab-003

MORab-003, also known as farletuzumab, is a humanized formula of the monoclonal murine antibody, LK26, and constitutes a potential passive immunotherapeutic agent for FR $\alpha$ -overexpressing malignancies [8]. The humanized MORab-003 antibody displayed promising cytotoxic activity in animal models, eliciting cancer cell lysis through antibody-induced cytotoxicity [18]. These encouraging findings prompted further investigation of this antibody in the clinical setting. Accordingly, a phase I investigation was performed to test farletuzumab safety in epithelial ovarian cancer (OC). The study revealed that MORab-003 was safely tolerated by pretreated OC patients [19], leading to a phase II study that investigated its clinical activity alone or combined with chemotherapy in refractory platinum-sensitive OC [20]. The trial found that farletuzumab plus carboplatin and taxanes could improve the length of the therapeutic response in tested patients, thus resulting in a phase III study [21]. Unfortunately, the results of this trial were disappointing as farletuzumab failed to meet its PFS end-point. However, close scrutiny of the findings revealed that a specific subgroup of OC patients harboring low baseline CA-125 levels demonstrated increase PFS and OS following farletuzumab treatment [21]. These observations indicate that farletuzumab could be beneficial to a selected subset of the OC population, and that biomarker-based patient selection could improve the outcomes of clinical trials, thus leading to more personalized

targeted therapeutic strategies. Furthermore, as the authors of the study indicate, these findings warrant further investigation to elucidate the contribution of CA125 to natural killer cells activity and the therapeutic efficiency of farletuzumab. For instance, increased CA-125 levels could suppress the immune response of farletuzumab-induced ADCC by inhibiting natural killer cells, thus potentially explaining why patients with depleted CA-125 levels develop a more potent MORab-003-elicited immune response [21]. A subsequent study evaluated the genetic profile of the farletuzumab-responsive population and found that this subset exhibits increased binding affinity to the FCGR3A-158 V receptor. Furthermore, this subset displayed improved clinical outcomes in patients harboring low CA-125 levels coupled to at least one FCGR2A or FCGR3A high-affinity allele [22]. This further highlights the importance of in-depth genotyping and patient screening for enhancing the specificity and outcomes of clinical trials and targeted therapeutic regimens. Additionally, the results of these clinical trials suggest that improving the pharmacokinetic profile of farletuzumab could yield enhanced therapeutic action. In fact, the new-generation MOR-ab-202 antibody, composed of MORab-003 conjugated to eribulin, a microtubule targeting agent, demonstrated improved *in vivo* specificity and exerted enhanced durable and potent anti-tumor effects in a xenograft model, highlighting its potential as a novel therapeutic strategy [23]. Ultimately, farletuzumab could exert more efficient anti-tumor effects when combined with other targeted agents as part of a therapeutic regimen that suppresses multiple downstream resistance mechanisms.

### 2.3. IgE

Research indicates that the efficiency of immune therapies in solid tumors can be enhanced by IgE antibodies, particularly FR $\alpha$ -targeted monoclonal IgE, compared to the traditionally used IgG antibodies. This increased efficacy is due to the fact that IgE can bind to Fc receptors on mast cells, macrophages and eosinophils with higher affinity than conventional IgG [8,24], thus highlighting the potential therapeutic applications of IgE. These observations were corroborated by studies revealing that the anti-tumor effects of FR $\alpha$ -targeting MOv18 IgE surpassed those of analogous IgG in xenograft models of ovarian cancer [24], further delineating a strategy for improving immunotherapeutic outcomes in solid cancers. Furthermore, molecular studies have shown that anti- FR $\alpha$ IgE exerts its cytotoxic action by upregulating TNF $\alpha$ , which stimulates MCP-1 synthesis by tumor cells and monocytes, resulting in a chemotactic response. Hence, anti-FR IgE functions by reprogramming macrophages and monocytes in the tumor microenvironment, an observation that argues for the use of IgE antibodies to ameliorate immune surveillance and combat tumors [25,26]. This alternative therapeutic approach could overcome the limitations of conventional IgG antibodies but still requires validation in the clinical setting to ascertain its potency and efficiency. To explore this question, a recent study evaluating the safety of MoV18 IgE in a rodent model confirmed its association with increased TNF $\alpha$  levels and enhanced immune cell infiltration of the tumor [27], further supporting its translation to the clinical setting.

### 2.4. Cytokine-linked monoclonal antibodies

Another form of passive immunotherapy consists in administering immunomodulating cytokines as monotherapies or combined with other therapeutic regimens to treat malignancies. However, this therapeutic option is poorly tolerated because of its side effects [8] and requires improvements to mitigate its toxicity while enhancing its safety. An effective strategy to diminish these undesired side effects is to target immunomodulatory cytokines to the tumor microenvironment using appropriate tags. This approach was attempted for FR $\alpha$ -overexpressing tumors by interlinking IL-2 to a single chain of MOv19 and was found to exert more potent anti-tumor activity than IL-2 alone in an ovarian cancer model [28]. Recent advances have permitted the design

of novel solutions that exploit nanotechnology for enhanced targeted delivery of immunogens and immunocytokines to FR $\alpha$ -overexpressing cells. For instance, an FR $\alpha$ -targeting lipoplex, incorporating plasmid IL-12 was tailored and investigated in an *in vivo* colon cancer model, resulting in enhanced anti-tumor action characterized by increased apoptosis and TNF $\alpha$  secretion and reduced microvessel density [29]. A similar FR $\alpha$ -targeting lipoplex loaded with IL-15 plasmid or pIL15 was also developed and resulted in improved anti-cancer activity in an *in vivo* colon cancer model [30]. These various findings suggest that FR $\alpha$ -targeted delivery of immunogens and immunocytokines could be a potential treatment approach for FR $\alpha$ -positive tumors and warrants additional development and investigation to assess its clinical relevance.

## 3. Active immunotherapy

In contrast to passive immunotherapy, active immunotherapy is a form of immune therapy aimed at enlisting and activating the host's immune system and instating lasting immune protection against tumor development and progression. Although active immunotherapy has not yet achieved the breakthroughs of its passive counterpart, it remains an attractive option supported by promising outcomes in animal models, dispersed clinical successes [8] and technological advances. Recent years have witnessed increasing efforts to tailor active immunotherapies against FR $\alpha$ -overexpressing malignancies using various strategies such as genetically altered autologous T cells, folate-associated haptens to induce immunogenicity against tumors cells, as well vaccine approaches incorporating single and multi-epitope peptide vaccines [8]. Although this flurry of technological advances has not yet met resounding clinical success, it remains a promising endeavor due to the absence of auto-immune occurrences in active anti-FR immune therapies.

### 3.1. T cell activating approaches

One of the most attractive immunotherapeutic approaches that have emerged recently is “Adoptive Cell Transfer” or ACT, an innovative strategy based on harnessing and exploiting the patient's own immune cells to combat their tumor. While several forms of ACT have been developed, the most clinically enticing and studied strategy is CAR T-cell therapy, described as “giving patients a living drug” by Renier J. Brentjens from Memorial Sloan Kettering Cancer Center. This therapeutic strategy revolves around T cells, as its name suggests, and consists in drawing blood from patients, isolating their T cells and genetically re-engineer them to produce surface receptors known as chimeric antigen receptors or CARs. The T cells expressing CAR, endowed with a selective tag that allows them to recognize specific molecules expressed on cancer cell surfaces, are then expanded in the laboratory and infused into the patients. This technological advance is specifically pertinent to FR-overexpressing tumors and has led to the engineering of T cells armed with FR tags or bispecific antibodies that have been tested in the clinic.

The first phase I trial testing genetically modified T cells targeted against FR in ovarian cancer had disappointing outcomes. The autologous T cells were harvested and genetically altered to express an Fc/MoV18 fusion protein following which they were reinfused into patients [31]. The T cell transfusion was administered in combination with IL-2 adjuvant in one instance, while the T cells harbored dual-specificity in the other. Unfortunately, the cells failed to persist in the long term, highlighting the necessity of developing solutions to improve T cell persistence. The results of this trial and other clinical trials are listed in Table 1. In contrast, immunotherapy of ovarian cancers using bi-specific monoclonal antibody to retarget T cells lead to tumor regression with mild toxicity although greater improvements in systemic antitumor responses are required to enhance this approach [32]. Protein engineering advances have allowed the development of new-

**Table 1**  
Clinical trials investigating folate immunotherapies in solid cancers.

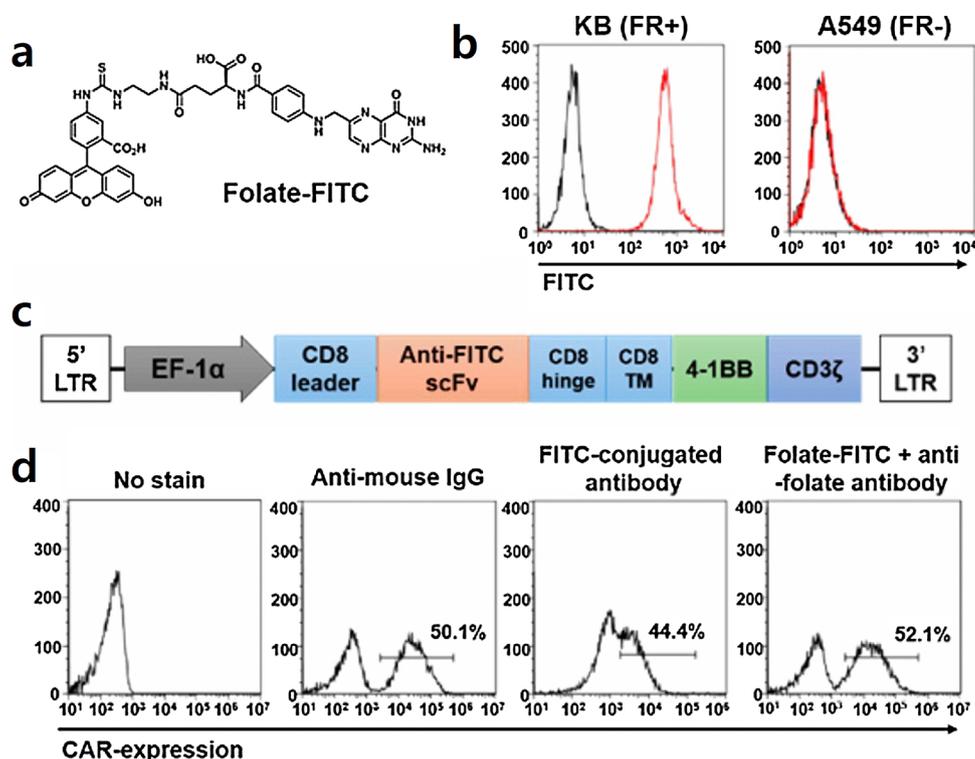
Treatment	Cancer	Number of patients	Dose	Outcome	Reference
c-MOV18	Ovarian Carcinoma	15	5–75mg	Good binding to antigen	[13]
c-MOV18	Ovarian Carcinoma	5	50mg	No response	[14]
Farletuzumab	Epithelial ovarian carcinoma	25	12.5–400 ng/m <sup>2</sup>	Safe and well-tolerated	[19]
Farletuzumab	Epithelial ovarian carcinoma	5	100 mg/m <sup>2</sup> single or combined with carboplatin and taxane	Significant clinical activity and enhance response duration	[20]
Farletuzumab	Ovarian Carcinoma	1,100	Farletuzumab (1.25 mg/kg or 2.5 ml/kg) with carboplatin and docetaxel or paclitaxel	Failed to achieve endpoints	[21]
Autologous gene modified T cells	14	14	T cells (720,000 IU/kg) and IL2 or allogeneic PBMC	No response observed	[31]
Folate immune	Renal Carcinoma	28	0.2mg	Well tolerated	[44]
Folate immune	Renal Cell Carcinoma	24	1.2mg	Moderate clinical activity	[46]
E-39 + GM-CSF	Ovarian and Endometrial Cancer	51	250mcg	Encouraging efficacy	[50]

generation BsABs and CARs with improved persistence in circulation, targeted localization to the tumor and enhanced tumor toxicity. One such design consisted in FR $\alpha$ -retargeted CAR- T cells, incorporating the CD137 (4-1BB) domain [33,34]. Another group reported the development of an anti-CD3 Fab-folate conjugate that can target T cells to FR $\alpha$ -overexpressing tumors, leading to enhanced T-cell associated elimination of FR $\alpha$ -positive cancer cells *in vitro* [17]. Another solution aimed at modulating the expansion and improving the safety of T cells therapies consisting in tailoring a bi-functional switch composed of folate linked to folate-FITC that can retarget FITC-associated T cell action toward FR $\alpha$ -positive tumors with increased specificity [35]. This construct exerted high cytotoxicity against FR $\alpha$ -positive cells, indicating that this innovative solution could enhance CAR- T cell immunotherapies safety and warrants further development and pre-clinical testing (Fig. 2).

Another paradigm shift consisted in developing a completely human CAR composed of C4 human FR $\alpha$ -specific single chain antibody variable fragments conjugated to T cell signalling intracellular regions [36]. These fully human CARs produced proinflammatory cytokines and displayed cytolytic action against *in vitro* FR $\alpha$ -overexpressing tumors and resulted in ovarian cancer regression in a xenograft model. Compared to mouse MOv19 based FR $\alpha$  CAR, the human CARs exerted similar cytotoxic effects but demonstrated diminished recognition of normal cells that express low FR $\alpha$  levels [36]. These findings indicate that T cells harboring fully human CARs can effectively eliminate FR $\alpha$ -expressing tumors and could overcome the “on-target and off-tumor” toxicities associated with CARs composed of mouse derived variable antibody fragments, thus establishing new pre-clinical optimization paradigms. Lentiviral technologies were also exploited to design a novel RNA CART T cell strategy. In fact, an RNA platform was employed to re-engineer T cells to express FR $\alpha$ -associated CD27 CARs formed from human components. These RNA CARs elicited potent cytolytic action against FR $\alpha$ -positive tumors and promoted the regression of human ovarian cancer xenograft models [37]. Hence, these findings support the clinical investigation of RNA CARs to test their safety and efficiency in patients and delineate a road map for the translation of this immunotherapeutic approach to the clinic.

Technological developments have also allowed to the design of an innovative therapeutic tool consisting of T cell bispecific antibodies (TCBs) that target FR $\alpha$  and CD3, recruit the T cells to tumor sites and stimulate them. The novel FolR1-TCB lead to the activation of intratumoral T-cells in various malignancies but its efficiency was hindered by the abundance of PD-1 infiltrating T cells [38]. These findings suggest that combining TCBs with other drugs that target T-cell dysfunction could yield enhanced cytotoxic activity and improve immunotherapeutic outcomes. An alternative strategy to ameliorate the efficacy of CART therapies in solid cancers consisted in resorting to oncolytic viruses, which can act synergistically with immunotherapies as a result of their oncolytic immunogenic characteristics and their ability to incorporate transgenes in their genome [39]. For this purpose, an oncolytic adenovirus comprising an EGFR-targeted bispecific T cell engager (OAd-BiTE) was developed and tested in combination with anti- FR $\alpha$ CART cells. BiTE-elicited oncolysis improved CART-cell recruitment and proliferation and enhanced anti-cancer activity in animal models. These findings indicate that combining these two therapeutic approaches can bypass the shortcomings of each alone and warrants further development and clinical testing to assess its efficiency.

Adoptive FR CART immunotherapy has been tested in a number of FR $\alpha$ -overexpressing tumors and has demonstrated promising pre-clinical potential. For instance, Song et al. [40] studied the efficiency of anti-FR $\alpha$ CAR treatment on triple negative BC cells and the anti-tumor action of engineered T cells, both *in vitro* and *in mice*. The investigation demonstrated that FR $\alpha$  CAR T cells exerted anti-tumor action against TNBC tumors, specifically those that express increased levels of FR $\alpha$ . These findings indicate that pre-selecting patients that express increased antigen levels could enhance the outcomes of CAR-based approaches in clinical trials and lead to more personalized treatment options. Another study evaluated the anti-tumor activity of CIK cells altered with third generation FR $\alpha$  CARs in



**Fig. 2.** (a). Structure of folate-FITC. (b). Assessment of folate-FITC binding to KB (FR+) and A549 (FR-) cell lines by flow cytometry. The cells were labeled with (red line) or without (black line) 50 nM folate-FITC and analyzed by flow cytometry in the FITC channel. (c). Anti-FITC CAR lentiviral construct. (d). Anti-FITC CAR expression on human T cells was assessed by direct staining with antimouse IgG F(ab')<sub>2</sub> antibody (Jackson Immuno Research) or a FITC-conjugated isotype antibody (eBiosciences). Expression was also detected by primary staining with folate-FITC and secondary staining with an antifolate antibody (Novus Biologicals) conjugated with Alexa Fluor 647 dye [35].

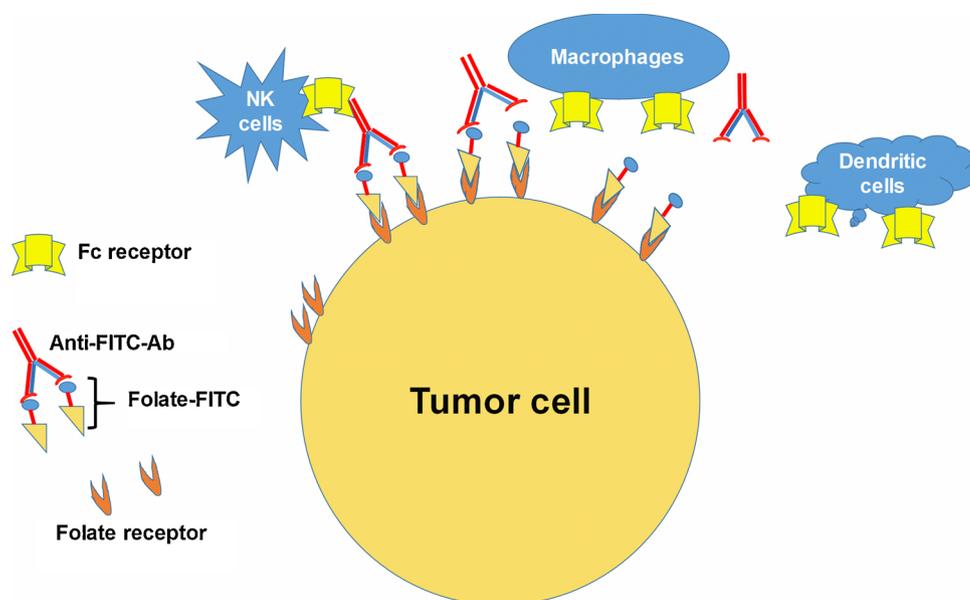
ovarian cancer cells and detected enhanced anti-cancer immunity using this approach [41]. Adopting another innovative technical strategy, a third study aimed at tailoring a switch-modulated approach in order to allow increased control of reactivity of CART in NSCLC. The group generated anti-FITC CAR T cells that can target cells expressing both FR $\alpha$  and FR $\beta$  [42]. The bi-specific adaptor-modulated CART therapy attacked both tumor cells and tumor-linked macrophages in NSCLC, thus warranting further development and pre-clinical investigation. FR $\alpha$  CART therapy was also tested in gastric cancer and demonstrated favorable results in FR-positive gastric cancer cells [43], thus supporting further investigation.

### 3.2. Folate-hapten immunotherapy

Folate-hapten immunotherapy is a multi-step targeted strategy aimed at 1) eradicating FR $\alpha$ -overexpressing tumors and 2) re-educating the immune system to identify then eliminate cancer cells, independent of their FR $\alpha$  status [9]. For this purpose, the tumor-expressing animal is vaccinated against foreign antigenic haptens, thus leading to a potent humoral anti-hapten immune response (step 1) [9]. While the immune response of a cancer patient to his/her own tumorigenic antigens might be silenced during tumorigenesis, this step harnesses his/her uncompromised ability to launch an immune attack targeting foreign antigens. Following the induction of an adequate anti-hapten titer, the folate-hapten conjugate is systematically administered as part of step 2 [9]. The tumor specificity of the ligand ensures the selective tagging of cancer cells and avoids the marking of normal cells for destruction. For example, folate-fluorescein (folate-FITC) decorates FR $\alpha$ -expressing tumor cells specifically while sparing normal tissues. Next, step 3 unfolds spontaneously, as the anti-haptens induced in step 1 attach to the exposed haptens on cancer cell surfaces, leading to step 4, which implicates the recognition of the antibody-decorated cancer cells by the immune cells bearing the Fc receptors, including macrophages, dendritic cells and natural T killers [9]. Additionally, cytokines are dispensed during this step to improve the anti-tumor action of the infiltrating immunogenic cells. Finally, step 5 consists in the extermination of tagged cancer cells by antibody-elicited mechanisms, including ADCC and antibody-induced phagocytosis, thus ensuring that the immune system is effectively scrutinizing destroyed cancer cells [9]. This immunotherapeutic strategy provides a rounded plan to

reactivate the immune system and harness its natural defenses to eradicate malignant cells, while preventing toxicity to healthy cells, and has been tested in a number of cancers, with varying levels of success (Fig. 3).

The first study to investigate the efficiency of folate-hapten immunotherapy was conducted by Lu and Low [44]. It investigated the ability of folate and fluorescein conjugates in marking the cellular surface of murine lung tumor cells using large hapten numbers, against which a potent antibody titer was previously elicited. IL-2 and IFN- $\alpha$  were used as stimulatory cytokines. The study revealed that a significant enhancement of life span and even complete cures coupled to long-term tumor-targeting immunity were achieved using folate-fluorescein conjugates in combination with lower concentrations of IL-2 and IFN- $\alpha$  in this pre-clinical model [44]. This report indicated that the synergy between the immunotherapy and enhancing cytokines is required to boost the immune system and that further optimization of this strategy is necessary to ameliorate the efficiency of folate-targeting immunotherapies. These results prompted a first clinical study investigating folate-hapten immunotherapy in human patients, namely the safety and efficiency of an immunotherapeutic treatment termed Folate Immune in FR-positive renal cell carcinoma patients [45]. This regimen combines a vaccine, i.e. EC90 plus GPI-0100, as well as a folate-hapten conjugate (EC17), which can target the FR $\alpha$ -overexpressing tumor cells. It consists of a sequence of vaccinations directed against (EC90) hapten fluorescein combined to GPI-0100 to induce the generation of FITC-selective antibodies, followed by inoculation with EC17. The hapten causes bridge formation between the anti-hapten antibodies and the tumor cells, thus tagging cancer cells for antibody-elicited eradication. The major side-effect reported in this trial was hypersensitivity to EC17, particularly in underimmunized patients due to anti-FITC IgE antibody formation as well as the occurrence of undetectable levels of bisfluorescein in EC17, which prompted the development of an early desensitization strategy. The overall results of this trial indicated that this regimen was tolerated at clinically suitable doses, leading to a phase II investigation further evaluating the efficiency of this treatment. The phase II study examined whether the folate immunotherapy could be enhanced by combination with IL2 and IFN- $\alpha$  in RCC [46]. The regimen was well-tolerated but displayed limited cytotoxic activity. These results suggested that combining folate immune therapy with alternative companion therapeutic strategies could improve its anti-tumor immunogenic activity.



**Fig. 3.** Effector cells such as macrophages, dendritic cells or natural killer (NK) cells recognize the antibody conjugated to the folate-hapten (folate-FITC) complex on the surface of folate receptor (FR)-positive tumor cells through their Fc receptors. This activates the immune system, eventually leading to the eradication of the tumor cells [9].

For instance, published reports have indicated that combining folate targeted hapten immunotherapy using low-dose radiation therapy in a mouse model seemed to improve anti-tumor activity [47]. Another potential companion therapy consists in the co-administration of folate-fluorescein immunotherapy with VEGFR inhibitors (sunitinib and axitinib). The study revealed synergistic effects between VEGFR inhibitors and folate targeting immunotherapy that enhanced their ability to recruit CD4+ and CD8+ T cells, inhibit tumor development, suppress angiogenesis through immune-cell induced eradication FR $\alpha$ -positive tumor cells and FR $\alpha$ -positive tumor-related MDSCs and macrophages [48]. These findings suggest that this particular combination regimen, incorporating folate immunotherapy and targeted VEGFR therapy, might be a useful strategy against various solid cancers and warrants further investigation in pre-clinical and clinical studies.

### 3.3. Peptide-based vaccines

Another strategy that harnesses the properties of active immunity consists in the development and administration of peptide-based vaccines. In fact, a peptide-based vaccine incorporates immunogenic peptides, isolated from tumor-associated antigens (TAAs) in conjunction with immune-adjuvants, in order to instate TAA-specific immunogenicity. The use of single peptides bears various advantages. For instance, delivery of individual peptides devoid of non-immunogenic molecules present in common vaccination techniques induces a potent antigen-specific immune response that is crucial to bypass tumor immune escape. Furthermore, vaccine peptides are inexpensive, well-tolerated and generate well-characterized and easily monitored immunological responses (Fig. 4). One major setback of individual peptides, however, is that they are frequently HLA-restricted and only elicit immune responses to epitopes comprised in a vaccine. Hence, the use of multiple epitopes at once could hamper the dosage and effectiveness of individual peptides.

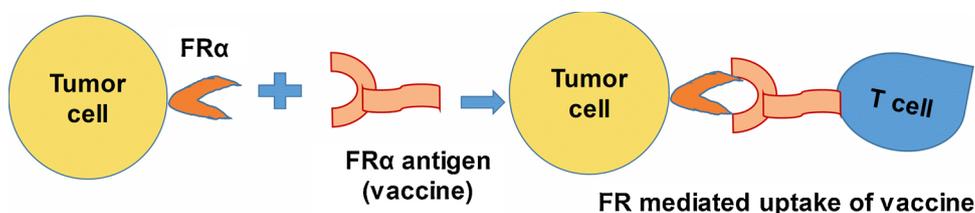
One of the first FR $\alpha$  peptides to be developed were: two HLA-A2-restricted, and MHC class I, FR $\alpha$  peptides, namely E39 or FR $\alpha$ 191–199 and E41 or FR $\alpha$  245–253, that were evaluated for their use in vaccines. Studies showed that TAL elicited with either E39 and E41 can recognize and lyse FR $\alpha$ -positive cancer cells, that lymphocytes presented by dendritic cells with E39 elicit the activity of CTL, and that these lymphocytes were frequent in OC and BC patients at varying stages [49]. A phase I/IIa study of E39 plus granulocyte macrophages and colony stimulating factor (GM-CSF) was conducted in the aim of reducing re-appearance in OC and EC patients (n = 51) at increased recurrence risk

after conventional therapies [50]. The investigation showed that E39 + GM-CSF was tolerated and induced a potent dose-dependent immunogenic response characterized by encouraging efficacy, specifically within the 1000 mg dose ovarian cancer subgroup, which displayed the highest decrease in recurrence. Based on these preliminary findings, an extended phase II trial will be conducted using a 1000 mcg E39 + GM-CSF together with booster vaccinations in patients harboring disease free ovarian and endometrial cancer patients [50]. These results highlight the importance of carefully selecting patients enrolled in large trials and of fine-tuning doses and companion treatments to ensure the clinical efficacy of tested regimens.

In addition to single peptides, two FR $\alpha$  targeting vaccines have been tailored and investigated in clinical trials. These anti-FR $\alpha$  vaccines target various classes of epitopes to elicit immunogenic responses against each and to stimulate immune memory to help prevent cancer recurrence. For instance, the first multipptide vaccine combined five immunogenic FR $\alpha$  peptides and was investigated by a small phase I study (n = 22) in breast and ovarian cancer patients [51]. The multi-peptide was safely tolerated with moderate toxicity only and induced FR $\alpha$ -selective T cell responses that persisted following the completion of the vaccine therapy in most patients [51]. These findings imply that further investigations are required to assess the clinical efficacy of this vaccine and whether it constitute a useful strategy for preventing recurrence or combined with the blockade of immune checkpoints. The other multi-epitope FR $\alpha$  vaccine incorporated five distinct HLA-A1/2/3 peptides, including E39, originating from different antigens observed in OC cells. It was evaluated in a small phase I investigation (n = 9), in stage III/IV OC. The vaccine displayed a low toxicity profile and was immunogenic, leading to the production of peptide specific lymphocytes [52]. These various clinical studies highlight the promising prospects of anti-FR $\alpha$  peptide vaccines, revealing their safety and immunogenicity and stressing the need for future trials to evaluate their clinical efficacy and potential as combination/companion treatments alongside other therapeutic regimens.

## 4. Conclusion

In this review, we have examined the various FR $\alpha$ -based immunotherapeutic strategies developed to target FR $\alpha$ -overexpressing cancers. These strategies comprise approaches that rely on both passive and active immunity. Passive immunity-based formulations focus on the development of monoclonal antibodies such as Mov18, MORab-003 or cytokine-linked monoclonal antibodies that can selectively target FR $\alpha$ -positive cancers. Another attractive translational tactic consists in



**Fig. 4.** Peptide-based vaccines: Cytotoxic T cells elicited with the folate receptor alpha (FR $\alpha$ ) peptide vaccine elicits an immunogenic response leading to the killing of FR $\alpha$  positive tumor cells.

harnessing the power of active immunity to tailor complex solutions for FR $\alpha$ -positive tumors. These approaches include FR $\alpha$ -CART immunotherapies, folate-hapten therapies or peptide-based vaccines. While these various strategies have been tested in clinical trials, yielding promising results, they require further optimization and additional evaluation on a larger scale to determine their actual therapeutic efficacy against FR $\alpha$ -overexpressing tumors.

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