



A DNA vaccine expressing an optimized secreted FAP α induces enhanced anti-tumor activity by altering the tumor microenvironment in a murine model of breast cancer

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ABSTRACT

Cancer-associated fibroblasts (CAFs), major components of the tumor microenvironment (TME), promote tumor growth and metastasis and inhibit the anti-tumor immune response. We previously constructed a DNA vaccine expressing human FAP α , which is highly expressed by CAFs, to target these cells in the TME, and observed limited anti-tumor effects in the 4T1 breast cancer model. When the treatment time was delayed until tumor nodes formed, the anti-tumor effect of the vaccine completely disappeared. In this study, to improve the safety and efficacy, we constructed a new FAP α -targeted vaccine containing only the extracellular domain of human FAP α with a tissue plasminogen activator signal sequence for enhanced antigen secretion and immunogenicity. The number of CAFs was more effectively reduced by CD8⁺ T cells induced by the new vaccine. This resulted in decreases in CCL2 and CXCL12 expression, leading to a significant decrease in the ratio of myeloid-derived suppressor cells in the TME. Moreover, when mice were treated after the establishment of tumors, the vaccine could still delay tumor growth. To facilitate the future application of the vaccine in clinical trials, we further optimized the gene codons and reduced the homology between the vaccine and the original sequence, which may be convenient for evaluating the vaccine distribution in the human body. These results indicated that the new FAP α -targeted vaccine expressing an optimized secreted human FAP α induced enhanced anti-tumor activity by reducing the number of FAP α ⁺ CAFs and enhancing the recruitment of effector T cells in the 4T1 tumor model mice.

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1. Introduction

The tumor microenvironment (TME), which includes soluble factors, immune cells, endothelial cells, and cancer-associated fibroblasts (CAFs), plays important roles in tumorigenesis, invasion, and metastasis [1,2]. The TME not only provides nutrients for the growth of malignant tumor cells but also protects malignant tumor cells from the immune system by disrupting anti-

Abbreviations: CAFs, Cancer-associated fibroblasts; TME, Tumor microenvironment; FAP α , Fibroblast activation protein α ; SDF-1, Stromal cell-derived factor-1; Th1, T helper type 1; Th2, T helper type 2; Tregs, Regulatory T cells; MDSCs, Myeloid-derived suppressor cells; VEGF α , Vascular endothelial growth factor alpha; PDGF, Platelet-derived growth factor; qRT-PCR, Quantitative real time polymerase chain reaction; tPA, Tissue plasminogen activator.

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tumor immune responses, while supporting those that are immunosuppressive [3,4]. It is noteworthy that the largest proportion of soluble factors related to tumor growth are secreted by stromal cells rather than malignant tumor cells themselves [5]. Therefore, targeting the TME for the treatment of malignant tumors has been extensively explored [3,6–8].

CAFs, as major components of tumor stromal cells surrounding the outer layer of tumor cells, promote tumor initiation, invasion, and metastasis via direct cellular interactions, stromal factors, or remodeling the extracellular matrix (ECM) [9–11]. These cells can recruit immunosuppressive cells, such as myeloid-derived suppressor cells (MDSCs), which have a remarkable ability to suppress T-cell responses [12], by secreting CCL2 and SDF-1 (CXCL12) into the TME [13–16]. ECM proteins, such as collagen I, secreted by CAFs are distributed around tumor cells to form a barrier that prevents chemotherapy drugs from entering the TEM and protects tumor cells from immune attack. Moreover, CAFs are genetically

more stable than tumor cells, making them an attractive target for cancer immunotherapy [17,18].

Fibroblast activation protein α (FAP α), which is a type II membrane-bound serine protease with collagenase and dipeptidyl peptidase activities on CAFs, plays an important role in the remodeling of the tumor ECM [19,20]. As a major marker of CAFs, FAP α is expressed in over 90% of human epithelial carcinomas, such as breast cancer, colorectal cancer, lung cancer, and melanoma [21,22]. In normal tissues, FAP α expression is restricted to fibroblasts in embryonic tissues and wounds [21,23]. The deletion of FAP α^+ CAFs in the TME could significantly enhance the anti-tumor effect of cancer vaccines by eliminating immunosuppressive factors [24]. Importantly, human peripheral blood mononuclear cells can produce FAP α -specific cytotoxic T lymphocytes (CTL) under stimulation by FAP α [25].

In our previous work, a DNA vaccine expressing full-length human FAP α (CpVR-FAP) with the S624A mutation to block the dipeptidyl peptidase and gelatinolytic activities of FAP α was constructed; the vaccine had anti-tumor effects in both prophylactic and therapeutic settings in the 4T1 tumor model [26]. However, when the treatment time was delayed until the tumor was evident, the anti-tumor effect of the vaccine completely disappeared. Recent studies have supported claims that a mutant full-length FAP α (FAP α^{S624A}) still promotes tumor growth via non-enzymatic activities [27], and conserved amino acid sequences in the transmembrane region of FAP α play a crucial role in the dimerization and activity of FAP α [28]. Additionally, the tissue plasminogen activator (tPA) signal sequence has been proven to enhance the expression and secretion of antigens, thereby enhancing the immunogenicity of vaccines [29–31]. In this study, a new vaccine targeting FAP α , shF(m), was constructed containing only the extracellular domain of human FAP α (amino acids 27–760) with a mutation (S624A) and a tPA signal sequence fused to the N-terminal region for improved safety and efficacy. We also constructed a vaccine containing full-length mouse-derived FAP α , mF(m) (with the S624A mutation) to compare the anti-tumor effects of human and mouse-derived FAP α vaccines. The new FAP α -targeted vaccine, shF(m), induced stronger immunogenicity and anti-tumor activities than hF(m) (CpVR-FAP) and mF(m). All three vaccines could alter the tumor immunosuppressive microenvironment by decreasing the number of FAP α^+ CAFs and reducing the infiltration of MDSCs. Finally, we optimized the shF(m) sequence, minimized the nucleotide sequence homology with human FAP α , and constructed the sequence-optimized OshF(m) to facilitate clinical research and to improve expression in vivo. Taken together, our data suggest that the new FAP α -targeted vaccine expressing an optimized secreted FAP α leads to enhanced anti-tumor activity and strongly alters the tumor immunosuppressive environment; accordingly, it may be more suitable than previous FAP α -targeted vaccines for clinical applications.

2. Materials and methods

2.1. Construction of shF(m), mF(m), and OshF(m) plasmids

CpVR-hF(m) was the same plasmid as CpVR-FAP constructed previously [26]. The shF(m) fragment is a short form of human FAP α containing the extracellular domain (amino acids 27–760) and a tPA signal sequence at the N terminus. Fragment-mF(m) contained full-length mouse FAP, which was obtained from total RNA of mouse breast cancer tissues by RT-PCR using the following primer pair: 5'-GAAGATCTGCCACCATGAAGACATGGCTGAAAAC3' and 5'-CGGGATCCGCTCGACTTAGTCTGATAAAGAAAAGCATTGC-3'. Fragment-OshF(m) had the same amino acid sequence as shF(m), but the nucleotide sequence homology was reduced to 76%. All

fragments with a Kozak sequence at the N terminus and an amino acid mutation at 624 from S to A were cloned into the CpVR vector (VR1012 containing a CpG motif) to generate the plasmids CpVR-shF(m), CpVR-mF(m), and CpVR-OshF(m). The plasmids are referred to as hF(m), shF(m), mF(m), and OshF, respectively.

2.2. Mice and murine tumor model

Female BALB/c mice, aged 6–8 weeks, were purchased from Beijing Huafukang Biology Technology Co. Ltd. (Beijing, China) and kept in micro-isolator cages under pathogen-free conditions. All animal procedures were conducted according to the National Institutes of Health guide for the care and use of Laboratory animals (NIH Publications No. 8023, revised 1978). Murine 4T1 breast cancer cells were provided by the National Engineering Laboratory for AIDS Vaccine, Jilin University. To obtain a model of breast cancer in situ, BALB/c mice (eight per group) were challenged with 2×10^4 4T1 cells subcutaneously on the right lower flank. All mice were weighed every two days after tumor challenge. When the tumor mass was detectable, the tumor volume was measured every 2 days using calipers and calculated using the following formula: $(\text{length} \times \text{width}^2)/2$ (mm³). All experimental animals were euthanized within 30 days after tumor challenge for the tumor volume of mice in the Vec group was too large. For survival studies, the animals were monitored for approximately 45 days and animals were sacrificed when the humane endpoints were reached, including tumor ulceration, tumor size reaching 2000 mm³, or decline in health (mice did not eat or had a severe weight loss).

2.3. Immunization of mice

For the immunogenicity assays, BALB/c mice (five per group) were immunized with plasmids (100 μ g) three times into the skeletal muscle of both hind limbs every 2 weeks. In a prophylactic setting, BALB/c mice (eight per group) were immunized as the immunogenicity strategy, two weeks after the final immunization, the mice were challenged subcutaneously with 2×10^4 4T1 cells. There were two therapeutic settings, i.e., BALB/c mice (eight per group) were injected with 2×10^4 4T1 cells subcutaneously on day 0 and immunized with plasmids (100 μ g) three times on days 2, 9, and 16 [Therapeutic setting (a)] or on days 7, 14, and 21 [Therapeutic setting (b)].

2.4. Cytotoxicity assay

The cytotoxicity assay was conducted in accordance with previously published procedures [32]. The three H-2Db-restricted FAP α peptides (LSPDRQFVY, VGPQEVVPP, and GGPCSQSVR) and unrelated peptides from human MUC1 (HGVTSAAPDT, VTSAPDTRP, and APDTRPAPG) were incubated with target P815 cells for 2 h at 37 °C, and the target cells were labeled with different concentrations of CFSE fluorescent dye. Splenocytes from vaccinated mice were then incubated with the target cells for 6–8 h at 37 °C. Cytolytic activity was detected by flow cytometry and specific killing was calculated as follows: $\% \text{ killing} = [1 - (\text{peptide-loaded cells/unloaded cells from the immunized group})/(\text{peptide-loaded cells/unloaded cells from the naive group})] \times 100$. All of the peptides were synthesized by Shanghai GL Peptide Ltd. (Shanghai, China) at 95% purity.

2.5. IFN- γ ELISpot

The release of IFN- γ was detected using the BD™ ELISPOT Kit (BD Biosciences, Franklin Lakes, NJ, USA). Briefly, 1×10^6 splenocytes were incubated with the peptides, as described for the cytotoxicity assay, and the procedure was performed according to

previously described methods [32]. IFN- γ secretion spots were counted and analyzed.

2.6. Cytokine IL-2 detection by ELISA

Splenocytes (1×10^7) from vaccinated mice were stimulated with FAP α (99–499 aa) at a concentration of 5 μ g/mL for 5 days in a 12-well plate. The cell culture supernatants were then collected to detect the expression of secreted IL-2 using the Mouse IL-2 ELISA MAXTM Standard Set (BioLegend, San Diego, CA, USA) according to the manufacturer's instructions. The culture medium was diluted 1:3 in PBS with 1% FBS for detection.

2.7. Quantitative real-time PCR (qRT-PCR)

The procedure for qRT-PCR was published previously [33]. qRT-PCR primers for IL-2, IL-4, IL-6, IL-10, IFN- γ , TNF- α , TGF- β , GzmB, GM-CSF, PD-L1, FoxP3, FAP α , collagen I, SDF-1 (CXCL12), CCL2, VEGF α and PDGF were used to detect mRNA expression levels in splenocytes or tumor tissues. GAPDH was used as an internal reference.

2.8. Determination of CD3⁺, CD4⁺, CD8⁺ T cells, FAP α ⁺ CAFs, Tregs and MDSCs

Tumors were minced and dissociated using Liberase (Roche, Basel, Switzerland) at 0.04 mg/mL for 2 h and then passed through a 0.45-mm nylon mesh to obtain the single cell suspension.

For the detection of CD4⁺ and CD8⁺ T cells, separated splenic or tumor cells were washed with PBS and stained with anti-mouse CD45 PE, anti-mouse CD3 FITC, anti-mouse CD4 PE-CY7, and anti-CD8 APC (all from BioLegend) for 25 min at 4 °C. Thereafter, the samples were washed twice with staining buffer and analyzed by flow cytometry. For the detection of FAP α ⁺ CAFs, separated tumor cells were washed with PBS and immunostained with a rabbit anti-FAP α antibody (Abcam, Cambridge, UK) or normal rabbit IgG control antibody at 4 °C for 60 min. After washing, cells were stained with sheep anti-rabbit FITC secondary antibody (BioLegend) for 25 min. Flow cytometry was performed for detection after washing two times. For the detection of Tregs, the eBioscienceTM Mouse Regulatory T Cell Staining Kit #1 was used, and the procedure was performed according to the manufacturer's instructions. For the detection of MDSCs, the Mouse MDSC Flow Cocktail 1 (BioLegend) was used. Separated tumor cells were washed with PBS and immunostained with an anti-mouse CD11b-PE, anti-mouse Gr-1 APC, and anti-mouse Ly-6G FITC for 25 min at 4 °C. After two washes, all samples were analyzed by flow cytometry.

2.9. Statistical analysis

All in vivo and in vitro experiments were performed at least three times. Data were analyzed using one-way ANOVA. Differences between groups were assessed for statistical significance using the unpaired *t*-test. $P < 0.05$ was considered significant and $P < 0.01$ was considered highly significant. All statistical analyses were implemented in GraphPad Prism 7.0.

3. Results

3.1. Construction, identification, and expression of DNA vaccines

Mutant full-length FAP α (FAP α ^{S624A}) still promotes tumor growth via non-enzymatic activity [27] and the conserved amino acid sequence in the transmembrane region of FAP α plays a crucial role in the dimerization and activity of FAP α [28]. Accordingly, we

optimized the previously developed FAP α vaccine [CpVR-FAP, referred to as hF(m) in this paper] [26]. The new FAP α vaccine, shF(m), contained only the extracellular domain of human FAP α (amino acids 27–760) and a tPA signal sequence fused to the N-terminal region to enhance protein extracellular secretion and immunogenicity [29–31]. To compare the anti-tumor effects of human and mouse FAP α vaccines on mouse mammary gland models, a full-length mouse FAP α vaccine, mF(m), containing the S624A mutation was constructed. The structures of the three vaccines are shown in Fig. 1A. After constructing the eukaryotic expression vectors shF(m) and mF(m) as described in the Materials and Methods, FAP α fragments were verified by restriction enzyme digestion (Fig. 1B) and western blotting with an antibody against FAP α (using GAPDH as control) (Fig. 1C).

3.2. shF(m) has strong immunogenicity

To evaluate the immunogenicities of the three vaccines, four groups of BALB/c mice ($n = 5$) were immunized according to the schedule shown in Fig. 2A. The Vec, hF(m), shF(m), and mF(m) groups were immunized with the CpVR vector, hF(m), shF(m), and mF(m), respectively. Two weeks after the final immunization, all mice were sacrificed, and isolated splenocytes were used to detect cellular immune responses by ELISpot and CTL assays. The shF(m) group exhibited higher frequencies of FAP α -specific IFN- γ -secreting CD8⁺ T cells, as detected by ELISpot ($P < 0.01$) and more effective CTL responses at an E:T ratio of 100:1 ($P < 0.05$) than those of other groups (Fig. 2B and C). After sacrifice, splenocytes were prepared and stimulated by FAP α peptides for 2 days, and the proportions of CD3⁺, CD3⁺CD8⁺, and CD3⁺CD4⁺ T cells were analyzed by FACS (Fig. 2D). The proportions of CD3⁺ T cells in splenocytes of the hF(m) group, shF(m) group, and mF(m) group were significantly higher than that of the Vec group, and the shF(m) group vaccinated shF(m) showed better results in terms of both CD3⁺CD8⁺ and CD3⁺CD4⁺ T cells compared with hF(m) group, indicating improved immune responses ($P < 0.05$). Compared with mF(m), shF(m) had no significant improvement in the ability to

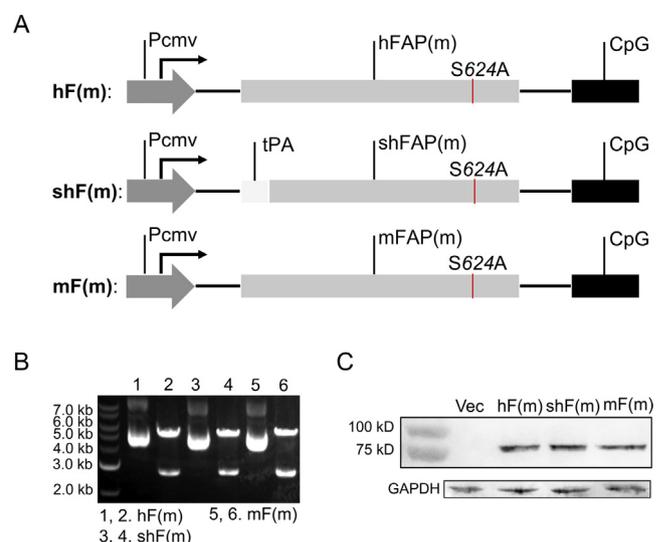


Fig. 1. Construction of DNA vaccines shF(m) and mF(m) and identification of protein expression. (A) Schematic representation of the three DNA plasmids, as verified by nucleotide sequencing. (B) Agarose gel electrophoresis of plasmids and restriction enzyme digestion products for the identification of FAP α fragments in the three plasmids. (C) Protein expression of FAP α was verified by western blotting after the transient transfection of 293T cells. All data are representative of one out of three experiments, with similar results.

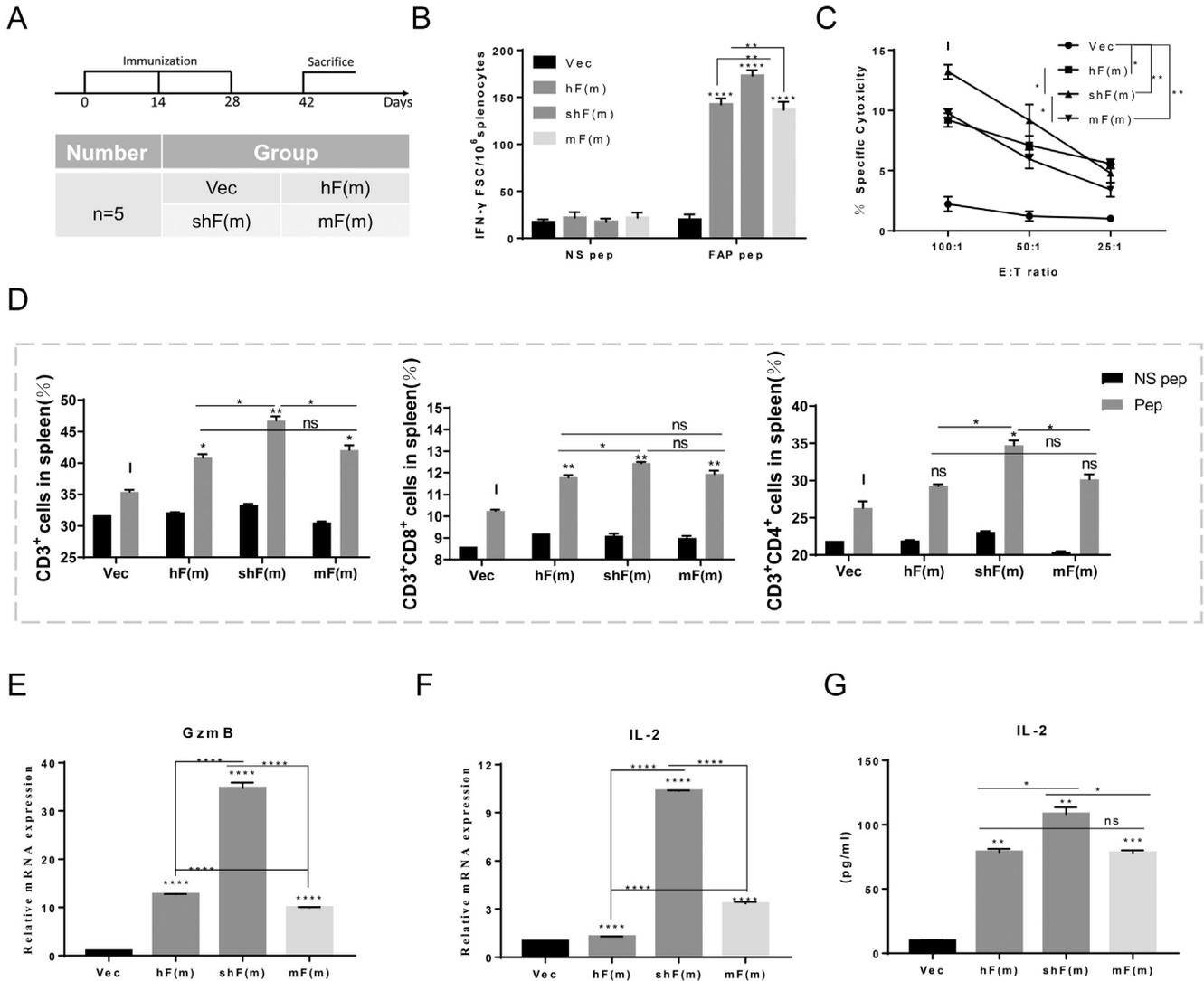


Fig. 2. Analysis of the immunogenicity of different vaccines. (A) Immunization regimens. BALB/c mice (n = 5) were immunized three times intramuscularly on day 0, 14, and 28 and sacrificed on day 42. (B) Splenocytes from different mouse groups were stimulated with FAP α peptides and unrelated human MUC1 peptides (negative control) for ELISpot assays (SFC, spot-forming cells). (C) For the in vitro CTL assay, splenocytes of different mouse groups were incubated with P815 cells as target cells, which were incubated with a mixture of FAP α peptides or unrelated human MUC1 peptides (data not shown) at E:T ratios of 25:1, 50:1, and 100:1 ($P < 0.05$; $^{**}P < 0.01$). (D) Frequencies of CD3⁺, CD3⁺CD4⁺, and CD3⁺CD8⁺ T cells in 5×10^4 splenocytes in the five mouse groups were detected by FCM after incubation with FAP α peptides or unrelated human MUC1 peptides as a control for 48 h ($P < 0.05$; $^{**}P < 0.01$). (E), (F) Relative mRNA expression levels of *GzmB* and *IL-2* in splenocytes were confirmed by qRT-PCR ($P < 0.05$; $^{*}P < 0.01$; $^{***}P < 0.001$; $^{****}P < 0.0001$). (G) Secretion of IL-10 from splenocytes was detected using the ELISA MAXTM Set ($P < 0.05$; $^{*}P < 0.01$; $^{****}P < 0.0001$).

induce CD3 + CD8+ T cells, but it had a stronger ability to induce CD3 + CD4+ T cells ($P < 0.05$). The relative expression levels of *GzmB* and *IL-2* in unstimulated splenocytes of the shF(m) group were 5-fold and 3-fold higher than those in splenocytes of the hF(m) group (Fig. 2E and F, $P < 0.0001$). In the culture medium secreted by splenocytes stimulated by FAP α , IL-2 expression was higher in the shF(m) group than that in the other groups (Fig. 2G, $P < 0.05$). The difference in the expression of IL-2 at protein and mRNA levels did not exactly match, possibly because the protein level exhibited the ability of splenocytes to secrete IL-2 after antigen stimulation, while mRNA level exhibited levels of IL-2 mRNA of splenocytes without antigen stimulation. And the protein expression and the mRNA expression levels of the gene were not exactly matched at times. However, both experimental results demonstrated that shF(m) could significantly enhance the expression of IL-2 in splenocytes of immunized mice. These results indicated that the new FAP α -targeting vaccine, shF(m), induced stronger immunogenicity than hF(m) and mF(m). We also found that the

human FAP α vaccine [hF(m)] and mouse FAP α vaccine [mF(m)] induced similar immune responses, as shown in Fig. 2B–G.

3.3. In a prophylactic setting and different therapeutic settings, shF(m) shows remarkable improvements in 4T1 growth inhibition

First, we evaluated the preventive effect of the vaccines. BALB/c mice (n = 8) were immunized and inoculated with tumor cells according to the strategy shown in Fig. S1A. Tumor growth was monitored for 23 days after inoculation. As shown in Fig. S1B, all vaccines could inhibit the growth of tumors, but shF(m) was more effective than other vaccines in terms of size and weight of tumors ($P < 0.001$). To further explore the anti-tumor effect of different FAP α -targeting vaccines, we examined the therapeutic effectiveness of vaccines. BALB/c mice (n = 8) were inoculated subcutaneously with 2×10^4 4T1 cells into the right lower flank and treated with different vaccines and the CpVR vector as a control [Therapeutic setting (a) described in the Materials and Methods]

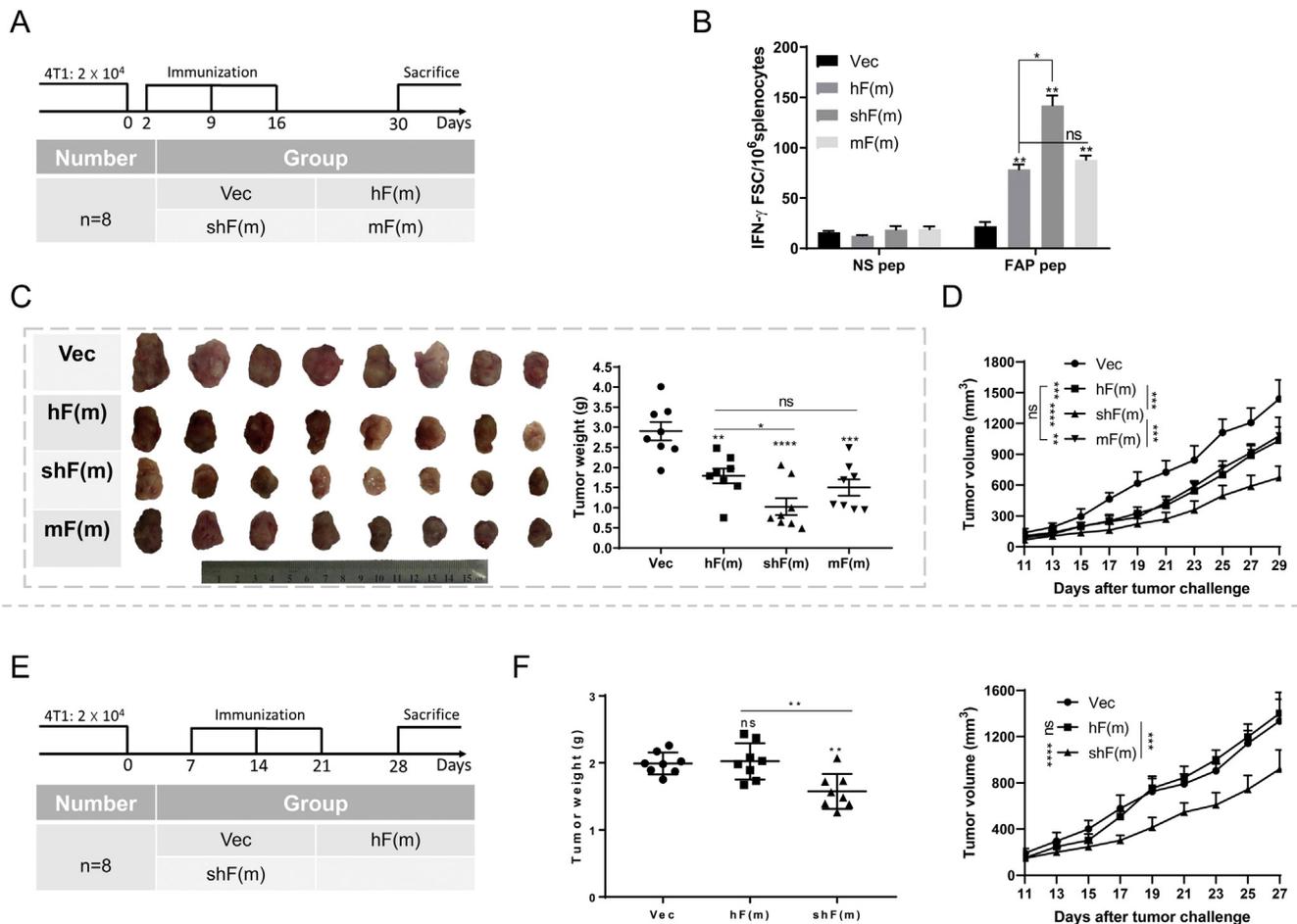


Fig. 3. Enhancement of immune responses and anti-tumor effects of shF(m) for different treatment strategies. (A) In Therapeutic setting (a), BALB/c mice ($n = 8$) were inoculated with 2×10^4 4T1 tumor cells on day 0 and treated after 2 days. (B) ELISPOT analysis of different mouse groups using FAP α peptides to stimulate splenocytes, with unrelated human MUC1 peptides as a control ($P < 0.05$; $**P < 0.01$). (C) Images of tumor nodes (left) and tumor weight measured after killing (right) in each of the four groups ($P < 0.05$; $**P < 0.01$; $***P < 0.001$; $****P < 0.0001$). (D) Tumor growth was measured every 2 days for 29 days after the tumor challenge. ($***P < 0.001$; $****P < 0.0001$) (E) In Therapeutic setting (b), BALB/c mice ($n = 8$) were inoculated with 2×10^4 4T1 tumor cells on day 0 and treated after 7 days. (F) Tumor weights (left) and tumor growth curves (right) of (E) are shown as means \pm SD ($**P < 0.01$).

(Fig. 3A). The number of FAP α -specific IFN- γ -secreting CD8⁺ T cells in splenocytes of the shF(m) group was significantly higher than that of hF(m) group based on ELISpot results (Fig. 3B, $P < 0.05$). Tumor weights and tumor growth kinetics (Fig. 3C and D) were measured and tumor images were obtained after killing. When mice were vaccinated with shF(m), tumor growth was markedly inhibited compared with hF(m) group (Fig. 3C (right) and 3D, $P < 0.05$). In Fig. 3C (left), a macroscopic image of the tumor nodes in the four groups ($n = 8$) is displayed.

To further verify the effectiveness of the shF(m) vaccine, we delayed the treatment to days 7 after BALB/c mice ($n = 8$) were inoculated subcutaneously with 4T1 cells, when subcutaneous tumor nodes were detectable. The treatment strategy [Therapeutic setting (b) described in the Materials and Methods] is shown in Fig. 3E. In this therapeutic setting, shF(m) still had a strong anti-tumor effect, but hF(m) had almost no tumor suppressive effect (Fig. 3F, $P < 0.01$).

These results proved that shF(m) significantly inhibited the growth of 4T1 tumors, irrespective of whether it was applied before or after tumor formation in mice. In addition, the inhibitory effects of mF(m) and hF(m) on 4T1 tumor growth were the same (Fig. 3C), proving that the mouse FAP α vaccine could break the immune tolerance and induce an anti-tumor immune response against mouse FAP α [34–36]. Therefore, a human FAP α vaccine, such as shF(m), may have similar therapeutic effects on human solid tumors.

3.4. shF(m) promotes the infiltration of CD8⁺ T cells, induces immune-enhancing factors, and reduces immunosuppressive factors in the TME

To determine why hF(m) lost its inhibitory effect on tumor growth when it was applied after tumor node formation (Fig. 3D), the percentages of infiltrated CD3⁺CD8⁺ and CD3⁺CD4⁺ T lymphocytes in CD45⁺ cells were verified by flow cytometry. The percentage of infiltrated CD3⁺CD8⁺ T lymphocytes in the shF(m) group was nearly 2-fold higher than that in the hF(m) group; these cells are crucial for tumor immunotherapy (Fig. 4A right, $P < 0.05$). At the same time, we found that both vaccines reduced the proportion of infiltrated CD4⁺CD25⁺Foxp3⁺ Tregs in CD4⁺ cells, and shF(m) was more capable of reducing Tregs (Fig. 4B, $P < 0.01$). A qRT-PCR analysis showed that the expression levels of various Th1 cytokines (*IL-2*, *TNF- α* , and *IFN- γ*) and *Gzmb* in the shF(m) group were significantly higher than those in the hF(m) group (Fig. 4C, $P < 0.001$). Additionally, the expression levels, of various Th2 cytokines (*IL-4*, *IL-6*, and *TGF- β*) and *GM-CSF* in the shF(m) group showed remarkable reductions (Fig. 4D, $P < 0.05$). Similar to our previous results, there was a slight increase in the level of *IL-10* expression in the hF(m) group compared to the control group, but this phenomenon was not found in the shF(m) group (Fig. 4D). The reason for this may be that the both vaccines reduced the proportion of Tregs in CD4⁺ T cells, but simultaneously promoted

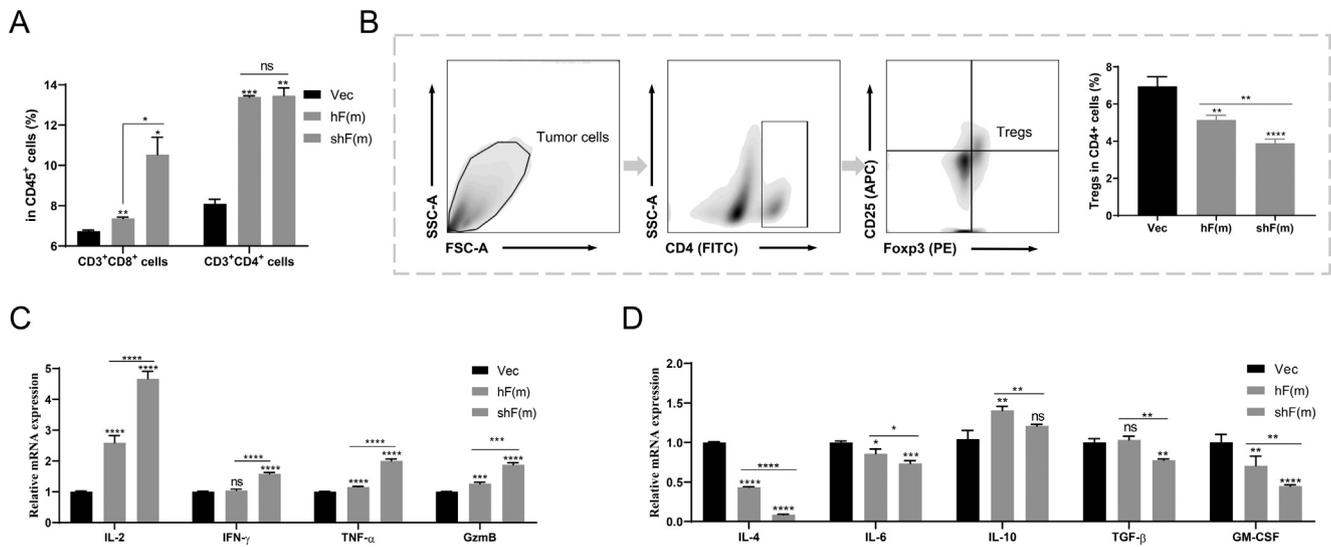


Fig. 4. Detection of CD8⁺ and CD4⁺ T cells, Tregs and the expression of inflammatory factors in tumors. (A) Percentages of infiltrated CD3⁺CD8⁺ and CD3⁺CD4⁺ T lymphocytes in CD45⁺ cells of tumors were verified by flow cytometry ($^*P < 0.05$; $^{**}P < 0.01$; $^{***}P < 0.001$). (B) The proportion of infiltrated CD4⁺CD25⁺Foxp3⁺ Tregs in CD4⁺ T cells. The gating strategy is shown on the left ($^*P < 0.01$; $^{***}P < 0.001$). (C) Relative mRNA expression levels of various Th1 cytokines (*IL-2*, *TNF- α* , and *IFN- γ*) and *GzmB* were detected by qRT-PCR ($^{***}P < 0.001$; $^{****}P < 0.0001$). (D) Relative mRNA expression levels of various Th2 cytokines (*IL-4*, *IL-6*, *IL-10* and *TGF- β*) and *GM-CSF* were detected by qRT-PCR ($^*P < 0.05$; $^{**}P < 0.01$; $^{***}P < 0.001$; $^{****}P < 0.0001$).

the infiltration of CD4⁺ T cells (Fig. 4A), while shF(m) had a stronger ability to reduce Tregs, so there was no increase of *IL-10* expression compared with hF(m) (Fig. 4D, $P < 0.01$). These results demonstrated that shF(m) could induce more powerful anti-4T1 tumor immune responses by inducing a shift in local immunity from Th2 to Th1, although *IL-10* levels in tumors were slightly up-regulated (data not displayed). In addition, the safety of full-length FAP α may explain the failure of hF(m) in this tumor model [27,28].

3.5. shF(m) reduces *CCL2* and *SDF-1* levels by targeting FAP α , thereby decreasing MDSC infiltration in the tumor microenvironment

MDSCs, a diverse population of immature myeloid cells, are present in a wide range of tumor types; they promote tumorigenesis and progression and are a barrier to many tumor treatments [37]. Previous studies have revealed that FAP α ⁺ CAFs in the TME inhibit the anti-tumor immune response by recruiting large quantities of MDSCs via *CCL2* and *SDF-1* (CXCL12) [14–16]. To determine whether our FAP-targeted vaccines reduce MDSC infiltration in tumors by eliminating CAFs in the TME, we performed flow cytometry to identify MDSCs and FAP α ⁺ CAFs in primary tumors. As shown in Fig. 5A and B(left), the three different FAP-targeted vaccines reduced both FAP α ⁺ CAFs and MDSCs in the TME, and vaccination with shF(m) markedly reduced the percentages of FAP α ⁺CAF and MDSCs compared with vaccination with hF(m) ($P < 0.05$). The gene expression levels of *FAP α* and *collagen I* decreased with the clearance of FAP α ⁺ CAFs (Fig. 5B left and Fig. 5C, $P < 0.001$). The expression levels of *CCL2* and *SDF-1* decreased significantly, which led to a reduction in the number of infiltrated MDSCs in the TME (Fig. 5D, $P < 0.0001$). Due to the decrease in the proportion of CAFs, factors in the TME that benefit tumor progression, such as *VEGF α* and *PDGF*, were also reduced (Fig. 5E, $P < 0.0001$). Based on these results, FAP-targeted vaccines could decrease the number of FAP α ⁺ CAFs and reduce the expression levels of *CCL2* and *SDF-1*, thereby reducing MDSC infiltration in the tumor. shF(m) showed a better regulatory effect in the TME than those of hF(m) and mF(m), which had similar effects.

3.6. OshF(m) and shF(m) have similar anti-tumor activity, but OshF(m) has a stronger ability to alter the tumor microenvironment

To facilitate future clinical research and improve vaccine expression in vivo, we optimized the nucleotide sequence of shF(m) and reduced the sequence homology for the monitoring of the vaccine distribution in vivo (Fig. 6A). The newly optimized shF(m) was called OshF(m). The expression of FAP α in the OshF(m) group was significantly higher than that of shF(m), as verified by western blotting (Fig. 6B). The results of prophylactic experiment showed that OshF(m) had better effect than shF(m) in inhibiting the growth of tumors (Fig. 51C, $P < 0.05$). To compare OshF(m) and shF(m) with respect to the anti-tumor effect and regulatory ability in the TME, in a therapeutic setting, we set up three groups of mice ($n = 8$), i.e., a Vec group, OshF(m) group, and shF(m) group. Mice were immunized once a week on the seventh day after tumor challenge (2×10^4 4T1 cells) and were immunized three times (Fig. 6C). Unlike the results of prophylactic experiment, there was no significant difference between OshF(m) and shF(m) in the inhibition of tumor growth and induction of the anti-tumor immune response (Fig. 6D, F, and G). The same three groups of mice ($n = 12$) were vaccinated according to the therapeutic settings to evaluate survival time. OshF(m) and shF(m) prolonged the survival of mice to a certain extent compared with that of the control group, but there was still no significant difference between the two groups. However, the relative expression levels of *FAP α* , *SDF-1*, and *collagen I*, which are indicators of the level of CAFs, in the OshF(m) group were significantly lower than those in the shF(m) group (Fig. 6H, I, and J, $P < 0.05$). Flow cytometry showed that the infiltrated MDSCs in the OshF(m) and shF(m) groups were dramatically reduced (Fig. 6K, $P < 0.01$). In addition, the application of the vaccines did not affect the weight growth of mice (Fig. S2). Thus, OshF(m) and shF(m) had similar effects on tumor suppression and survival in mice in a therapeutic setting, but OshF(m) had stronger ability to inhibit tumor growth in a prophylactic setting.

4. Discussion

Recent advances in cancer immunotherapy, such as the development of immune checkpoint inhibitors and chimeric antigen

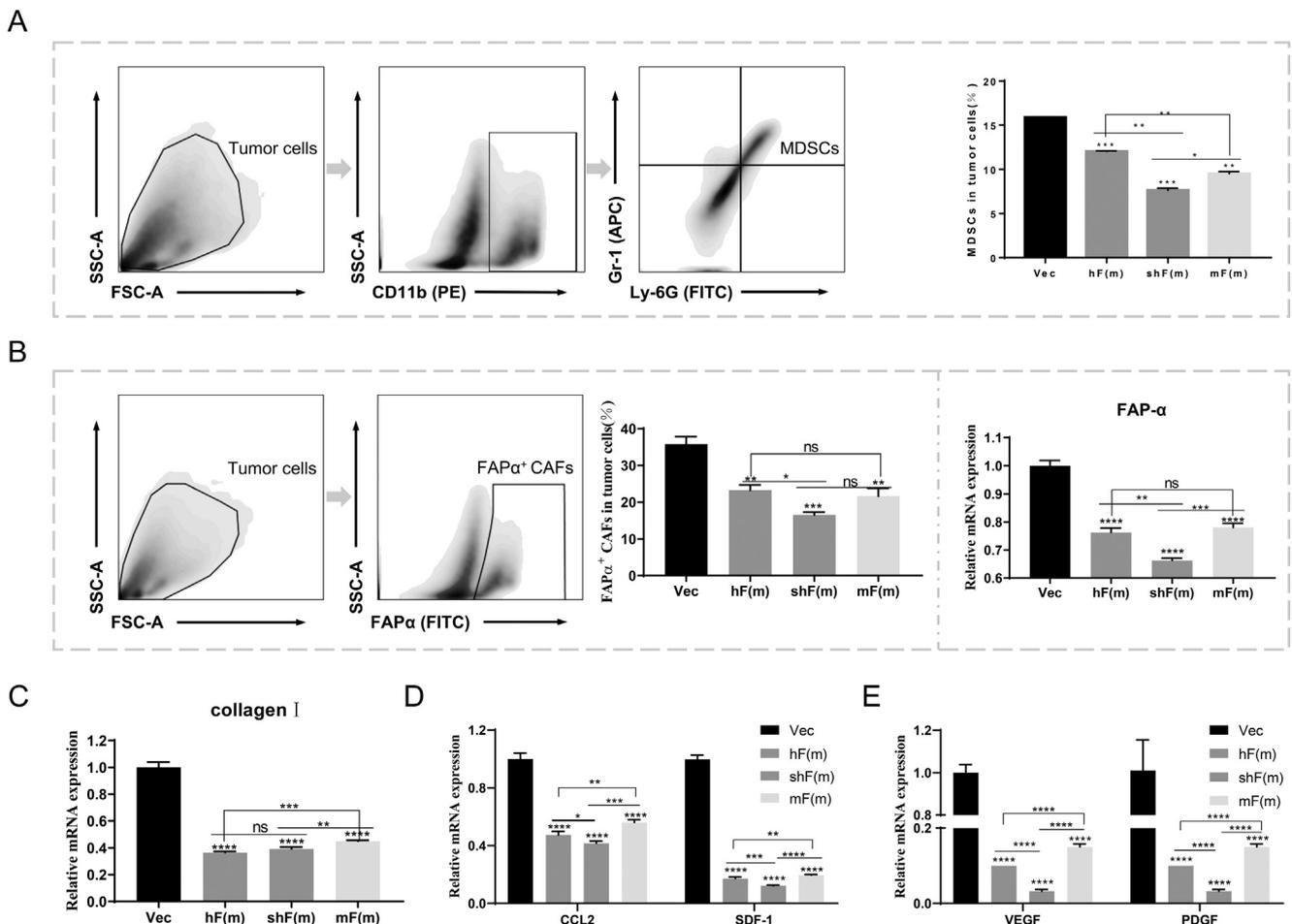


Fig. 5. Comparison of the regulatory effects of the three vaccines on the tumor microenvironment. (A) The proportion of MDSCs in the tumor was detected by flow cytometry. The gating strategy is shown using representative dot plots of MDSCs ($P < 0.05$; $^{*}P < 0.01$; $^{***}P < 0.001$). (B) The proportion of FAP α ⁺ CAFs (left) and was detected by flow cytometry and the relative mRNA expression levels of FAP α (right) in tumors were detected by qRT-PCR ($P < 0.05$; $^{***}P < 0.001$; $^{****}P < 0.0001$). (C) Relative mRNA expression levels of collagen I in tumors were detected by qRT-PCR ($^{***}P < 0.001$). (D) Relative mRNA expression levels of CCL2 and SDF-1 (CXCL12) in tumors were detected by qRT-PCR ($^{*}P < 0.05$; $^{***}P < 0.001$; $^{****}P < 0.0001$). (E) Relative mRNA expression levels of VEGF and PDGF in tumors were detected by qRT-PCR ($^{****}P < 0.0001$).

receptor T cell therapies, have pushed cancer therapy into a new era [38–41]. However, a relatively small fraction of patients benefit from these approaches due to an immunosuppressed tumor microenvironment, which provides nutrients for tumor growth and suppresses the immune system [1–3,7]. As a major part of the TME, CAFs have a prominent role in the growth, progression, and metastasis of tumors by producing soluble factors that modulate the ECM [9–11]. In addition, their genetic stability makes CAFs an attractive target for cancer immunotherapy [17,18].

Several studies, including our previous work, have verified that cancer vaccines targeting FAP α , which is expressed on CAFs, attenuate tumor growth by inducing CD8⁺ T cell infiltration, which ultimately reduce the number of CAFs and eliminates immunosuppressive components in the TME [10,26,32,35,36,42]. In addition, more than 90% of epitheliomas, such as breast cancer, colorectal cancer, pancreatic cancer, and lung cancer, express FAP α [21,22], and high expression levels of FAP α in tumor stroma are associated with aggressive progression, metastasis, and recurrence in many different cancer types [43–45], suggesting that FAP α is a promising treatment target for various cancers. In the studies of immunotherapies targeting FAP α , the anti-tumor effects induced by cancer vaccines were detected in prophylactic settings or therapeutic settings, in which vaccines were administered to mice only a few days after tumor inoculation [10,26,32,35,36,42]. To verify

the efficacy of therapies targeting FAP α , it is necessary to delay the treatment time until after tumor establishment in mice to mimic real clinical cases (Fig. 4D). In this model, the anti-tumor effect of CpVR-FAP, a DNA vaccine targeting FAP α constructed in our previous work [26], almost completely disappeared (Fig. 4E). Recent studies have shown that full-length FAP α with the S624A mutation still promotes tumor growth via non-enzymatic activity [27], and a specific transmembrane region plays a crucial role in the dimerization and activity of FAP α [28]. These previous studies indicate that vaccines containing full-length FAP α have safety issues. Furthermore, the immunogenicity of vaccines needs to be improved. tPA signal sequences have the capacity to elicit cell-mediated and humoral immune responses in vaccinated animals and are used extensively in the construction of viral and DNA vector vaccines. To enhance the safety and efficacy of the vaccine, we constructed a new FAP α -targeted vaccine [shF(m)] that only contains the extracellular domain of human FAP α (amino acids 27–760) and a tPA signal sequence fused to the N-terminal region.

shF(m) induced more FAP α -specific CTLs than hF(m) (CpVR-FAP), which are crucial for the therapeutic efficacy of tumor vaccines. The isolated splenocytes from the shF(m) group could secrete more IL-2 in vitro and the relative expression levels of IL-2 and GzmB were up-regulated. Furthermore, the vaccine overcame the immunosuppressive environment, facilitated an increase

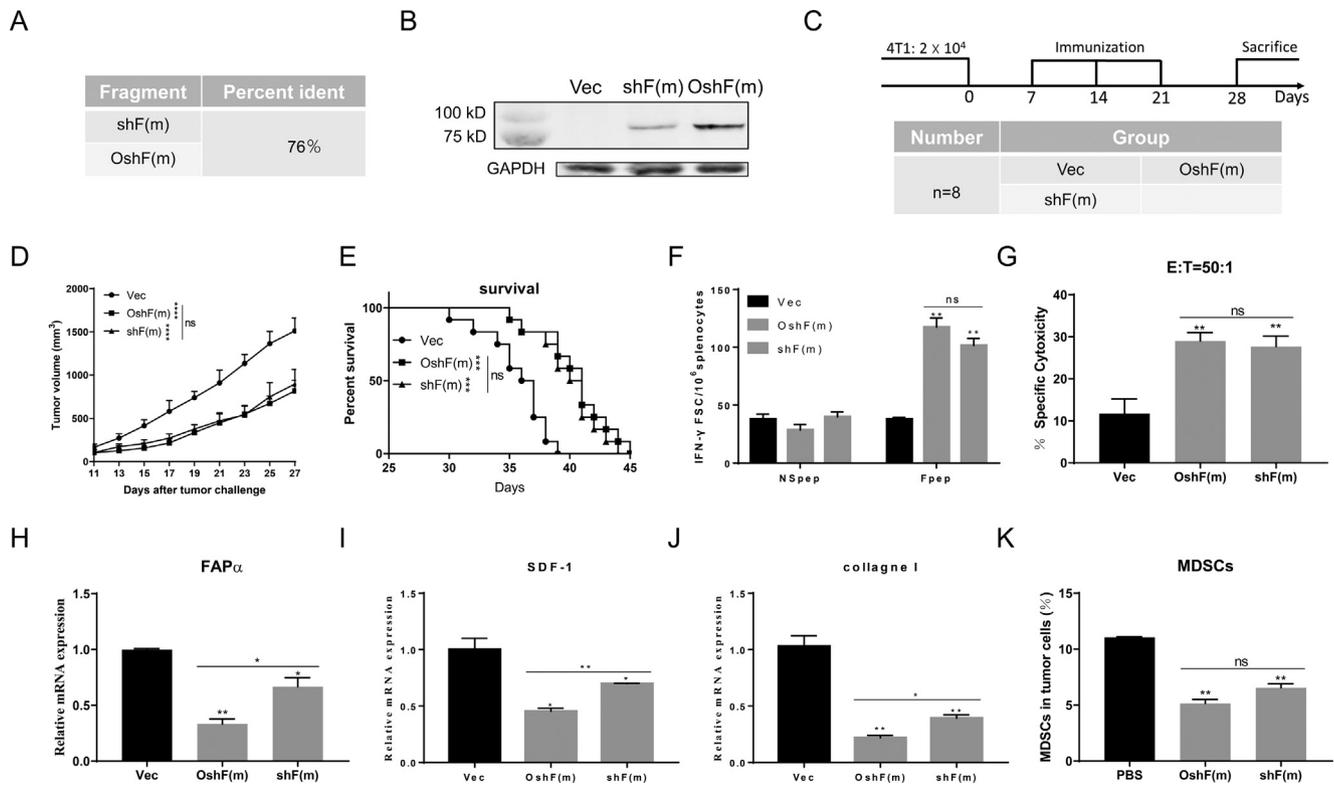


Fig. 6. Construction of OshF(m) and comparison of anti-tumor activity and regulation of the tumor microenvironment between OshF(m) and shF(m). (A) DNA sequence homology between shF(m) and OshF(m). (B) Protein expression of FAP α was verified by western blotting after the transient transfection of 293T cells. (C) BALB/c mice (n = 8) were inoculated with 2×10^4 4T1 tumor cells on day 0 and treated after 7 days. (D) Tumor growth was monitored and measured every 2 days for 27 days after the tumor challenge. Tumor growth in each of the four groups (n = 8) is depicted as the mean \pm SD (****P < 0.0001). (E) Survival time (n = 12) was monitored for 45 days, and the mean survival times were as follows: Vec group = 36.5 days, OshF(m) group = 41 days, shF(m) group = 40.5 days (****P < 0.001). (F) ELISPOT assays were conducted using splenocytes from three mouse groups stimulated with FAP α peptides or unrelated human MUC1 peptides (nonspecific peptides) (**P < 0.01). (G) CTL assays were conducted using target cells (E:T ratio = 50:1) (**P < 0.01). (H), (I), (J) Relative mRNA expression levels of FAP α , SDF-1, and collagen I in tumors were detected by qRT-PCR (**P < 0.05; ***P < 0.01). (K) Ratios of infiltrated MDSCs in tumors of the three mouse groups were detected and analyzed by flow cytometry on day 27 after tumor inoculation (**P < 0.01).

in CD8⁺ T cell infiltration in an established 4T1 tumor model, and induced a shift in local immunity from Th2 to Th1, which is more conducive to tumor destruction [10]. To compare the anti-tumor effects of human and mouse FAP α vaccines on mouse mammary gland models, a DNA vaccine expressing full-length mouse FAP α , mF(m), was constructed (Fig. 1A). The results (Figs. 1 and 3B, C) showed that hF(m) and mF(m) had similar intensities with respect to immunogenicity and the inhibition of tumor growth. Furthermore, human FAP α could stimulate human peripheral blood mononuclear cells to produce FAP α -specific T cells, which proves that a human FAP α vaccine could be used for human cancer immunotherapy [25]. Among the vaccines examined, shF(m) had the strongest anti-tumor activity and was most suitable for the clinical treatment of human cancers.

Tumors escape from surveillance and elimination by the immune system by a variety of complementary immunosuppressive mechanisms, such as the downregulation of MHC I, upregulation of surface immunosuppressive ligands (e.g., PDL-1), increases in the expression of immunosuppressive factors (e.g., IL-10, TGF- β), and recruitment of various immunosuppressive cells (e.g., Tregs, MDSCs) into the TME [1–4]. CAFs could promote the recruitment and function of MDSCs via the secretion of CCL2 and SDF-1 (CXCL12) and inhibit the function of effector T cells via the secretion of TGF- β [14–16,46]. The FAP α -targeted vaccine shF(m) effectively decreased the number of FAP α ⁺ CAFs in the TME, reducing the expression of CCL2 and CXCL12, thereby decreasing the infiltration of MDSCs. The expression levels of factors related to immunosuppression in the TME were also weakened. Accordingly,

targeting FAP α ⁺ CAFs may relieve immunosuppression and ECM barrier function in the TME [9,10,17].

Furthermore, we developed a codon-optimized shF(m) fragment, OshF(m), with similar inhibitory effects on tumor growth and improvements in mouse survival to those of shF(m), but more effective removal CAFs and immunosuppressive molecules in the TME, which may improve the outcomes of immunotherapy and chemotherapy [32,34,35,42]. Moreover, the nucleotide sequence of the OshF(m) fragment had only 76% homology with the original sequence, which is convenient for detecting the distribution of the vaccine in the human body in future clinical applications.

Interestingly, despite the OshF(m) vaccine enhancing anti-tumor T cell immune responses and reducing the number of CAFs in the TME, this did not significantly prolong the survival time of mice. This may be due to that all vaccines mentioned in this article targeted only at one single antigen (FAP α), which made the immune responses induced by the vaccines only act on FAP α ⁺ CAFs. Even though OshF(m) could regulate the TME, there is still a lack of treatment for cancer cells. Previous studies have shown that a cancer cell vaccine expressing FAP α can target both cancer cells and FAP α ⁺ CAFs, and significantly prolong the survival time of mice compared with single cancer cell vaccine [42]. Therefore, OshF(m) might have a better therapeutic effect if it was combined with other vaccines targeting cancer cells.

Single therapy that can effectively cure malignancies are generally lacking, especially for metastatic and recurrent tumors. Vaccines targeting FAP α may not only reduce CAFs by inducing massive effector CTLs but may also allow CTLs to destroy the matrix barrier

of the TME to enter the tumor. Other studies and our current work have reported excellent anti-tumor effects when FAP α -targeted immunotherapy is combined with chemotherapy or other tumor-targeted vaccines [32,34,35,42]. Therefore, immunotherapies targeting FAP α need to be combined with other therapies, such as chemotherapy, surgery, radiotherapy, and other immunotherapies, to achieve a better therapeutic effect.

In summary, our findings demonstrate the efficacy of a DNA vaccine expressing an optimized secreted FAP α in inducing FAP α -specific CD8⁺ T cells to target CAFs and destroy the TME. This vaccine is suitable for future clinical research owing to its low homology with the original sequence. Moreover, the tumor model in this study was a wild-type tumor-bearing mouse model, in which the level of antigen expression is close to real pathological conditions, which could better reflect the authenticity and effectiveness of the vaccine compared with tumor models expressing exogenous proteins. These results provide a basis for the clinical treatment of breast cancer using an FAP α -targeted vaccine.

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Declaration of Competing Interest

The authors declare that they have no conflict of interest.

Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.vaccine.2019.06.012>.

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