



# Association Between Laryngeal Sensation, Pre-swallow Secretions and Pharyngeal Residue on Fiberoptic Endoscopic Examination of Swallowing

Yael Shapira-Galitz<sup>1</sup> · Hagit Shoffel-Havakuk<sup>1,2</sup> · Doron Halperin<sup>1</sup> · Yonatan Lahav<sup>1</sup>

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## Abstract

Reduced laryngeal sensation and accumulated pharyngeal secretions are known predictors of aspiration. Yet, their association with residue has not been fully explored. One Hundred and ten fiberoptic endoscopic examination of swallowing (FEES) examinations were retrospectively analyzed. Murray's secretion scale (MSS) for secretion stasis and laryngeal sensation were tested for association with residue severity (Yale pharyngeal residue severity rating scale, YPR-SRS) and the number of swallows required to clear the bolus. The bolus challenges of each consistency (liquid, purée and solid) with the highest PAS and YPR-SRS scores were analyzed. Impaired laryngeal sensation (ILS) and MSS were both independently significantly associated with higher YPR-SRS for all consistencies examined. Mean YPR-SRS for patients with both ILS and secretion stasis was respectively  $2.4 \pm 1.1$ ,  $2.5 \pm 1.2$ ,  $2.4 \pm 1.2$  for liquid, purée and solids in the vallecula, and  $2.9 \pm 1.3$ ,  $2.3 \pm 1.1$ ,  $2 \pm 1$  for pyriform sinuses residue compared to  $1.8 \pm 0.7$ ,  $1.6 \pm 1$ ,  $1.6 \pm 1$  for vallecular residue and  $1.8 \pm 0.8$ ,  $1.4 \pm 0.8$ ,  $1.3 \pm 0.7$  for pyriform sinus residue of patients with normal laryngeal sensation and no stasis ( $p < 0.05$  for all except liquids in vallecula). The combined findings of both ILS and  $MSS \geq 1$  had a sensitivity of 25.9%, specificity of 94.2%, positive predictive value (PPV) of 83.3% and negative predictive value (NPV) of 53.3% for prediction of pharyngeal residue and a sensitivity of 58.3%, specificity of 88.8%, PPV of 39.9% and a NPV of 94.6% for prediction of aspiration. Both ILS and MSS were significantly associated with increased number of swallows required to clear a bolus. Abnormal laryngeal sensation and secretion stasis are associated with pharyngeal residue severity and reduced residue clearing on FEES.

**Keywords** Pharyngeal residue · Laryngeal sensation · Murray secretion scale · Aspiration · Fiberoptic endoscopic examination of swallowing · Dysphagia

## Introduction

Oropharyngeal dysphagia (OD) is a prevalent disorder affecting up to a sixth of the general population [1, 2], and in designated populations, such as patients after stroke or the

elderly, its prevalence is much higher [3–5]. OD has many possible etiologies including neurogenic causes, age-related changes and head and neck surgery or radiation [6–9]. Individuals with OD can be physically affected with malnutrition, dehydration, aspiration pneumonia and asphyxia [10, 11] and on functional and emotional levels, OD can lead to anxiety, depression and social isolation [10–12]. From a public health standpoint, OD leads to extended hospital admission lengths and prolonged rehabilitation [5].

Fiber-optic flexible laryngoscopy is performed by clinicians as part of the physical head and neck examination. It facilitates the visualization of the pharynx and larynx and the evaluation of laryngeal functions such as phonation and breathing. Fiber-optic endoscopic evaluation of swallowing (FEES) starts by performing a laryngoscopy, noting any anatomical or functional abnormalities on a “clean” throat. The examiner then appreciates the physiology of the swallowing

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✉ Yael Shapira-Galitz  
yael@galitz.co.il

<sup>1</sup> The Department of Otolaryngology Head and Neck Surgery, Kaplan Medical Center, Hebrew University of Jerusalem, POB 1, 76100 Rehovot, Israel

<sup>2</sup> Department of Otolaryngology Head and Neck Surgery, Rabin Medical Center, Tel-Aviv University, Petah-Tikva, Israel

process, to apply appropriate therapeutic interventions if the swallowing process is impaired and to test the efficiency of these interventions. Many anatomical and functional parameters are assessed during FEES. Two such parameters are penetration-aspiration of a bolus into the airway and pharyngeal residue, defined as part of the bolus retained in the pharynx post-swallow. These two parameters are interconnected [13, 14] and have a significant impact on patient wellbeing [13, 15]. However, aspiration usually takes center-stage in OD evaluation, due to its more intuitive relationship with the sometimes devastating pulmonary consequences of OD [16] and also because residue is harder to accurately scale [17].

While FEES is excellent at outlining the patient's swallowing pathologies and assisting in tailoring clinical and rehabilitative recommendations for OD treatment, it requires special expertise, can be time intensive, and is not always available. For this reason, several studies have examined the ability of laryngoscopy findings, mainly the severity of pooled secretions and impaired laryngopharyngeal sensation (ILS) to predict dysphagia and to identify patients at risk who require more extensive dysphagia evaluation [18–24]. However, the aforementioned studies focused only on the correlation of these laryngoscopy findings with aspirations as the hallmark sign of OD, and not pharyngeal residue.

ILS is usually deduced during routine laryngoscopy by eliciting the laryngeal adductor reflex (LAR). The LAR can be elicited in response to contact with the supraglottic mucosa, either by employing physical contact (the touch method) or using an air-pulse. The latter method is also termed FEES with sensory testing (FEESST) [25]. Of the two methods, the touch method is commonly practiced, as FEESST's measuring equipment is no longer marketed. Regardless of the elicitation method, using LAR to evaluate laryngeal sensation has limitations. The examiner noticing an absent LAR must always entertain the possibility of pathologies affecting different sites of the reflex arc, such as the efferent motoric arm or the brainstem, while the afferent arm, i.e., laryngeal sensation, is in fact intact. Nonetheless, LAR testing is considered an acceptable method for evaluating laryngeal sensation [26]. While ILS has been shown to correlate with aspirations and pneumonia [22–24], the relationship between pharyngeal residue and ILS has not been firmly established.

The severity of pooled secretions has also been shown to predict aspirations and pneumonia [18–21, 27]. However, despite the intuitive link between pooled saliva secretions and post-swallow bolus residue, these parameters are considered different manifestations of impaired pharyngeal clearing, and their association with each other has not been well established in the literature.

The purpose of this current study was to examine whether ILS and secretion stasis are associated with the severity of pharyngeal residue and its clearing efficiency on FEES. We

hypothesized that pharyngeal residue is associated with both pooled secretions and ILS, similar to aspiration.

## Methods

This is a retrospective cohort of patients examined at our institution's Dysphagia Clinic between July 2014 and November 2016. Video-recorded FEES were performed, reviewed and scored by the same otolaryngologist, who specializes in swallowing disorders and is also experienced in performing FEES. The study was approved by our institutional ethics committee.

The study included consecutive examinations of patients over 18 years of age with fully recorded examinations, in which the patient was offered and was able to handle at least one bolus challenge of one food consistency. Exclusion criteria were age under 18 years. Data collection included: patient's age, gender and etiology of dysphagia which was categorized into: neurogenic (cerebrovascular accidents and neurodegenerative diseases), previous head and neck operation for both malignant and benign causes, with/without radiation to the neck, unknown, and other, including esophageal abnormality, presbyphagia and prolonged intubation.

The standard FEES protocol [28] was followed with slight modifications described hereafter. A flexible digital video rhinolaryngoscope (ENF-V2, Olympus Medical System Corporation, Tokyo, Japan) was passed through the most patent naris with the administration of trace amounts of topical anesthetic (2% Lidocaine hydrochloride gel) to coat the laryngoscope, which has been shown to insignificantly alter the FEES results [29, 30]. Laryngeal sensation was evaluated by gently touching the both arytenoids and noting laryngeal adductor reflex (LAR) or cough response. Absence of LAR or cough after contact with at least one arytenoid was categorized as ILS. If after retracting the laryngoscope from the arytenoids the larynx was not properly visualized, subsequent attempts were made until adequate visualization of the larynx immediately after touching the arytenoid was achieved. Secretion stasis was scored using the Murray secretion scale (MSS) [20]. The MSS is a 4-point scale scoring pharyngeal secretions according to severity and mainly according to location; 0—no secretions, 1—minimal secretions in the pharynx not entering the laryngeal vestibule (illustrated by Murray and defined as the laryngeal lumen above the level of the vocal folds, bounded by the epiglottis anteriorly, the aryepiglottic folds laterally, and the arytenoid cartilages posteriorly), 2—secretions that accumulate in the vestibule during the examination, and 3—secretions in the laryngeal vestibule.

Swallowing was evaluated with nine bolus challenges, three of each consistency (liquid, purée and solid) of approximately 5 cc volume each, presented in

the following order: purée consistency (green dyed apple purée) followed by a solid consistency challenge of whole wheat bread (two pieces without crust and one with the crust) and concluded with thin liquid boluses (green dyed 3% fat milk). Patients were encouraged to feed themselves, with assistance as needed. All patients were allowed to swallow spontaneously without a verbal command to swallow.

Residue was scored using the Yale pharyngeal residue severity rating scale (YPR-SRS) [31] and visualized using freeze-frame imaging immediately after the first swallow following the bolus challenge. The YPR-SRS scores residue in the vallecula and pyriform sinuses. Each site receives a score of 1 to 5 according to a descriptive and an image-based scale; (1) no visible residue; (2) trace (1–5%) residue, depicted as mild coating of the mucosa; (3) mild (<25%) residue, with the epiglottic ligament still visible; (4) moderate (25–50%) residue, with the epiglottic ligament covered; (5) severe (>50%) residue, with residue reaching the epiglottic rim (vallecula) or aryepiglottic fold (pyriform sinuses). For each FEES examination, the bolus challenge with the most severe rating out of every consistency tested (defined as the bolus challenge with the highest YPR-SRS score out of all bolus challenges) was chosen for analysis, with a maximum of three bolus challenges per FEES. Each analyzed bolus challenge was scored using the YPR-SRS for the vallecula and pyriform sinus. For bolus challenges other than the first, if residue of another consistency was present in the pharynx from previous bolus challenges it was not scored. Only residue of the offered consistency was scored. Differentiating between residue types was possible because their color-green dyed apple sauce was dark green, the bread was yellow-white and the dyed milk was light green. Patients who did not spontaneously attempt to clear their residue were cued to swallow as needed. Presence of severe residue despite clearing attempts usually leads to modifying the FEES protocol to maintain patient safety, such as limiting the consistencies tested during the FEES or offering water to clear the throat.

To represent the efficiency of the residue clearance, we summed the number of swallows the patient required to reach a YPR-SRS  $\leq 2$  in both sites (vallecula/pyriform sinuses). Swallows were either spontaneous or cued. If the patient reached the goal YPR-SRS  $\leq 2$  in 1–2 swallows, the bolus challenge received a score of 1, if in 3–5 swallows, a score of 2, and if more than 5 swallows were required to reach YPR-SRS  $\leq 2$ , a score of 3 was given. If the goal of YPR-SRS  $\leq 2$  was not reached despite multiple swallowing attempts, a score of 4 was given. This classification is similar to Farneti's pooling score [32], with the exception that Farneti scored patients who required more than 5 swallows to clear residue the same as patients who failed to clear residue altogether.

The penetration-aspiration scale (PAS) [33] was scored according to the highest penetration/aspiration observed in either the primary swallow or any of the subsequent swallows for the analyzed bolus challenge. The highest PAS of every consistency was chosen for analysis.

Statistical analysis was performed using SPSS version 21.0 (IBM, Armonk, NY, USA). PAS, YPR-SRS and residue clearing scores are described as mean and standard deviation. Non-normally distributed variables were compared using the nonparametric Mann–Whitney test if two variables were tested, and Kruskal–Wallis test if three or more variables were compared. Categorical variables were compared using the  $\chi^2$  test. The level of significance was set at 0.05. Sensitivity, specificity, negative and positive predictive values were calculated for ILS and MSS for prediction of both PAS and YPR-SRS. All tests were two tailed.

## Results

### Demographic Data and FEES Results

One hundred and ten FEES examinations were included in the study. The patients' median age was 68; IQR 61–77. 54.5% were males ( $n=60$ ). Dysphagia etiologies were head and neck disorders related (H&N) (42.7%,  $n=45$ ), neurogenic (30.9%,  $n=33$ ), unknown (20.9%,  $n=23$ ) (e.g., globus sensation, chronic cough work-up, affective disorders), and other ( $n=6$ , 5.5%) including esophageal dysphagia and presbyphagia. Twelve patients (10.9%) received a PAS  $\geq 6$ , meaning that they aspirated during the FEES. Median and IQR YPR-SRS for the vallecula were 2 (1–2) for liquids, 1 (1–3) for puree and 1 (1–2.25) for solids. YPR-SRS for the pyriform sinuses were 2 (1–3), 1 (1–2) and 1 (1–1.25) for liquids, puree and solids, respectively.

### Impaired Laryngeal Sensation

Eighty-four patients (76.3%) were categorized as having normal laryngeal sensation (NLS) and 26 (23.6%) as having ILS. Table 1 presents a comparison between the PAS, YPR-SRS and number of swallows required to clear the pharynx between patients with NLS and ILS. Patients with ILS had significantly higher YPR-SRS for both the vallecula and the pyriform sinuses in all consistencies tested (liquid/purée/solid) as well as higher PAS scores and scores representing the number of swallows required to clear the residue compared to patients with NLS.

### Secretion Stasis

Sixty-seven (60.9%) patients had an MSS = 0, 22 patients (20%) had an MSS = 1, 10 patients (9.1%) an MSS = 2,

**Table 1** Comparison of residue severity, penetration-aspiration and number of required clearing swallows between patients with normal and impaired laryngeal sensation

|                   | Liquid (n = 101)                 |           | Purée (n = 110)                |           | Solid (n = 100)               |           |
|-------------------|----------------------------------|-----------|--------------------------------|-----------|-------------------------------|-----------|
|                   | NLS                              | ILS       | NLS                            | ILS       | NLS                           | ILS       |
| PAS               | 1.7 ± 1.2                        | 4.2 ± 2.7 | 1.3 ± 0.8                      | 2.0 ± 1.8 | 1.3 ± 0.8                     | 1.6 ± 1.0 |
| p value           | U = 395.5, Z = -4.42, p = 0.0001 |           | U = 727, Z = -3.1, p = 0.002   |           | U = 603, Z = -2.79, p = 0.005 |           |
| YPR-SRS—vallecula | 1.9 ± 0.7                        | 2.3 ± 1.0 | 1.7 ± 1.0                      | 2.5 ± 1.2 | 1.7 ± 0.9                     | 2.4 ± 1.3 |
| p value           | U = 634.5, Z = -2.21, p = 0.026  |           | U = 618, Z = -3.39, p = 0.001  |           | U = 608, Z = -2.19, p = 0.028 |           |
| YPR-SRS—Pyriform  | 3.5 ± 1.5                        | 4.5 ± 2.4 | 1.5 ± 0.9                      | 2.3 ± 1.2 | 1.3 ± 0.8                     | 1.9 ± 1.0 |
| p value           | U = 441, Z = -3.85, p = 0.0001   |           | U = 631, Z = -3.51, p = 0.0001 |           | U = 562, Z = -3.19, p = 0.001 |           |
| Residue clearing  | 1.3 ± 0.6                        | 1.9 ± 0.9 | 1.4 ± 0.8                      | 2.1 ± 1.1 | 1.4 ± 0.6                     | 1.8 ± 0.9 |
| p value           | U = 534.5, Z = -3.5, p = 0.0001  |           | U = 631, Z = -3.51, p = 0.0001 |           | U = 645, Z = -3.19, p = 0.042 |           |

The results are presented as mean and standard deviation. Residue clearing: 1 = residue cleared in 1–2 swallows, 2 = residue cleared in 3–5 swallows, 3 = residue cleared in > 5 swallows, 4 = residue not cleared

YPR-SRS yale pharyngeal residue severity rating scale, PAS penetration aspiration scale, ILS impaired laryngeal sensation, NLS normal laryngeal sensation

p values were calculated using the Mann–Whitney test

and 11 (10%) an MSS = 3. Table 2 presents the comparison between the PAS, YPR-SRS and the number of swallows required to clear the pharynx between patients with MSS < 1 and MSS ≥ 1. Patients with secretion stasis (MSS ≥ 1) had significantly higher YPR-SRS for both the vallecula and the pyriform sinuses in all consistencies tested (liquid/purée/solid) as well as significantly higher scores representing the number of swallows required to clear the residue compared to patients without secretion stasis. PAS scores were significantly higher in patients with MSS ≥ 1 compared to MSS < 1 for liquid and puree consistency but not for solids.

### Impaired Laryngeal Sensation and Secretion Stasis Combined

Of our cohort, 59 patients (53.6%) had both MSS < 1 with NLS, 8 patients (7.2%) had MSS < 1 with ILS, 25 patients (22.7%) had MSS ≥ 1 and NLS, and 18 patients (16.3%) had MSS ≥ 1 and ILS. Table 3 presents a comparison of the YPR-SRS and PAS scores between four categories of patients: Patients with NLS and no secretion stasis (MSS < 1), patients with NLS and with secretion stasis (MSS ≥ 1), patients with ILS and no secretion stasis, and patients with ILS and secretion stasis. The four groups showed significant differences in PAS and YPR-SRS. Patients with ILS and MSS ≥ 1 showed the highest PAS and YPR-SRS, while

**Table 2** Comparison of residue severity, penetration-aspiration and number of required clearing swallows between patients with and without secretion stasis

|                   | Liquid (n = 101)                 |           | Purée (n = 110)                 |           | Solid (n = 100)                 |           |
|-------------------|----------------------------------|-----------|---------------------------------|-----------|---------------------------------|-----------|
|                   | MSS ≥ 1                          | MSS < 1   | MSS ≥ 1                         | MSS < 1   | MSS ≥ 1                         | MSS < 1   |
| PAS               | 3.3 ± 2.4                        | 1.8 ± 1.6 | 2.0 ± 1.8                       | 1.2 ± 0.5 | 1.5 ± 0.8                       | 1.3 ± 0.9 |
| p value           | U = 724.5, Z = -3.62, p = 0.0001 |           | U = 981.5, Z = -3.5, p = 0.0001 |           | U = 939.5, Z = -1.48, p = 0.137 |           |
| YPR-SRS vallecula | 2.2 ± 0.9                        | 1.9 ± 0.7 | 2.3 ± 1.3                       | 1.7 ± 1.0 | 2.2 ± 1.1                       | 1.7 ± 1.1 |
| p value           | U = 934, Z = -2.0, p = 0.044     |           | U = 955.5, Z = -3.12, p = 0.002 |           | U = 790, Z = -2.41, p = 0.016   |           |
| YPR-SRS Pyriform  | 2.4 ± 1.2                        | 1.8 ± 0.8 | 2.0 ± 1.2                       | 1.5 ± 0.9 | 1.7 ± 1.1                       | 1.3 ± 0.7 |
| p value           | U = 908, Z = -3.35, p = 0.03     |           | U = 1147, Z = -1.94, p = 0.052  |           | U = 854, Z = -2.29, p = 0.022   |           |
| Residue clearing  | 1.8 ± 0.9                        | 1.3 ± 0.5 | 2.0 ± 1.1                       | 1.3 ± 0.7 | 1.7 ± 0.9                       | 1.4 ± 0.6 |
| p value           | U = 798.5, Z = -3.35, p = 0.001  |           | U = 946, Z = -3.51, p = 0.0001  |           | U = 866.5, Z = 1.96, p = 0.049  |           |

The results are presented by mean and standard deviation. Secretion stasis was scored with the Murray secretion scale. Residue clearing: 1 = residue cleared in 1–2 swallows, 2 = residue cleared in 3–5 swallows, 3 = residue cleared in > 5 swallows, 4 = residue not cleared

PAS penetration aspiration scale, MSS murray secretion scale, YPR-SRS yale pharyngeal residue severity rating scale

p values were calculated using the Mann–Whitney test

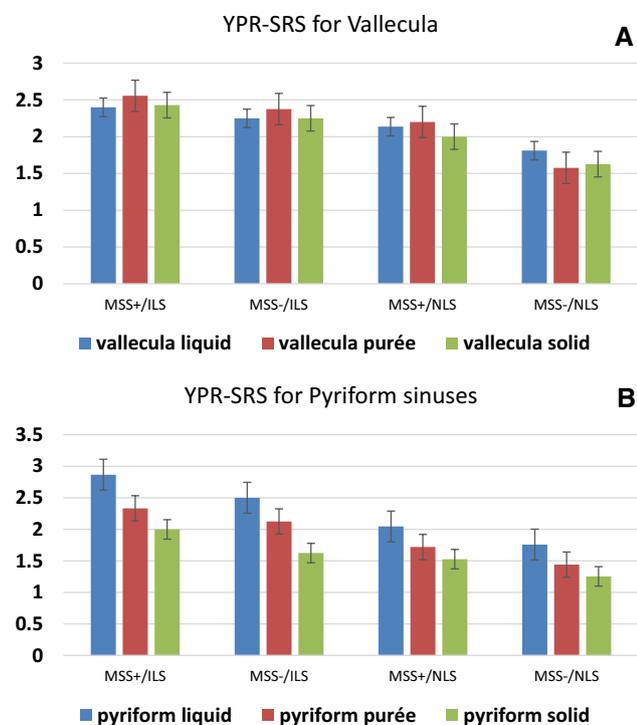
**Table 3** Comparison of residue severity and penetration-aspiration for patients with and without secretion stasis and with and without impaired laryngeal sensation

|                          |                 | MSS ≤ 1, NLS | MSS ≥ 1, NLS | MSS ≤ 1, ILS | MSS ≥ 1, ILS | p value |
|--------------------------|-----------------|--------------|--------------|--------------|--------------|---------|
| YPR-SRS vallecula-       | Fluid (n = 101) | 1.8 ± 0.7    | 2.1 ± 0.7    | 2.2 ± 0.6    | 2.4 ± 1.1    | 0.105   |
|                          | Puree (n = 110) | 1.6 ± 1      | 2.2 ± 1.3    | 2.4 ± 1.2    | 2.5 ± 1.2    | 0.001   |
|                          | Solid (n = 100) | 1.6 ± 1      | 2 ± 1        | 2.3 ± 1.5    | 2.4 ± 1.2    | 0.035   |
| YPR-SRS pyriform sinuses | Fluid (n = 101) | 1.8 ± 0.8    | 2.0 ± 0.9    | 2.5 ± 0.5    | 2.9 ± 1.3    | 0.001   |
|                          | Puree (n = 110) | 1.4 ± 0.8    | 1.7 ± 1.2    | 2.1 ± 1.2    | 2.3 ± 1.1    | 0.005   |
|                          | Solid (n = 100) | 1.3 ± 0.7    | 1.5 ± 1.1    | 1.6 ± 0.9    | 2 ± 1        | 0.007   |
| PAS                      | Maximal         | 1.6 ± 1.1    | 2.7 ± 1.9    | 3.8 ± 2.7    | 4.8 ± 2.3    | <0.0001 |

The results are presented as mean and standard deviation. Secretion Stasis was scored using the Murray secretion scale

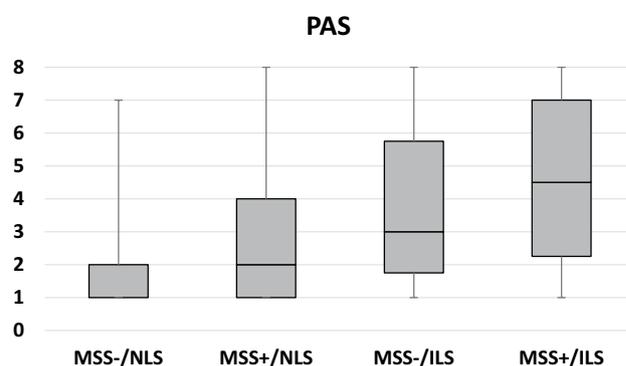
PAS penetration aspiration scale, MSS murray secretion scale, YPR-SRS yale pharyngeal residue severity rating scale, ILS impaired laryngeal sensitivity, NLS normal laryngeal sensitivity

p values were calculated with Kruskal–Wallis test



**Fig. 1** YPR-SRS for patients with and without secretion stasis and with and without impaired laryngeal sensation. Mean and standard error of residue severity scores for valleculela (a) and pyriform sinuses (b) residue for patients with and without laryngeal hypoesthesia and with and without secretion stasis. MSS murray secretion scale, YPR-SRS yale pharyngeal residue severity rating scale, ILS impaired laryngeal sensation, NLS normal laryngeal sensation

patients with MSS < 1 and NLS showed the lowest scores. Figures 1 and 2 show the YPR-SRS and PAS scores for the four categories, respectively. Table 4 presents the sensitivity, specificity and predictive values of ILS and of MSS ≥ 1 for predicting aspiration and residue on FEES. The sensitivity of ILS and MSS ≥ 1 for aspiration was 75% for both, with



**Fig. 2** PAS for patients with and without secretion stasis and with and without impaired laryngeal sensation. Mean PAS scores for patients with and without laryngeal hypoesthesia and with and without secretion stasis. MSS+ represents MSS ≥ 1, MSS- represents MSS < 1. The PAS score presented is the highest out of all consistencies and bolus challenges. MSS murray secretion scale, PAS penetration-aspiration scale, ILS impaired laryngeal sensitivity, NLS normal laryngeal sensitivity

a specificity of 82.6% and 65.3%, respectively. The negative predictive value for aspiration was 96.4% for ILS and 95.5% for MSS ≥ 1. The sensitivity of ILS combined with MSS ≥ 1 for pharyngeal residue was 25.9%, with a specificity of 94.2%, PPV of 83.3% and NPV of 53.3%. The relative risk (RR) of patients with ILS and MSS ≥ 1 for pharyngeal residue was 1.8 and 7.2 for aspiration during FEES compared to the group with NLS and MSS < 1.

**Discussion**

Several previous studies have addressed the ability to predict penetration and aspiration by relying on routine laryngoscopy findings [20, 21, 23, 24, 26]. To the best of our knowledge, this study is the first to examine the

**Table 4** Sensitivity, specificity, predictive values and relative risk of impaired laryngeal sensation, secretion stasis and the combination of both for aspiration and pharyngeal residue

|                    |                         |                            | Sensitivity (%) | Specificity (%) | PPV (%) | NPV (%) | RR  |
|--------------------|-------------------------|----------------------------|-----------------|-----------------|---------|---------|-----|
|                    | Aspiration ( <i>n</i> ) | No aspiration ( <i>n</i> ) |                 |                 |         |         |     |
| ILS                | 9                       | 17                         | 75              | 82.6            | 34.6    | 96.4    | 9.7 |
| NLS                | 3                       | 81                         |                 |                 |         |         |     |
| MSS $\geq$ 1       | 9                       | 34                         | 75              | 65.3            | 20.9    | 95.5    | 4.7 |
| MSS < 1            | 3                       | 64                         |                 |                 |         |         |     |
| ILS + MSS $\geq$ 1 | 7                       | 11                         | 58.3            | 88.8            | 39.9    | 94.6    | 7.2 |
| NLS + MSS < 1      | 5                       | 87                         |                 |                 |         |         |     |
|                    | Residue ( <i>n</i> )    | No residue ( <i>n</i> )    |                 |                 |         |         |     |
| ILS                | 20                      | 6                          | 34.5            | 88.5            | 76.9    | 54.8    | 1.7 |
| NLS                | 38                      | 46                         |                 |                 |         |         |     |
| MSS $\geq$ 1       | 31                      | 12                         | 53.4            | 76.9            | 72.1    | 59.7    | 1.8 |
| MSS < 1            | 27                      | 40                         |                 |                 |         |         |     |
| ILS + MSS $\geq$ 1 | 15                      | 3                          | 25.9            | 94.2            | 83.3    | 53.3    | 1.8 |
| NLS + MSS < 1      | 43                      | 49                         |                 |                 |         |         |     |

Aspiration was defined as PAS > 5. Residue was defined as YPRSRS < 2 for one or more consistencies at any site (vallecula and/or pyriform sinuses)

ILS impaired laryngeal sensitivity, NLS normal laryngeal sensitivity, MSS murray secretion scale, YPR-SRS yale pharyngeal residue severity rating scale, NPV negative predictive value, PPV positive predictive value, RR relative risk

ability of laryngoscopy findings to predict the presence of pharyngeal residue. We demonstrated that a significant association exists between pooled secretion and ILS to the severity of pharyngeal residue and the efficiency of its clearance. We also reaffirmed the correlation between these findings and PAS.

OD is a prevalent and serious disorder, with significant morbidity and mortality concerns [5, 10–12]. However, it is underdiagnosed and often not managed soon enough [12]. The first step in addressing OD is screening high-risk patients to identify those requiring further evaluation [34, 35]. However, there is no consensus in the literature on the ideal screening tool. Multiple screening methods have been proposed [36, 37], from questionnaires to bedside evaluations. However, their sensitivity and specificity, especially of bedside evaluations, is less than ideal [38]. Furthermore, brevity, ease of administration and applicability on non-verbal or cognitively impaired patients are important characteristics to consider in a screening tool. Our study shows that secretion stasis and ILS upon laryngoscopy are associated not only with penetration-aspiration, which has already been described in the literature [20, 21, 23, 24, 26], but also with pharyngeal residue, strengthening it as a screening tool for OD, especially for cognitively impaired patients who cannot cooperate with questionnaires or clinical bedside evaluations. Knowing that ILS and secretion stasis are associated with dysphagia could also help in detecting patients requiring comprehensive dysphagia work-up among those undergoing routine laryngoscopy as part of a head and neck examination performed for other reasons. Its disadvantages

as a screening tool are its invasive nature, special equipment and expertise required.

Pharyngeal residue is given less significance as a symptom of OD than penetration-aspiration, despite its impact on the patient's well-being and quality of life [13, 15]. Pharyngeal residue has also been shown to have a greater impact on health-related quality of life than penetration-aspiration [39]. Moreover, retained residue is by itself a predictor of aspiration [40–42], suggesting that patients who demonstrate residue on instrumental swallowing assessment (ISA) should be considered as higher risk for aspiration, even if no events of aspirations are caught during the ISA. Clinicians who categorize OD patients on the basis of ISA as aspirators/non-aspirators might be oversimplifying the patient's swallowing problem.

Stratifying the risk of a patient with residue to aspirate is difficult, due to the multiple factors that affect the relationship between residue and aspiration. Residue severity, or the amount of residue in the pharynx, is not the only element to be considered. Additionally, we should consider how well the patient handles the residue. Does the patient *sense* it and how effectively does the patient clear it? While the MSS quantifies retained secretions, which might be considered a result of dysphagia, hinting toward abnormal swallowing function, ILS has a direct contribution to the swallowing pathophysiology. Our study shows that patients with ILS not only had higher residue severity (RR = 1.7), but also cleared it less effectively, and aspirated more often (RR = 9.7). One should also consider that besides the observed aspiration during ISA, patients with ILS, who do not sense the

accumulating residue and make less effort to clear it, might suffer from aspiration long after finishing to swallow. Further studies are required to stratify the clinical outcomes of pharyngeal residue.

When examining the effectiveness of ILS and  $MSS \geq 1$  as predictors for dysphagia, we learned that these laryngoscopy findings offered in our study cohort low-moderate sensitivity for residue and moderate-high (75%) sensitivity for aspiration, 25% lower compared to the perfect 100% sensitivity of  $MSS \geq 1$  for aspirations by Kuo et al. [21]. While the PPV for aspirations was also only moderate, probably due to the low pre-test probability of aspirations in our cohort, the NPV for ILS and  $MSS \geq 1$  for aspiration was very high. This means that the absence of these findings on laryngoscopy predicts the absence of aspirations in over 94% of patients. When examining the predictive strength for residue we observed that the specificity of ILS combined with  $MSS \geq 1$  was high for the presence of residue with a PPV of 83.3%. We conclude that when screening for dysphagia using secretion stasis severity and ILS, these findings have a high NPV for aspirations, and high specificity and PPV for residue detection.

Our study has several limitations. Firstly, since the institution has only one clinician proficient at interpreting FEES, our study design utilized a single rater evaluation. Although this methodology reduces inter-rater variability, it might be a source for bias. However, both the YPR-SRS and the PAS have well established inter and intra-rater reliability in the literature [32, 34]. Additionally, this is a retrospective study and therefore has inherent limitations by design. Furthermore, testing laryngeal sensation was performed by direct contact on supraglottic mucosa (the “touch method”) and was not standardized. The touch method has been shown to vary considerably between tests and testers [43]. Even though all FEES were performed by the same physician using the same technique, variability of sensory testing should still be expected and might skew the results. Another limitation stems from patient safety considerations during FEES, e.g., early terminations of FEES examinations, avoiding testing certain consistencies due to severe aspirations, or the introduction of swallowing maneuvers to minimize aspirations during the exam which might skew results.

## Conclusion

Secretion stasis and laryngeal hypoesthesia are associated with severity of pharyngeal residue in the vallecula and pyriform sinuses and to the efficiency of residue clearing. The presence of secretion stasis and ILS during routine laryngoscopy has a high NPV for aspirations, and high specificity and PPV for residue detection. These findings may be used

to identify patients at risk of dysphagia during routine laryngoscopy for more extensive dysphagia evaluation.

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## Compliance with Ethical Standards

**Conflict of interest** The authors declare that they have no conflict of interest.

**Ethical Approval** All procedures performed in the study involving human participants were in accordance with the ethical standards of the institutional research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards.

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**Yael Shapira-Galitz MD**

**Hagit Shoffel-Havakuk MD**

**Doron Halperin MHA, MD**

**Yonatan Lahav MD**