



# Left atrial remodeling index is a feasible predictor of poor prognosis in patients with acute ischemic stroke

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## Abstract

Left atrial (LA) functional remodeling as well as LA structural remodeling are associated with incident LA appendage (LAA) thrombus formation. This study aimed to elucidate whether combined assessment of LA functional and structural remodeling can predict LAA dysfunction and recurrent cerebrovascular events in patients with acute ischemic stroke. We performed transthoracic and transesophageal echocardiography in 196 patients within 7 days after acute ischemic stroke. Peak systolic LA strain was evaluated using 2D speckle tracking imaging. We defined the ratio of LA peak systolic strain to LA volume index (LAVI) as the LA remodeling index (LARI). All patients were prospectively followed for recurrent cerebrovascular events. We divided patients into four groups according based on the LARI quartile. LAA dysfunction increased with decreasing LARI. In total, 52 recurrent cerebrovascular events were noted during the median follow-up period of 700 days. Patients with recurrent cerebrovascular events had lower LARI than those without recurrent events ( $0.50 \pm 0.45$  vs.  $1.10 \pm 0.95$ ,  $P < 0.001$ ). Kaplan–Meier analysis showed that patients with lower LARI were more susceptible to recurrent cerebrovascular events than those with higher LARI. Multivariate Cox proportional hazard regression analysis showed that LARI was an independent predictor of recurrent cerebrovascular events after adjustment for confounding factors. Net reclassification index improved with the addition of LARI to basic predictors. LARI is a novel feasible parameter for LAA dysfunction and can predict recurrent cerebrovascular events in patients with acute ischemic stroke.

**Keywords** Left atrial remodeling · Left atrial strain · 2D speckle tracking · Ischemic stroke · Transthoracic echocardiography

## Introduction

Cardioembolic stroke has been characterized as an important clinical issue, as it is the most common cause of death in patients with acute ischemic stroke [1, 2]. Left atrial appendage (LAA) is a major source of thromboembolism in patients with stroke and atrial fibrillation (AF) [3–5]. Moreover, the presence of spontaneous echocardiographic contrast (SEC) and decreased LAA emptying flow velocity (LAA eV), evaluated by transesophageal echocardiography (TEE), can predict thromboembolism in patients with AF [6]. However,

TEE is a semi-invasive procedure. It is well known that left atrial (LA) dilatation, as LA structural remodeling, is associated with LAA thrombus formation [6–8]. Recently, we and others reported that LA peak systolic strain by two-dimensional speckle tracking imaging can evaluate LAA dysfunction and predict thrombus formation in patients with acute ischemic stroke [9–12]. It was reported that LA functional remodeling is feasible to predict cardiovascular outcomes as well as LA structural remodeling [13]. However, whether the combined assessment of LA functional and structural markers can predict clinical outcome in patients with ischemic stroke is still unclear. Therefore, we investigated whether the combined marker of LA peak systolic strain and LA size more accurately predicts LAA dysfunction and recurrent cerebrovascular events.

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## Methods

### Study patients

We enrolled 216 consecutive patients with acute ischemic stroke who underwent transthoracic echocardiography (TTE) and TEE between April 2010 and December 2016. TTE and TEE were performed at a median of 7 days after stroke onset. All patients underwent cerebral computed tomography and/or magnetic resonance imaging, after which neurologists diagnosed the category of clinical ischemia. Clinical ischemic stroke category was defined by the National Institute of Neurological Disorders and Stroke (NINDS) [1]. Patients with malignant tumors or severe infections, and those who failed to undergo TEE were excluded, and the remaining 196 patients were enrolled in the study and followed up prospectively.

### Ethics

The study protocol was approved by the institutional ethics committee of Yamagata University School of Medicine, and all participants provided written informed consent. All procedures were performed in accordance with the Declaration of Helsinki.

### Echocardiography

TTE and TEE were performed using a Vivid E9 ultrasound instrument (GE Healthcare, Wauwatosa, WI, USA) equipped with a sector transducer (carrier frequency 2.5 or 3.75 MHz). A 5-MHz phased array multiplane probe was used for TEE. All images were stored digitally for playback and analysis. All echocardiographic parameters were measured according to the recommendations of the American Society of Echocardiography [14]. Left ventricular ejection fraction (LVEF) and LA volume were calculated using the biplane disc method, with apical two- and four-chamber views. The peak velocities of early (*E*) and late (*A*) transmitral flow, early diastolic deceleration time, early diastolic mitral annular velocity (*E'*), and the ratio of peak early mitral annular velocity to *E* wave (*E/E'*) were measured using a standard technique by Doppler imaging. LA volume was indexed to body surface area (LAVI) [15]. Atrial septal aneurysm, patent foramen ovale, SEC, LAA thrombus, and LAA emptying flow velocity (LAA eV) were evaluated by TEE. SEC was considered present when dynamic “smoke-like” echoes were observed within the atria that could not be eliminated by changes in gain settings [15]. LAA thrombus was diagnosed when a fixed or mobile echogenic mass could be clearly differentiated from the wall of the left atrium or LAA. LAA eV

was measured using pulsed wave Doppler, with the sample volume placed 1 cm distal to the mouth of the appendage by TEE. As previously reported, LAA dysfunction was defined as the presence of LAA thrombus and/or severe SEC [16, 17].

### Measurement of LA strain and LARI

LA strain was measured by two-dimensional speckle tracking echocardiography, as previously reported [9, 18]. We calculated the average value for LA peak systolic strain obtained from the apical four-chamber, two-chamber, and apical long axis views.

We defined the ratio of LA peak systolic strain and LAVI as LA remodeling index (LARI = [LA peak systolic strain/LAVI]).

### Hemostatic markers

Blood samples were collected at the time of the echocardiographic studies. General biochemical parameters were measured using routine laboratory methods. Estimated glomerular filtration rate (eGFR) was calculated using the following equations: eGFR in male patients =  $194 \times \text{creatinine}^{-1.094} \times \text{Age}^{-0.287}$ ; eGFR in female patients =  $194 \times \text{creatinine}^{-1.094} \times \text{age}^{-0.287} \times 0.739$  [19].

### Endpoints

We prospectively followed the patients until the occurrence of recurrent cerebrovascular events, including ischemic stroke that required rehospitalization, and cerebrovascular death. No patients were lost to follow-up after discharge.

### Statistical analysis

The results were expressed as means  $\pm$  standard deviation (SD) for continuous variables including LARI, and as percentages of the total number of patients for categorical variables. Data for skewed variables are presented as medians with interquartile range. The *t* test and Chi-square test were used for comparison of continuous and categorical variables, respectively. When the data were not normally distributed, the Mann–Whitney *U* test was used. Significant variables in the univariate analysis were entered into the multivariate analysis. Survival curves were constructed using the Kaplan–Meier method and compared using the log-rank test. Univariate and multivariate analyses with Cox proportional hazards regression were used to determine significant predictors of recurrent cerebrovascular events. Multicollinearity was checked by variance inflation factor. Logarithm-transformed brain natriuretic peptide (BNP) levels were used in the Cox analysis. The net reclassification index (NRI)

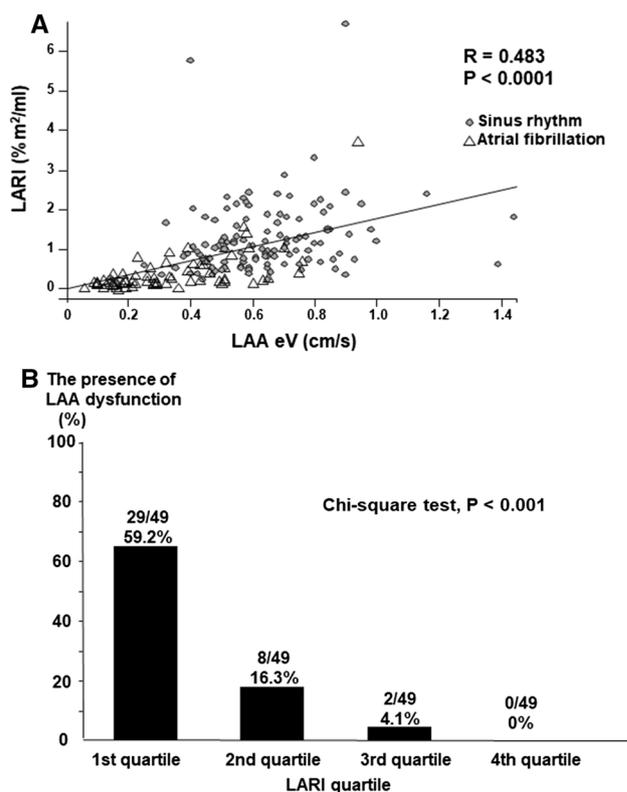
and integrated discrimination index (IDI) were calculated to measure the quality of improvement for the correct reclassification following the addition of LARI to the baseline model.  $P < 0.05$  was considered statistically significant. All statistical analyses were performed using a standard statistical program package (JMP version 11.0; SAS Institute Inc. Cary, NC, USA and R 3.0.2 with additional packages including Rcmdr, Epi, pROC, and PredictABEL).

## Results

### Association between LAA dysfunction and LARI

Table 1 shows the baseline clinical characteristics of the patients with acute ischemic stroke. The mean age of the patients was  $72 \pm 11$  years; 66% of them were male, and 75 had AF (37, paroxysmal AF; 38, chronic AF). In total, 64 patients each (33%) had cardioembolic and atherothrombotic stroke, and 9 (5%) had lacunar stroke. Among patients with paroxysmal AF, 12 (32%) patients underwent TTE and TEE during AF rhythm.

There was a fair correlation between LARI and LAA eV, irrespective of the heart rhythm ( $R = 0.483$ ,  $P < 0.001$ , Fig. 1a). We divided the patients into four groups according



**Fig. 1** Relationship between LARI and echocardiographic parameters for LAA function. **a** Correlation of LARI and LAA eV. **b** Proportion of patients with LAA dysfunction according to the quartile of LARI. The quartiles of LARI were the 1st quartile ( $< 0.30\%$   $m^2/mL$ ), 2nd quartile ( $0.30\text{--}0.74\%$   $m^2/mL$ ), 3rd quartile ( $0.75\text{--}1.23\%$   $m^2/mL$ ), and 4th quartile ( $> 1.23\%$   $m^2/mL$ ). LAA dysfunction was defined as severe SEC and/or LAA thrombus. LARI left atrial remodeling index, LAA dysfunction left atrial appendage dysfunction, LAA eV left atrial appendage emptying flow velocity, SEC spontaneous echocardiographic contrast

to the quartile of LARI. The prevalence of LAA dysfunction was found to increase with decreasing LARI (Fig. 1b).

Figure 2 shows the ROC curve analysis for predicting LAA dysfunction. Each cut-off value of LARI and LA peak systolic strain for LAA dysfunction was 0.39 and 21%, respectively. The C index of LARI was significantly higher than that of LA peak systolic strain.

### Clinical characteristics of patients with and without recurrent cerebrovascular events

During the median follow-up period of 700 (interquartile range, 439–1170) days, 52 patients experienced recurrent cerebrovascular events; 40 patients who developed ischemic stroke required hospitalization, and 12 patients with cerebrovascular deaths.

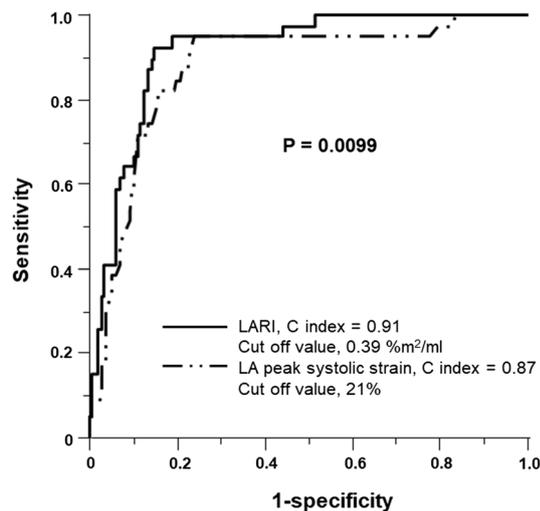
Table 2 shows a comparison of patients with and without cerebrovascular events. Compared to patients without

**Table 1** Baseline clinical characteristics of the study population

	<i>n</i> = 196
Age, years	$72 \pm 11$
Gender (male/female)	130 / 66
Heart rate (bpm)	$68 \pm 15$
Atrial fibrillation, <i>n</i> (%)	75 (38)
Paroxysmal, <i>n</i>	37
Chronic, <i>n</i>	38
Hypertension, <i>n</i> (%)	152 (78)
Diabetes mellitus, <i>n</i> (%)	63 (32)
Dyslipidemia, <i>n</i> (%)	102 (52)
Smoking, <i>n</i> (%)	106 (54)
Chronic heart failure, <i>n</i> (%)	32 (16)
CHA <sub>2</sub> DS <sub>2</sub> VASc score (at discharge)	$5.2 \pm 1.5$
NIHSS	1.0 (0–3.0)
NINDS clinical categories	
Cardioembolic stroke, <i>n</i> (%)	64 (33)
Atherothrombotic stroke, <i>n</i> (%)	64 (33)
Lacunar stroke, <i>n</i> (%)	9 (5)
Other or undetermined, <i>n</i> (%)	59 (30)

Data was expressed as mean  $\pm$  SD, number (percentage) of subjects or median (interquartile range)

bpm beats per minute, NIHSS National Institute of Health Stroke Scale, NINDS the US National Institute of Neurological Disorders and Stroke



**Fig. 2** ROC curve analysis for predicting LAA dysfunction. *ROC curve* receiver operating characteristic curve, *LAA* left atrial appendage, *LARI* left atrial remodeling index

cerebrovascular events, those with cerebrovascular events were older and had higher CHA<sub>2</sub>DS<sub>2</sub>VASc scores at discharge from the first hospitalization. There were no significant differences in the prevalence of hypertension, diabetes mellitus, dyslipidemia, and smoking history between patients with and without cerebrovascular events. Compared to patients without cerebrovascular events, those with cerebrovascular events had higher prevalence of chronic heart failure, had higher levels of high-sensitivity C-reactive protein, BNP, and had lower level of eGFR. Compared to patients without cerebrovascular events, those with cerebrovascular events showed larger LAVI, higher  $E/E'$ , and lower LA peak systolic strain. There were no significant differences in LV volume, LVEF, presence of atrial septal aneurysm, and patent foramen ovale between patients with and without cerebrovascular events. Patients with cerebrovascular events had lower LAA eV, and higher prevalence of severe SEC and LAA thrombus. Moreover, compared to patients without cerebrovascular events, those with cerebrovascular events had significantly lower LARI.

### LARI and recurrent cerebrovascular events

Kaplan–Meier analysis revealed that the prevalence of recurrent cerebrovascular events increased with decreasing LARI (log-rank test,  $P=0.0001$ ; Fig. 3).

Univariate cox proportional hazards analysis revealed that age, atrial fibrillation, CHA<sub>2</sub>DS<sub>2</sub>VASc score, eGFR, log BNP levels, LAVI, LA peak systolic strain,  $E/E'$ , and LARI were significantly associated with recurrent cerebrovascular events (Table 3). Multivariate analysis revealed that LARI

was independently associated with cerebrovascular events after adjustment for age, eGFR, log BNP levels, and  $E/E'$ .

### Improvement of reclassification after addition of LARI to predict recurrent cerebrovascular events

We evaluated NRI and IDI to examine whether model fit and discrimination improve with the addition of LARI to basic predictors for cardioembolic stroke such as age, atrial fibrillation, CHA<sub>2</sub>DS<sub>2</sub>VASc score, BNP, eGFR, and  $E/E'$ . NRI and IDI were significantly improved by adding LARI to the basic predictors (Table 4).

## Discussion

### Main findings

The present study showed for the first time that LARI is a novel TTE parameter reflecting LA structural and functional remodeling. LARI is useful for predicting the long-term recurrence of cerebrovascular events in patients with acute ischemic stroke. The main findings from the present study were: (1) LARI was significantly associated with LAA eV and the presence of LAA dysfunction; (2) patients with lower LARI were more susceptible to recurrent cerebrovascular events than those with higher LARI; (3) LARI was an independent predictor of recurrent cerebrovascular events; and (4) the prediction model with LARI had improved prognostic capacity for patients with ischemic stroke. In the present study, a composite LA parameter LARI, which reflects both LA enlargement and LA dysfunction, was more significantly associated with the presence of LAA dysfunction compared with LA strain alone. Therefore, we used LARI as a feasible parameter to predict recurrent cerebrovascular events.

### Association between LARI and LAA dysfunction

It is well known that there are three types of LA mechanical function: reservoir, conduit, and booster function [20, 21]. LA peak systolic strain reflects LA reservoir function and can be measured regardless of the heart rhythm. We previously reported that LA peak systolic strain is a feasible predictor of LAA dysfunction in patients with acute ischemic stroke [9]. Although TEE parameters such as SEC and low LAA eV were reported as reliable markers for LAA thrombus formation in patients with AF [6], TEE procedure is semi-invasive and could not be repeatedly performed in patients with ischemic stroke. Since LA strain imaging is a novel noninvasive method for predicting LAA function, repeated measurements can be performed [22]. Several studies reported that LA function evaluated by LA strain

**Table 2** Comparison of patients with and without recurrent cerebrovascular events

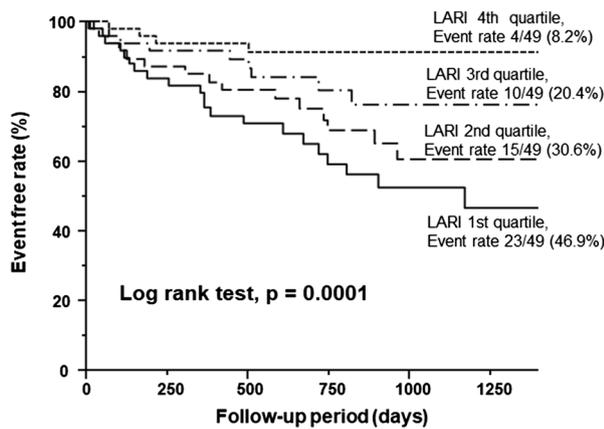
	Event free ( <i>n</i> = 144)	Cerebrovascular event ( <i>n</i> = 52)	<i>P</i> values
Age (years)	70 ± 11	76 ± 10	0.0011
Gender (male/female)	94/50	36/16	0.6034
Heart rate (bpm)	70 ± 13	63 ± 11	0.3536
Atrial fibrillation, <i>n</i> (%)	41 (38)	34 (65)	< 0.0001
Paroxysmal, <i>n</i>	23	14	
Chronic, <i>n</i>	18	20	
Hypertension, <i>n</i> (%)	111 (77)	41 (79)	0.7931
Diabetes mellitus, <i>n</i> (%)	43 (30)	20 (38)	0.2595
Dyslipidemia, <i>n</i> (%)	75 (52)	27 (52)	0.9842
Smoking, <i>n</i> (%)	76 (53)	30 (56)	0.1077
Chronic heart failure, <i>n</i> (%)	17 (12)	15 (29)	0.0064
CHA <sub>2</sub> DS <sub>2</sub> VASc score (at discharge)	3.4 ± 1.6	4.4 ± 1.6	0.0003
Medications (at discharge)			
Antiplatelet drugs, <i>n</i> (%)	86 (60)	29 (56)	0.6205
Anticoagulants, <i>n</i> (%)	60 (42)	23 (44)	0.7487
Warfarin, <i>n</i>	31	13	
DOAC, <i>n</i>	29	10	
Blood markers			
hs-CRP (mg/dl) (IQR)	0.105 (0.044–0.26)	0.140 (0.041–0.792)	0.0347
eGFR (ml/min/1.73m <sup>2</sup> )	73 ± 24	65 ± 21	0.0236
BNP (pg/ml) (IQR)	37.7 (11.2–137.0)	91.4 (26.7–445.5)	0.0440
TTE parameters			
LAVI (ml/m <sup>2</sup> )	38 ± 18	58 ± 37	< 0.0001
LA peak systolic strain (%)	30.2 ± 13.8	18.7 ± 12.9	< 0.0001
LVEDV (ml)	93 ± 35	93 ± 35	0.9935
Simpson LVEF (%)	62 ± 10	59 ± 11	0.0934
<i>E/E'</i>	11.3 ± 4.5	13.3 ± 5.8	0.0109
LARI (% m <sup>2</sup> /ml)	1.10 ± 0.95	0.50 ± 0.45	< 0.0001
TEE parameters			
Atrial septal aneurysm, <i>n</i> (%)	12 (8.2)	1 (2.0)	0.0751
Patent foramen ovale, <i>n</i> (%)	24 (16.6)	4 (7.7)	0.0878
LAA eV (cm/s)	56.0 ± 24.2	44.8 ± 24.3	0.0074
Severe SEC, <i>n</i> (%)	19 (13)	14 (27)	0.0005
LAA thrombus, <i>n</i> (%)	13 (9)	13 (25)	0.0024

Abbreviations as in Table 1. DOAC direct oral anticoagulants, hs-CRP high-sense C-reactive protein, eGFR estimated glomerular filtration rate, BNP brain natriuretic peptide, TTE transthoracic echocardiography, LAVI left atrial volume index, LAEF left atrial emptying fraction, LA peak systolic strain left atrial peak systolic strain, LVEDV left ventricular end-diastolic volume, LVEF left ventricular ejection fraction, *E/E'* the ratio of the early transmitral flow velocity and the early mitral annular velocity, LARI left atrial remodeling index, TEE transesophageal echocardiography, LAA eV left atrial appendage emptying flow velocity, SEC spontaneous echo contrast, LAA thrombus left atrial appendage thrombus

can predict LAA thrombus formation in patients with acute ischemic stroke [10, 23–25]. A retrospective study using magnetic resonance imaging reported that mechanical dyssynchrony of the LA during sinus rhythm is associated with a history of stroke in patients with AF [26].

It is known that LA enlargement is also a feasible parameter for ischemic stroke associated with thrombus formation in the LAA [6–8, 27–29]. It was reported that LA strain decreases with increasing LA enlargement [30]. Conversely,

it was reported that decreased LA peak systolic strain predisposes before LA enlargement in patients with LV diastolic dysfunction [31]. LA peak systolic strain is reportedly associated with the degree of LA fibrosis evaluated using cardiac magnetic resonance imaging in patients with AF [32]. Allesie et al. revealed that there are three types of LA remodeling induced by AF: electrical, functional, and structural remodeling. LA remodeling progresses with different mechanisms and time courses while affecting each other,



Number of patients at risk		Follow-up period (days)				
	0	250	500	750	1000	1250
1st quartile	49	45	31	20	14	6
2nd quartile	49	43	34	22	14	10
3rd quartile	49	40	36	22	15	11
4th quartile	49	42	38	26	22	18

**Fig. 3** Kaplan–Meier event-free curves for recurrent cerebrovascular events according to the quartiles of LARI. The quartiles of LARI are divided as Fig. 1b. *LARI* left atrial remodeling index

and may facilitate new onset of AF [33]. Therefore, it is difficult to evaluate the severity of LA remodeling by a single echocardiographic parameter. The present study revealed that LARI could simultaneously evaluate LA functional and structural remodeling, and that LARI was feasible for risk stratification of patients with LAA dysfunction.

### LARI and long-term prognosis of patients with acute ischemic stroke

Once AF develops, the dysrhythmia causes LA contractile dysfunction and stasis, which further increases the risk of thromboembolism [34, 35]. It was reported that alterations in LA structure and function during sinus rhythm are causally related to thromboembolism even in patients without AF [36]. Aging and systemic vascular risk factors cause an abnormal atrial tissue substrate, or LA enlargement, which results in new onset of AF and/or thromboembolism.

The development of stroke transiently increases the development of AF owing to autonomic changes and post-stroke inflammation [37]. Recently, Kamel et al. [38] reported that systemic and atrial substrate as well as rhythm are associated with thromboembolic stroke. This report suggested that it is important to evaluate LA remodeling mainly for predicting the prognosis of patients with cerebrovascular events regardless of the heart rhythm. The results of our study suggested that LARI can sensitively detect decreased LA function prior to the development of severe LA structural enlargement, and that LARI may be a feasible predictor to reflect LA substrate including the development of potential AF. LARI can be easily measured during routine clinical echocardiography. The results of our study will help us to consider additional anticoagulation for primary prevention of cerebrovascular events in patients with LA remodeling. Therefore, LARI is a noninvasive feasible marker for detecting patients at high risk of ischemic stroke.

**Table 3** Univariate and multivariate Cox proportional hazard analyses for predicting recurrent cerebrovascular events

Variables	Hazard ratio	95% CI	P-value
Univariate analysis			
Age (per 1 year increase)	1.749	1.256–2.527	0.0006
Female	1.197	0.613–2.411	0.6034
Atrial fibrillation	2.733	1.535–4.749	0.0009
CHA <sub>2</sub> DS <sub>2</sub> VASc score (per 1 increase)	1.321	1.084–1.620	<0.0001
eGFR (per 1 SD increase)	0.756	0.568–0.999	0.0489
log BNP (per 1 SD increase)	1.512	1.156–1.984	0.0026
LAVI (per 1 SD increase)	2.259	1.578–3.363	<0.0001
LA peak systolic strain (per 1 SD increase)	0.369	0.237–0.551	<0.0001
Simpson LVEF (per 1 SD increase)	0.770	0.562–1.051	0.0992
<i>E/E'</i> (per 1 SD increase)	2.255	1.183–4.482	0.0134
LARI (per 1 SD increase)	0.238	0.117–0.436	<0.0001
Multivariate analysis			
Age (per 1 year increase)	1.037	1.004–1.075	0.0291
eGFR (per 1 SD increase)	0.902	0.660–1.212	0.4997
log BNP (per 1 SD increase)	1.063	0.640–1.388	0.7580
<i>E/E'</i> (per 1 SD increase)	1.319	0.659–2.461	0.4191
LARI (per 1 SD increase)	0.411	0.195–0.789	0.0050

Abbreviations as in Tables 1 and 2. *CI* confidence interval, *per 1 SD* per 1 standard deviation, *95% CI* 95 percent confidence interval

**Table 4** Addition of LARI for predicting recurrent cerebrovascular events with non-invasive baseline model

	Baseline model	Baseline model+LARI
C index ( <i>P</i> value)	0.743	0.764 (0.2606)
NRI (95% CI, <i>P</i> value)	Reference	0.3248 (0.0164–0.6332, 0.0390)
IDI (95% CI, <i>P</i> value)	Reference	0.0256 (0.0021–0.0491, 0.0331)

Baseline model includes age, atrial fibrillation, CHA<sub>2</sub>DS<sub>2</sub>VASC score, BNP, eGFR, and E/E'

IDI integrated discrimination index, NRI net reclassification index. Other abbreviations as in Tables 1, 2 and 3

## Limitations

This study has several limitations. First, the study population was relatively small, and this study included patients with various types of stroke. Second, this study was a single-center study. Third, we could not investigate whether all study patients had received adequate anticoagulation after their first stroke, because some patients might have had undocumented AF. However, there was no significant difference in medications between patients with and without cerebrovascular events in this study. Fourth, we did not repeatedly evaluate LARI during the follow-up period. Fifth, we could not investigate the interpatient difference in LA strain between AF and sinus rhythm, since we performed TTE and TEE at the only one time point. Further studies with a large number of participants are required to adequately assess the feasibility of LARI in patients with acute ischemic stroke.

## Conclusions

Compared to other single echocardiographic markers, LARI had superior prognostic value in patients with ischemic stroke. LARI may be a noninvasive feasible predictor for poor prognosis in patients with ischemic stroke.

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## Compliance with ethical standards

**Conflict of interest** The authors declare that they have no conflict of interest.

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