

# Basal cell carcinoma

## Epidemiology; pathophysiology; clinical and histological subtypes; and disease associations



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### Learning Objectives

After completing this learning activity, participants should be able to recall current understanding of the epidemiology, biology, natural history, and associations of basal cell carcinoma (BCC); discuss new technologies being implemented in the diagnosis of BCC; compare and contrast in an evidence-based fashion standard and new approaches to BCC; and discuss new insights into the gene mutation basis of basal cell carcinoma from a pathology and clinical perspective.

### Disclosures

#### Editors

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As the most common human cancer worldwide and continuing to increase in incidence, basal cell carcinoma is associated with significant morbidity and cost. Continued advances in research have refined both our insight and approach to this seemingly ubiquitous disease. This 2-part continuing medical education article will provide a comprehensive and contemporary review of basal cell carcinoma. The first article in this series describes our current understanding of this disease regarding epidemiology, cost, clinical and histopathologic presentations, carcinogenesis, natural history, and disease associations. (J Am Acad Dermatol 2019;80:303-17.)

**Key words:** associations; basal cell carcinoma; BCC; cost; epidemiology; genetics; keratinocyte carcinoma; natural history; nonmelanoma skin cancer; skin cancer.

## EPIDEMIOLOGY AND COST

### Key points

- Basal cell carcinoma and squamous cell carcinoma comprise the keratinocyte carcinomas, which are the most common malignancies worldwide and are increasing in incidence

- The lifetime risk of developing basal cell carcinoma in the United States is estimated to be  $\geq 20\%$  and  $\geq 30\%$  for whites
- Male sex and age are independent basal cell carcinoma risk factors
- The basal cell carcinoma cost burden continues to increase with rising incidence

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• **Physician office–based basal cell carcinoma management is less costly compared to ambulatory surgery or inpatient settings**

Keratinocyte carcinomas (KCs) are by far the most common malignancies worldwide and exceed the prevalence of all other cancers combined. Traditionally, approximately 80% of KC cases have been attributed to basal cell carcinoma (BCC) and 20% to squamous cell carcinoma (SCC). However, recent studies point to an increasing SCC incidence relative to BCC, moving the historical 4:1 ratio to 2.5:1 or even closer.<sup>1-4</sup> Some evidence attributes this to a relative SCC increase in the elderly population caused by chronic exposure to ultraviolet (UV) light, while BCC remains much more common in younger populations.<sup>1,5,6</sup> Regardless, the majority of epidemiologic studies still show a higher BCC incidence of at least 2:1.<sup>5,7-16</sup>

Although most cancer registries exclude KCs because of their ubiquity and relatively low mortality rates, numerous studies provide evidence for increasing incidence worldwide. Incidence in the United States has increased by an average rate of 4% to 8% annually.<sup>17</sup> A large US sex-stratified cohort study (1986-2006) showed age-adjusted BCC incidence rate increases from 519 to 1019 cases per 100,000 person-years in women and from 606 to 1488 cases per 100,000 person-years in men.<sup>18</sup> Similar rising incidence rates have been found in Europe, Canada, Asia, and Australia.<sup>11,12,15,19-23</sup> BCCs occur in an estimated 2 million Americans annually.<sup>24</sup> Lifetime risk in the United States overall is greater than or equal to 20%, and greater than or equal to 30% for whites, and could be greater because these estimates are based on data obtained almost 20 years ago.<sup>25,26</sup> Incidence rates are predicted to continue to increase until at least 2040 because of an aging population with historical UV exposure.<sup>27-29</sup>

While BCCs can develop early in life both sporadically and with certain genodermatoses, age is an independent risk factor.<sup>30</sup> Incidence rate doubles from 40 to 70 years of age.<sup>31</sup> The incidence for those <40 years age is also increasing.<sup>32</sup> Men have higher BCC rates (1.5-2:1).<sup>11,24,33-39</sup> However, this sex disparity may not exist in populations <40 years of age.<sup>5</sup>

Unsurprisingly, the BCC cost burden is also increasing. The National Ambulatory Medical Care Survey showed a 70% increase from 1995 to 2007 of KC-related office visits; 59% of visits were associated with a procedure.<sup>40</sup> A study comparing US Medical Expenditure Panel Survey data from periods 2002 to 2006 and 2007 to 2011 found an increased average annual cost for skin cancer of 126.2%

(from \$3.6 to \$8.1 billion annually), while the average annual cost for all other cancers increased by 25.1%.<sup>41</sup> A large cohort study in Australia found that patients diagnosed with KCs consumed significantly more health care dollars than patients without this diagnosis.<sup>42</sup>

Cost varies greatly based on the chosen treatment modality. A study that calculated the average cost of treatment for a standard lesion (BCC on cheek, averaged across the 4 lesion size reimbursement ranges) using the 2008 Resource-Based Relative Value Scale, which included costs of obtaining the biopsy specimen, pathology, repair, and follow-up, found that radiation (\$2591-3460) was the most expensive treatment, followed by Mohs micrographic surgery (\$1263), standard excision (\$1006-1170), topical imiquimod (\$959), and electro-dessication/curettage (\$471).<sup>43,44</sup> Vismodegib was not included in this analysis. While this analysis gives us a basic understanding of cost for various treatment modalities, studies such as this are problematic because of the numerous assumptions incorporated into their design and the possibility of inherent biases and conflicts of interest.

Physician office setting is the most common KC treatment setting in the United States (about 91% of cases), compared to 8% in ambulatory surgical centers and 1% in hospital inpatient settings.<sup>45</sup> While physician office–based procedures account for the greatest percentage of US dollars spent treating KCs, the average cost per care episode is substantially less in the physician office compared to the inpatient or ambulatory surgery center settings.<sup>45,46</sup> Average cost per KC episode treated in the physician office setting (\$492 in 1 study) is substantially less than other cancer diagnoses.<sup>45</sup> As such, rising incidence more than cost per episode is driving the increasing BCC cost burden.

## CLINICAL PRESENTATION AND ASSOCIATED HISTOLOGIC FINDINGS

### Key points

- **Patients with BCC often report an enlarging, nonhealing lesion that may sometimes bleed; they may also describe pruritus or deny any symptoms**
- **Many lesions exhibit >1 histopathologic pattern, most commonly nodular-micronodular**
- **Morpheaform (sclerosing, desmoplastic) and infiltrative, as well as lesions with micronodular or basosquamous histopathologic changes, are more aggressive variants**

*Abbreviations used:*

BCC:	basal cell carcinoma
Hh:	Hedgehog signaling
KC:	keratinocyte carcinoma
NBCC:	nevroid basal cell carcinoma syndrome
PNI:	perineural invasion
SCC:	squamous cell carcinoma
Shh:	Sonic hedgehog protein
UV:	ultraviolet

• **Although rare, perineural invasion indicates an aggressive variant with increased rates of metastasis and locoregional recurrence**

Arising in sun-damaged skin, BCCs present clinically and histopathologically in various ways. Patients often complain of an enlarging, nonhealing lesion that may sometimes bleed. They may also complain of pruritus or report no symptoms at all. Nodular, superficial, infundibulocystic, fibroepithelial, morpheaform (sclerosing, desmoplastic), and infiltrative are well-defined subtypes with distinct clinical and histopathologic findings (Table 1). Micronodular and basosquamous are 2 additional descriptors with primarily histopathologic (as well as therapeutic and prognostic) significance. Perineural invasion (PNI) is a primarily microscopic finding that portends aggressive clinical behavior. Age does not vary greatly between subtypes. All variants are most common in the sixth, seventh, and eighth decades of life.<sup>30</sup> While differentiating between these subtypes is helpful in management, many lesions ( $\leq 40\%$ ) exhibit  $>1$  histopathologic pattern, most commonly nodular-micronodular.<sup>56</sup> When this is the case, it is prudent to guide management based on the most aggressive subtype. In addition, BCCs may histopathologically show a variety of other cell lineage differentiation features that do not impact treatment or prognosis (ie, keratotic, follicular, pleomorphic, eccrine, and myoepithelial differentiation patterns).

As the most common subtype, nodular BCC accounts for approximately 50% to 80% of lesions. With a predilection for the head and neck, lesions typically present as a shiny, pearly papule or nodule with a smooth surface, rolled borders, and arborizing telangiectasias (Fig 1, A). While slow-growing, advanced tumors can become large and ulcerate, classically referred to as a “rodent ulcer” (Fig 1, B). Advanced, infiltrative tumors can cause distortion of the structures they invade (Fig 1, C). Histopathologically, they show large dermal nodules of malignant basaloid keratinocytes, peripheral palisading (secondary to clefting artifact between tumor epithelium and stroma), and mucoid stroma containing plump spindle cells (Fig 1, D).

Accounting for approximately 10% to 30% of tumors, superficial BCCs are the second most common subtype. Some epidemiologic studies have shown a somewhat younger average age of onset for this subtype (ie, the fifth decade of life); others have shown a relative increase of incidence in females compared to other subtypes.<sup>30,57,58</sup> Superficial BCCs classically present as a well circumscribed and erythematous thin plaque or patch with scale, central clearing, and thin rolled borders (Fig 2, A). They can sometimes be mistaken for inflammatory lesions as well as SCC in situ. These slow-growing lesions are most commonly found on the trunk, but can also often be seen on the legs and, less commonly, the head and neck.<sup>58</sup> Both nodular and superficial lesions can sometimes contain melanin, referred to as pigmented BCCs (Fig 2, B). On histopathology, superficial BCCs demonstrate multiple lobular foci of basaloid palisading keratinocyte tumors attached superficially to epidermis with a myxoid stroma and band-like lichenoid infiltrate (Fig 2, C).<sup>50,59</sup>

Fibroepithelial BCCs (fibroepitheliomas of Pinkus) are an uncommon, indolent subtype with a predilection for the trunk. Commonly mistaken for a fibroepithelial polyp or nonpigmented seborrheic keratosis, these indolent lesions present as a skin-colored or erythematous sessile plaque or pedunculated papulonodule (Fig 3, A). On histopathology, they exhibit multiple collections of delicate strands of epidermal basaloid keratinocytes arranged in a reticular pattern within a spindle cell stroma (Fig 3, B).<sup>47</sup>

Usually arising on the head and neck of the elderly, infundibulocystic BCCs present as well-circumscribed pearly papules (Fig 4, A). Known for their follicular differentiation on histopathology, these lesions present microscopically as a well-circumscribed tumor of anastomosing strands of basaloid cells and scattered small infundibulum-like cystic structures (Fig 4, B).<sup>48</sup> Because of their clinical appearance and indolent nature, they can be mistaken for benign follicular adnexal processes.<sup>50</sup>

Less than 10% of BCCs exhibit morpheaform (sclerosing, desmoplastic) or infiltrative changes.<sup>56</sup> However, these lesions are significantly more difficult to treat because of aggressive biologic behavior with local destruction and subclinical extension, as well as higher local recurrence rates.<sup>49,51</sup> Morpheaform BCCs present clinically as an infiltrated plaque with poorly defined borders and shiny surface. Often resembling a scar or plaque of morphea, they are commonly found on the head and neck (Fig 5, A). Infiltrative

**Table I.** Clinical and histopathologic findings of basal cell carcinoma subtypes

	Clinical features	Histopathologic features
Nodular	Shiny, pearly papule or nodule with a smooth surface, rolled borders, and arborizing telangiectasias with a predilection for head and neck <sup>47-49</sup>	Discrete nests of malignant basaloid cells in the dermis, peripheral palisading, and mucoid stroma containing plump spindle cells
Superficial	Well-circumscribed and erythematous thin plaque or patch with scale, central clearing, and thin rolled borders; most common on the trunk <sup>49</sup>	Multiple lobular foci of basaloid palisading keratinocyte tumors attached superficially to the epidermis with a myxoid stroma and band-like lichenoid infiltrate <sup>50,51</sup>
Infundibulocystic	Well-circumscribed pearly papule commonly found on the head and neck of the elderly	Well-circumscribed, anastomosing strands of basaloid cells and scattered infundibulum-like cystic structures <sup>52</sup>
Fibroepithelial	Skin-colored or erythematous sessile plaque or pedunculated papulonodule <sup>51</sup> with a predilection for the trunk	Multiple collections of delicate strands of epidermal basaloid keratinocytes arranged in a reticular pattern within a spindle cell stroma <sup>53</sup>
Morpheaform	Infiltrated plaque with poorly defined borders and shiny surface commonly found on the head and neck	Thin cords of basaloid cells surrounded by a sclerotic collagenous stroma, with mostly absent peripheral palisading and stromal cleft formation; positive staining of tumor stroma with smooth muscle alpha-actin <sup>54</sup>
Infiltrative	Poorly defined, indurated, flat or depressed plaque with white, yellow, or pale pink color that may have overlying crusts, erosions, ulcerations, or papules	Thin cords with angulated ends of few basaloid keratinocytes, embedded in a classic mucinous/myxoid stroma <sup>51</sup>
Micronodular	Erythematous macule or thin papule/plaque	Multiple small aggregates of basaloid cells within the dermis, with subtle peripheral palisading and retraction artifact <sup>51</sup>
Basosquamous	Majority found on the head and neck <sup>55</sup>	Well-defined nodular or superficial BCC component overlying an invasive front showing BCC and SCC histologic features <sup>51</sup>

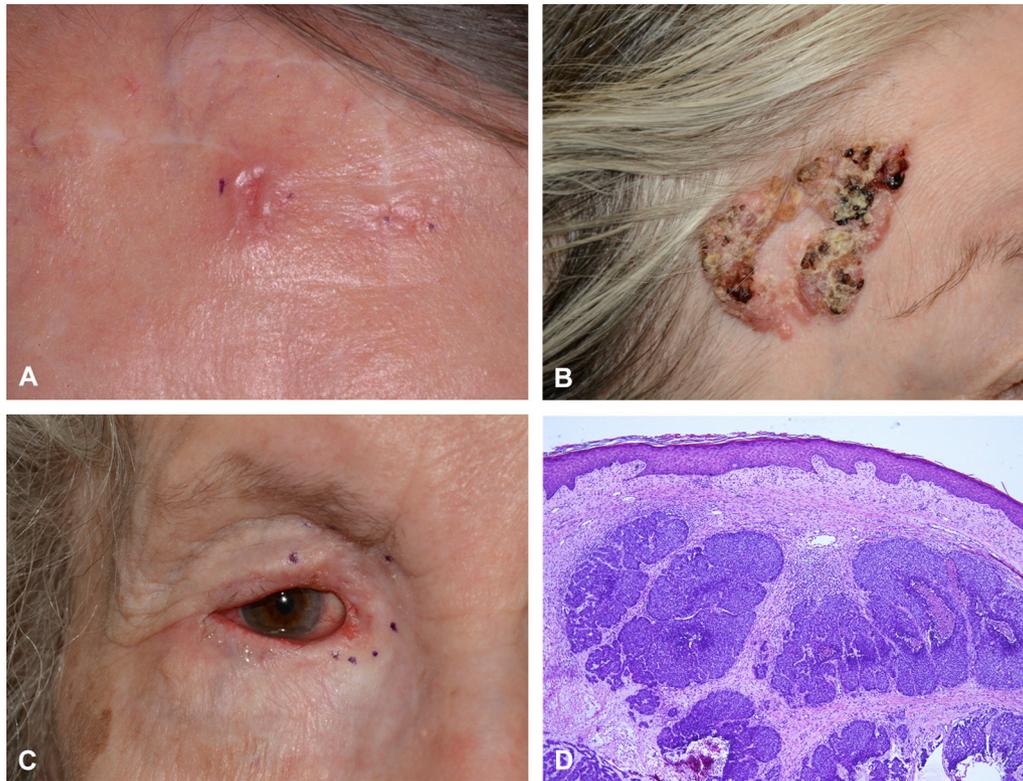
BCC, Basal cell carcinoma; SCC, squamous cell carcinoma.

BCCs present clinically as a poorly defined, indurated, flat or depressed plaque with white, yellow, or pale pink color (Fig 5, B). Overlying crusts, erosions, ulcerations, and papules may be seen. For both morpheaform and infiltrative subtypes, thin cords with angulated ends of few basaloid keratinocytes are seen on histopathology. In the case of infiltrative BCC, basaloid cells are embedded in a classic mucinous/myxoid stroma (Fig 5, C).<sup>50</sup> In morpheaform lesions, a sclerotic collagenous stroma surrounds the basaloid cords. Peripheral palisading and stromal cleft formation are mostly absent (Fig 5, D), and tumor stroma stains positive for smooth muscle alpha-actin with immunohistochemistry.<sup>53</sup>

Micronodular BCC is a predominantly histopathologic term referring to lesions with multiple small aggregates of basaloid cells within the dermis, often with no appreciable connection to the overlying epidermis. Peripheral palisading and retraction artifact are subtle compared to nodular BCC lesions (Fig 6, A).<sup>50</sup> Because of their multifocal nature,

these lesions often have subclinical extension and resulting higher recurrence rates. Clinically, micronodular BCCs are often difficult to differentiate from superficial and nodular BCCs and can present as erythematous macules or thin papules/plaques (Fig 6, B). Occurring in an estimated 15% of BCCs, micronodular changes are often seen together with other histopathologic patterns.<sup>56</sup> Micronodular, as well as infiltrative and morpheaform patterns, harbor significantly higher percentages of Ki-67 and enhancer of zeste homolog 2–positive cells than nodular, and have been suggested as potential markers for risk stratification in advanced tumors.<sup>60</sup>

Representing <2% of KCs, basosquamous type (metatypical BCC) is another primarily histopathologic term referring to neoplasms that have histologic features of both BCC and SCC.<sup>52,61</sup> Microscopically, there is a well-defined nodular or superficial BCC component overlying an invasive front showing BCC and SCC histologic features (Fig 6, C).<sup>50</sup> Because they can be confused with BCC/SCC collision tumors, basosquamous type and



**Fig 1.** **A**, Nodular basal cell carcinoma (BCC) presenting as a shiny, pearly papule with a smooth surface, rolled borders, and overlying arborizing telangiectasias. **B**, Large, advanced nodular BCC on the temple. **C**, Nodular BCC of the right eye causing free margin distortion. **D**, Hematoxylin–eosin staining of nodular BCC demonstrating large dermal nodules of malignant basaloid keratinocytes, peripheral palisading, and mucoid stroma containing plump spindle cells.

its status as a distinct clinicopathologic entity has been debated.<sup>52</sup> However, immunohistochemical and staining techniques show areas of BCC and SCC with a transition zone, suggesting differentiation from one tumor cell to the other.<sup>62</sup> Given their more pluripotent nature, BCC cells are now believed to differentiate into more aggressive SCC-like cells.<sup>57</sup> Basosquamous is an aggressive subtype with elevated recurrence rates and a significant rate of metastasis (estimated to be >5%).<sup>63</sup> The vast majority of these lesions are found on the head and neck.<sup>52</sup> In addition to BCC/SCC collision tumors, they can be confused with BCCs with squamous metaplasia.<sup>50</sup>

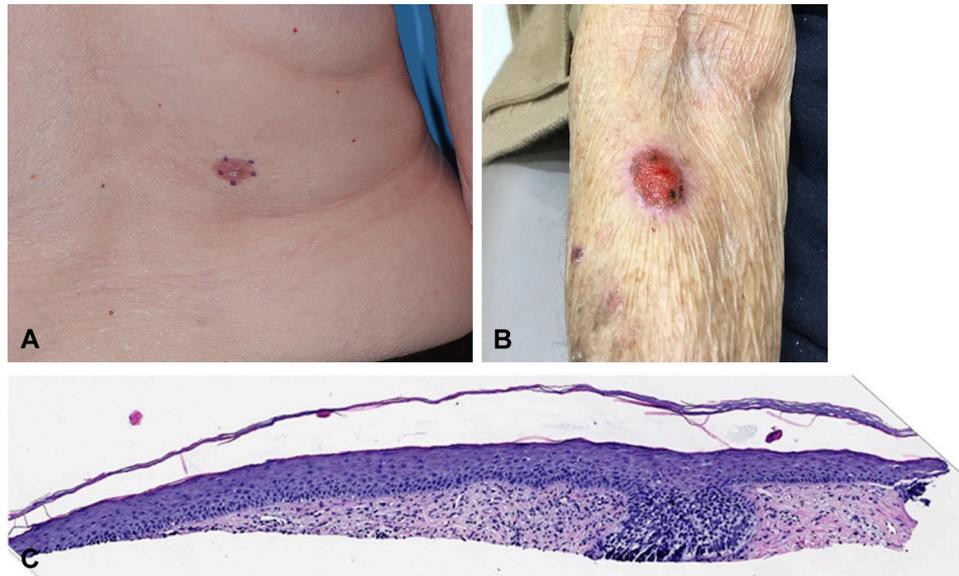
In addition to basosquamous and micronodular histopathologic changes, PNI indicates an aggressive BCC variant. PNI occurs in an estimated 2% to 6% of KC lesions (the majority of which are SCCs) and refers to malignant cells surrounding a nerve sheath and spreading down the length of a superficial or intracranial nerve.<sup>54,55,64-69</sup> If PNI is detected only on histopathology, it is considered microscopic PNI (still high-risk). If a patient exhibits evidence of neuropathy (ie, paresthesia or hyperesthesia) in the vicinity of the tumor or there is gross evidence of

perineural tumor invasion on imaging, then the term clinical PNI or perineural spread is warranted.<sup>66,69</sup> Both microscopic and clinical PNI have significantly greater rates of metastasis and locoregional recurrence and are associated with other risk factors including recurrent tumors, high-grade lesions, large lesion size, and aggressive histopathologic subtypes.<sup>54,70-73</sup>

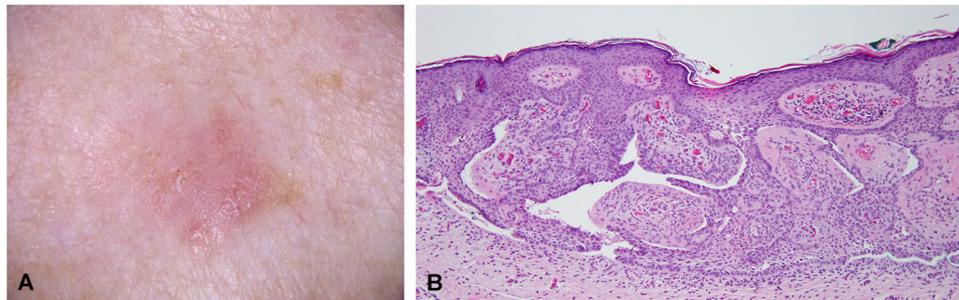
## CARCINOGENESIS AND NATURAL HISTORY

### Key points

- **Predominantly through ultraviolet B light–driven mutagenesis, keratinocyte progenitor cells develop into BCCs**
- **Constitutive activation of the Hedgehog signaling pathway is responsible and alone sufficient for BCC carcinogenesis**
- **BCCs have the greatest mutational burden in coding DNA of any human cancer, perhaps allowing for greater antigenicity and contributing to indolence via heightened immunosurveillance**



**Fig 2.** **A**, Superficial basal cell carcinoma (BCC) presenting as a well-circumscribed and erythematous thin plaque. **B**, Pigmented superficial BCC. **C**, Hematoxylin–eosin staining of superficial BCC demonstrating lobular foci of basaloid palisading keratinocytes attached superficially to the epidermis.



**Fig 3.** **A**, Fibroepithelial basal cell carcinoma presenting as a pink sessile plaque. **B**, Hematoxylin–eosin staining demonstrating multiple collections of delicate strands of epidermal basaloid keratinocytes arranged in a reticular pattern within a spindle cell stroma.

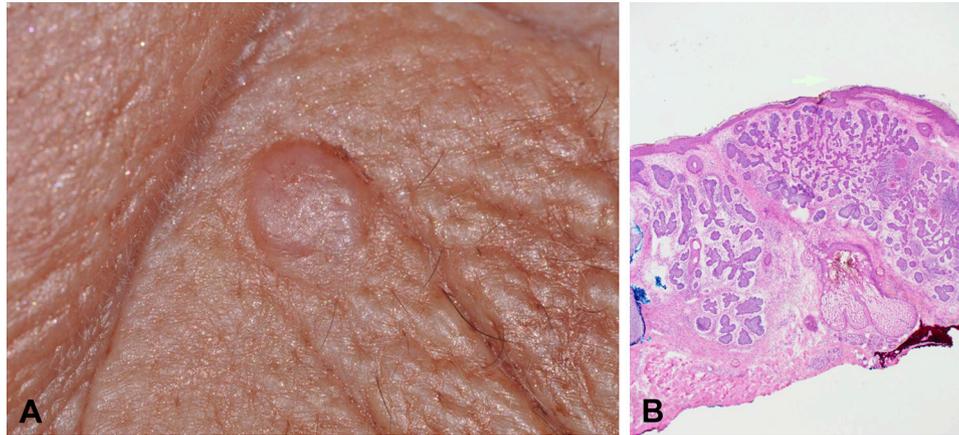
- **While BCCs grow indolently with local invasion, a small portion progress to locally advanced and metastatic tumors, usually as a result of neglect**

Sporadic BCCs arise from long-term resident keratinocyte progenitor cells of the interfollicular epidermis and upper infundibulum that undergo mutagenesis.<sup>74,75</sup> The majority of these mutations are UVB-induced, with 1 study showing 75.7% of mutations in coding DNA with a “UV signature mutation”—cyclobutane dimer formation attributed to UVB radiation.<sup>76-78</sup>

Nearly all BCCs show constitutive activation of Hedgehog signaling pathway (Hh), and several animal models have shown that amplified Hh is alone sufficient for tumorigenesis (Fig 7).<sup>79,80</sup> Via its effector protein sonic hedgehog (SHH), the Hh

pathway is critical for neural, musculoskeletal, hematopoietic, and skin development by governing embryonic development and adult tissue homeostasis, as well as regulating cell type differentiation, patterning, and proliferation.<sup>79</sup> Hh signaling maintains cutaneous stem cell populations and controls development of hair follicles and sebaceous glands.<sup>78</sup>

SHH binding to extracellular receptor PTCH1 relieves PTCH1 inhibition of SMO, which can then activate GLI transcription factors that regulate transcription of Hh pathway target genes; these target genes encode proteins that can execute aforementioned Hh pathway responsibilities.<sup>76</sup> The Hh pathway is also involved in cell cycle regulation, particularly at the G<sub>2</sub>/M checkpoint. PTCH1 interaction with cyclin B<sub>1</sub> prevents the latter protein’s



**Fig 4.** **A**, Infundibulocystic basal cell carcinoma presenting as a well-circumscribed pearly papule. **B**, Hematoxylin–eosin staining of infundibulocystic basal cell carcinoma demonstrating anastomosing strands of basaloid cells and scattered small infundibulum-like cystic structures.

translocation into the nucleus and subsequent promotion of transition to mitosis.<sup>78,81</sup> SHH binding to PTCH1 relieves cyclin B<sub>1</sub> of this suppression, allowing its nuclear translocation and cell cycle progression. SHH activity also functions at the G<sub>1</sub>/S checkpoint, upregulating cyclin D<sub>1</sub> (S-phase entry promoter) and inhibiting cyclin-dependent kinase inhibitor 1A (S-phase entry inhibitor).<sup>78,81,82</sup> BCCs exhibit aberrant Hh pathway activation usually either via inactivating mutations of *PTCH1* (chromosome 9q) or activating mutations of *SMO* (chromosome 7q).<sup>75,83–85</sup> A small portion of BCCs exhibit loss of function mutations in *SUFU*, which is a negative regulator of the Hh pathway.<sup>75,83,84,86</sup>

Recent advances in whole-exome sequencing technology have allowed for characterization of the mutational landscape of various cancers. Interestingly, sporadic BCCs show the greatest number of mutations of any human cancer, perhaps because of the ubiquitous nature of the primary source of mutagenesis, UV light.<sup>76</sup> Whether this high mutational burden is, in part, responsible for BCCs indolent nature (via increased antigenicity and heightened immunosurveillance) is a matter of debate.<sup>76</sup> Correspondingly, BCC is one of the cancers increased most by immunosuppression.<sup>76</sup>

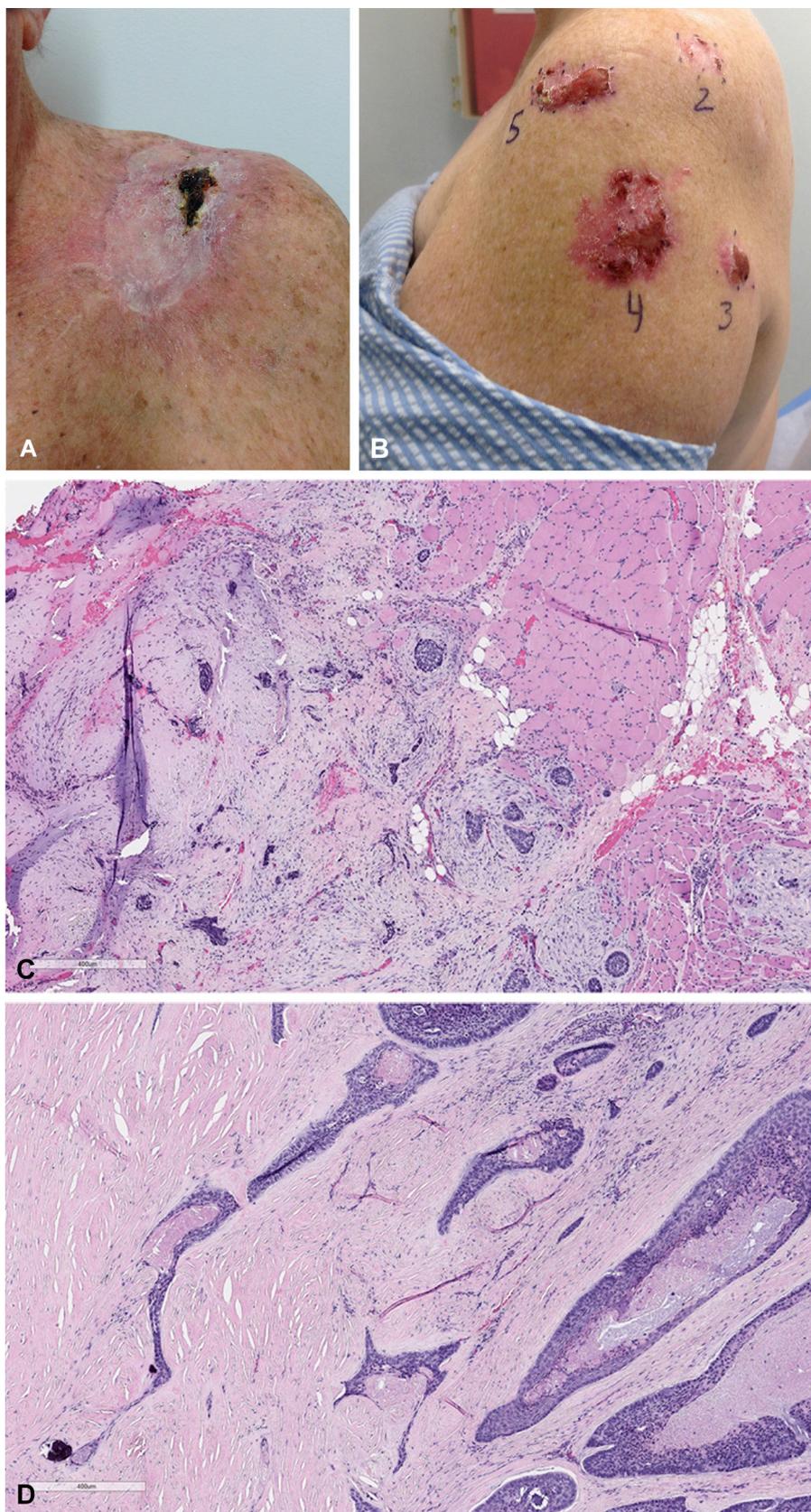
While BCCs progress indolently through local invasion, a small subset develops into locally advanced BCC or metastatic BCC tumors. These lesions have usually been neglected for years and developed multiple histologic patterns. Estimated to comprise 1% of tumors, locally advanced BCCs are tumors that are not amenable to curative treatment with surgical excision because of size or anatomic location.<sup>87</sup> Metastatic BCC is extremely uncommon (estimated risk ranging from 0.0028–0.005%

of tumors).<sup>88,89</sup> While metastasis can occur hematologically or through subcutaneous spread, lymphatic spread accounts for an estimated 70% of cases.<sup>89,90</sup> All subtypes have been shown to metastasize.<sup>89,91</sup> Common metastatic sites include lymph nodes, bone, lungs, and skin.<sup>89,92</sup> Although median metastatic BCC survival has traditionally been deemed 8 months, recent studies have shown survival lengths of  $\geq 54$  months, particularly for disease metastatic to lymph nodes alone.<sup>93–98</sup>

## ENVIRONMENTAL, DISEASE, AND TREATMENT ASSOCIATIONS

### Key points

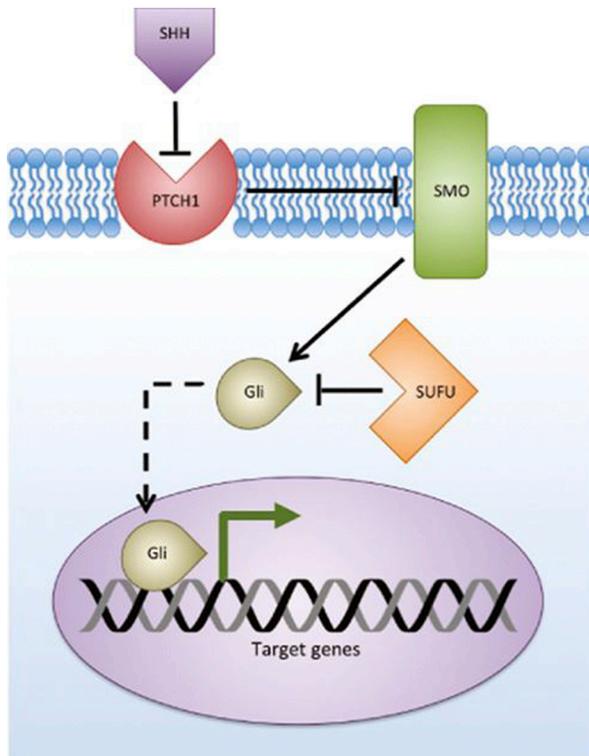
- Intermittent intense sun exposure is significantly associated with BCC development via UV-driven mutagenesis and is exacerbated by fair skin, red or blond hair, light eye color, and an inability to tan
- Nevoid basal cell carcinoma, multiple hereditary infundibulocystic, Dupre–Christol, Rombo, and xeroderma pigmentosum syndromes are characterized by increased BCC risk
- Some autoimmune conditions (ie, rheumatoid arthritis) may be independently associated with increased risk, while evidence exists that others (ie, vitiligo and alopecia areata) may be BCC protective
- BCC risk increases secondary to a variety of therapies, including psoralen plus ultraviolet A light phototherapy, immunosuppression in organ transplant patients, and ionizing radiation



**Fig 5.** **A**, Morpheaform basal cell carcinoma (BCC) presenting as a large, infiltrated scar-like plaque with poorly defined borders and a shiny surface. **B**, Multiple infiltrative BCCs presenting as large, poorly defined plaques with overlying crust, erosions, and ulcerations. **C**, Hematoxylin–eosin staining of infiltrative BCC demonstrating basaloid cells embedded in a mucinous/myxoid stroma. **D**, Hematoxylin–eosin staining of morpheaform BCC demonstrating a sclerotic collagenous stroma surrounding basaloid cords.



**Fig 6.** **A**, Hematoxylin–eosin staining of micronodular basal cell carcinoma (BCC) demonstrating multiple small aggregates of basaloid cells within the dermis. **B**, Micronodular BCC presenting as an erythematous indurated thin papule. **C**, Hematoxylin–eosin staining of basosquamous BCC showing features of both BCC and squamous cell carcinoma.



**Fig 7.** Schematic of the sonic hedgehog (SHH) pathway in a representative keratinocyte. Normally, hedgehog ligand activates the pathway by binding to and inhibiting *PTCH1*, allowing the derepression of smoothed (SMO), the activation of suppressor of fused gene (*SUFU*), and the downstream upregulation of *GLI1* transcription factors that are involved in cell growth and proliferation. Used with permission from Jaju et al.<sup>136</sup>

Given the predominance of UV signature mutations underlying BCC carcinogenesis, UV exposure via sunlight unsurprisingly is a well-established environmental carcinogen. The nature of this association is complex. A majority of evidence suggests that intermittent sun exposure (ie, recreational tanning, occupational exposure, and childhood sunburns) is a predominant risk factor,

while chronic cumulative sun exposure may not play the critical role it does in SCC carcinogenesis.<sup>38,99-110</sup> Other studies show that BCC risk still increases in patients with clinical signs of chronic UV exposure (ie, cutis rhomboidalis nuchae and leukoderma punctata).<sup>103,111</sup> A 20-year observational study involving 73,494 female nurses showed a strong dose-related association between tanning bed use and skin cancer risk; this association was stronger for patients with younger age of exposure and most significant for BCC.<sup>112</sup>

Skin phenotype plays an important role in mitigating the association between UV exposure and BCC. Fair skin, red or blond hair, light eye color, an inability to tan, and a propensity to freckle are independent BCC risk factors.<sup>38,99-107</sup> Skin pigmentation's protective role from carcinogenesis is exemplified by low incidence rates in individuals of African descent.<sup>33</sup> BCC is estimated to occur 19 times less often in such patients compared to whites, although Africans suffering from albinism may develop tumors at early ages.<sup>33</sup> In addition, KC development rates for non-Hispanic whites have been estimated to be 11 times greater than those for Hispanics.<sup>113</sup> As should be expected, variants at melanocortin 1 receptor gene—crucial to tanning response after UV irradiation via melanocyte eumelanin production—are associated with increased BCC incidence.<sup>114,115</sup>

Cigarette smoking does not appear to be associated with increased incidence rates.<sup>116-120</sup> Studies on BCC and alcohol consumption have been limited and contradictory, with some reporting an independent association and others not.<sup>116,117,120-125</sup> The epidemiologic evidence for long-term residential radon exposure and BCC is similarly sparse and contradictory.<sup>126-129</sup> However, substantial evidence exists for a dose-related relationship between arsenic-contaminated water and food and BCC.<sup>130-135</sup>

**Table II.** Summary of select genetic conditions associated with an increased risk of nonmelanoma skin cancer

Condition	Gene	Locus	Function	Pattern of transmission	Commercial tests
Basal cell nevus syndrome	<i>PTCH1</i> , <i>PTCH2</i> , and <i>SUFU</i>	9q22.32, 1p34.1, and 10q24.32	Hedgehog signaling pathway members	AD	Ambry Genetics, Prevention Genetics, Fulgent Diagnostics, Invitae, and Emory Genetics
Bazex-Dupre-Christol syndrome	Unknown	Xq25-27.1	DNA repair and regulation of cell cycle	XLD	
Rombo syndrome	Unknown	Unknown	Unknown	AD	
Xeroderma pigmentosum*	<i>XPA-XPG</i> and <i>XPV</i>	9q22.33, 2q14.3, 3p25.1, 19q13.32, 11p11.2, 16p13.12, 13q33.1, and 6p21.1	Nucleotide excision repair	AR	Fulgent Diagnostics and Prevention Genetics
Rothmund–Thomson syndrome*	<i>RECQL4</i> and <i>C16orf57</i>	8q24.3 and 16q13	Chromosomal stability, telomere maintenance, trafficking	AR	Fulgent Diagnostics, Prevention Genetics, and Emory Genetics
Werner syndrome*	<i>WRN/RECQL2</i>	8p12	Chromosomal stability	AR	Fulgent Diagnostics and Prevention Genetics
Bloom syndrome*	<i>BLM/RECQL3</i>	15q26.1	Chromosomal stability	AR	Centogene AG-The Rare Disease Company
Muir–Torre syndrome†	<i>MLH1</i> , <i>MSH2</i> , <i>MSH6</i> , and <i>PMS2</i>	3p22.2, 2p21, 2p16.3, and 7p22.1	Mismatch repair	AD	Ambry Genetics and Fulgent Diagnostics

AD, Autosomal dominant; AR, autosomal recessive; XLD, X-linked dominant.

Adapted with permission from Jaju et al.<sup>136</sup>

\*Also includes an increased risk of squamous cell carcinoma.

†Also includes an increased risk of soft tissue tumors and squamous cell carcinoma.

Several genetic syndromes with increased BCC rates have been characterized (Table II). Nevoid basal cell carcinoma syndrome (NBCC, also known as Gorlin syndrome) is an autosomal dominant disorder with an incidence of 1 per 40,000 to 57,000 individuals that is characterized by aberrant upregulation of the Shh pathway and resultant developmental defects and multiple neoplasms, including BCCs (commonly of the infundibulocystic subtype). While some patients may develop >1000 BCCs (and some may develop none), the median number in patients with NBCC is 8.<sup>136</sup> Similar to NBCC, multiple hereditary infundibulocystic syndrome is characterized by autosomal inheritance, upregulation of the Shh pathway, and numerous infundibulocystic BCCs, located commonly on the face; however, there is an absence of palmar pits, jaw cysts, and other developmental stigmata of NBCC.<sup>137,138</sup> Bazex-Dupre-Christol syndrome is a rare (<20 reported sporadic and familial cases)

X-linked dominant disorder characterized by the triad of diffuse hypotrichosis, follicular atrophoderma, and BCCs.<sup>136,139</sup> Milia cysts, hypohidrosis, trichoepitheliomas, and facial hyperpigmentation can also be seen. BCCs typically develop on the face by the second decade of life and present atypically, often pigmented and found in comedones, milia cysts, or areas of follicular atrophoderma.<sup>136</sup> Rombo syndrome is a rare autosomal dominant disorder presenting with acral erythema, facial vermiculate atrophoderma, multiple milia, telangiectasias, hypotrichosis, and a tendency to develop milia and BCCs.<sup>136,140</sup> While distinguishing between Bazex-Dupre-Christol and Rombo syndromes is difficult, patients with the latter present later in childhood with a diffuse erythema not seen in the former. Follicular atrophoderma is more prominent in Bazex-Dupre-Christol syndrome.<sup>136</sup> Xeroderma pigmentosum, a group of inherited disorders characterized by

impaired DNA repair and hypersensitivity to UV-induced mutagenesis, have an 1000-fold increased risk of cutaneous malignancies, including BCC. KCs begin to develop at median age of 8.5 years.<sup>136</sup> Other genetic disorders characterized by increased BCC risk (but not necessarily as a prominent and specific finding) include diseases of DNA replication/repair functions (Werner, Rothmund–Thomson, Bloom, Werner, and Muir–Torre syndromes), immune response (cartilage-hair hypoplasia, epidermodysplasia verruciformis), folliculosebaceous unit (Brooke–Spiegler, Schöpf–Schulz–Passarge, and Cowden syndromes), melanin biosynthesis (oculocutaneous albinism and Hermansky–Pudlak syndrome), and epidermal nevus syndromes.<sup>141</sup>

Rheumatoid arthritis patients have a mildly increased BCC risk.<sup>142–145</sup> While some evidence exists for an exacerbation of this association with biologic therapies, a recent large, population-based cohort study showed that this may only apply to SCC.<sup>142,146,147</sup> Antineutrophil cytoplasmic antibody–associated vasculitides may also increase risk.<sup>148</sup> Recent studies have shown a decreased incidence in patients with vitiligo.<sup>149,150</sup> While this association is poorly understood and seems counterintuitive, it is thought to be secondary to keratinocyte upregulation of wild-type p53 and p76 controlling DNA damage/repair.<sup>151,152</sup> Alopecia areata, which shares a similar pathogenesis to vitiligo, may also be associated with decreased incidence.<sup>153</sup>

Increased BCC rates have been associated with a variety of therapies. While the dose-dependent relationship between increased SCC and psoralen plus ultraviolet A light phototherapy is more pronounced, a similar relationship exists for BCC. Increased risk is most apparent for >100 sessions.<sup>54,154–157</sup> Large epidemiologic studies have shown that ionizing radiation increases BCC risk in area of treatment; younger age at time of radiation may increase this association.<sup>158–162</sup> BCC incidence increases 5- to 10-fold secondary to immunosuppression in organ transplant patients (compared to close to 100-fold increase with SCC). Compared to the general population, lesions more often present at a younger age (on average, 15 years earlier), outside the cephalic region, and as the superficial subtype.<sup>54,163–169</sup> Oral contraceptive use may also be associated with increased risk and more aggressive subtypes, potentially helping explain recent data showing a higher rate of incidence increase in women.<sup>10,170–172</sup>

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