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Angiogenesis in ⁹⁰Y-Radioembolization of Colorectal Liver Metastases

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In order to evaluate the role of angiogenesis in ⁹⁰Y-radioembolization for colorectal cancer liver metastasis an overview was provided of angiogenic growth factors and their function, the angiogenic mechanisms in colorectal cancer, the role of hypoxia, and the advances in antiangiogenic therapy. Last, the use of circulating angiogenic growth factors in ⁹⁰Y-radioembolization was reviewed. Two literature searches were conducted. A search query in PubMed on angiogenesis in colorectal cancer, and a systematic search in PubMed (Medline), Embase, and the Cochrane Library (October 2018) with synonyms for “radioembolization” and “angiogenic growth factor.” The first search yielded 3 relevant publications on the role of angiogenic growth factors in colorectal cancer, hypoxia, and antiangiogenic therapy. The second search yielded two prospective studies on circulating angiogenic factors and their relationship with response and survival after ⁹⁰Y-radioembolization for colorectal cancer liver metastases. Rises in circulating angiogenic growth factors after radioembolization were seen in both studies. High baseline values of Ang-2 and IL-8 correlated with shorter survival and post ⁹⁰Y-radiembolization rises in Ang-2 and HGF correlated with early progression. Various angiogenic growth factors play a role in the development and progression of colorectal cancer. Several factors show correlation with poor outcomes after ⁹⁰Y-radioembolization and might be used for patient selection in the future, however, validation in larger comparative studies is required.

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Introduction

Colorectal cancer is one of the most common diagnosed cancers in the Western world and is a leading cause of death.¹ Colorectal cancer metastases often spread to the liver and are a major cause of mortality. For patients with metastatic disease, surgical treatment is considered the only curative option, achieving 5-year survival rates of up to 40%.² Unfortunately, surgical treatment is often not possible and patients are left with palliative options, mainly consisting of systemic treatment with palliative intent. For patients failing systemic options, radioembolization (RE) should be considered.³

RE is a form of internal radiation therapy in which microspheres bearing radioactive isotopes (eg, yttrium-90 (⁹⁰Y) or holmium-166) are selectively injected into the hepatic arteries, where they embolize blood vessels and emit (primarily) β -radiation. The duality of the hepatic blood supply allows for the delivery of high radiation doses to the tumor compared to the healthy liver tissue.⁴ For the treatment of unresectable and chemorefractory colorectal cancer liver metastases (CRCLM), ⁹⁰Y-RE has proven to be a well-tolerated treatment with a median overall survival of approximately 12 months in CRCLM-patients.⁵ However, response to treatment varies substantially despite seemingly homogeneous patient groups. We cannot predict which patients will respond to treatment due to the lack of predictive factors. However, it has been hypothesized that there might be a relationship between circulating angiogenic growth factors and treatment response after ⁹⁰Y-RE.⁶ Measuring these biomarkers could have predictive value. In this review the role of angiogenesis in colorectal cancer, in relation with ⁹⁰Y-RE treatment was evaluated. To gain a better understanding of the process of angiogenesis we

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appraised the most investigated angiogenic growth factors in colorectal cancer, the role of hypoxia, and antiangiogenic therapy. Furthermore, we reviewed the available literature on the use of angiogenic factors as predictive biomarkers in ⁹⁰Y-RE.

Methods

This review has 2 parts. The first describes the role of angiogenesis in colorectal cancer, its basic principles, and gives an overview on angiogenic growth factors. The second part addresses the role of angiogenic growth factors in ⁹⁰Y-RE.

Angiogenesis in Colorectal Cancer

In order to provide more insight into the angiogenic processes in colorectal cancer a search was conducted on PubMed. The search query was geared toward finding publications on angiogenesis in colorectal cancer and the prognostic and/or predictive value of angiogenic growth factors in colorectal cancer. Only reviews published in the last 5 years were considered. Screening for the most comprehensive reviews yielded three publications.⁷⁻⁹ These were used to compile an overview of prominent angiogenic growth factors and their function. Furthermore, the role of hypoxia in cancer development, antiangiogenic treatment, and the value of circulating angiogenic growth factor measurements were reviewed. Only descriptive results were reported.

Angiogenesis in ⁹⁰Y-Radioembolization

A literature search was constructed to find all publications on the angiogenic growth factor response in relation with ⁹⁰Y-RE. The search query included synonyms for "Radioembolization" and "Angiogenic growth factor." These were adapted for PubMed (Medline), Embase, and the Cochrane Library. The search was performed in October 2018. Only original publications were selected. Exclusion criteria were: full text not available, review publications, letters to the editor, editorial papers, and case reports. Furthermore, a crosscheck of reference lists from identified publications was performed to identify papers missed by the initial search.

The screening of the 85 results on ⁹⁰Y-RE yielded three publications. Two studies that mainly included CRCLM patients^{6,10} and one study focused on patients with hepatocellular carcinoma (HCC).¹¹ In this review we focus on CRCLM due to the large differences between the biology of CRCLM and HCC.

From the two studies we extracted data on patient characteristics and treatment details (eg, primary tumor type and the number of previous chemotherapy lines), the measured biochemical factors and their timepoints of measurement, and data on the relationship between angiogenic factors and survival/response. Survival data were described as medians with their 95% confidence interval. Incidence of increases in circulating angiogenic growth factors were described as percentages with corresponding *P* values.

Angiogenesis in Colorectal Cancer

Angiogenesis is a process in which new blood vessels are formed from pre-existing ones. Since the first description of the role of angiogenesis in tumor progression,¹² our understanding of the complex underlying processes has grown, but much remains unknown. Angiogenesis consists of a series of repeatable steps that ultimately lead to the development of blood supply to the tumor and it is considered to be a rate-limiting factor in cancer development, as it is necessary for tumor growth and metastasis.^{7,13-15} The process is coordinated by a balance of inhibiting and activating factors, in which certain events tip the balance toward activation.^{16,17}

In colorectal cancer, the first angiogenic activation occurs during the premalignant stages of the disease,¹⁸ significant increases of circulating angiogenic growth factors and a corresponding increase of microvascular density were found at the onset of dysplasia. Angiogenic activation in colorectal cancer occurs mainly in two ways: (1) carcinogenic mutations cause permanent activation in proangiogenic signaling pathways and (2) as an adaptive mechanism to tumor growth induced hypoxia.⁷

Angiogenic Growth Factors

Angiogenic growth factors are naturally occurring molecules that promote proliferation and differentiation of endothelial cells and other cells that support angiogenesis. There are several different growth factor families. The most widely studied growth factors are the vascular endothelial growth factors (VEGF), for which several inhibiting agents have been developed that have been studied as anticancer treatments. Many other growth factors have been identified, not all underlying mechanisms of which are fully understood but it is presumed that these factors stimulate proliferation and migration of endothelial cells, attract cells that are essential to angiogenesis such as pericytes and macrophages, and create provisional matrices for growth of new blood vessels.^{13,19} Table 1 provides an overview of several growth factors that have been investigated in colorectal cancer and what is known about their function.

Hypoxia and Angiogenesis

Activation of angiogenesis is closely linked to hypoxia, which is common in many solid tumors. During rapid growth, tumors disrupt the transport of oxygen by compressing and obstructing surrounding blood vessels. Moreover, cells located further away from a capillary vessel will become hypoxic, as the diffusion distance of oxygen is limited to 100-180 μm .²⁰ Hypoxia stimulates cells to undergo several changes that ensure their survival and thus increase the invasive potential of tumors, eg, inducing angiogenesis, shift toward glycolytic metabolism, gain of apoptosis

Table 1 Overview of Angiogenic Growth Factors in Colorectal Cancer

Growth Factor Family	Known Molecules	Receptors	Function	Role in Colorectal Cancer
VEGFs	VEGF-A, -B, -C, -D, and -E PIGF	TKIs: VEGFR-1, -2, and -3	VEGFR-1 and -2: EC survival, proliferation, and migration. ^{7,13,15} VEGFR-3: lymphangiogenesis. VEGFR-2, when phosphorylated by VEGF-A, is the most potent mediator of angiogenesis. ^{9,50} PIGF binds to VEGFR-1 and amplifies the effect of VEGFR-2. ^{51,52}	Significant VEGF and PIGF overexpression in advanced disease compared to early disease; correlating with disease progression. ⁵³⁻⁵⁶
Angiopoietins	Ang-1 and Ang-2	Tie-2 receptor	The angiopoietins have antagonizing complementary roles. Ang-1 helps stabilize and mature vessels, through phosphorylating the Tie-2 receptor. Ang-2 binds to Tie-1 but does not phosphorylate it, antagonizing Ang-1 and destabilizing vessels.	Overexpression of Ang-2 in colorectal cancer cells. ⁵⁷
PDGFs	PDGF-AA, -BB, CC, DD, and -AB	PDGFR- $\alpha\alpha$, - $\beta\beta$, and - $\alpha\beta$	Wound healing and angiogenesis during the embryonic phase. PDGF-BB facilitates pericyte recruitment.	Overexpression of PDGFs and PDGFRs. PDGF-BB overexpression is associated with disease stage. ⁵⁸⁻⁶¹
HGF	HGF	Met-receptor	Hepatic regeneration, enhancement of cellular motility and suppression of apoptosis.	In colorectal liver metastasis HGF-Met binding correlates early recurrence CRLM after hepatectomy. ⁶²
FGFs	FGF-1 through FGF-23	FGFR-1, -2, -3, and -4.	Cell survival, growth, differentiation, and angiogenesis. ⁶³ FGF-2, A.K.A. basic fibroblast growth factor (bFGF), induces a strong angiogenic response through stimulation of VEGF-A expression and modulation of VEGFR-2 function in ECs. ^{64,65} FGF-2 regulates HGF expression. ⁶⁶	Several FGFs as well as FGFRs were found to be overexpressed in colorectal cancer and are thought to promote tumor growth. ^{67,68}

Ang, angiopoietin; CRLM, colorectal liver metastasis; EC, endothelial cell; FGF, fibroblast growth factor; HGF, hepatocyte growth factor; PDGF, platelet derived growth factor; PDGFR, platelet derived growth factor receptor; PIGF, placenta growth factor; VEGF, vascular endothelial growth factor; VEGFR, vascular endothelial growth factor receptor.

resistance, and cell migration (toward less hypoxic zones).²¹ There are several mechanisms through which these changes take place, the most important of which is the hypoxia inducible factor-1 (HIF-1) pathway.^{9,22} HIF-1 is a DNA transcription factor that plays a central role in the cell's response to hypoxia. It consists of two subunits (HIF-1 α and HIF-1 β) and under normoxic conditions HIF-1 α will undergo degradation almost immediately. In hypoxia however, this oxygen dependent degradation does not occur and HIF-1 α will accumulate and bind to HIF-1 β . Bound together they form an active compound that initiates transcription of more than sixty genes required for cell survival in hypoxic conditions.^{23,24}

HIF-1 directly induces angiogenesis via upregulation of (among others): VEGF-A and VEGFR-2, TGF- β 3, PDGF-, Ang-1 and Ang-2, and the Tie-2 receptor.^{9,21} Furthermore, upregulation of cyclooxygenase-2 enzymes by HIF-1 leads to a rise of prostaglandin E2 which is associated with angiogenesis in colorectal cancer.^{7,25,26}

Antiangiogenic Therapy

The initial hypothesis for antiangiogenic treatment was one of tumor starvation: impeding angiogenesis, leading to nutrient and oxygen deprivation, should slow down disease progression and improve survival.¹² However, this theory was soon abandoned as clinical studies with bevacizumab monotherapy—bevacizumab being the first used antiangiogenic agent—did not show any overall survival benefit over placebo.²⁷ Survival did improve when bevacizumab was combined with cytotoxic chemotherapy. This finding seems paradoxical as the function of chemotherapy relies on tumor perfusion.²⁷ This might be explained by the concept of vessel normalization, in which VEGF plays an important role. VEGF-inhibitors can restore the balance between anti- and proangiogenic factors. The leaky and abnormal tumor vessels are remodeled into vessels that resemble normal blood vessels, both in form and function. The normalized vessels have a higher pO₂, improved pericyte coverage, lower macromolecular permeability, and improved delivery of chemotherapeutic agents.^{7,8,27,28}

However, the increased overall survival of chemotherapy combined with VEGF-inhibitors still falls short, since it ranges between weeks to several months, as most tumors eventually develop resistance to anti-VEGF therapy.^{27,29-31} The normalized vessels are sensitive to antiangiogenic drug dose and are only stable for a limited time, after which the hypoxia reoccurs and induces HIF-mediated angiogenesis through pathways that are not reliant on VEGF.^{7,27} Furthermore, as less adapted cancer cells die due to hypoxia, more resilient and drug-resistant cell lines are “selected” leading to more aggressive tumor cells and disease progression.^{8,23} More recent antiangiogenic agents, such as the tyrosine kinase inhibitors, influence multiple angiogenic targets (eg, regorafenib, which targets VEGFR 1-3, PDGFR, and FGFR). Regorafenib demonstrated survival benefit as monotherapy,³² which supports the HIF-mediated VEGF-resistance theory.

In response to these findings, combining antiangiogenic agents with hypoxia inhibitors would make a promising treatment strategy. Several hypoxia inhibitors have been identified. These reduce the resistance mechanisms to antiangiogenic therapy by targeting the HIF-1 pathway or the mTOR pathway, which promotes angiogenesis through activation of HIF-1.⁹ Animal studies with human colon cancer xenografts have shown that the cytotoxic agent irinotecan effectively inhibits angiogenesis.³³ One of these studies reported lower levels of HIF-1 α in tumors treated with an irinotecan analogue.^{9,34}

Measuring Angiogenic Factors

Angiogenesis is known to be essential to maintain tumor growth and progression. It was hypothesized that measurement of circulating angiogenic growth factors could reflect the degree of angiogenic activation and give insight into disease progression.^{35,36}

Many attempts have been made to identify circulating biomarkers with predictive value for response to antiangiogenic treatment. However, due to heterogeneity in the methodology, there are no validated predictive factors for the success of antiangiogenic treatment.³⁷ VEGF-A and PlGF seem to be promising biomarkers. Early increases in VEGF-A levels after bevacizumab treatment were identified as predictive of poor prognosis in metastatic colorectal cancer patients.³⁸ Furthermore, a trial studying FOLFIRI \pm aflibercept for metastatic colorectal cancer found that patients previously treated with bevacizumab had significantly higher baseline levels of VEGF-A and PlGF (both $P < 0.0001$); suggesting an association with acquired bevacizumab resistance.³⁹

Angiogenesis and ⁹⁰Y-Radioembolization

Two studies on angiogenic growth factors in ⁹⁰Y-RE have been included in this analysis, an overview of which can be found in Table 2.

In their pilot study, Carpizo et al sought to find a cause of early progression in patients treated with ⁹⁰Y-RE using

resin microspheres (SIR-Spheres, Sirtex Medical, Sydney, Australia).^{10,40} Based on earlier observations in HCC patients treated with transarterial chemoembolization, they hypothesized that progression might be caused by an upregulation of angiogenic growth factors.^{10,41} The prospective pilot study included 15 CRCLM patients who had failed two to five lines of systemic therapy, as well as seven systemic therapy naïve HCC patients. A set of classic (VEGF, Ang-2, bFGF, PDGF-BB, and Tsp-1) and nonclassic angiogenic growth factors (IL-8, Leptin, Follistatin, HGF, and PECAM-1) were measured at baseline, at 6 hours, and at 3, 14, 30, 60, 90, and 120 days after treatment. The median overall survival was 8 months. Overall, 55%-77% of patients showed significant increases ($\geq 50\%$ of baseline) of VEGF, Ang-2, PDGF-BB, and Tsp-1. A significant increase of angiogenesis related cytokines (eg, HGF, Follistatin, and IL-8) was seen in 55%-82%. Patients were stratified in short (≤ 6 months) and long (> 6 months) survival groups. Baseline levels of Ang-2 and IL-8 were significantly higher in the short survival group ($P = 0.033$ and 0.041 , respectively). Furthermore, transient increases of VEGF, Ang-2, and PDGF-BB levels at various early time points after RE were correlated with shorter overall survival (VEGF at day 14, Ang-2 at 6 hours and 3 days, and PDGF-BB at 3 and 14 days after RE).

Rosenbaum et al included 42 patients treated with ⁹⁰Y-RE (SIR-Spheres) for chemorefractory CRCLM. They investigated the relationship between plasma levels of angiogenic growth factor and response to ⁹⁰Y-RE. The angiogenic growth factors (ie VEGF, Ang-2, bFGF, PDGF-BB, SDF-1 α , and Tsp-1) were measured at baseline, directly after treatment through the indwelling femoral sheath, and at 1, 3, 7, and 30 days after treatment. The median overall survival was 9.3 months (95% confidence interval 6.1-12.4). Similar to Carpizo et al, plasma levels of VEGF, HGF, and Ang-2 were increased early after radioembolization. Baseline levels of Ang-2 were significantly higher in patients stratified into a short (≤ 6 months) overall survival group ($P = 0.048$). In addition, Ang-2 and HGF levels after 3 and 7 days were significantly higher in early nonresponders ($P = 0.01$ and 0.007 , respectively).

Discussion

Angiogenesis is a complex process that has been widely studied but remains not fully understood. It is a process that is necessary for tumor progression. Activation of angiogenesis occurs through oncologic mutations or as a response to hypoxia. The use of antiangiogenic agents in cancer treatment is an ongoing subject of research, with emphasis on multitargeted therapeutic approaches. There are several angiogenic growth factors that are promising prognostic biomarkers. However, until this day none have been validated for clinical use.

It is important to recognize that colorectal cancer is a heterogeneous disease. It has many subgroups that differ in their genetic makeup and histopathology, carrying different prognoses, and having a different response to treatment in each

Table 2 Overview of Studies on Angiogenic Factors and ⁹⁰Y-Radioembolization

Authors	No. of Patients	Measured Factors	Sample Collection	Outcome	Findings
Carpizo 2014 ¹⁰	CLM n = 15 HCC n = 7	VEGF, Ang-2, b-FGF, PDGF-BB, Tsp-1, IL-8, leptin, follistatin, HGF, PECAM-1.	Baseline 6 h and 3, 14, 30, 60, 90, and 120 days (last 4 time points ± 3 days) after treatment.	Survival	Baseline levels of Ang-2 and IL-8 were significantly higher in the short survival group (≤6 months) (respectively, <i>P</i> = 0.033 and 0.041) Short survival patients (≤6 months) had transient increases in VEGF, Ang-2, and PDGF-BB at various early time points.
Rosenbaum 2016 ⁶	CRCLM n = 42	VEGF, Ang-2, b-FGF, PDGF-BB, Tsp-1, SDF-1a, HGF.	Baseline 0 (directly after injection), 1, 3, 7, and 30 days after treatment.	Survival Response	Baseline levels of Ang-2 were significantly higher in the short survival group (<6 months) (<i>P</i> = 0.048) Early nonresponders (at 1 month) had higher levels of Ang-2 and HGF at 3 and 7 days after treatment which was statistically significantly different from responders (respectively, <i>P</i> = 0.01 and 0.007).

Ang-2, Angiopoietin-2; b-FGF, basic fibroblast growth factor; CLM, colorectal liver metastasis; CRCLM, chemorefractory colorectal liver metastasis; HCC, hepatocellular carcinoma; HGF, hepatocyte growth factor; IL-8, Interleukin-8; PDGF-BB, platelet derived growth factor-BB; PECAM-1, platelet endothelial cell adhesion molecule; SDF-1a, stromal cell-derived factor-1a; TSP-1, trombospondin-1; VEGF, vascular endothelial growth factor.

stage of the disease.⁴² Prognostic and predictive factors are required to create treatment algorithms that are optimized for each patient. Ideally they would allow for prediction of the most efficacious treatment, reliable prognostic factors would aid in preventing overtreatment.

Currently, ⁹⁰Y-RE is mostly used in a salvage-treatment setting, where it has shown to be effective in prolonging the overall survival, while being well tolerated. However, as a localized liver-directed treatment, it may hold more benefit for patients with less advanced liver-only disease. In that scenario, ⁹⁰Y-RE is also being investigated as a neoadjuvant treatment. It can be used in cases where liver tumors are considered unresectable due to an insufficient future liver remnant (FLR). The FLR can be hypertrophied by means of radiation lobectomy (or radiation segmentectomy) using RE. In such a case the part of the liver that has to be resected is treated with RE, causing hypertrophy in the nontreated liver lobe while maintaining tumor control. Several angiogenic signaling pathways are important in liver regeneration. HGF plays a primary role in hepatocyte proliferation and HIF-1 α , VEGF, PDGFR- β , and Ang-2 play auxiliary roles by inducing angiogenesis and protecting against liver injury.⁴³ The predictive value of angiogenic growth factors on FLR hypertrophy is yet to be researched.

Data showing that circulating angiogenic growth factors are correlated with response and overall survival after ⁹⁰Y-RE are

limited. There is a correlation between high baseline levels of Ang-2 and IL-8 and shorter survival.^{6,10} Unfortunately, generalization of these results is difficult due to the small sample sizes of both studies and the heterogeneous sample of Carpizo et al. Larger patient cohorts are required to validate such findings and to create models to predict response to therapy. In addition, correlation between these factors and survival after ⁹⁰Y-RE does not necessarily prove a causative relation. Patients with high baseline levels of Ang-2 and IL-8 might have a poor survival whether they are treated with ⁹⁰Y-RE or not.

Both included studies demonstrated an angiogenic response to radioembolization. Carpizo et al found elevations of VEGF, Ang-2, TSP-1, IL-8, and follistatin, and Rosenbaum et al found post-treatment elevations of VEGF, Ang-2, and HGF. The latter rise in Ang-2 and HGF was statistically significantly higher in early nonresponders (at 1 month). Progressive disease in these patients was always due to new lesions or growth of non-target lesions. These lesions had probably received lower radiation doses due to preferential blood flow to the largest lesions. This supports the hypothesis that early disease progression is induced in untreated (or undertreated) micro- or macroscopic lesions by rising angiogenic growth factors.

In order to prevent overtreatment, better selection based on predictive factors is required. There have been several studies creating prediction models for the success of RE in

terms of survival.⁴⁴⁻⁴⁶ These studies focused on pretreatment characteristics, including lesion size, CEA levels, prior liver surgery, and extrahepatic disease. Unfortunately, these models suffered from overfitting due to small sample sizes, possible selection bias due to their retrospective nature, or lacked validation.

To improve treatment response, radioembolization has been combined with an antiangiogenic agent in three large trials.^{47,48} The SIRFLOX, FOXFIRE, and FOXFIRE-global were phase III, multinational, multicenter trials that included patients with unresectable liver metastases from primary colorectal cancer that were randomly assigned to first line systemic chemotherapy (mFOLFOX6) with or without treatment with ⁹⁰Y-resin microspheres (SIR-Spheres). In both of these arms bevacizumab (and in some instances cetuximab, an anti-EGFR agent) would be given at the discretion of the treating physician. The combined analysis of these studies, including 1103 patients, failed to demonstrate any added survival benefit for the combination of ⁹⁰Y-RE with systemic therapy.⁴⁸ This was attributed to several factors, one of which is the percentage of extrahepatic disease in the study population, being that 40% of patients had extrahepatic disease in whom progression could have been induced by the rise in circulating angiogenic factors. A subgroup analysis on antiangiogenic therapy has not been reported yet and may yield information on whether the use of antiangiogenic agents could improve progression free survival. Of note, the angiogenic factors that correlated with early progression and poor outcome are not limited to VEGF. Multitarget agents (eg, tyrosine kinase inhibitors such as regorafenib, or HIF-1 inhibitors such as irinotecan) might be better suited in coping with the rising angiogenic factors than bevacizumab.

For future studies a controlled trial design, in which patients are randomized to receive radioembolization with antiangiogenic therapy versus radioembolization alone, preferably in chemo- and bevacizumab naive patients may be a valuable option. The goal of antiangiogenic therapy would be the prevention of early progression and normalization of the vascular supply of the tumors. Vascular normalization increases the oxygenation of the tumors, which could lead to higher radiosensitivity due to increased availability of reactive oxygen species and prevention of hypoxia-induced adaptations.⁴⁹ Research on vascular normalization is still in the early phases, and will require more optimization before it can be successfully implemented.^{7,27}

In conclusion, there is a variety of angiogenic factors that can play a role in the development and progression of CRCLM. Elevated angiogenic factors at baseline are associated with a relatively poor outcome after ⁹⁰Y-RE although this has not been evaluated in comparative studies. Increases in plasma levels of angiogenic factors shortly after treatment with ⁹⁰Y-RE are associated with early progressive disease. Future studies are needed to determine if angiogenic factors can be used as a selection criterion for RE. Whether the combined treatment with anti-angiogenic agents and RE may prevent early progression is yet to be investigated.

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