

## Complementary Neural Circuits for Divergent Effects of Oxytocin: Social Approach Versus Social Anxiety

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### ABSTRACT

Oxytocin (OT) is widely known for promoting social interactions, but there is growing appreciation that it can sometimes induce avoidance of social contexts. The social salience hypothesis posed an innovative solution to these apparently opposing actions by proposing that OT enhances the salience of both positive and negative social interactions. The mesolimbic dopamine system was put forth as a likely system to evaluate social salience owing to its well-described role in motivation. Evidence from several sources supports the premise that OT acting within the nucleus accumbens and ventral tegmental area facilitates social reward and approach behavior. However, in aversive social contexts, additional pathways play critical roles in mediating the effects of OT. Recent data indicate that OT acts in the bed nucleus of the stria terminalis to induce avoidance of potentially dangerous social contexts. Here, we review evidence for neural circuits mediating the effects of OT in appetitive and aversive social contexts. Specifically, we propose that distinct but potentially overlapping circuits mediate OT-dependent social approach or social avoidance. We conclude that a broader and more inclusive consideration of neural circuits of social approach and avoidance is needed as the field continues to evaluate the potential of OT-based therapeutics.

**Keywords:** Anxiety, Bed nucleus of the stria terminalis, Intranasal oxytocin, Nucleus accumbens, Stress, Ventral tegmental area

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Social interactions are a central aspect of life for many species. In humans, social anxiety or reduced social motivation can be symptomatic of a wide range of clinical conditions. Avoidance of social contexts is a key symptom of social anxiety disorder (1) and autism spectrum disorder (2), while social isolation is an important risk factor for depression (3). Although existing therapeutics can increase social function in some individuals, many show no improvement. The neuropeptide oxytocin (OT) has been proposed as a novel therapeutic owing to its important effects in preclinical studies on affiliative (4–6) and other social (7–9) behaviors. In humans, intranasal OT administration has been reported to enhance behaviors related to trust (10) and empathy (11). These findings have contributed to a widespread view that OT functions as a prosocial neuropeptide. However, other studies report that OT can increase social anxiety (12,13) as well as other antisocial responses in humans and nonhuman animals (14–16). Findings such as these have prompted calls to move away from the idea that the primary function of OT is to promote prosocial behavior (17). An alternative hypothesis poses that OT increases the salience of social contexts (18,19). The term “social salience” is used in a manner similar to the term “incentive salience” (20,21), which refers to the attention-grabbing and motivational features of rewards and their learned cues (22) or, conversely, to these cues in aversive contexts (23). The social salience hypothesis

is valence neutral and can account for findings that OT promotes both social approach and avoidance. Shamay-Tsoory and Abu-Akel proposed that the mesolimbic dopamine system is a key system within which OT signals social salience (18). This innovative assertion was supported by the presence of OT fibers and OT receptors (OTRs) in the mesolimbic dopamine system, including the ventral tegmental area (VTA), nucleus accumbens (NAc), and prefrontal cortex. These nuclei are interconnected with circuits modulating social behavior (24). Previous work showed that OT interacts with dopaminergic circuits to enhance the salience of positive social contexts, while more recent work identified underlying molecular mechanisms. In contrast, interactions between OT and the mesolimbic dopamine system in aversive social contexts were more speculative. Adverse social experiences reduce OTR expression in the mesolimbic dopamine system, but this does not explain how OT can induce social anxiety. In this review, we propose that the bed nucleus of the stria terminalis (BST) is an important site of OT action, increasing social salience in aversive social contexts (13,25,26). The BST is heterogeneous, with subnuclei known to modulate social behavior and anxiety. Recent evidence suggests that OT acting in the BST induces a state of social anxiety in which individuals monitor yet avoid unfamiliar social contexts. In this review, we hypothesize that

distinct, but potentially overlapping, circuits modulate the effects of OT in positive and aversive social contexts.

### MESOLIMBIC DOPAMINE SYSTEM FACILITATES MOTIVATION IN APPETITIVE AND AVERSIVE CONTEXTS

The idea that OT-induced social salience is mediated by the mesolimbic dopamine system (18) was informed by preclinical studies showing that this system controls motivation in positive and aversive contexts (27,28). Dopamine neurons are important for learning cues that predict rewards (29,30) and for signaling internal motivation (31). The ability of the mesolimbic dopamine system to enhance salience, together with the strong connections with the social behavior network (32), provided a strong rationale for proposals that OT modulates social salience through the dopamine system (18). Recent studies strengthen this assertion. In female mice, neurotensin neurons in the medial preoptic area promoted sexual behavior and reacted to male odors during proestrus (33). Optical activation of these neurons resulted in dopamine release in the NAc. In nonreproductive social contexts, optogenetic induction of burst firing in VTA dopamine neurons projecting to the NAc increased social interaction in female (34) and male (35) mice. Consistent with these preclinical studies, imaging studies in humans showed increased blood oxygen level-dependent (BOLD) signals in the ventral striatum and ventral midbrain following positive social feedback (36,37). Interestingly, negative social feedback also increased BOLD signals in the ventral striatum, although this response seems to be stronger in adolescents (38). These observations suggest that the NAc can signal the salience of negative contexts as well. In rodents, aversive experiences such as electric shock (39) and social defeat stress (40) increased the activity of VTA dopamine neurons and increased dopamine release in the NAc (41). Inhibition of dopamine receptors in the NAc during social defeat blocked subsequent expression of submissive behaviors in male hamsters (42). In addition to these short-term responses, social defeat induced spontaneous burst firing in VTA dopamine neurons that persisted for weeks after social defeat concludes (43,44). Burst firing of VTA dopamine neurons (45) and activation of D<sub>1</sub> receptors in the NAc shell (46) facilitated social avoidance, providing a strong rationale that OT modulation of dopamine signaling could affect social salience in aversive contexts.

### OT IN VTA AND NAc FACILITATES SOCIAL APPROACH

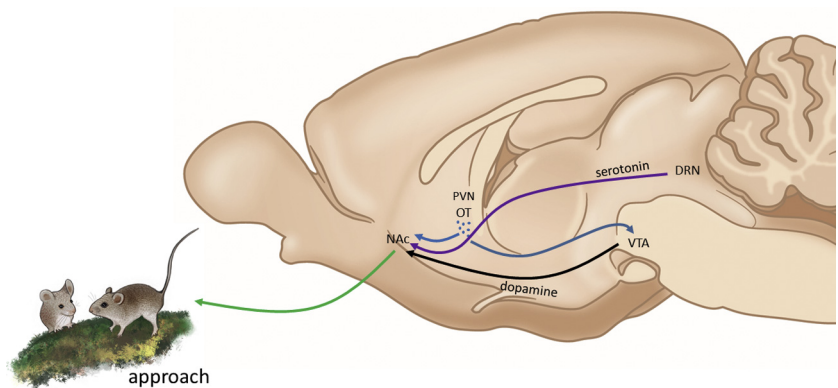
OT neurons in the paraventricular nucleus (PVN) of the hypothalamus project directly to the NAc (47) and VTA (48) (Figure 1). Previous reviews highlighted the importance of OT–dopamine interactions within the PVN–NAc circuit in modulating pair bonding behavior in prairie voles (49,50). These data point to synchronous action of D<sub>2</sub> receptors and OTR systems to signal the salience of a familiar partner. In men, intranasal OT induced stronger BOLD signals in the ventral striatum and midbrain in response to a female partner compared with other familiar women (51). The extent to which OT penetrates the brain via intranasal administration is controversial (52). At least some behavioral effects

are likely mediated by OT action in the peripheral nervous system. Still, even if effects of intranasal OT on the ventral striatum are indirect, these results are consistent with the hypothesis that the NAc enhances the salience of familiar social cues. In rodents, activation of OTRs in the NAc is important for same-sex interactions. Prepubertal male mice formed place preferences for cage bedding associated with interactions with former cage mates over a 24-hour period, and this effect was abolished by selective deletion of presynaptic but not postsynaptic OTRs in the NAc (53). This effect appears to be mediated by serotonergic neurons given that selective ablation of OTRs from dorsal raphe nucleus neurons projecting to the NAc abolished social place preferences (53). Currently, it is unknown whether this mechanism generalizes to female or adult male individuals. In adult male rats, serotonin release in the NAc is decreased while the rats engage in aggression (54). OT typically reduces male aggressive behavior (55), so OTR-induced serotonin release in the NAc might assign a positive valence to social interactions. In contrast, the absence of OTR-driven serotonin release might signal a negative social context. OT also modulates dopaminergic neurotransmission by acting in the VTA.

OT acting in the VTA may signal social salience by increasing the activity of VTA dopamine neurons (56). In adult male Syrian hamsters, OTR antagonist infusions into the VTA during social place preference conditioning (but not during preference testing) eliminated preferences for social contexts over nonsocial contexts (57). Similar results were seen in juvenile male mice as optical activation of fibers from PVN OT neurons projecting to the VTA-enhanced social place preferences (58). Ablation of OTRs from dopamine neurons (but not gamma-aminobutyric acid neurons) prevented the induction of social place preferences. Consistent with these data, OT increased excitatory postsynaptic potentials of dopamine neurons projecting to the NAc. Together, these results suggest that OT produced in the PVN and acting within the NAc and VTA increase the salience of appetitive social contexts to facilitate social approach. How OT modulates these nuclei in aversive contexts is less clear.

Aversive social experiences such as social defeat reduce both OT and OTRs in the mesolimbic dopamine system. Social defeat reduced OT fiber density in the NAc shell of female mandarin voles (59) and OTR binding within the NAc shell and core of male and female California mice (13). Furthermore, intracranial infusion of OT reduced defeat-induced social avoidance in female mandarin voles (59), and male mice and rats (60). These data suggest that exogenous OT can overcome deficits in OTR expression. However, female California mice previously exposed to social defeat had more OT/c-fos cells in the anterior PVN after a social interaction test (61), and intranasal OT failed to increase social interaction in these mice (61). Instead, systemic OTR antagonist treatment reduced stress-induced social avoidance in female California mice (13). These results suggest that OTR activation can induce social avoidance. OTR antagonist infusion into the NAc did not decrease social avoidance in stressed female mice (13), suggesting that OTR-dependent social avoidance depends on an alternative circuit.

Studies examining how defeat affects OT action in the mesolimbic dopamine system have primarily analyzed



**Figure 1.** Oxytocin (OT) acting in the mesolimbic dopamine system promotes social approach (green line) in positive social contexts. OT fibers (blue lines) originating from cell bodies (blue dots) in the paraventricular nucleus (PVN) are present in the nucleus accumbens (NAc) and ventral tegmental area (VTA). Activation of oxytocin receptors (OTRs) in the NAc and VTA promote the formation of place preferences for positive social contexts. Activation of OTRs in the VTA induces release of dopamine (black line) in the NAc. Effects of OTRs in the NAc on social place preferences in juvenile male mice have been found to require the coactivation of 5-hydroxytryptamine (serotonin) 1B receptor on serotonergic fibers originating from the dorsal raphe nucleus (DRN, purple line). (Mouse drawing by N. Duque-Wilckens.)

behavior days or weeks after the last episode of defeat. However, PVN OT neurons were activated during episodes of defeat in both sexes (25,61). Defeat-induced OT release has been observed with microdialysis (62), while intranasal OT increased the subjective perception of social stress in men (12). Currently, it is unknown whether OT released during social stressors encodes the salience of these experiences by acting in the mesolimbic dopamine system. An additional complexity is that OT is a promiscuous ligand and can activate vasopressin V1a receptors (V1aRs) (63), which is attracting attention as an alternative therapeutic target for modulating social behavior (64,65). Evidence for OT–V1aR interactions comes from studies using infusions of  $\alpha$ -melanocyte-stimulating hormone, which stimulates endogenous OT but not vasopressin (AVP) release (66). Intracerebroventricular infusion of  $\alpha$ -melanocyte-stimulating hormone increased territorial behavior in male hamsters, but not if a V1aR antagonist was also infused (67). These results are exciting because induced release of OT required V1aR activity to affect behavior. One possible site of OT–V1aR crosstalk is the lateral septum (LS). The dorsal LS has V1aR expression and abundant AVP and OT fibers (68), and V1aRs modulate social play behavior by inducing dopamine release (69). The ventral LS has strong OTR expression as well as AVP and OT fibers (68). The ventral LS enhances social salience in a variety of contexts. Social defeat before a fear conditioning session enhanced context-dependent fear memories in male mice in an OTR-dependent fashion (70). In contrast, OTR activation inhibits fear memory formation when paired with nonstressful social encounters (71). The LS is also important in an interesting model in which neutral social contexts are paired with aversive foot shocks (72). Infusion of OT into the LS enhanced the extinction of social avoidance following social fear conditioning in both male (73) and female (74) mice. Social fear conditioning also increased OTR binding in the LS (73). A last consideration is that neuropeptide fibers and receptors need not be adjacent for crosstalk to occur because both the AVP and OT can diffuse outside of synapses. For a full discussion of OT/AVP crosstalk with V1aR/OTRs, see Song and Albers (75).

**BST MODULATES ANXIETY AND SOCIAL BEHAVIOR**

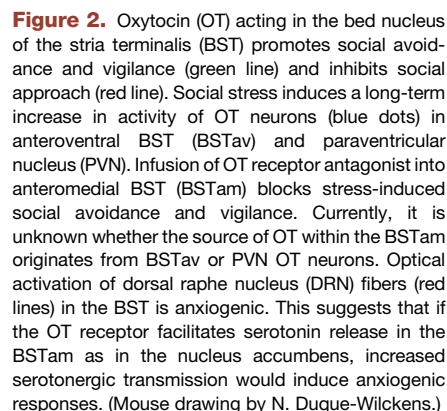
The BST is considered to be a component of the extended amygdala owing to evolutionarily conserved cell types and

neuroanatomical connections with the amygdala (76,77). The BST is heterogeneous, with at least 16 subregions identified (78,79). Here, we use a broad classification scheme proposed by Dong *et al.* (78) based primarily on neuroanatomical connections and embryological development (Table 1). The posterior division of the BST modulates sexual (80) and aggressive (81) behaviors as well as anxiety-like behavior (82). The anterior division of the BST modulates fear and anxiety (83,84). A lateral group of nuclei has extensive connections with the central nucleus of the amygdala (CEA) and is referred to as the central extended amygdala (77). The medial extended amygdala has stronger connections to the medial amygdala. This pattern of connectivity is evolutionarily conserved across rodents, rhesus monkeys, and humans (83). The BST was thought to have stronger effects on sustained fear responses while the CEA was more important for acute fear responses (85), but subsequent work demonstrated more functional overlap. Optical

**Table 1. Nomenclature of Subnuclei of the BST Based on Rat [Dong *et al.* (78)] and Mouse [Paxinos and Franklin (79)]**

|                           | Dong <i>et al.</i> (78) | Paxinos and Watson (79) |
|---------------------------|-------------------------|-------------------------|
| Anterior Division         |                         |                         |
| Medial extended amygdala  | BSTam                   | BSTMA                   |
|                           | BSTav                   | BSTMV                   |
| Central extended amygdala | BSTal                   | BSTLP                   |
|                           | BSTju                   | BSTLJ                   |
|                           | BSTov                   | BSTLD                   |
|                           | BSTfu                   | Fu                      |
|                           | BSTrh                   | BSTLP                   |
| Posterior Division        | BSTtr                   | BSTMP                   |
|                           | BSTif                   | BSTMP                   |
|                           | BSTpr                   | BSTMP                   |

BST, bed nucleus of the stria terminalis; BSTal, anterolateral BST; BSTam, anteromedial BST; BSTav, anteroventral BST; BSTfu, fusiform nucleus BST; BSTif, interfascicular nucleus BST; BSTju, juxtacapsular BST; BSTLD, lateral division dorsal part BST; BSTLJ, lateral division juxtacapsular BST; BSTLP, lateral division posterior BST; BSTMA, anteromedial BST; BSTMP, medial division posterior part BST; BSTMV, medioventral BST; BSTov, oval nucleus BST; BSTpr, principal nucleus BST; BSTrh, rhomboid nucleus BST; BSTtr, transverse nucleus BST; Fu, fusiform nucleus BST.

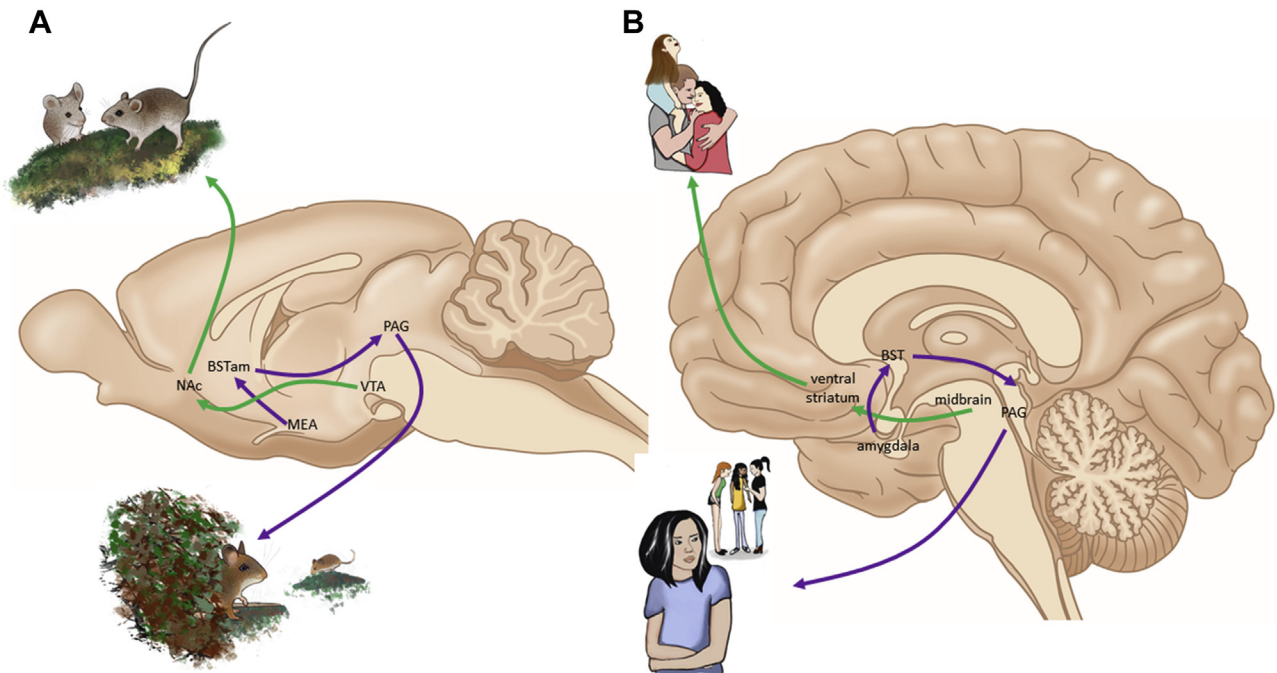


was proposed that the BST assesses the valence of social contexts and modulates an individual's decision to approach or avoid (101). OT acting within the BST may signal the salience of aversive social contexts.

Several lines of evidence indicate that OT acting within the BST can increase social anxiety (12,13,70) (Figure 2). Recent data suggest that BSTav and BSTam mediate anxiogenic effects of OT. Hypothalamic nuclei (e.g., PVN) are usually considered to be the main source of OT, but OT cell bodies are also present in the BSTav (25,61,68). Social defeat has a sex-specific biphasic effect on these neurons. In male C57BL/6J (25) and California (61) mice, 1 hour after exposure to social defeat, increased OT/c-fos colocalizations were observed in the BSTav. In C57BL/6J mice, colocalizations were positively correlated with submissive postures. In contrast, there were no increases in OT/c-fos colocalizations 1 hour after defeat in female California mice. However, 10 weeks after the last episode of social defeat, increased OT/c-fos colocalizations were observed following social interaction testing in female but not male California mice (61). Furthermore, stressed female mice had more OT neurons in the BSTav as well as more *Oxt* messenger RNA in BST punch samples. These results suggest that defeat induces enduring changes in the activity and transcription of OT in BSTav neurons. To date, no published study has directly tested the function of BSTav OT neurons, which are evolutionarily conserved across rodents (25,68) and primates (102). However, pharmacology studies indicate that the OTRs in the BSTam modulate social anxiety.

The BSTam became a site of interest based on analyses of the immediate early gene early growth response protein 1. In female but not male California mice, intranasal administration of OT induced social avoidance (61) and increased early growth response protein 1 immunoreactivity in the BSTam and NAc core but not in other nuclei that modulate social behavior (13). Site-specific infusions of OTR antagonist into the BSTam but not NAc core increased social interaction behavior in stressed female mice. As described above, the BSTam is highly sensitive to aversive social contexts. Although the mechanism of OTR-dependent social avoidance is still uncertain, serotonergic





**Figure 3.** Hypothesized neural circuits of social approach (green lines) and avoidance (purple lines) in rodents (A) and humans (B). Several lines of evidence indicate that dopamine neurons in the ventral tegmental area (VTA) projecting to the nucleus accumbens (NAc) facilitate social approach. Imaging studies in humans do not have the same level of resolution but suggest that similar circuits (midbrain and ventral striatum) are active in positive social contexts. A circuit including the medial amygdala (MEA) and anteromedial bed nucleus of the stria terminalis (BSTam) facilitates social avoidance in rodents. Imaging studies in humans frequently report increased blood oxygen level-dependent responses in the amygdala and BST in aversive contexts. PAG, periaqueductal gray. (Drawings by N. Duque-Wilckens.)

signaling is a possible target. Nonsocial stressors induced serotonin release in the anterior division of the BST to increase anxiety-like responses (103), and optical stimulation of dorsal raphe nucleus fibers in the BST induced anxiety-like behavior through activation of 5-hydroxytryptamine 2C receptors (104). If the OTR facilitates serotonin release in the BSTam as in NAc (53), the behavioral effect would likely be anxiogenic. Interestingly, inhibition of the OTR within the BSTam also affected another behavioral response, suggesting that this pathway modulates social anxiety. Stressed female California mice oriented toward a cage containing an unfamiliar target mouse without approaching it (13,105), a response that did not occur in the absence of the target mouse. Moreover, when stressed female mice were treated with systemic or intra-BSTam OTR antagonist, this orientation response was abolished. Similarly, female C57BL/6J mice that witnessed social defeat of another male mouse showed social avoidance (106) and vigilance (S. Iñiguez, Ph.D., personal communication, March 2018), and female OTR knockout mice showed fewer stretch-attend responses (considered a vigilance response) to intruders in a resident-intruder test (107). We propose that the combination of avoidance and vigilance represents a state of social anxiety.

In humans, social anxiety is characterized by an aroused physiological profile in which individuals attend to novel social cues while simultaneously avoiding them (108). The combination of increased vigilance and social avoidance is characteristic of behavioral inhibition (109), a temperamental trait associated with increased risk for social anxiety disorders (110). Social stress exposure may induce individuals to interpret an unfamiliar social context as a threatening one. Indeed,

intranasal OT increased anxiety responses in men and women exposed to unpredictable shocks but had no effect when shocks were predictable (111). Evidence from rodents implicating the BSTam is consistent with human imaging studies showing increased responsiveness of the BST and amygdala to social threat (87,112). Independent quantification of social approach and vigilance in preclinical studies may lead to the identification of distinct circuits modulating social behavior. For example, infusion of OTR antagonist into the NAc core of male California mice reduced social approach without increasing vigilance (A.V. Williams, M.A., and B.C. Trainor, Ph.D., unpublished data, July 2018). Decreased social approach in the absence of vigilance could represent a state of social anhedonia. Interestingly, reduced interest in social activity is a key symptom of depression and is linked to weaker BOLD responses in the ventral striatum in positive social contexts (113). The delineation of neural circuits modulating social anxiety versus social anhedonia could have important implications for the use of OT-based therapeutics.

A relevant question is whether OTR modulation of social vigilance is sex specific. Dumais and Veenema reviewed the extent of sex differences in OT and OTR expression (114). When sex differences in OT expression are observed, female individuals typically have more OT-positive cells (e.g., in PVN and supraoptic nucleus) than male individuals. When sex differences in OTR expression are observed, male individuals typically show increased binding (e.g., in BNST and NAc). However, many studies report no sex differences in OTR binding. The extent to which the OTR modulates a behavior

could be very different in male and female individuals even in the absence of sex differences in OT or OTR expression. For example, social isolation induces stronger increases in OT/c-fos colocalizations in the PVN of female prairie voles than male voles (115). Thus, sex differences in the activity of OT neurons (in the absence of differences in OT cell number or OTR expression) could result in important sex differences in the relative activation of OTRs. Sex differences in the effects of OTRs on behavior have also been reported. While inhibition of OTRs in the VTA reduced social place preferences in male and female hamsters, infusion of OTR agonists reduced place preferences in female hamsters but enhanced them in male hamsters (116). In humans, functional connectivity analysis was used to determine the effect of intranasal OT on a social behavior network of hypothalamic and limbic nuclei (117). In men, intranasal OT increased functional connectivity in a positive social context, while in women, intranasal OT reduced functional connectivity in a negative social context. One possible mechanism for this effect is that there could be sex differences in the cell types that express OTRs. Difficulties in visualizing OTRs have limited our knowledge of which cell types express OTRs. Single-cell RNA sequencing methods (118) could provide an ideal approach for high-throughput identification of cell types expressing OTR male and female individuals.

## TRANSLATIONAL CONSIDERATIONS AND FUTURE DIRECTIONS

There is intense interest in using OT-based pharmaceuticals to treat illnesses such as depression (119), anxiety (120), schizophrenia (121), and autism (122). However, early clinical studies using intranasal OT have produced mixed results [(123–125), but see (126)]. The impact of patient selection, pharmacokinetics, and statistical power has been reviewed elsewhere (127–129). Here, we focus on how preclinical findings could inform future clinical work. First, future studies could take a more balanced approach in evaluating the effects of OT-based therapeutics on social approach and anxiety. Many clinical trials focus on variables related to social cognition such as emotion recognition. A major premise of this approach is that OT increases salience by engaging the mesolimbic dopamine system. However, OT can act in the extended amygdala to enhance social anxiety. Evaluating effects of OT-based therapeutics on measures such as behavioral inhibition (130) could provide a more balanced assessment. Second, future clinical studies could consider how the social context during OT administration affects behavioral outcomes. Positive or negative contexts are likely to engage different neural circuits that could be modulated by OT (Figure 3). A region of interest-based meta-analysis found that intranasal OT increased amygdala activity in positive social contexts and decreased activity in negative contexts (131). However, this pattern was not detected in whole-brain analyses (132). Social contexts used in many imaging studies might not be strong enough to generate robust neural responses across studies. Here, the steroid literature could provide a useful example. Relationships between testosterone and survey-based measures of behavior are weak (133), but more robust relationships are observed if testosterone is measured while a participant is engaged in a competitive task (134). Tasks such as cyberball (135) and chat room (136) protocols that are more socially engaging

might produce more robust results in OT studies. Integration of anticipation of positive and negative outcomes could also be informative. Stronger BOLD responses in the BST were observed during electric shock anticipation, while increased BOLD responses in the amygdala occurred during shock exposure (137). This could be important because activation of OTRs was anxiogenic in the BST (13) but anxiolytic in the CEA (138,139). These results suggest that the neural circuits modulated by OT could vary over a timescale of seconds or minutes. Finally, it will be important to have more comparisons between clinical populations and healthy control populations. As discussed previously, preclinical studies demonstrate that OT-based manipulations have different behavioral effects in stressed versus unstressed individuals. Thus, there is a strong premise to expect that OT may have different effects in clinical populations. The development of new tools such as brain-accessible OTR ligands and tracers would be extremely useful for evaluating the extent to which OTRs can modulate social approach and anxiety in clinical contexts.

Here, we focused on OT action within the mesolimbic dopamine system and extended amygdala, networks that have strong functional connections (77,140). However, it is unclear how these OT-sensitive systems interact with each other across different social contexts. Furthermore, OT can act within other cortical systems to modulate social salience (141–144). A major challenge for developing any novel therapeutic is that while mechanisms of action may be circuit specific, in most cases systemic administration is the only realistic treatment option. OT is no exception. However, applying OT-based ligands in specific social contexts could amplify (or dampen) the affected neural circuits. Successful implementation of this type of approach will require a broader understanding of how OT modulates neural circuits in positive and negative contexts. Eventually, these data could contribute to the development of novel therapeutic strategies for individuals that are resistant to existing therapies.

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