

Clinical Study

Creating value in spine surgery: using patient reported outcomes to compare the short-term impact of different orthopedic surgical procedures

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Received 22 January 2019; revised 30 May 2019; accepted 31 May 2019

Abstract

BACKGROUND CONTEXT: Society increasingly asks Medicine to create “value” for patients. As health-care costs rise, this question will become more important. Debate exists regarding the relative “value” of many surgical procedures, including spinal surgery. Comparison of the relative value that patients experience after different orthopedic procedures is theoretical, but informs the ongoing debate.

METHODS: The Patient Reported Outcome Measurement Information System (PROMIS) assessments for Physical Function, Pain Interference, and Depression are routinely collected in our orthopedic clinics. Patients who underwent lumbar discectomy (DSC) or arthroscopic anterior cruciate ligament reconstruction (ACLR) were retrospectively identified. Data relating to PROMIS domains, patient demographics, and other relevant encounter details were extracted. The primary outcomes were (1) preoperative PROMIS domain scores, (2) scores at a minimum of 40 days postoperatively for DSC patients and 133 days postoperatively for ACLR patients, and (3) the change in scores with surgery. Propensity score matching identified age-, sex-, race-, and comorbidity-matched groups from each cohort. Chi-square tests and nonparametric Kruskal-Wallis tests compared the distribution of outcomes and characteristics. Multivariate linear regression models with interactions between the matched cohort and operative phase estimated the change in the outcomes scores between the two cohorts and controlled for the baseline differences between them.

RESULTS: Before surgery, the DSC cohort had lower physical function, higher pain interference and higher depression scores as compared with the ACLR cohort. This pattern remained postoperatively, indicating less desirable outcomes for DSC patients. However, after controlling for their baseline scores, DSC patients experienced significantly greater improvements after surgery of 3.84 (95% CI 1.08–6.60; $p=.01$), -4.87 (95% CI -7.52 to -2.23 ; $p<.001$), and -2.95 (95% CI -5.70 to -0.21 ; $p=.04$) points in their physical function, pain interference, and depression scores, respectively, as compared with ACLR patients.

CONCLUSIONS: Based upon PROMIS assessments at short-term follow-up, DSC patients receive a larger benefit from surgery than ACLR despite the overall less desirable postoperative PROMIS scores in the DSC cohort. This result, while theoretical, informs the debate regarding the comparative value of DSC to patients. © 2019 Elsevier Inc. All rights reserved.

Keywords: ACL reconstruction; Patient reported outcome; PROMIS; Discectomy

FDA device/drug status: Not applicable.

Author disclosures: **DSJ:** Nothing to disclose. **CPT:** Nothing to disclose.

PTR: Nothing to disclose.

Level of Evidence: III

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Introduction

Lumbar discectomy (DSC) is one of the most common spine surgeries in the United States [1,2]. Previous studies have documented the value of this surgery for patients [1,3], however, as many as 40% of patients experience persistent pain, functional disability, or decreased quality of life, and 20% undergo further surgery [4–8]. These divergent outcomes spur discussion regarding the appropriate role and rates for, and the value of, spinal surgery [9]. The data comparing patient reported outcomes of spine patients to other common orthopedic pathologies is limited. Comparison of DSC outcomes with other orthopedic surgeries is an artificial construct but further informs the debate regarding the value of spinal surgery.

Patients who undergo anterior cruciate ligament reconstructions (ACLR) are potentially a good population for comparison with DSC. ACLR is also a common orthopedic surgery in the United States. A recent review found that 129,836 ACL reconstructions were performed in 2006, with ongoing increases in the procedure amongst women and in patients both under 20 and over 40 years of age [10–12]. Studies show that the majority of patients experience good long-term outcomes and that approximately 81% of ACLR patients return to some level of athletic activity [13]. Because the underlying pathology and respective patient complaints differ, any previous comparison or commentary on the relative success or benefit of the respective surgeries was matters of opinion.

Patient reported outcome (PRO) assessments have become a routine part of patient care. The Patient Reported Outcomes Measurement Information System (PROMIS) is a PRO measure that was developed with National Institutes of Health funding beginning in 2002 [14]. The PROMIS consists of validated instruments which can be utilized to assess health domains reflecting numerous aspects of a patient's life [15]. The PROMIS can be administered with computer adaptive testing (CAT) based upon item response theory, which decreases administration time while assuring accuracy [16,17]. PROMIS questionnaires have been developed and validated to measure physical functioning (PROMIS-PF), pain interference (PROMIS-PI) and depression (PROMIS-D) status, as well as many other domains such as anxiety, asthma impact, sleep disturbance, and substance use [18–23]. Studies have shown that PROMIS outperforms traditional patient-reported metrics [20,21,24–26]. Thus, PROMIS assessment scores might be used to compare surgery outcomes within orthopedic surgery. Specifically, PROMIS could be used to calculate the preoperative to postoperative change in scores thereby helping to quantify the impact of the surgery.

To our knowledge, there has been no study published that quantitatively measures the value that patients derive from spinal surgery by comparing it with a group of matched patients who underwent another orthopedic surgery. Thus, our aim was to determine the value of DSC by

comparing the change in PROMIS-PF, PROMIS-PI, and PROMIS-D scores of DSC patients with those of ACLR patients. Our hypothesis is that although DSC patients will have worse PROMIS scores preoperatively as compared with ACLR patients, they will derive greater benefit from surgery as compared with ACLR patients.

Patients/methods

Database

We used administrative and medical record data from a tertiary care academic medical center to address the research objective. We used the administrative data to identify the cohorts of interest and to obtain key patient demographics and service details. We used the medical record data to confirm the cohorts and to obtain PROMIS domain scores for the cohorts. Prospective collection of PROMIS scores for all patient visits to the academic orthopedic clinic has been undertaken since March 2015. PROMIS-PF, PROMIS-PI, and PROMIS-D scores are assessed at initial evaluation and follow-up visits.

Patient cohorts

The DSC cohort included patients who underwent elective DSC from 2015 to 2017. One hundred twenty-nine discectomy patients were identified using Current Procedural Terminology code 63030 (posterior extradural laminotomy and excision of herniated intervertebral disk) and were confirmed with medical chart review. Patients indicated for surgery had back pain with radicular pain, a well-correlated magnetic resonance imaging or myelogram finding, and had either failed to respond to 6 weeks of standard conservative care or presented with surgical “red flags” [27]. We further limited the DSC cohort to patients with complete postoperative scores at a minimum of 40 days after and not later than 1-year after surgery. After application of inclusion and exclusion criteria to the original 129 patient sample, 84 DSC patients remained. Surgery was performed by fellowship trained spinal surgeons under general anesthesia. Either microscope or loupe magnification was employed, and the surgery was performed via a unilateral open exposure with minimal laminotomy, fragment removal, and exploration of the disc space for residual fragments.

To study the benefit that patients derive from DSC, it was essential to compare the impact of the surgery on PROMIS scores in the DSC cohort with a control group of patients. Using a hospital-based control group that was similar in observed characteristics to the treatment group (the DSC cohort) but whose disease process was unrelated to the treatment group, would enable us to determine the impact of the surgery. For this purpose and based on reasons presented in the Introduction, we chose patients who underwent ACLR to serve as controls for the DSC cohort.

The ACLR cohort was derived from the database of a separate prospective study assessing the utility of PROMIS in monitoring recovery from ACLR [26]. In that study, skeletally mature patients scheduled to undergo arthroscopic bone-tendon-bone autograft ACLR surgery from 2015 to 2016 were recruited and enrolled preoperatively. These patients were monitored longitudinally with PROMIS scores. The ACLR cohort was further limited to patients over the age of 13 years with postoperative scores at a minimum of 133 days after and not later than 1-year after surgery and excluded patients with balance disorders, degenerative gait disorders, neuromuscular disorders, dementia, depression, or cognitive impairment. This cohort included 210 ACLR patients. The University's Institutional Review Board approved this study (RSRB protocol #37409) No external funds were received in support of this work, and the authors have no relevant conflict of interest disclosures.

Outcomes

The outcomes of interest were PROMIS-PF, PROMIS-PI, and PROMIS-D scores. The PROMIS CAT instruments were accessed at the Assessment Center (www.assessmentcenter.net), including PROMIS-PF (version 1.2), PROMIS-PI (version 1.1), and PROMIS-D (version 1.0). The PROMIS CAT algorithm results in t-scores standardized to a normative US population. The mean score is 50 representing the average in the population and the standard deviation is 10 points. It is notable that a higher PROMIS-PF score signifies increased function, whereas higher PROMIS-PI and PROMIS-D scores signify increased pain interference and depression, respectively. These scores were specified as continuous variables for the analysis.

Lumbar discectomy patients often return to their spine surgeon for a final postoperative visit at 6 weeks, at which time they are often discharged to *return as needed* status. ACLR patients typically have routine follow-up visits through at least 6 months in order for physicians to approve return to sport [28]. PROMIS has been validated to detect significant change at 20-week follow-up for the ACLR patient population [26]. Consequently, this time point was used as the final ACLR postoperative reference time point. Study data for analysis was collected from the final preoperative visit and the postoperative clinic visit closest to 40 days for DSC patients and 133 days for ACLR patients. Thus, for each patient in the study cohort and for each PROMIS domain, we use the baseline/preoperative PROMIS score and the postoperative PROMIS score (measured at the time interval specified above) to study how these scores changed with surgery for each of the two cohorts.

Independent variables

The key explanatory variables were the surgical cohort, the surgical phase, and an interaction between these two variables. The surgical cohort was specified as a binary variable

representing the intervention-specific (DSC/ACLR) cohort. The surgical phase was specified as a binary variable representing the preoperative and postoperative stages. The interaction between the surgical cohort and phase was used to analyze whether the change in the PROMIS domain scores with the respective surgeries differed between the DSC and ACLR cohorts. We used the interaction term to examine changes in the PROMIS scores among DSC patients by comparing the postoperative scores to their baseline values (within the DSC cohort) and by comparing the change in scores to that in the ACLR cohort (between the DSC and ACLR cohorts). As an example, for the PROMIS-PF outcome, by using the interaction term, we account for (1) the baseline PROMIS-PF score, the postoperative score, and the change in this score for each of the two cohorts, and (2) compare the change in this score in the DSC cohort with that in the ACLR cohort. By doing this, we can draw inferences about DSC and ACLR patients with similar preoperative PROMIS-PF scores and how these scores change with their surgeries.

We used the following variables for generating propensity scores to account for the differences in the baseline characteristics of the DSC and ACLR cohorts. We used patient age which was specified as a continuous variable, the race was specified as a categorical variable (White, Black, or Other), and the sex was specified as a categorical variable. The number of preoperative comorbidities was identified using the Elixhauser Comorbidity Index [29], and specified as a continuous variable.

Statistical analysis

We used propensity score matching to generate matched groups of DSC and ACLR patients that had similar distributions of patient's age, sex, race, and number of comorbidities preoperatively [30]. For this, we generated propensity scores using Stata's `-pscore-` command, we checked for the balance of the scores across the DSC and ACLR cohorts within blocks of the propensity score, and we used the nearest neighbor 1:1 matching without replacement [31,32]. For descriptive analysis, we used chi-square tests and nonparametric Kruskal-Wallis tests to compare the distribution of outcomes and characteristics between the two cohorts. We constructed linear regression models with interactions between the matched cohorts and pre-/postoperative phase to study the change in the outcomes scores for the DSC patients in comparison to their baseline scores and the scores for the ACLR cohort. All regression models controlled for the year of surgery and for the clustering of observations within patients and providers. In these regression models, we did not control for patient demographics since these were used in calculating the propensity scores. We further calculated adjusted marginal estimates to determine the adjusted pre- and postoperative PROMIS scores for the two cohorts. The level of significance was set at 0.05. All data management and analyses were performed

using Stata statistical software, version 15 (StataCorp. LCC, College Station, TX, USA).

To check for the robustness of the findings, we used another propensity score method—Inverse Probability of Treatment Weighting (IPTW)—to generate weights that are the inverse probability of being in a particular surgical cohort [32,33]. For this, patients in the DSC group were assigned a weight that was the inverse of the propensity score, and patients in the ACLR group were assigned weight that was the inverse of one minus the propensity score. The weights were normalized to one. These weights were included in the regression models which were otherwise similar in specification to the main/matched models. We supplemented findings from the propensity score matching analysis with the IPTW to check for the consistency of the findings from the main analysis. Moreover, the IPTW has the advantage of retaining most observations from the cohort.

Results

Patient characteristics

Table 1 presents the descriptive characteristics for 84 patients in each of the matched DSC and ACLR cohorts. The DSC cohort was 51.19 % female (N=43), 83.33% white (N=70), 9.52% black (N=8), had a mean age of 40.34 years (standard deviation [SD]=13.71) and mean number of comorbidities identified using Elixhauser's algorithm was 0.58 (SD=0.81). There were no significant differences in patient characteristics between the DSC and ACLR cohorts. Appendix Table 1 presents descriptive statistics for all patients in the two cohorts before propensity score matching, and Appendix Table 2 presents the descriptive statistics for patients included in the IPTW models.

Unadjusted perioperative PROMIS scores

The Figure presents the unadjusted perioperative PROMIS scores. In both the pre- and postoperative phases, the mean PROMIS scores for the DSC patients indicated worse outcomes than the ACLR cohort. In comparison to

ACLR patients, DSC patients exhibited worse preoperative (34.26 vs. 42.43, $p<.001$) and postoperative (43.34 vs. 47.67, $p<.001$) PROMIS-PF scores indicative of lower physical function. For PROMIS-PI, in comparison to ACLR patients, DSC patients had higher preoperative (66.66 vs. 55.38, $p<.001$) and postoperative (56.94 vs. 50.53, $p<.001$) scores indicative of greater pain. Finally, DSC patients recorded higher PROMIS-D scores in the preoperative (54.03 vs. 46.47, $p<.001$) and postoperative (46.20 vs. 42.45) phases.

Comparing matched postoperative outcomes

Table 2 presents the estimates for the interaction term from the regression models that examine the impact of the surgery on the PROMIS domain scores in the two cohorts and the extent to which this impact varies between the two cohorts. After controlling for relevant confounders and in comparison to the baseline scores, DSC patients experienced a greater increase in PROMIS-PF scores as compared with ACLR patients (Adjusted estimate of interaction term: 3.84; 95% Confidence Interval, CI 1.08–6.60; $p=.01$). Moreover, DSC patients also experienced greater decline in PROMIS-PI (Adjusted estimate: -4.87 ; 95% CI: -7.52 to -2.23 ; $p<.001$) and greater decline in PROMIS-D scores (Adjusted estimate: -2.95 ; 95% CI -5.70 to -0.21 ; $p=.04$) with surgery. Appendix Table 3 present regression estimates from models using the IPTW strategy. The inferences from these models are consistent with the findings from the main analysis.

Marginal estimates comparing surgical impact

Table 3 presents the adjusted marginal estimates of the perioperative score changes from the regression models. PROMIS-PF perioperative score difference was 9.09 for the DSC group and 5.25 for the ACLR group, indicating a greater increase in physical function in DSC patients in comparison to ACLR patients after controlling for preoperative scores. PROMIS-PI perioperative score differences also showed DSC patients obtained a greater reduction in pain interference after surgery (-11.29 vs. -6.41). Lastly, PROMIS-D scores displayed that DSC patients receive a greater decline in depression after surgery (-7.82 vs. -4.88). Similar inferences were obtained from the marginal estimates following the IPTW analysis.

Discussion

As society begins making difficult choices about the allocation of resources for medical and surgical care, it is incumbent upon surgeons to document the value they provide for their patients. Although there are studies quantifying and evaluating the improvement patients experience after specific orthopedic surgeries, there are few comparing the relative benefit or value patients perceive from different procedures. To date, the most common method for

Table 1
Patient characteristics in propensity score matched cohorts

	DSC	ACLR	<i>p</i> Value
Number of patients	84	84	
Female: N (%)	43 (51.19%)	41 (48.80%)	.76
Race: N (%)			
White	70 (83.33%)	73 (86.90%)	.80
Black	8 (9.52%)	6 (7.14%)	
Other	6 (7.14%)	5 (5.95%)	
Age in years: Mean (SD)	40.34 (13.71)	36.70 (12.82)	.08
Number of comorbidities: Mean (SD)	0.58 (0.81)	0.42 (0.68)	.15

DSC, lumbar discectomy; ACLR, anterior cruciate ligament reconstruction; N (%), number of patients (column percentage); mean (SD), Mean (Standard deviation).

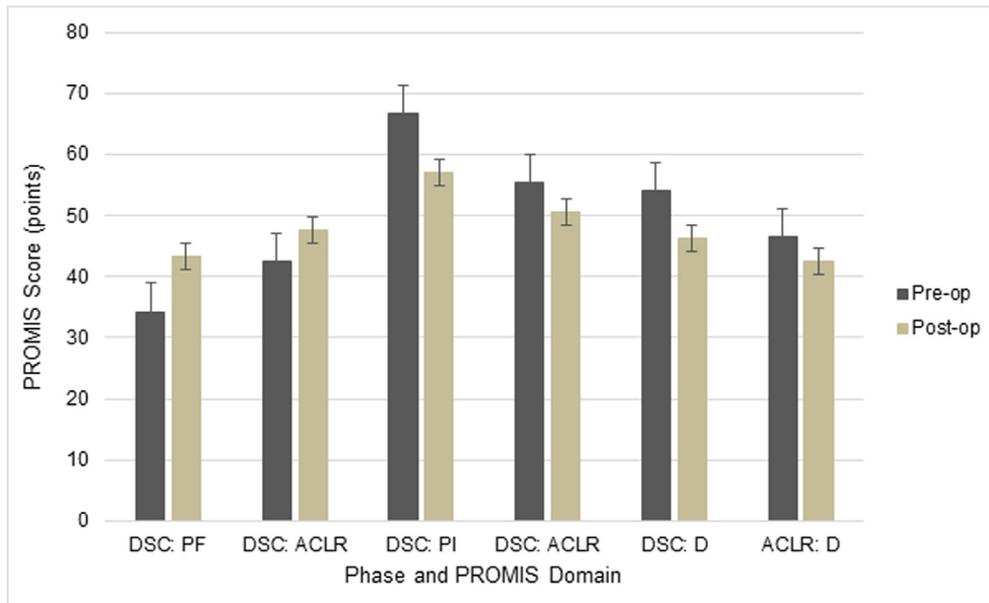


Fig. Unadjusted PROMIS scores before and after surgery of DSC and ACLR cohorts are displayed in the graph. Significant differences ($p < .001$) were discovered between cohorts for all pre- and postoperative PROMIS scores across physical function, pain interference, and depression domains. PROMIS, Patient-reported outcomes information systems; DSC, lumbar discectomy; ACLR, anterior cruciate ligament reconstruction; PF, physical function; PI, pain interference; D, depression.

Table 2

Propensity score matched estimates from the multivariate models examining the variation in the surgical impact between the two cohorts

Domain	Interaction term estimate	95% CI	p Value
PROMIS-PF	3.84	[1.08, 6.60]	.01
PROMIS-PI	-4.87	[-7.52, -2.23]	<.001
PROMIS-D	-2.95	[-5.70, -0.21]	.04

N, number of patients; CI, confidence interval; PROMIS, patient-reported outcomes information system; PF, physical function; PI, pain interference; D, depression.

Notes: The interaction term estimates are to be interpreted as follows. For example, for PROMIS-PF, after controlling for relevant confounders through propensity score matching and for the pre-operative scores, the mean PROMIS-PF score for the lumbar discectomy cohort was 3.84 points higher than that for the anterior cruciate ligament reconstruction cohort.

comparing the relative value of two surgeries is the Resource Based Relative Value Scale, a method whose design and implementation has been controversial [34,35]. Studies such as ours are another lens through which physicians can evaluate surgical interventions.

Previous studies comparing spine and other orthopedic procedures showed a lack of statistical difference between spine surgery outcomes and total hip or knee replacement, however, many of these studies have utilized the Standard Form-36 (SF-36) or SF-12 [36–38]. Recent work shows that PROMIS outperforms both the SF-36 and SF-12 [35–39]. PROMIS is both more efficient and has lower floor and ceiling effects [39–41]. Another advantage of the PROMIS is that it can be used to compare patient reported outcomes

across differing disease states and different surgical procedures [24,42]. This aspect of the instrument is important given the obvious clinical differences between patients undergoing ACLR and DSC. The present study is the first, that we are aware of, that compares procedural outcomes between orthopedic pathologies using PROMIS.

Prior research has shown that ACLR patients report a good quality of life after surgery, even when compared with a matched sample of people from the general population. A systematic review of ACLR literature showed that 65% of patients returned to their preinjury level of sport [13,43]. In contradistinction, up to 40% of spine patients, experience persistent symptoms [4–8]. Our data shows that the ACLR cohort achieved preferable postoperative outcomes with lower absolute postoperative scores, but our data also shows that DSC patients report a larger incremental benefit from the surgery. This finding differs from previous work, and the differential impact of the DSC procedure is interesting in the context of surgeon's efforts at value creation [28,32].

Additionally, the results demonstrate that DSC patients experience greater improvement in physical function, pain interference, and depression levels after surgery when compared with a matched cohort of ACLR patients. Previous studies such as the Spine Patient Outcomes Research Trial (SPORT) and the Maine Lumbar Spine Study demonstrate the similarly large improvements in spine patients [3,44]. However, these studies do not compare spine patients to those with other musculoskeletal complaints. The present study found the magnitude of change after surgical intervention was, on average, larger in the DSC cohort than the ACLR cohort in all investigated PROMIS domains.

Appendix

Appendix Table 1. Table of patient characteristics before propensity score matching

Appendix Table 2. Table of patient characteristics in IPTW Matched Cohorts

Appendix Table 3. Table that illustrates the multivariate regression analysis estimates for age, sex, race and Elixhauser comorbidity index by PROMIS domain

Appendix Table 1
Pre-PSM patient characteristics by surgical intervention

	DSC	ACLR	p
Number of patients	88	210	
Age (years)	40.14 (13.64)	26.84 (12.54)	<.001
Gender (% female)	42 (52.27%)	105 (50%)	.72
Race			
White	73 (82.95%)	185 (88.10%)	.71
Black	8 (9.09%)	14 (6.67%)	
Other	5 (5.68%)	11 (5.24%)	
Number of comorbidities	0.61 (0.65)	0.2 (0.50)	<.001
Follow-up (days)	89.69 (44.86)	169.36 (32.64)	<.001

Values are reported as number (percentage) or mean (standard deviation).

PSM indicated propensity score matching; DSC, lumbar discectomy; ACLR, anterior cruciate ligament reconstruction; PROMIS, patient-reported outcomes measurement information system.

Appendix Table 2
Patient characteristics in IPTW matched cohorts

	DSC	ACLR (IPTW)	p Value
Number of patients	84	185	
Age (years)	40.34 (13.71)	27.05 (12.60)	<.001
Gender (% female)	43 (51.19%)	91(49.19%)	.76
Race (%)			
White:	70 (83.33%)	162 (86.48%)	.65
Black:	8 (9.52%)	13 (7.03%)	
Other:	6 (7.14%)	10 (5.41)	
Number of comorbidities	0.58 (0.81)	0.21 (0.51)	<.001

Values are reported as number (percentage) or mean (standard deviation).

DSC indicates lumbar discectomy; ACLR, anterior cruciate ligament reconstruction.

See patient characteristics by PSM cohorts in Table 1.

Appendix Table 3
Estimates from regression models using inverse probability of treatment weighting

	IPTW analysis		
	PROMIS-PF	PROMIS-PI	PROMIS-D
Postoperative phase	7.59 *** [6.59, 8.59]	-8.65 *** [-9.57, -7.72]	-5.73 *** [-6.29, -5.18]
DSC cohort	-6.04 *** [-7.34, -4.74]	10.68 *** [9.65, 11.72]	7.29 *** [4.65, 9.93]
Interaction	3.44 ** [1.27, 5.62]	-4.34 *** [-5.52, -3.16]	-2.86 *** [-3.53, -2.19]

Values are reported as regression estimate [95% confidence interval].

PROMIS indicates patient-reported outcomes measurement information system; PSM2, propensity score matching; PF, physical function; PI, pain interference; D, depression; IPTW, inverse probability of treatment weighting.

** indicates p<.01, *** indicates p<.001.

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