



Original Research

Immune-related adverse events predict the therapeutic efficacy of anti–PD-1 antibodies in cancer patients



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Abstract Background: Cancer immune therapy has shown remarkable benefit in the treatment of a range of cancer types, although it may initiate autoimmune-related disorders in some patients. We have attempted to establish whether the incidence of irAEs after the use of anti–PD-1 antibodies nivolumab or pembrolizumab in advanced malignancies is associated with anti–PD-1 treatment efficacy.

Patients and methods: We studied patients treated with single-agent nivolumab or pembrolizumab for advanced cancer. irAEs (immune-related adverse events) were identified clinically and graded as per the Common Terminology Criteria for Adverse Events version 4.0. Efficacy was evaluated with objective response rate (ORR, immune-Response Evaluation Criteria in Solid Tumours [RECIST] criteria) progression-free survival (PFS) and overall survival (OS). Tests were performed to determine the association between irAEs and ORR, PFS or OS.

Results: We identified 106 patients. Primary diagnoses were lung cancer ($n = 77$), melanoma ($n = 8$), head and neck carcinoma ($n = 7$), renal carcinoma ($n = 5$), Hodgkin's lymphoma ($n = 3$), urothelial carcinoma ($n = 3$) and gallbladder adenocarcinoma, hepatocellular carcinoma and Merkel cell carcinoma ($n = 1$ each). IrAEs were observed in 40 patients (37.7%). The most

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frequent irAEs were hypothyroidism ($n = 15$), nephritis ($n = 5$) and hyperthyroidism ($n = 4$). Objective response was observed in 44 patients (41.5%), and median PFS was 5.5 months (0.5–31 months). Thirty-three of the 40 patients with irAEs had objective response (82.5%) in contrast with 11 of the 66 cases without irAEs (16.6%) (OR 23.5, $P < 0.000001$). PFS in patients with irAEs was 10 months and 3 months in those without irAEs (HR 2.2, $P = 0.016$). OS in patients with irAEs was 32 months and 22 in those without irAEs, without statistically significant differences.

Conclusion: In advanced cancer treated with single-agent anti-PD-1 antibodies, patients with irAEs showed a markedly improved efficacy over patients without irAEs (ORR of 82.5% and PFS of 10 months vs ORR of 16.6% and PFS of 3 months). Future studies of anti-PD-1 immunotherapy should address this association to explore the underlying biological mechanisms of efficacy. © 2018 Elsevier Ltd. All rights reserved.

1. Introduction

Anti-programmed death receptor 1 (PD-1) antibodies have been developed against the PD-1, blocking the interaction with its ligands PD-L1 and PD-L2. Nivolumab constitutes the first-in-class of new emerging cancer treatments known as the anti-cancer immune checkpoint inhibitors (ICIs).

Nivolumab has demonstrated activity in terms of progression-free survival (PFS) and overall survival (OS) in patients with advanced non-small-cell lung cancer (NSCLC) [1–3], melanoma [4–7], renal cell carcinoma [8,9], squamous cell carcinoma of head and neck (SCCHN) [10], urothelial carcinoma [11,12], Hodgkin's lymphoma (HL) [13,14] and hepatocellular carcinoma [15]. In addition, pembrolizumab, another anti-PD-1 ICI as nivolumab, has demonstrated a benefit in outcomes in patients with NSCLC [16], melanoma [17], SCCHN [18], Merkel cell carcinoma [19] and urothelial carcinoma [20]. The ICIs have been reported to have a favourable toxicity profile compared with traditional chemotherapy, although they can produce relevant immune-related adverse events (irAEs) of different grades of severity [21–24]. Mild irAEs can be developed in 30%–50% of patients, but serious irAEs currently occur in approximately 5% of the patients who received ICIs. irAEs may include colitis, hepatitis, nephritis, myocarditis, pneumonitis, dermatitis, eye disorders, endocrinopathies (especially thyroid and adrenal glands disorders), encephalitis or peripheral neuropathies (such as Guillain-Barre syndrome or myasthenia gravis) [24]. The most frequent irAEs reported in clinical trials and systematic reviews of case reports have been thyroid disorders (hypothyroidism), pneumonitis, colitis and dermatitis [21,22]. irAEs are mediated mainly by T lymphocytes acting against healthy tissue, and for this reason, they are referred to as irAEs [22]. A hyperactivated T lymphocyte response is induced, secreting high levels of T-helper lymphocytes cytokines and T-cytotoxic migration to normal tissue. The T-lymphocyte response is not specific of tissue, and subsequently to this fact, it can induce a cross-reactivity with healthy tissue [22,23]. The incidence

of irAEs is lower and less severe in patients who received anti-PD-1 or anti-PD-L1 antibodies than in patients treated with CTLA-4 antibodies [25,26].

In patients with melanoma treated with nivolumab plus a peptidic vaccine, irAEs may be associated with greater antitumour responses [27]. It has also been described in patients with NSCLC [28,29] and in patients with melanoma treated with pembrolizumab [30]. However, other studies developed with NSCLC and melanoma patients found no relationship [31,32] with objective response rate (ORR).

Recently, a review concluded that irAEs are not required to obtain a benefit from immune checkpoint blockade [24]. To establish whether there is an association of irAEs with treatment efficacy of the single agents anti-PD-1 antibodies nivolumab or pembrolizumab, we have performed an observational study, in which we assessed the association between irAEs and the benefit induced by these antibodies in a series of patients with unselected malignancies in terms of antitumour response (ORR) and survival.

2. Materials and methods

2.1. Study design and patients

We reviewed medical records of all patients with advanced malignancies treated with single-agent nivolumab or pembrolizumab from January 2016 until August 2018. All patients were followed up from the start date of treatment until 30th September 2018. The dose of nivolumab was 3 mg/kg every 14 days in all cases. The dose of pembrolizumab was 200 mg as fixed dose every 21 days if it was a first-line treatment or 2 mg/kg every 21 days if it is received at second line or beyond. The study was approved by the Ethics and Research Committee of Hospital Universitario de la Princesa.

The primary end point of the study was to evaluate whether there was an association between treatment efficacy and the incidence of irAEs. Efficacy was measured using ORR (immune Response Evaluation

Criteria in Solid Tumours [RECIST] criteria) [33], PFS and OS. IrAEs were defined based on the criteria of previous studies [1–20], and their grade based on the Common Terminology Criteria for Adverse Events version 4.0. A baseline laboratory test was performed as per routine clinical practice, which included blood count, thyroid, kidney and liver function. After starting treatment, clinical and laboratory tests were carried out as clinically indicated every 2 weeks in patients receiving nivolumab or every 3 weeks in patients receiving pembrolizumab, before drug administration. Body CT scans were taken every 8–12 weeks or as clinically mandated.

2.2. Statistical analysis

For continuous variables, means and standard deviations (SDs) are shown in the case of variables with normal distribution, and median and interquartile range (IQR) in the case of variables that do not have a normal distribution. For discrete variables, the relative frequencies are shown. The PFS data were calculated from the first dose of nivolumab or pembrolizumab until progression or death or censored at the last date of the follow-up. The OS data were calculated from the diagnosis until death or censored at the last date of the follow-up. To determine the association between the incidence of irAEs and ORR, odds ratio and Chi squared test were performed. The association between the presence of irAEs with PFS, and OS was analysed using the log-rank test and Cox regression, univariate and multivariate, adjusted by age, sex, histology, smoking habit, prior lines treatment, type of anti-PD-1 antibody received, functional status as measured by the scale of the Eastern Cooperative Oncology Group (ECOG) and all the clinical and demographics variables studied. Kaplan–Meier curves showing PFS and OS based on development or no development of irAEs are presented. Statistical analyses were carried out with statistical package STATA SE, version 14.1 (StataCorp, College Station, TX, USA).

3. Results

3.1. Patient characteristics

One hundred six patients were included, with a median follow-up of 6 months (0.5–32 months). The median age was 69 years (32–86 years); 76 patients were male (71.7%), and 87 (83.6%) were smokers at diagnosis. Forty-eight patients (45%) had previous history of hypertension; 19 patients (17.9%), of diabetes; 33 patients (31.3%), of hypercholesterolaemia; five patients (4.7%), of alcoholism; eight patients (7.5%), of ischaemic cardiac events; three patients (2.8%), of atrial fibrillation. Primary malignancies were NSCLC in 77 cases (44, non-

squamous; 30, squamous and 3, non-specified), melanoma in 8, SCCHN in 7, clear cell renal carcinoma in 5, HL and urothelial bladder carcinoma, 3 cases each, and gallbladder adenocarcinoma, Merkel cell carcinoma and hepatocellular carcinoma, one case each. All selected patients had metastatic disease.

The baseline ECOG status was 0–1 in 73 patients (68.8%) and 2 in 33 (31.2%). No patients had ECOG 3 or 4. Twenty-one patients (19.8%) received any prior line previous to anti-PD-1 antibody, 43 patients (40.6%) received one prior line, 20 patients (18.9%) received two prior lines, 17 patients (16.1%) received three prior lines, three patients (2.8%) received four prior lines and one patient (0.9%) received five and nine prior lines. The three patients with HL had been previously treated with bone marrow transplant. Two of them received allogeneic bone marrow transplant. Twelve patients (11.3%) received radiotherapy.

3.2. Treatment efficacy

Objective response was observed in 44 patients (41.5%): complete response in five cases (4.7%), partial response in 39 (36.8%). Stable disease was detected in 29 cases (27.4%) and progressive disease in 33 cases (31.1%). The median PFS considering all patients with all the unselected malignancies was 5.5 months (0.5–31 months) with a median follow-up of 6 months (0.5–32 months).

3.3. Immune-related adverse events

Fifty-six irAEs were detected in 40 patients (37.7%). Details are shown in Table 1. Baseline characteristics between patients with or without irAEs were not statistically different (Supplementary Table). Hypothyroidism was the most frequent irAE ($n = 19$ events; 11 cases in grade I, 4 cases in grade II and 4 cases in grade III), followed by immune-mediated nephritis ($n = 7$; 2 cases in grade I, 2 cases in grade II and 3 cases in grade III), hyperthyroidism ($n = 6$; 1 case in grade I and 5 cases in grade II), pneumonitis ($n = 5$; all in grade I), rash ($n = 3$; all in grade I), immune-mediated hepatitis ($n = 3$; 1 case in grade I and 2 cases in grade IV), arthritis ($n = 3$; 2 cases in grade II and 1 case in grade III), panhypopituitarism ($n = 2$; grade I), hypophysitis ($n = 1$; 1 case in grade I), adrenal insufficiency ($n = 2$; grade I), diabetic ketoacidosis ($n = 1$, grade IV), immune-mediated colitis ($n = 1$, grade III), myositis ($n = 2$, grade II) and encephalitis ($n = 1$, grade IV). Nine of the patients who developed irAEs stopped treatment because of unacceptable toxicity (8.5%): one patient for grade III colitis, three patients for immune-mediated grade III nephritis, two patients due to grade III and IV hepatitis, respectively, one patient with grade III arthritis, one patient with grade IV diabetic ketoacidosis and 1 patient due to grade IV encephalitis.

Table 1

Description of irAEs occurring in individual patients and description of irAE events in patients treated with nivolumab.

irAE category	Number of patients with irAE (%)	Detail of irAE events in patients treated with nivolumab	
		Grade I–II N.	Grade III–IV N.
Hypothyroidism	15 (14.1%)	11	3
Hypothyroidism + hypophysitis + panhypopituitarism + suprarenal insufficiency + hepatitis + pneumonitis	1 (0.9%)	1 (hypophysitis + panhypopituitarism + suprarenal insufficiency + hepatitis + pneumonitis)	1 (hypothyroidism)
Hypothyroidism + panhypopituitarism + suprarenal insufficiency	1 (0.9%)	1	0
Hypothyroidism + hyperthyroidism + ketoacidotic diabetes	1 (0.9%)	1 (hypothyroidism + hyperthyroidism)	1 (Ketoacidotic diabetes)
Hypothyroidism + hyperthyroidism	1 (0.9%)	1	0
Hypothyroidism + nephritis	3 (2.8%)	3	1 (nephritis in one of the patients)
Hyperthyroidism	1 (0.9%)	1	0
Nephritis	3 (2.8%)	2	1
Nephritis + arthritis	1 (0.9%)	1 (arthritis)	1 (Nephritis)
Rash	2 (1.8%)	1	0
Rash + encephalitis	1 (0.9%)	1 (rash)	1 (encephalitis)
Pneumonitis	4 (3.7%)	4	0
Colitis	1 (0.9%)	0	1
Hepatitis	1 (0.9%)	0	1
Hepatitis + hypothyroidism	1 (0.9%)	1	0
Arthritis	1 (0.9%)	0	1
Arthritis + myositis	1 (0.9%)	1	0
Total patients irAEs	40 (37.7%)	30 irAEs in grade I–II	12 irAEs in grade II–III

irAE, immune-related adverse events.

3.4. Association between irAEs and treatment efficacy

Patients who presented irAEs of any grade showed an increase in the probability of achieving an objective response (odds ratio: 23.5, CI 95%: 15.9–93.0, $P < 0.000001$). Thirty-three of 40 (82.5%) patients who presented toxicity showed objective response versus 11 of 66 (16.6%) of the patients who did not present irAEs ($P < 0.00001$ in Chi squared test) as it is shown in Table 2.

Patients who developed irAEs had an increased median PFS when compared with patients without irAEs (10 vs 3 months, log-rank test $P = 0.001$). Details are shown in Fig. 1. In the multivariate analysis of PFS, the association of irAEs and PFS was also significant (HR: 2.3, CI 95%: 1.4–3.6, $P = 0.001$) and was being independent of age, sex, histology, ECOG PS, smoking habit, prior lines treatment, type of anti-PD-1 antibody and all the demographic and clinical variables studied. In terms of OS, we observed a trend to improvement. Patients with irAEs had an OS of 32 months versus 22

months in those patients who did not developed irAEs (log-rank test $P = 0.12$), not statistically significant in the multivariate analysis (HR: 1.1, CI 95%: 0.7–1.6, $P = 0.9$). Details are shown in Fig. 2.

The trend in improvement is maintained in the individual analysis for the different primary tumours and for the different antibodies in our series both with PFS, OS and ORR. In the subgroup of patients not having lung cancer (29 patients), we observed an increase of the probability of obtaining ORR (odds ratio: 7.0, CI 95%: 0.97–53.2, $P = 0.026$). Five of 7 patients who presented toxicity showed objective response versus 4 of 22 of the

Table 2

Relationship of objective response rate (ORR) and occurrence of irAEs in patients treated with nivolumab or pembrolizumab. IrAEs includes all grades and types.

	N	IrAE	No irAE	
ORR	44	33	11	$P < 0.00001$
No ORR	62	7	55	
Total	106	40	66	

irAE, immune-related adverse events.

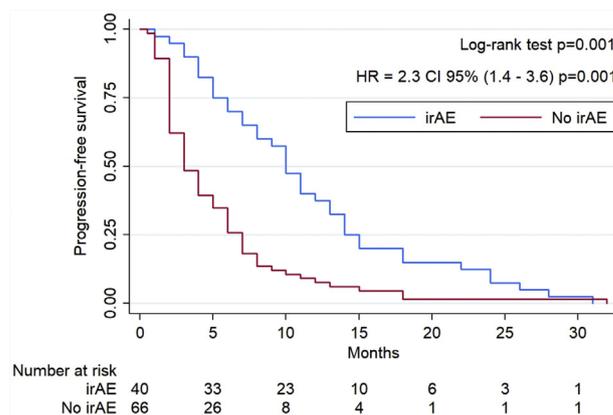


Fig. 1. Kaplan–Meier progression-free survival estimate curves by development or no development of irAE in all cancer type patients treated with anti-PD-1 antibodies. HR, hazard ratio; CI, confidence interval; irAE, immune-related adverse events.

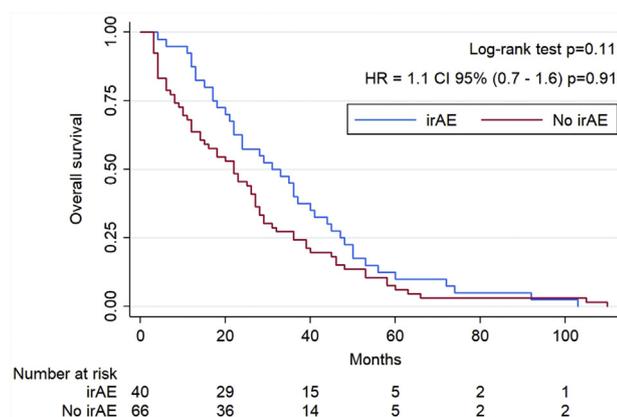


Fig. 2. Kaplan–Meier overall survival estimates curves by development or no development of irAE in all cancer type patients treated with anti–PD-1 antibodies. HR, hazard ratio; CI, confidence interval; irAE, immune-related adverse events.

patients who did not present irAEs. An increase of PFS (10.5 months in patients with irAEs versus 4 months in those patients without irAEs (log-rank $P = 0.06$) and OS (66 months vs 33 months, log-rank $P = 0.06$) was observed. In the subgroup of patients having lung cancer (77 patients), we also observed an improvement in terms of ORR, PFS and OS in patients who developed irAEs (OR: 38, CI 95% 0.97–53.2, $P < 0.00001$; PFS 10 months vs 3 months in those without irAEs, log-rank $P < 0.0001$; OS: 26 months vs 15 months, log-rank $P = 0.12$).

The subgroup of patients who stopped treatment because of unacceptable toxicity had a median PFS of 11 months (0.5–14 months). Patients with irAEs requiring corticosteroid (CEs)—20 patients—treatment (1–2 mg/kg/day), had no influence on the survival or the response. Median PFS in patients who received CEs was 10 months vs 4 months in those who did not receive. In addition, patients requiring CEs had 85% (17/20 patients) of ORR versus 31.4% (27/86 patients) in those patients without CEs.

3.5. Association between PD-L1 expression and response

In 35 patients with lung cancer, PD-L1 expression was available. Ten patients (28.5%) had PD-L1 $\geq 1\%$ and $< 50\%$, 21 patients (60%) had PD-L1 $\geq 50\%$ and seven patients had negative expression of PD-L1. Patients who presented PD-L1 negative expression, PD-L1 expression $\geq 1\%$ and PD-L1 $\geq 50\%$ had a similar probability of response: 40% of ORR vs 40% vs 47.1%, respectively. Patients with PD-L1 negative expression had 2 months median PFS, patients with PD-L1 expression between 1% and 50% had 7 months of median PFS and patients with PD-L1 $\geq 50\%$ had 4 months of median PFS, without statistical significant differences.

3.6. Association between radiotherapy and response

Twelve patients included in our study received radiotherapy (50% received holocranial radiotherapy, 25%

received haemostatic thoracic radiotherapy and 25% anti-algic radiotherapy). Patients who received radiotherapy did not show more probability of response in our population: 41.6% of ORR in patients who received radiotherapy versus 41.4% in those who did not receive radiotherapy. Only three patients presented irAEs.

4. Discussion

We have found a direct association between the development of irAEs and anti–PD-1 antibodies efficacy in patients with advanced cancer. The probability of having a clinical response was 23 times higher in those patients who presented an irAE. In addition, patients with an irAE had a significant 7-month increase of PFS and had a non-significant 10-month increase of OS. It is remarkable that our patients included different tumour types (lung cancer, SCCHN, melanoma, clear cell renal carcinoma, HL, urothelial bladder carcinoma, hepatocellular carcinoma, Merkel cell carcinoma and gallbladder adenocarcinoma), different lines of therapy and also only one kind of ICI: anti–PD-1 antibodies (nivolumab or pembrolizumab). Our results confirm the suggestions of some previous studies performed with series that evaluated either number of pooled immune-therapy drugs or single tumour sites [26–32,34].

Recently, a Japanese series of 38 lung cancer patients treated with nivolumab [29] observed significantly better ORR in patients who had irAEs (63.6% vs 7.4%) and a higher PFS (median not reached vs 49 days). Notably, in this study, the incidence of irAEs (28.9%) was lower than we have observed, and pneumonitis was the most frequent irAE reported (13%), which contrasts with a lower incidence in pivotal studies, meta-analysis [1,2,15–18] or in our own series. This could be related to the higher incidence of iatrogenic pneumonitis in Asian populations that has been reported with several anticancer drugs [35]. In addition, they described a low incidence of hypothyroidism (10.5%) than meta-analysis [21–24] or our series. In a series of cases from several clinical trials at MD Anderson, patients were treated with a variety of immune-therapy approaches, and 64 received ICIs (anti–CTLA-4, anti–PD-1 or anti–PD-L1). In the whole patient population from their review, those patients with severe irAEs had better ORR (25% vs 6%) and PFS (30 weeks vs 10 weeks) than cases without severe irAEs [34]. A retrospective evaluation of Japanese lung cancer patients treated with nivolumab showed that cases with early irAEs had improved ORR (52.3%) and PFS (9.2 months) compared with cases without irAEs (27.9% and 4.8 months, respectively) [28]. A study involving patients with resected and unresected melanoma has detected a better outcome of nivolumab alone or nivolumab plus a peptide vaccine treatment in patients who developed especially cutaneous irAEs [27]. In other study, in patients with NSCLC, they did not find significant differences in ORR [32].

In our study, we show an incidence of irAES of 37.7% across all cancer types considered, and a clear relationship of the occurrence of irAEs with anti-PD-1 antibodies efficacy. Response rate increased significantly when an irAE was present (82.5% vs 16.6%), and the follow-up showed a statistically significant increase of PFS (10 vs 3 months) and a not statistically significant increase of OS (32 months vs 22 months). This marked efficacy improvement was independent of the clinical variables considered in ORR and PFS. Differences not statistically significant in terms of OS could be induced by the a short follow-up period carried out in our series.

The sample size, considering the heterogeneity in the characteristics of the patients included, may be regarded as a limitation. However, it is remarkable that the trend in ORR, PFS and OS was observed across all tumour types included in our series. Finally, our series did not explore the association of irAEs with immune-therapy combinations, but rather with single-agents Nivolumab and Pembrolizumab, therefore providing clearer basis to establishing associations. To our knowledge, this is the first study to compare a single kind of ICI's (anti-PD-1 antibodies) efficacy with the development of irAEs considering all type of tumours.

Conclusion

The occurrence of irAEs can increase up to 23 times the probability of obtaining an objective response during treatment with anti-PD-1 antibodies in patients diagnosed with cancer. In addition, irAEs can be associated with an improvement of PFS and OS in a median of 7 and 10 months, respectively compared with the patients who do not develop them. Future studies of anti-PD-1 cancer immunotherapy should address this association to reveal the underlying biological mechanisms of efficacy.

Conflict of interest statement

R. Colomer is a consultant/member of advisory board of Lilly, MSD, Roche, Servier and Novartis; received research funding from BMS, MSD, Roche, Pfizer, AstraZeneca and Astellas and is a speaker of BMS, Pfizer, Janssen, MSD and Novartis. N Romero-Laorden has received grants support from Roche, MSD, Janssen, Astellas, Pfizer and Pharmamar and travel grants from Pfizer and Janssen. No potential conflicts of interest were disclosed by the other authors.

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Author contributions

J.R. contributed to the conception and design of the study, data acquisition, statistical analysis, interpretation of the data and writing of the manuscript. J.M.S.T. and N.R.L. contributed to the acquisition and interpretation of the data and revision of the manuscript. P.G. and A.L. contributed to the statistical analysis and interpretation of the data. A.B., V.P.B., A.M.R.L., R.A., A.L., M.A., R.M. and P.C. contributed to the acquisition of the data. R.C. contributed to the conception of the study and reviewed the manuscript. All authors reviewed and approved the final version of the manuscript.

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Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.ejca.2018.10.014>.

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