A Methodologic Systematic Review of Mobile Health Behavior Change Randomized Trials

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Context: Mobile health helps providers offer accessible, affordable, tailored behavior change interventions. However, research assessing mobile health interventions may feature methodologic shortcomings and poor reporting. This review aims to summarize the characteristics, methods, and intervention reporting of RCTs evaluating mobile health behavior change interventions.

Evidence acquisition: This was a methodologic systematic review of RCTs assessing mobile health behavior change interventions published in PubMed from January 1, 2014 to January 1, 2018, in journals with the upper half of Impact Factors (Clarivate Analytics). Three reviewers independently extracted sample characteristics. Primary outcomes were classified as patient-important or not using definitions from the literature. Any non−patient-important outcomes were then reclassified by a panel of 3 patients. Intervention reporting was assessed by the mobile health Evidence Reporting and Assessment checklist. Data were analyzed in December 2018.

Evidence synthesis: Most of the 231 included RCTs assessed text messaging (51%) or smartphone app (28%) interventions aiming to change nutrition and physical activity (36%) or treatment adherence (25%). Only 8% of RCTs had a patient-important primary outcome, follow-up of ≥6 months, and intent-to-treat analysis. Most primary outcomes were behavioral measures (60%). Follow-up was <3 months in 29% of RCTs. Regarding reporting, 12 of the 16 checklist items were reported in less than half of RCTs (e.g., usability/content testing, 32%; data security, 13%).

Conclusions: Reports of RCTs assessing mobile health behavior change interventions lack information that would be useful for providers, including reporting of long-term intervention impact on patient-important primary outcomes and information needed for intervention replicability.
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CONTEXT

The rapidly growing field of mobile health (mHealth), or the use of mobile phones and other wireless technology in medical care, could help providers offer behavior change interventions to their patients in a remotely delivered, affordable, and scalable manner.[1−4]

Systematic reviews have shown that mHealth interventions can promote change in a range of behaviors in healthy and chronically ill populations, at least in the short term.[2,5] Traditional behavior change interventions are resource-intensive and difficult to implement at scale, but their mHealth counterparts can be delivered via devices already used widely in the population and require fewer economic and human resources.[6−8] Additionally, contrary to static interventions, mHealth interventions can adapt over time based on users' characteristics, prior response to the intervention, and contextual parameters to select and deliver the right intervention components at

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the right time.[6,9] The increased interest in mHealth is mirrored by a surge in publications detailing the development and comparative effectiveness assessment of mHealth interventions.[10,11]

However, RCTs assessing behavioral interventions may have several shortcomings, including short follow-up, lack of patient-important primary outcomes, and incomplete intervention reporting.[12−14] Incomplete intervention reporting can make it impossible to replicate and implement interventions or to group them together for evidence synthesis.[15,16] Describing mHealth behavior change interventions is particularly challenging because they are complex, with many technical aspects (e.g., software and data safety) and "active ingredients" in the form of behavioral techniques.[17−19] The mHealth Evidence Reporting and Assessment (mERA) checklist, published in 2016, aims to improve reporting by helping authors describe key intervention characteristics specific to mHealth. However, the reporting completeness of mHealth interventions has not been assessed systematically.[19]

This study aimed to provide an overview of the characteristics and methods of recently published RCTs of mHealth behavior change interventions and to assess the completeness of intervention reporting. To this end, all RCTs published in high−Impact Factor journals in the past 4 years were reviewed.

EVIDENCE ACQUISITION

This was a prospectively registered methodologic review of published RCTs evaluating mHealth behavior change interventions (PROSPERO CRD42017065826). The protocol is available on the institutional website www.clinicalepidemio.fr/protocols/. This report follows PRISMA guidelines.[20] PRISMA consists of a 27-item checklist and a flow diagram that can guide authors in reporting systematic reviews and meta-analyses completely and transparently.

On January 5, 2018, the authors searched MEDLINE via PubMed for eligible studies using Medical Subject Headings terms and free-text words (Appendix Table 1, available online). English-language reports of RCTs published between January 1, 2014 and January 1, 2018 were included if they assessed interventions with an mHealth component (standalone or part of a larger intervention) according to the definition of the Global Observatory for eHealth and aiming to change health behaviors.[12] To avoid restricting the search to specific behaviors and to obtain a broad overview of the literature, broad search terms (e.g., *behav**) were used. Only journal articles published in the highest half of the average Journal Impact Factor (Clarivate Analytics) were included, because of the impact these journals have on shaping clinician and researcher views.[21] Secondary reports were included. When more than 1 publication referred to the same trial, the most recent publication was included. Reports of ongoing trials were excluded. Titles and abstracts and then full-text reports were screened independently by 2 reviewers (TO and AV) and disagreements were resolved by consensus. One researcher (TO)

extracted data from all included studies by using a prespecified, standardized form (Appendix Text 1, available online). Two other researchers (ER and CR) independently extracted 25% of the included records in total. Information was extracted from the following sources: the included publication, supplementary files, and any sources cited by the authors (protocol, website, or previous publication by the authors detailing intervention testing or development). Digital forms of presentation (e.g., screenshots) were also used. The extraction was duplicated in full for any items that did not have substantial inter-rater agreement ($\kappa < 0.60$ or percentage agreement <80%). Disagreements were resolved by consensus, which was reached after consulting the prespecified definitions for each extraction item and the RCT reports.

The following RCT and intervention characteristics were extracted: target behavior, participants' health condition, device used to deliver the intervention, intervention frequency, reporting of information on sensor accuracy, presence of behavior change techniques (e.g., self-monitoring and feedback),[12,22−24] the behavior change theory used to develop the intervention as reported by the authors, and the degree of intervention personalization (presence, timing, and input of personalization). Because careful reporting of information relevant to mHealth scale-up at the population level is needed,[13,25] the scalability barriers mentioned by the original authors were extracted and information on the setting was collected (income level of the country where the RCT was conducted according to the World Bank classification).[26]

The following methodologic components were extracted: primary outcome, follow-up duration in months, use of intent-to-treat (ITT) analysis, and adverse events related to the intervention (Appendix Text 2, available online, provides details on data extraction). All primary outcomes were classified as patient-important or not by 2 researchers (TO and VTT), following definitions previously used in the literature (including measures of mortality, clinical events, adverse events, function, pain, quality of life, and therapeutic decisions).[27,28] Outcomes not classified as patient-important at this stage were additionally examined by 3 patients (a 67-year-old man with Type 2 diabetes, a 31-year-old woman with chronic migraine, and a 23-year-old man with asthma, allergies, and a history of depression). In individual teleconference meetings, the researchers presented each primary outcome of each RCT to the participants, summarized by its target population and behavior. Participants were asked to assess if the outcome was in their opinion sufficiently important for patients. Outcomes were considered be patient-important if there was agreement between at least 2 patients.

The completeness of intervention reporting was assessed using the recently published mERA checklist.[19] The mERA consists of 16 items that represent a minimum set of information that should be reported to ensure intervention replicability. The mERA items cover the intervention content, technical features, implementation context, and participants' involvement and experience with the intervention. Checklist items were classified as reported if they were adequately described in the included paper and its supplementary files. If the item was missing from the included paper but was found in a source cited by the authors (e.g., protocol), the item was classified as reported in cited source. If the item was missing or unclear, it was classified as unreported. Examples of good reporting are shown in Appendix Table 2, available online.

Descriptive statistics (frequencies and percentages or medians with IQRs) were calculated using R, version 3.3.0.

EVIDENCE SYNTHESIS

In total, 1,934 citations were retrieved from PubMed (Figure 1). After screening, 231 publications were included (full list is shown in Appendix Text 3, available online).

Key study components are summarized in Table 1 and Figure 2, which shows the components found in each RCT. Most studies were parallel design (*n*=196, 85%) or cluster RCTs (*n*=26, 11%). Fifty-two RCTs (23%) were characterized as pilot studies. They assessed interventions for a wide range of behaviors, mostly nutrition, physical activity, or both (*n*=82, 36%) and adherence to treatment (*n*=57, 25%). Trial populations were most commonly healthy individuals (*n*=97, 42%), individuals with overweight/obesity (*n*=25, 11%), or patients with cardiovascular disease/diabetes (*n*=35, 15%). Overall, 23 RCTs targeted children/adolescents exclusively (10%) and 3 targeted older adults (1%). In 49% (*n*=114) of RCTs, the mHealth interventions were delivered as standalone.

Half of all interventions were delivered by text message (*n*=118, 51%), 28% (*n*=65) were delivered primarily by smartphone app, and 24% (*n*=55) included a wearable device or other sensor. The 55 RCTs describing interventions with sensors/devices included 9 interventions using a smart pill box or smart inhaler, 1 using digital medicine, and 1 using a wearable bite-tracking device. The remaining trials concerned wearable activity monitors, accelerometers, or pedometers. Only 22 RCT reports included information on sensor
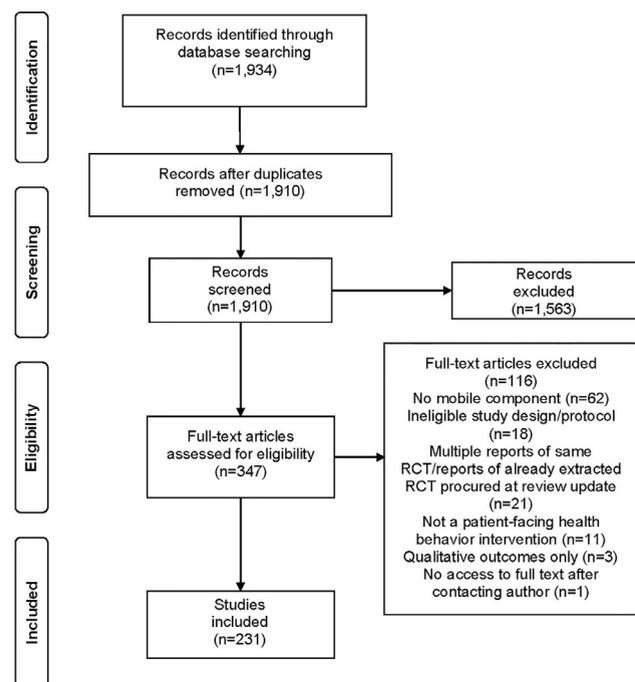
accuracy (representing 40% of RCTs assessing sensor-based interventions). Intervention frequency was mostly daily or multiple days per week (*n*=142, 62%),

Table 1. Key Sample Characteristics of 231 RCTs Assessing mHealth Behavior Change Interventions

Sample characteristics	Studies (*n*=231)
Sample size included in primary analysis, median (IQR)[a]	119 (61–281)
Patient condition, *n* (%)	
Healthy individuals	97 (42)
Overweight/obese	25 (11)
HIV infection	19 (8)
Cardiovascular disease/Diabetes	35 (15)
Pregnancy	14 (6)
Other[b]	41 (18)
Target behavior, *n* (%)	
Nutrition and/or physical activity	82 (36)
Adherence to treatment	57 (25)
Self-management	29 (13)
Smoking	19 (8)
Sexual/reproductive	9 (4)
Vaccination	7 (3)
Sun protection	6 (3)
Other[c]	22 (10)
Intervention technology, *n* (%)	
Text messaging only	118 (51)
Smartphone app	65 (28)
Wearable device plus text messaging or app	39 (17)
Other	9 (4)
Use of sensor technology, *n* (%)	55 (24)
Use of behavioral techniques, *n* (%)	
Self-monitoring	124 (54)
Goal setting	82 (36)
Feedback	95 (41)
Intervention frequency, *n* (%)	
Weekly to daily	142 (62)
Monthly or less	5 (1)
One-time intervention	12 (5)
Variable frequency	70 (30)
Unreported	2 (1)
Follow-up duration, *n* (%)	
<3 months	68 (29)
3 to 5 months	56 (24)
≥6 months	107 (46)

Note: Percentages may not add up to 100 because of rounding.
[a]Excluding 26 cluster trials.
[b]Other conditions include bladder pain syndrome, lower back pain, transplant recipients, post-circumcision care, esophageal cancer, at-home misoprostol abortion, menopause, orthodontic treatment, being recently discharged from the emergency department, and taking multiple medications because of multimorbidity.
[c]Other behaviors include rabies prevention, dengue prevention, promotion of breastfeeding, parental coaching, cravings management, rehabilitation after cardiac event, and self-injurious behavior.
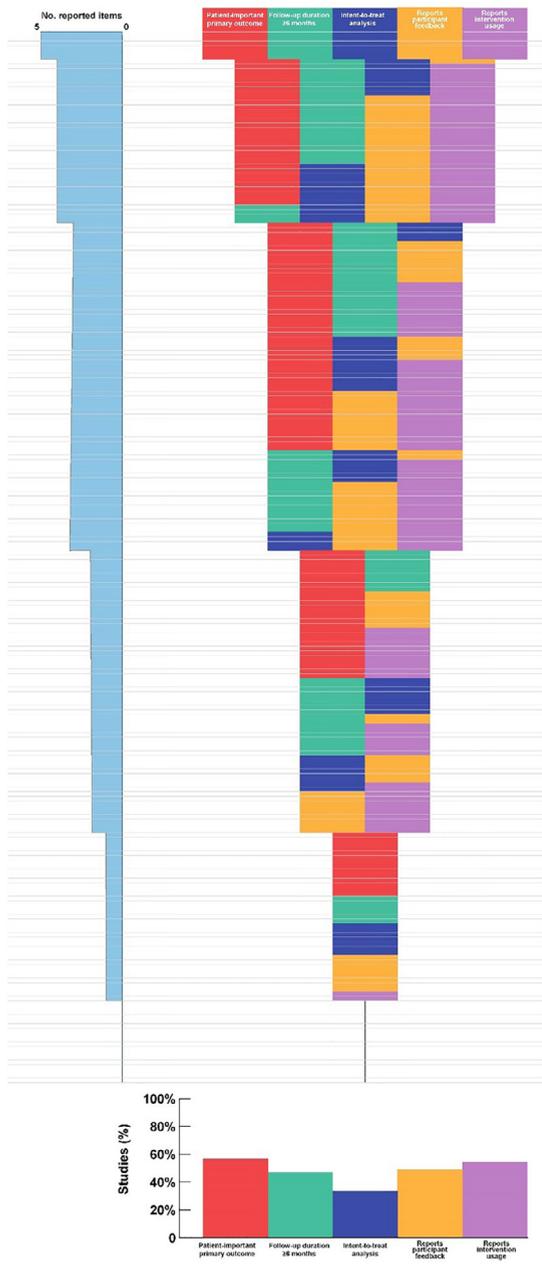mHealth, mobile health.

Figure 1. Flow chart.

whereas for several interventions, the frequency varied (e.g., participants could access the app according to their needs; *n*=70, 30%).

Regarding intervention content, goal setting and self-monitoring were delivered together frequently (*n*=62, 30%), often in combination with feedback (*n*=52, 23%). Social features and reminders were described in 15% (*n*=34) and 51% (*n*=117) of RCT reports, respectively, whereas communication with a healthcare professional was described as possible in 12% (*n*=28). Almost half of the interventions (*n*=110, 48%) were not based on behavioral theory. Of the remaining interventions, most were based on the Social-Cognitive Theory (*n*=46, 20%), the Health Belief Model (*n*=13, 6%), or the Transtheoretical Model (*n*=12, 5%).

Nearly half of all RCTs assessed nonpersonalized, one-size-fits-all interventions (*n*=101, 44%). One quarter (*n*=53, 23%) assessed interventions with static personalization; the adaptation took place only once, at baseline (e.g., by incorporating the participant's name or appointment date in the message or allowing participants to set their preferred time to receive notifications). The remaining 33% (*n*=77) assessed interventions with dynamic personalization, with the adaptation occurring multiple times during the intervention (periodically or in real time). Among the dynamic interventions, most (*n*=57) were personalized on the basis of participants' behavior or outcomes (e.g., weight change). Six were described by the authors as "just-in-time" adaptive interventions (continuously adapted interventions that offer the right component at the right time), and 10 relied on ecological momentary assessment (the real-time capture of behavior cues).

Comments on intervention scalability were identified in 72 (31%) RCTs, 24 of which described barriers. These concerned intervention costs, technical and privacy issues, limited adoption, and the long-term intervention impact (Appendix Table 3, available online). An additional scalability barrier may be the need to adapt the assessed interventions for middle- and low-income settings, because 81% (*n*=186) of RCTs were conducted in high-income countries.

Only 8% (*n*=18) of all RCTs had a patient-important primary outcome, duration of ≥6 months, and ITT analysis (Figure 2). Overall, 130 RCTs (56%) had a patient-important primary outcome (Appendix Table 4, available online, provides a list of outcomes classified as patient-important). Most primary outcomes were behavioral measures (e.g., number of steps or weekly red meat consumption) (*n*=131). In all, 27 RCTs did not define a primary outcome in the article, trial registry, or protocol. Regarding follow-up duration, more than one third of RCTs had a follow-up of <6 months (*n*=124, 54%), and in one third, the follow-up was very short (<3 months,

Figure 2. Reporting of key components for 231 RCTs assessing mHealth behavior change interventions. This figure shows which components were reported in each of the 231 RCTs included in the review. Each line of the graph represents 1 RCT. Each of the 5 components (labeled at the top) is represented by a different color: (1) reporting of a patient-important primary outcome (red), (2) follow-up of at least 6 months (green), (3) intent-to-treat analysis (blue), (4) reporting of participant feedback (orange), and (5) reporting of intervention receipt/usage (purple). The color tiles in each row show which of these 5 components was reported in the corresponding RCT. The RCTs were sorted by the total number of components they contain, from 0 to 5, in decreasing order as indicated by the staggered lines. The bar chart at the bottom shows the proportion of RCTs that reported each item. As the figure shows, few studies contained all 5 components. mHealth, mobile health.

n=68, 29%) (median follow-up: 4 months, IQR=2−6 months). Moreover, more than two thirds of RCTs (n=176, 76%) did not include any follow-up measurements after the end of the intervention to demonstrate sustainability of outcomes (e.g., maintenance of weight loss or relapse to unhealthy behaviors). ITT analysis was reported in 34% (n=79) of RCTs. A breakdown of methodologic characteristics of RCTs by pilot status and commercial availability of the interventions showed that a smaller proportion of pilot RCTs had a follow-up of ≥6 months compared with nonpilot RCTs (31% vs 51%), whereas a greater proportion of pilot RCTs reported participant feedback (63% vs 44%) (Appendix Table 5, available online). A larger proportion of RCTs of commercially available interventions reported ITT analysis (49% vs 31% in RCTs of unavailable interventions). The presence of these components was similar between RCTs targeting different behaviors (Appendix Table 6, available online).

In the overall sample (n=231), sample size calculation was reported in 52% (n=119). The median sample size included in the primary analysis was 119 (IQR=61−281). Finally, adverse events were reported in 18% (n=42) of RCTs. The 10 events possibly related to the intervention were mostly unintended behavior changes (e.g., decreased condom use by adolescents in an intervention to prevent pregnancy)[29] or psychosocial effects (e.g., sustained depressive symptoms in an intervention for patients with bipolar disorder).[30]

Information was reported elsewhere than in the primary RCT paper in 72 studies (31%), including intervention pretesting and development papers. The reporting completeness across all mERA checklist items is presented in Figure 3 (Appendix Table 7, available online, provides the numeric figures). Only 2 of the 16 items were frequently reported; intervention delivery and intervention content were found in more than two thirds of the studies (n=224, 97% and n=219, 95%, respectively). Several key items were reported poorly, including user feedback (n=112, 48%), usability/content testing (which describes patient/public involvement in intervention development; n=75, 32%), fidelity (the receipt and use of the intervention by participants, e.g., number of app openings per week; n=124, 54%), and data security (n=29, 13%). RCTs of commercially unavailable interventions reported a mean of 6.9 (SD=2.5) items compared with 7.2 (SD=2.2) for RCTs assessing interventions with commercial software/sensors (Appendix Figure 1, available online).

DISCUSSION

This methodologic review shows that recently published RCTs of mHealth behavior change interventions lacked long follow-up duration and ITT analysis, but more than half used patient-important primary outcomes. Reporting of information on technical aspects of the intervention is limited, which may render interventions difficult to replicate. More traditional intervention aspects (e.g., intervention contents) are better reported.

Regarding intervention contents, a small proportion of RCTs assessed interventions that were personalized dynamically by participants' psychosocial characteristics and environmental variables (e.g., beliefs and perceived barriers). Previous work has called for such personalized interventions,[31–34] based on behavioral models that are more dynamic than those used in the included RCTs.[35]

Achieving a sustainable impact on patient-important outcomes with acceptable, low-burden behavioral interventions is an important research gap.[36] The relationship between change in the continuous behavioral outcomes found in this sample (e.g., step count) and important health outcomes such as clinical events is complex.[14] In combination with the short follow-up duration, the impact of mHealth interventions from a public health viewpoint may be unclear, particularly in light of the difficulty maintaining long-term adherence to behavioral interventions and sustaining behavior change.[14,37] Importantly, usability/content testing, interoperability, participant feedback, and fidelity were often reported poorly, thereby offering limited information on mHealth acceptability and potential uptake. These issues characterize the field overall, because methodology and reporting did not differ between studies targeting different interventions with different commercial availability or target behaviors.

The development of mHealth interventions has several stages. At earlier stages, RCTs may be used to assess intervention feasibility and optimization, rather than its impact. Methodologic components may vary to match the research question. However, as with all RCTs assessing nonpharmacologic interventions, the assessment of mHealth interventions should follow good practice including patient-centric methodology and complete reporting.

These findings agree with previous methodologic work on studies assessing commercially available apps.[38] Compared with a previous review assessing the reporting of 35 studies with the mERA, better reporting was found for technology platforms and worse reporting for interoperability, possibly because the previous review assessed several study designs in the domain of reproductive health, whereas this review included RCTs in any domain.[39] The prevalence of ITT analysis and patient-important primary outcomes is similar to that reported in other reviews.[40,41] This review found a higher proportion of patient-important primary outcomes than previous reviews of studies of diabetes and critically ill patients, which could be attributed to differences in outcomes classification or time trends.[28,42]
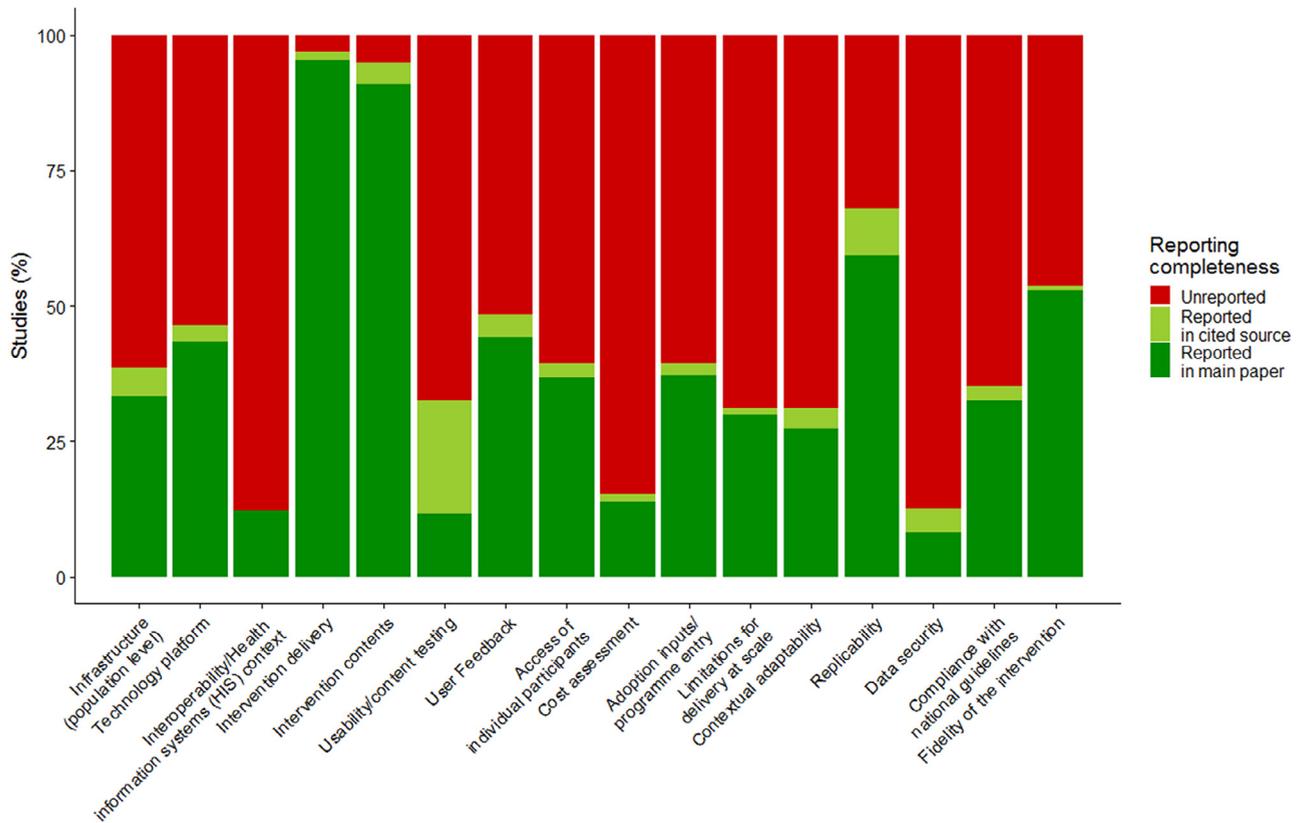
Figure 3. Reporting completeness of 231 mHealth interventions in the 16 items of the mERA checklist. Black indicates the proportion of interventions for which information was unreported; dark gray, interventions for which information was reported in the main (included) publication; and light gray, interventions for which information was reported in an additional source cited in the main publication. Technical aspects of the intervention (e.g., technology platform or data security) were frequently poorly reported compared with more traditional aspects of intervention reporting (i.e., intervention contents and intervention delivery). Information on patient/participant engagement in the development and pretesting of the intervention (usability/content testing) and training offered to participants for the use of the mHealth intervention (adoption inputs/program entry) was reported in less than half of the sample. mHealth, mobile health.

This is the first methodologic review to examine RCTs assessing mHealth interventions and summarize reported scalability barriers and the first to use the final version of the mERA. This review examined a large number of interventions for diverse behaviors, published recently in journals in the upper half of the Journal Impact Factor and representing widely cited, influential publications, and all primary outcomes were assessed. An important effort was made to source information from previous work relevant to the intervention.

Limitations

The results are not generalizable to publications in journals with a lower Journal Impact Factor. To thoroughly examine the recent literature, the review was restricted to papers published between 2014 and 2018. As the mERA checklist was published in 2016, it would have had limited impact on the reporting of studies in this review. Because this review summarizes papers published up to

2018, the findings may not be generalizable to more recent publications that differ in methods and reporting completeness. However, RCT methods and reporting tend to evolve slowly over time in the absence of regulatory changes (i.e., mandatory use of reporting guidelines).[43] The use of broad search terms (e.g., *behav**) may have led to missing some studies.

There are no standards for what constitutes a patient-important behavioral outcome. For the purpose of this paper, outcomes were classified using a combination of literature definitions and the opinion of a patient panel for outcomes not classified as patient-important based on prior literature. Patients' beliefs on what constitutes a patient-important outcome are diverse and may be affected by their interaction with their own physicians. The inclusion of different patients or the use of different definitions (e.g., agreement between all patients) may have led to a different proportion of outcomes classified as patient-important.

This review provides a broad assessment of the mHealth behavior change literature. Some of the methodologic components examined here may not be achievable for all studies because of practical barriers (i.e., longer duration may be prohibited by budget constraints), whereas others are modifiable.

Several steps could be taken to improve RCTs evaluating mHealth interventions. First, these RCTs should be held to the same standards as all RCTs of nonpharmacologic interventions and follow good practice (e.g., prespecifying outcomes, ITT analysis).[44,45] Because mHealth interventions are complex with many aspects, complete reporting in the space of the main paper may be challenging. Important information should be reported in the main paper, including intervention fidelity and participant feedback. Protocols and online supplements can be used for complete reporting. As several experts have proposed, future mHealth research should focus on assessing the effectiveness of highly dynamic, personalized interventions and resolving the identified barriers to intervention scale-up.[6,9,46]

CONCLUSIONS

This methodologic review found that mHealth behavioral change interventions assessed in RCT articles recently published in high−Impact Factor journals lack long follow-up and have gaps in intervention reporting, which prohibits the assessment of their long-term clinical impact and limits their replicability. There is potential to improve the field through consensus for more parsimonious methodologic choices and adoption of good reporting practices.

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SUPPLEMENTAL MATERIAL

Supplemental materials associated with this article can be found in the online version at https://doi.org/10.1016/j.amepre.2019.07.008.

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