



REVIEW ARTICLE

Venous thromboembolism in colorectal surgery: Incidence, risk factors, and prophylaxis



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Summary Colorectal surgery is associated with a high risk of perioperative venous thromboembolism (VTE), and this risk is especially high following colorectal cancer resection and surgery for inflammatory bowel disease. Previous analyses of large databases have reported the incidence of postoperative VTE in this population to be approximately 1.1%–2.5%. Therefore, to minimize this risk, patients should be offered appropriate prophylaxis, which may involve a combination of mechanical and pharmacologic prophylaxis with low-dose unfractionated heparin or low molecular weight heparin as recommended by several guidelines. Prior to initiation of treatment, appropriate risk stratification should be performed according to the patients' basic and disease-related as well as procedure-related risk factors, and post-operative factors. Furthermore, a risk-benefit calculation that takes into account patients' VTE and bleeding risk should be performed prior to starting pharmacologic prophylaxis and to help determine the duration of treatment.

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1. Introduction

Compared to general surgery, colorectal surgery is associated with a higher risk for postoperative thromboembolic complications such as deep venous thrombosis (DVT) and pulmonary embolism (PE).¹ Among colorectal conditions, colorectal cancer (CRC) and inflammatory bowel disease (IBD) are well-known risk factors for venous thromboembolism (VTE), with an estimated incidence of VTE of 2.75%–8.9% among patients with CRC.^{2–4} Among benign diseases, colorectal surgery has the highest reported incidence of VTE (734/65512, 1.12%).⁵ This high risk of VTE in colorectal surgery is thought to be associated with pelvic dissection patient positioning during surgery, and the presence of additional risk factors and patient comorbidities.⁶

VTE is a common cause of preventable perioperative death. The high incidence of postoperative VTE and the availability of effective methods of prevention mandate that thromboprophylaxis be considered for all surgical patients. Surgery for colorectal disease has been drastically changing by the use of laparoscopic or robotic procedures.

In this article, we review the literature on VTE prophylaxis in colorectal surgical patients including cancer and IBD patients.

2. Incidence and risk factors for postoperative VTE in colorectal surgery

2.1. VTE incidence reported by large databases

Several large-scale studies have investigated the incidence of VTE in the United States and United Kingdom, and the results of these are summarized in Table 1.^{5–19} These have reported an incidence of VTE ranging from 1.15% to 2.47%. Using data from the American College of Surgeons' National Surgical Quality Improvement Program (ACS-NSQIP) database, Henke, et al¹¹ reported an overall incidence of symptomatic VTE of 2.2% in colectomy patients. Important risk factors included age, body mass index (BMI), anemia, contaminated wound, surgical site infection, and sepsis/pneumonia. Notably, laparoscopic surgery was not significantly associated with VTE risk.¹¹ Fleming, et al⁶ reported that the overall rate of 30-day VTE after colon or rectal resection was 2.47%, and the 30-day post-discharge incidence of VTE was 0.47%. Obesity, preoperative steroid use, bleeding disorder, ASA Class III, and postoperative (major and minor) complications were independently associated with an increased risk of early post-discharge VTE. In another study, the VTE rate in colorectal surgery was 2.4% (laparoscopic, 1.2%; open, 2.9%, $P < 0.001$). Open surgery, older age, steroid use, sepsis, surgical site infection, reoperation, prolonged ventilation, and low albumin were independently associated with a higher VTE rate.⁷ Xenos, et al⁸ reported that the incidence of VTE in CRC resection was 2.0% (open 2.2% vs laparoscopic 1.5%, $P = 0.001$). Blood transfusion, male sex, history of heart disease, emergency operation, low serum albumin, and disseminated tumor were independent predictors of VTE. Compared with Caucasian participants, Hispanic and Asian/Pacific race was independently associated with a lower risk

of VTE.⁸ Moghadamyeghaneh et al analyzed 219477 patients who underwent colorectal surgery from 2005 to 2013 and found a VTE incidence of 2.1%. The authors reported that 33.8% of VTE events were diagnosed after discharge. In their study, the length of postoperative hospitalization had a strong association with post-discharge VTE, with patients who were hospitalized for more than one week after an open colorectal resection having a significantly higher risk of post-discharge VTE compared to patients hospitalized for less than 4 days after a laparoscopic resection (adjusted odds ratio 12.34, $P < 0.01$).^{14,18}

Using data from the Nationwide Inpatient Sample (NIS), Buchberg, et al⁹ analyzed the data of 149304 patients that underwent colorectal surgery from 2002 through 2006. The overall incidence of VTE was 1.4% (laparoscopic 0.83% vs open 1.44%; $P < 0.001$). In this cohort, the IBD group experienced the highest incidence of VTE at 1.4%, followed by cancer (1.3%) and diverticulitis patients (0.9%). In the laparoscopic colectomy group, obesity, congestive heart failure, and malignancy were identified as significant risk factors for VTE. In the open colectomy group, congestive heart failure, chronic pulmonary disease, obesity, metastatic cancer, pulmonary circulation disorders, and IBD were identified as significant risk factors for VTE.⁹

In a separate study, El-Dhuweib, et al¹⁷ investigated the incidence of VTE in England using Hospital Episode Statistics (HES) data between 2007 and 2008. They identified 35997 patients who underwent colorectal resection and reported an incidence of VTE of 2.3%. Age, postoperative stay, cancer, emergency admission, and emergency surgery for patients with IBD were independent risk factors for VTE.¹⁷

In the Surgical Care and Outcomes Assessment Program (SCOAP) database of Washington, the incidence of VTE after colorectal surgery was 2.2% among 16120 patients from 2006 to 2011. Pelvic operation, cancer, older age, non-elective surgery, history of VTE, and IBD were associated with an increased risk of 90-day VTE. By 2011, VTE chemoprophylaxis had increased in the perioperative setting (from 31.6% to 86.4%), in-hospital postoperative setting (from 59.6% to 91.4%), and post-discharge setting (from 8.6% to 11.7%). The 90-day VTE rate increased during this interval from 1.2% in 2006 to 3.0% in 2011.¹⁶ Recently, Beal, et al¹⁹ reported that the incidence of VTE after colectomy was 1.9%, with 0.7% of VTE events occurring in the post-discharge setting among 77823 cases identified from the NSQIP that underwent colectomy from 2011 to 2015.¹⁹

In a meta-analysis that included 11 randomized controlled trials (RCTs), Cui, et al²⁰ investigated the incidence of postoperative VTE in patients with colorectal cancer after laparoscopic surgery and conventional open surgery. The combined results of the individual trials showed no statistically significant difference for overall VTE (odds ratio (OR) 0.64, 95% confidence interval (CI), 0.33–1.23, $P = 0.18$); furthermore, there was no difference in subgroup analysis of DVT and anticoagulant prophylaxis between these two approaches.²⁰

The studies summarized above also demonstrated that the incidence of VTE varies according to surgical site and study. For example, some studies report a lower incidence of VTE in rectal surgery compared to colon surgery,^{21–23}

Table 1 Postoperative VTE incidence from large databases.

Author	Year	Country	Cohort	Database	N	Incidence of symptomatic VTE (%)	OR (95% CI) for symptomatic VTE in open surgery compared with laparoscopic surgery
Fleming ⁶	2010	USA	colorectal surgery	NSQIP	52555	2.5%	1.05 (0.80–1.38)
Shapiro ⁷	2011	USA	colorectal surgery	NSQIP	31109	2.4% (open 2.9%, laparoscopic 1.2%)	
Xenos ⁸	2011	USA	CRC surgery	NSQIP	21943	2.0% (open 1.5%, laparoscopic 2.2%)	1.57 (0.77–3.21)
Buchberg ⁹	2011	USA	colorectal surgery	NIS	149304	1.4% (open 1.44%, laparoscopic 0.83%)	
Kwon ¹⁰	2011	USA	colorectal surgery	SCOAP	4195	1.4%	1.33 (1.14–1.55)
Henke ¹¹	2012	USA	colectomy	NSQIP	3464	2.2% (open 2.8%, laparoscopic 1.7%)	
Wallaert ¹²	2012	USA	IBD surgery	NSQIP	10431	2.3%	1.32 (0.84–2.08)
Davenport ¹³	2012	USA	CRC surgery	NSQIP	21943	2.0%	
Moghadamyeghaneh ¹⁴	2014	USA	colorectal surgery	NSQIP	116029	2.0%	1.25 (0.74–2.09)
Walker ¹⁵	2014	UK	CRC surgery	CPRD, HES, CR, ONS	4963	1.6%	
SCOAP-CERTAIN ¹⁶	2015	USA	colorectal surgery	SCOAP	16120	2.2%	1.36 (1.11–1.68)
El-Dhuweib ¹⁷	2016	UK	colorectal surgery	HES data	35997	2.3%	
Moghadamyeghaneh ¹⁸	2016	USA	colorectal surgery	NSQIP	219477	2.1%	1.01 (0.79–1.3)
Alizadeh ⁵	2017	USA	laparoscopic colectomy for benign disease	NSQIP	65512	1.1%	
Beal ¹⁹	2018	USA	colectomy	NSQIP	77823	1.9%	

USA: the United States of America, UK: the United Kingdom, CRC: Colorectal cancer, IBD: Inflammatory bowel disease, NSQIP: National Surgical Quality Improvement Program, CPRD: the Clinical Practice Research Datalink, HES: Hospital Episode Statistics, CR: Cancer Registry, ONS: the Office for National Statistics, SCOAP: the Surgical Care and Outcomes Assessment Program, VTE: Venous thromboembolism, OR: odds ratio, CI: confidence interval.

whereas opposite results have been reported by other studies.⁹

Although the data provided from the large databases described above have contributed significantly to our understanding of VTE risk in surgical patients, these databases do have some limitations. For example, many databases lack information regarding patient characteristics including details of underlying coagulopathy, past history of VTE, and presence or absence of central venous catheters. Furthermore, accurate details of prophylaxis are also sometimes unclear.

2.2. Retrospective studies from single institutes

Retrospective studies from single institutes have been reported on various cohorts. Using CRC patient data obtained from a large database in California, a previous study reported that the risk of VTE is lower in Asians/Pacific Islanders compared to Caucasians (hazard ratio (HR) 0.4; 95% CI, 0.3–0.5).² However, the incidence of VTE in colorectal surgery actually varies widely from 0.2% to 4.5% in both Asian and Western countries.^{22–31}

Weida, et al²⁹ reported that the incidence of VTE in CRC surgery was 0.5% and concluded that the risk of VTE after colorectal surgery is low in Chinese patients, and therefore colorectal surgeries for malignancy may be safely performed in this patient population without DVT

prophylaxis.²² On the other hand, Kim, et al²⁹ reported that the incidence of VTE was 3.7% among 840 Korean CRC patients in a single institute, while Howell et al³⁰ reported that VTE incidence was as high as 4% under pharmacologic prophylaxis in Australian CRC patients. Choi, et al reported that among 2006 Asian CRC patients significant predictors of VTEs were advanced stage and an increased number of co-morbidities. The two-year cumulative incidence of DVT/PE was 0.3%, 0.9% and 1.4% in stages 0–1, 2 and 3, respectively; this incidence range of DVT/PE in Asian patients with loco-regional CRC was lower than in Western patients. However, the two-year incidence (6.4%) of DVT/PE in Asian patients with distant metastases was not lower than in Western patients.²⁴

The above findings suggest that several factors other than race or pharmacologic prophylaxis may affect the wide variation in VTE incidence, which may be affected by variables such as surgical procedures or postoperative protocols.

2.3. Incidence of asymptomatic VTE

The incidence of asymptomatic VTE reported in the literature is unexpectedly high. Cheung, et al³² prospectively evaluated the incidence of postoperative DVT by duplex ultrasound of both lower limbs in 50 Chinese patients who underwent laparoscopic resection of rectal or sigmoid

cancer in the absence of thromboprophylaxis. Postoperative asymptomatic DVT occurred in 19 (38%) patients, and there were no cases of symptomatic DVT/PE. The only risk factor of asymptomatic DVT was female sex.³² In another study from Japan, DVT was also prospectively screened with Doppler ultrasound sonography of the lower limb after laparoscopic surgery for gastric and colorectal cancer. In colorectal surgery, DVT was detected in 6 (17%) out of 35 patients. Neoadjuvant treatment (chemotherapy or chemoradiotherapy) was significantly associated with VTE.³³ In a phase II study on the efficacy and safety of VTE prophylaxis with fondaparinux in CRC patients undergoing laparoscopic surgery, the incidence rate of asymptomatic DVT was 2.5%, and the rate of symptomatic VTE was 0%.³⁴ In an RCT reported by Simonneau, et al³⁵ which compared nadroparin and enoxaparin for VTE prophylaxis after CRC surgery, the overall incidence of VTE was 14.2%, while the incidence of symptomatic VTE was 0.8% up to postoperative day 12 and 1.3% up to postoperative day 60.

The literature summarized above demonstrates that further studies are required in order to further our understanding of asymptomatic VTE risk and help stratify and therefore treat susceptible patients. Perioperative management for asymptomatic VTE should also be investigated.

2.4. VTE incidence in IBD surgery

IBD is known to be an independent risk factor for postoperative VTE. In a study of 5529 patients with Crohn's disease (CD) recruited from a Manitoba database, Bernstein, et al³⁶ reported that the incidence rate of DVT was 31.4/10,000 person-years while that of PE was 10.3/10,000 person-years. In ulcerative colitis (UC) the incidence rates were 30.0/10,000 person-years for DVT and 19.8/10,000 person-years for PE. The comparisons to the population cohort yielded age-adjusted incidence rate ratios (IRR) of 4.7 (95% CI, 3.5–6.3) for DVT and 2.9 (1.8–4.7) for PE in CD and 2.8 (2.1–3.7) for DVT and 3.6 (2.5–5.2) for PE in UC.³⁶ Using patient data from the database of National Hospital Discharge Survey in the United States, the incidence of VTE among medical patients with UC was 1.85% and relative risk compared to patients who had no IBD was 1.64. The incidence of VTE among medical patients with CD was lower than that reported for patients with UC (1.22%), while the relative risk compared with patients who did not have IBD was 1.08.³⁷

Surgery further increases the risk of VTE in IBD patients. Many studies reported that VTE incidence was significantly higher in IBD surgery than in non-IBD surgery such as CRC surgery or other benign colorectal surgeries.^{9,38–41} A previous study has reported that both UC (OR 1.85, 95% CI 1.70–2.01) and CD discharges (OR 1.48, 95% CI 1.35–1.62) had higher rates of VTE compared to non-IBD discharges. Moreover, the presence of bowel surgery increased the incidence of VTE in all diagnosis groups, and this was most pronounced in the UC group, in which the rate of VTE increased from 1.95% in those who did not undergo surgery to 4.23% among UC patients who underwent a colectomy.⁴² Thus, VTE screening may be indicated in IBD surgery including in young patients. In other recent large studies, the VTE incidence in IBD surgery was reported as 1.2–3.8%

in UC and 1.4% in CD.^{12,41,43} Wallaert, et al¹² reported that bleeding disorders, steroid use, anesthesia time, emergency surgery, hematocrit <37%, malnutrition, and functional status were independent risk factors for VTE in IBD surgery.

Merrill et al³⁸ analyzed the NSQIP database and reported that the postoperative VTE incidence was significantly higher in IBD patients than in non-IBD patients (2.5% vs 1.0%, respectively, $P < 0.001$). Among 35997 patients who underwent colorectal resection and whose clinical details were available in the HES database, the OR for VTE risk was 2.0 (95% CI: 1.4–3.0) in IBD surgery and 2.1 (1.6–2.8) in cancer surgery, both compared with benign diseases other than IBD.¹⁷ A recent study from the NSQIP database reported that BMI, smoking status, IBD, and hypoalbuminemia were independent risk factors for post-discharge VTE.¹⁹

The risk of VTE in IBD patients is further increased during an acute exacerbation. In a large database of IBD in the United Kingdom, patients with IBD had a higher risk of VTE than did controls (HR 3.4, 95% CI 2.7–4.3; $p < 0.0001$; absolute risk 2.6 per 1000 per person-years). However, during a flare-up, this increase in risk was much more prominent (8.4, 5.5–12.8; $p < 0.0001$; 9.0 per 1000 person-years).⁴⁴

The VTE incidences were reported to be significantly lower in laparoscopic surgery than in open surgery,^{7,9} though precise comparison is difficult because underlying medical co-morbidities and operative procedures vary widely. Therefore, procedures should be chosen carefully, taking patients' clinical status into full consideration.

The VTE risk in patients who had colitic cancer and IBD has not been reported. Generally, operations for colitic cancer are performed as elective procedures, while operations for IBD are often performed under emergency conditions complicated by an acute inflammatory status. Sorensen, et al⁴⁵ reported that cancer was significantly increased both in UC patients and in CD patients with VTE compared to non-IBD patients in patients with VTE in Danish national databases. These studies suggest that VTE might be a marker of occult cancer, especially young IBD patients.⁴⁵

3. VTE prophylaxis in colorectal surgery

3.1. ACCP guideline for VTE prophylaxis

The intensity of VTE prophylaxis for colorectal surgery patients should be commensurate with the estimated risk, which is dependent upon the type of procedure and individual VTE risk factors. There are several published guidelines that differ substantially in the methods used to assess risk of VTE.^{46–51} These differences exist secondary to factors such as bias, cost, safety, efficacy, and ease of implementation. For greater than 20 years, the American College of Chest Physicians (ACCP) has published extensive evidenced-based guidelines on the use of antithrombotic therapy. A summary table is provided (Table 2) to help categorize colorectal patients in adherence with the latest ACCP and the American Society of Clinical Oncology (ASCO) recommendations.^{48,50} The 9th ACCP guideline decided that symptomatic VTE events should be a preferred

Table 2 Risk Stratification for VTE in abdominal-pelvic surgery (Extracted from 9th ACCP guideline).⁴⁸

VTE risk category	Roger Score	Caprini Score	Estimated Baseline Risk in the Absence of Pharmacologic or Mechanical Prophylaxis, %	Recommendation
very low	<7	0	<0.5	Early ambulation
low	7–10	1–2	1.5	mechanical prophylaxis
moderate	>10	3–4	3	LMWH, LDUH, or mechanical prophylaxis
high	NA	>=5	6	LMWH or LDUH, and mechanical prophylaxis

LMWH: Low molecular weight heparin, LDUH: Low-dose unfractionated heparin.

outcome for evaluating the efficacy of mechanical or pharmacological prophylaxis for VTE.⁴⁸

The risk stratification model was based on two previously validated risk factor point systems, the Rogers Score (Table 3) and Caprini Score (Table 4). The Rogers score was established using data from 183069 patients who underwent general, vascular, and thoracic procedures at one of 128 Veterans Administration medical centers or 14 private sector hospitals between 2002 and 2004.⁵² This model assigned points to variables that were found to be independent predictors of VTE risk, including type of operation, work relative value units, patient characteristics, and laboratory values (Table 3). Using this model, the risk of symptomatic VTE varied from very low (0.1%) to low (–0.5%) and moderate (–1.5%) (Table 2). Unfortunately, this model is somewhat cumbersome to use. In addition, information was not provided about how many patients received prophylaxis. Another model (the Caprini score) estimates VTE risk by adding points for various VTE risk factors, as shown in Table 4.⁵³ VTE risk is categorized as being very low (0–1 point), low (2 points), moderate (3–4 points), or high (5- points). Although this model was not developed using rigorous statistical methods and includes some variables that were later found not to be associated with VTE risk, it is relatively easy to use and appears to discriminate reasonably well among patients at low, moderate, and high risk for VTE. Risk factors for major bleeding complications in ACCP Guideline are listed in Table 5.⁴⁸ In the absence of data from large, prospective, population-based observational studies, the baseline risk of bleeding can be derived from the control (placebo or no pharmacologic prophylaxis) groups in RCTs. However, most RCTs of pharmacoprophylaxis exclude patients who are believed to be at increased risk for bleeding. With that limitation in mind, the average baseline risk of major bleeding in the absence of prophylaxis was estimated to be 1.2% (95% CI, 0.9–1.7%) by using the pooled risk from the control groups in seven RCTs of low molecular weight heparin (LMWH) as reported in a meta-analysis.⁵⁴ The Society of American Gastrointestinal and Endoscopic Surgeons (SAGES) has also developed a risk assessment guideline for laparoscopic surgery.^{55,56}

3.2. Mechanical prophylaxis for VTE

The effectiveness of graduated compression stockings (GCS) in the prevention of DVT has been studied extensively in the literature. A systematic review including 15 RCTs showed a 64% relative risk (RR) reduction in general surgical

patients,⁵⁷ while a Cochrane Review of 19 RCTs including 1681 patients demonstrated that 9% of patients using GCS developed DVT compared with 21% in the control group.

Table 3 Rogers score.⁵²

Risk Factor	Risk Score Points
Operation type other than endocrine	
Respiratory and hernia	9
Thoracoabdominal aneurysm, embolectomy/thrombectomy, venous reconstruction, and endovascular repair	4
Aneurysm	4
Mouth, palate	4
Stomach, intestines	4
Integument	3
Hernia	2
ASA physical status classification	
3, 4, or 5	2
2	1
Female sex	1
Work RVU	
>17	3
10–17	2
Two points for each of these conditions	2
Disseminated cancer	
Chemotherapy for malignancy within 30 d of operation	
Preoperative serum sodium >145 mmol/L	
Transfusion >4 units packed RBCs in 72 h before operation	
Ventilator dependent	
One point for each of the conditions	1
Wound class (clean/contaminated)	
Preoperative hematocrit level ≤ 38%	
Preoperative bilirubin level > 1.0 mg/dL	
Dyspnea	
Albumin level ≤ 3.5 mg/dL	
Emergency	
Zero points for each of these conditions	0
ASA physical status class 1	
Work RVU < 10	
Male sex	

ASA: the American Society of Anesthesiologists, RVU: Relative value unit, RBC: red blood cell.

Table 4 Caprini Risk Assessment Model.⁵³

1 Point	2 Points	3 Points	5 Points
Age 41–60 y	Age 61–74 y	Age ≥ 75 y	Stroke (<1 mo)
Minor surgery	Arthroscopic surgery	History of VTE	Elective arthroplasty
BMI >25	Major open surgery (45 > min)	Family history of VTE	Hip, pelvis, or leg fracture
Swollen legs	Laparoscopic surgery (>45 min)	Factor V Leiden	Acute spinal cord injury (<1 mo)
Varicose veins	Malignancy	Prothrombin 20210A	
Pregnancy or postpartum	Confined to bed (>72 h)	Lupus anticoagulant	
History of unexplained or recurrent spontaneous abortion	Immobilizing plaster cast	Anti-cardiolipin antibodies	
Oral contraceptives or hormone replacement	Central venous access	Elevated serum homocysteine	
Sepsis (<1 mo)		Heparin-induced thrombocytopenia	
Serious lung disease, including pneumonia (<1 mo)		Other congenital or acquired thrombophilia	
Abnormal pulmonary function			
Acute myocardial infarction			
Congestive heart failure (<1 mo)			
History of inflammatory bowel disease			
medical patient at bed rest			

BMI: Body mass index, VTE: Venous thromboembolism.

Table 5 Risk factors for major bleeding complications.⁴⁸

General risk factors

Active bleeding
 Previous major bleeding
 Known, untreated bleeding disorder
 Severe renal or hepatic failure
 Thrombocytopenia
 Acute stroke
 Uncontrolled systolic hypertension
 Lumbar puncture, epidural, or spinal anesthesia within previous 4 h or next 12 h
 Concomitant use of anticoagulants, antiplatelet therapy, or thrombolytic drugs
 Procedure-specific risk factors
 Abdominal surgery
 Male sex, preoperative hemoglobin level <13 g/dL, malignancy, and complex surgery defined as two or more procedures, difficult dissection, or more than one anastomosis

The Peto odds ratio was 0.33 (95% CI 0.26–0.41) with an overall effect favoring treatment with GCS ($P < 0.00001$).⁵⁸ A meta-analysis of intermittent pneumatic compression (IPC) and DVT prevention in postoperative patients evaluated 15 studies including 2270 patients. The authors found that the use of IPC decreased the risk of DVT by 60% when compared with no prophylaxis (RR 0.40, 95% CI, 0.29–0.56; $p < 0.001$). Most of the studies conducted to date have confirmed that a combination of IPCs with pharmacologic methods is more effective than either method alone.⁵⁹ In a Cochrane Review that specifically evaluated thromboprophylaxis in colorectal surgery patients showed that the combination of mechanical methods with low-dose heparin

was better than heparin alone in preventing DVT and/or PE (OR 4.17; 95% CI, 1.37–12.70).⁶⁰

In the ACCP Guideline, mechanical prophylaxis is recommended for low, moderate, and high risk category patients, and should be offered in combination with pharmacologic prophylaxis in moderate and high risk category patients.⁴⁸

3.3. Pharmacologic prophylaxis for VTE

Pharmacological treatment is the recommended method of prophylaxis for most lower intestinal surgeries including

CRC resection and IBD surgery due to the high incidence of VTE. The ACCP guideline recommends pharmacological prophylaxis for high-risk VTE patients who are not at a high risk for bleeding.⁴⁸

Low-dose unfractionated heparin (LDUH) and LMWHs are the main agents used for pharmacologic prophylaxis. Heparin is usually given subcutaneously as 5000 units every 8 h or every 12 h. LMWHs inactivate factor Xa, but they have little effect on thrombin due to their structure.⁶¹

In 1997, a large RCT conducted by the ENOXACAN Study Group proved that enoxaparin is as safe and effective as LDUH in preventing VTE in patients undergoing major elective surgery for abdominal or pelvic malignancy.⁶² In 2003, a meta-analysis which analyzed 19 studies on colorectal surgery reported that heparin is effective for the prevention of VTE (OR 0.32, 95% CI 0.20–9.53), compared to no pharmacologic prophylaxis, and LDUH and LMWH were equally effective.⁶³

RCTs on pharmacologic VTE prophylaxis are listed in Table 6.^{21,35,62,64–67} In Singapore, 303 Asian patients undergoing elective major colorectal surgery were randomly assigned to the control or LMWH group. The incidence of DVT was significantly higher in the control group (3%) compared to the LMWH group (0%) ($P = 0.045$). One PE in the control group was fatal. Furthermore, bleeding-related complications were significantly higher in patients treated with LMWH.⁶⁴ McLeod, et al²¹ conducted an RCT to compare the effectiveness and safety of LDUH and enoxaparin as prophylaxis against VTE after colorectal surgery. The VTE rates were the same in both groups. Although the proportion of all bleeding events in the enoxaparin group was significantly greater than in the LDUH group (10.1% vs. 6.2%, respectively, $P = 0.003$), the rates of major bleeding and reoperation for bleeding were not significantly different.²¹ Sakon, et al⁶⁶ randomized 151 Japanese patients undergoing curative surgery for abdominal cancer to enoxaparin 20 mg twice daily for 14 days or IPC. The incidence of VTE was 1.2% (95% CI, 0.03–6.53%) in the enoxaparin group and 19.4% (95% CI, 7.45–37.47%) in the IPC group. The incidence of bleeding events was 9.2% (95% CI, 4.49–16.23%) in the enoxaparin group and 7.9% (95% CI, 1.66–21.38%) in the IPC group.⁶⁶

In an RCT conducted by Turpie, et al,⁶⁷ the authors demonstrate that patients undergoing abdominal surgery and receiving IPC, fondaparinux 2.5 mg reduced the VTE rate by 69.8% as compared to IPC alone, with a low bleeding risk. Fondaparinux is a synthetic pentasaccharide that binds

to antithrombin, but has no effect on thrombin. It does not interact with platelets or platelet factor IV; therefore, it does not cause heparin-induced thrombocytopenia. In a non-inferiority RCT, fondaparinux was compared with dalteparin. This study showed that fondaparinux was associated with a 24.6% RR reduction in all patients, but this was not statistically significant (95% CI, –9.0–47.9; $p = 0.144$). A post hoc analysis suggested improved efficacy (RR: 40%) in reducing VTE for fondaparinux in a large subgroup of patients with malignancy. There was no significant difference in major bleeding rates.⁶⁵

The increased risk of bleeding events must be considered in all patients given pharmacologic VTE prophylaxis. Hata, et al⁶⁸ reported the results of a prospective study that included 619 patients undergoing CRC surgery and who were administered fondaparinux, and demonstrated that the incidence of major bleeding was 0.81% (95% CI 0.3–1.9), and the incidence of minor bleeding was 9.5% (95% CI 7.3–12.1).⁶⁸ In another study of fondaparinux for colorectal cancer surgery by Yasui, et al, the incidence of major bleeding during the treatment period was 0.81% (5 of 619; 95% CI: 0.3 to 1.9). The incidence of minor bleeding was 9.5% (59 of 619). In a univariate analysis, minor bleeding occurred significantly more frequently in the laparoscopic surgery group than in the open surgery group. When all bleeding events were considered, there tended to be more events in laparoscopic surgery than in open surgery ($P = 0.059$), but there was no statistically significant difference between the 2 groups.⁶⁹ Other retrospective studies reported that fondaparinux was not associated with an increased risk of major bleeding, although it did increase the risk of minor bleeding.^{31,70} Longer operative time was an independent risk factor for bleeding events in CRC patients undergoing laparoscopic surgery who received VTE prophylaxis by fondaparinux.³⁴

The studies described above all support an important role for pharmacologic VTE prophylaxis in surgical patients, and this finding has also been validated by a number of retrospective studies.^{10,28} However, a number of issues must be taken into consideration when deciding upon the most appropriate prophylactic therapy, including pricing and hospital preferences.⁷¹ Furthermore, additional studies are still required to assess the risk of bleeding events with pharmacologic VTE prophylaxis. In particular, a bleeding risk assessment model would be clinically useful to help guide appropriate therapy.

Table 6 RCTs on pharmacologic VTE prophylaxis in colorectal surgery.

Author	Year	Indication	N	Groups	VTE, %	Bleeding, %
ENOXACAN group ⁶²	1997	abdominal and pelvic malignancy	1115	enoxaparin vs. LDUH	14.7/18.2 ^b	4.9/2.1
Ho ⁶⁴	1999	colorectal surgery	320	enoxaparin vs. control	0/3.0 ^a	6.7/1.8 ^a
McLeod ²¹	2001	colorectal surgery	936	LDUH vs. enoxaparin	9.4/9.4 ^{a,b}	6.5/10.4 ^a
Agnelli ⁶⁵	2005	abdominal surgery	2048	fondaparinux vs. dalteparin	4.6/6.1	3.4/2.4
Simonneau ³⁵	2006	CRC surgery	1288	nadroparin vs. enoxaparin	15.9/12.6 ^b	7.3/11.5 ^a
Sakon ⁶⁶	2010	abdominal surgery	151	enoxaparin vs. control	1.2/19.4 ^{a,b}	9.2/7.9
Turpie ⁶⁷	2007	abdominal surgery	1309	fondaparinux vs. control	1.7/5.3 ^a	1.6/0.2 ^a

RCT: Randomized controlled trial, VTE: Venous thromboembolism, CRC: Colorectal cancer, LDUH: Low-dose unfractionated heparin, DVT: Deep venous thrombosis.

^a Statistically significant.

^b Asymptomatic DVT.

Table 7 RCTs on extended prophylaxis in abdominal surgery.

Author	Year	Indication	N	VTE (%) Control/Extended
Lausen ⁷⁴	1998	abdominal and thoracic surgery	176	10.0/5.2 ^a
Bergqvist ⁷²	2002	abdominal and pelvic cancer surgery	332	13.8/5.5 ^a
Rasmussen ⁷⁵	2006	abdominal and pelvic surgery	343	16.3/7.3 ^a
Kakkar ⁷⁶	2010	abdominal and pelvic cancer surgery	488	12.1/7.7
Vedovati ⁷⁷	2014	laparoscopic CRC surgery	225	9.7/0.9 ^a

RCT: Randomized controlled trial, VTE: Venous thromboembolism, CRC: Colorectal cancer.

^a Statistically significant.

3.4. Duration of pharmacological prophylaxis

The optimal duration of pharmacological prophylaxis has been evaluated by a number of studies. Results from the NSQIP database showed that approximately one-third of postoperative VTE was diagnosed post-discharge in colorectal surgery patients.^{13,18} The ENOXACAN II Study proved that enoxaparin prophylaxis for 4 weeks after surgery for abdominal or pelvic cancer is safe and significantly reduces the incidence of venographically demonstrated DVT, as compared with enoxaparin prophylaxis for one week.⁷² Similarly, the ACCP guideline recommends a 4-week course of LMWH.⁴⁸

Several RCTs investigating extended prophylaxis have also been reported (Table 7).^{72–77} Vedovati, et al⁷⁷ recently reported that the incidence of VTE at 3 months after laparoscopic surgery for CRC was 9.7% and 0.9% in patients randomized to short or to extended heparin prophylaxis, respectively (relative risk reduction: 91%, 95% CI: 0.30–0.99; P = 0.005). However, several studies have questioned the cost-effectiveness of extended prophylaxis as standard for all patients.^{27,30,78} For example, Verheijen, et al²⁵ reported that in patients undergoing laparoscopic resections for benign disease and without other risk factors have such a low VTE risk that prolonged prophylaxis is probably not warranted.²⁵

Beal, et al¹⁹ retrospectively studied the risk factors for post-discharge VTE. The authors found that the overall incidence of VTE after colectomy was 1.9%, with 0.7% of VTE events occurring in the post-discharge setting. Factors associated with post-discharge VTE risk were body mass index, preoperative albumin, operation time, hospital length of stay, race, smoking status, IBD, return to the operating room and postoperative ileus. The authors also created a prediction model for post-discharge VTE to help clinicians decide which patients would be most suitable for extended prophylaxis.¹⁹ Further research in this area, and in particular genetic studies investigating the pathogenesis of cancer-related VTE could potentially be used to select high-risk CRC patients for thromboprophylaxis.⁷⁹

Walker, et al¹⁵ reported that the duration of prophylaxis after CRC resection may be guided by pathological stage and presence or absence of adjuvant chemotherapy, although Riedl, et al⁸⁰ reported that adjuvant chemotherapy was not associated with an increased risk of VTE (HR = 0.98, 95% CI 0.33–2.88), whereas disease recurrence strongly increased the risk of VTE (HR = 13.03, 95% CI 4.39–38.74).

Adherence with post-discharge VTE chemoprophylaxis is also an issue. For example, it is reported that only 1.5% of Medicare beneficiaries undergoing CRC surgery received care consistent with established guidelines for post-discharge VTE chemoprophylaxis.⁸¹ The barriers against the extended prophylaxis may include potential patient-specific social factors, costs and insurance coverage issues and provider-prescribing patterns.⁸¹ Treatment with oral agents may improve adherence with post-discharge VTE chemoprophylaxis. Oral edoxaban was proved to be non-inferior to subcutaneous dalteparin for the prophylaxis for recurrent VTE in cancer patients.⁸² Rivaroxaban, an oral factor Xa inhibitor, was also demonstrated to be associated with a lower risk of VTE recurrence compared with dalteparin, although the rate of minor bleeding rate was higher.⁸³ Recently, preoperative heparin for the prevention of occult preoperative DVT was proved to be safe in major colorectal surgery.⁸⁴ Nevertheless, further trials are required to assess the optimal timing and duration of chemical thromboprophylaxis.

3.5. VTE prophylaxis in IBD surgery

There is no widely implemented standardized VTE prophylaxis or treatment practice for IBD inpatients. The ACCP guideline does not offer specific recommendations for patients with IBD, although thromboprophylaxis with LMWH, LDUH or fondaparinux are recommended in acutely ill hospitalized medical patients at increased risk for thrombosis.⁴⁸ Other guidelines on IBD recommend that pharmacologic prophylaxis should be considered depending on the patients' situation.^{85–88}

Scarpa, et al³⁹ reported that the DVT rate in patients who underwent colorectal surgery and who had LMWH prophylaxis was higher in IBD patients than in CRC patients. They suggest that a more aggressive prophylactic therapy should be considered.³⁹ However, there was significant variation in practices for VTE prophylaxis in IBD patients among gastroenterologists.⁸⁹ In IBD surgery, various elements including general conditions, operative bleeding risk, and gastrointestinal bleeding risk should be carefully taken into account on a case-by-case basis to decide upon the most suitable method of VTE prophylaxis.

4. Conclusions

The risk of VTE in colorectal surgery is not insignificant, and therefore, patients should be offered appropriate

prophylaxis in order to reduce the risk of perioperative VTE. Patients undergoing colorectal surgery should be carefully stratified according to their VTE risk, taking into account risk factors, disease-related factors, and procedure-related factors. The type of prophylaxis offered should then be decided based on a risk-benefit analysis that takes into account the risk of VTE and the risk of bleeding.

Conflict of interest statement

Authors have no conflict of interest.

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