



Neurally adjusted ventilatory assist for children on veno-venous ECMO

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Abstract

NAVA may improve veno-venous ECMO weaning in children. This is a retrospective small series, describing for the first time proof-of-principle for the use of NAVA in children on VV ECMO. Six patients (age 1–48 months) needed veno-venous ECMO. Controlled conventional ventilation was replaced with assisted ventilation as soon as lung compliance improved, and could trigger initiation and termination of ventilation. NAVA was then initiated when diaphragmatic electrical activity (EAdi) allowed for triggering. NAVA was possible in all patients. Proportionate to EAdi (1.8–26 μ V), initial peak inspiratory pressures ranged from 21 to 34 cm H₂O, and the tidal volume (Vt) from 3 to 7 ml/kg. During weaning, peak pressures increased proportionally to EAdi increase (5.2–41 μ V), with tidal volumes ranging from 6.6 to 8.6 ml/kg. ECMO was weaned after a median time of 1.75 days on NAVA. Following ECMO weaning, the median duration of mechanical ventilation, and intensive care unit stay were 4.5 days, and 13.5 days, respectively. Survival to hospital discharge was 100%. In conclusion, combining NAVA to ECMO in paediatric respiratory failure is safe and feasible, and may help in a smoother ECMO weaning, since NAVA allows the patient to drive the ventilator and regulate Vt according to needs.

Keywords Extracorporeal membrane oxygenation · ECMO · Veno-venous · ARDS · Ventilatory assist · NAVA

Introduction

Veno-venous extracorporeal membrane oxygenation (VV ECMO) is now part of the intensive care unit armamentarium for patients with refractory acute respiratory distress syndrome (ARDS), to reduce morbidity, and ventilator-induced lung injury [1–3]. VV ECMO allows the lungs to rest, while applying protective mechanical ventilation to promote lung recovery [2–4]. There is now a common agreement on the clinical benefits of protective ventilation in adult patients with severe ARDS [5–8]. Protective ventilation (PV) is usually established using assist-controlled

mechanical ventilation during the initial phase of the disease, under deep sedation and paralysis [9–11]. However, adverse effects of deep sedation and paralysis in the pediatric population are still important concerns, and include bradycardia, ventilator-associated pneumonia, and respiratory muscle atrophy which can occur early after mechanical ventilation, sometimes described as ventilator-induced diaphragmatic dysfunction [9, 12–16]. Allowing for spontaneous breathing as soon as possible has been shown to enhance the distribution of ventilation to dependent lung regions [2, 17], increase systemic blood flow [18], and prevent diaphragmatic dysfunction [16]. Neurally adjusted ventilatory assist (NAVA) is a ventilation mode where the ventilation trigger is the electrical activity of the diaphragm (EAdi), and where the pressure support is proportional to this diaphragmatic activity [19–23]. Case reports [24, 25] have reported the successful addition of NAVA to ECMO during the recovery phase of adults with ARDS. Small series [13] have even tested NAVA during the initial phase of the disease in adults, allowing for a more physiologic protective ventilation. However, NAVA and ECMO have never been previously reported in the pediatric population with severe ARDS. We hypothesized that NAVA would improve

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patient–ventilator synchrony, and improve the ECMO weaning process in children. We hereby report the first experience of NAVA during the recovery phase of ARDS, in a series of 6 consecutive children on VV ECMO.

Methods

Following IRB approval, we retrospectively analyzed the hospital records of all children who received VV ECMO between July 2014 and June 2016.

ECMO is indicated in our institution according to Extracorporeal Life Support Organization (ELSO) guidelines [26], the recommendations of the “Consensus Conference of the French Intensive Care Society” [7], and the “Pediatric Acute Lung Injury Consensus Conference Group” [5].

ECMO protocol

All our patients are managed according to institutional VV ECMO protocols, which include the use of a double lumen cannula (Avalon[®], Maquet, Germany) inserted percutaneously by the intensivist via the right internal jugular vein, under echocardiography guidance. Maquet Rotaflow[®] centrifugal pump and Maquet’s QUADROX-i[®] oxygenators are used on all patients. ECMO flow is started at more than 60% of the estimated cardiac output, and then adjusted to maintain arterial oxygen saturation of more than 85%, and mixed venous saturation greater than 60%, estimated by cerebral and peripheral regional oxygen saturation using near-infrared spectroscopy. FiO₂ is set initially at 100%, and sweep gas is set at a ratio of 1/1 relative to the ECMO flow, and then modified to maintain normocapnia and normal PH.

Protective mechanical ventilation protocol

Our protocol for protective MV at the initial phase of the ECMO run follows the below general guidelines on pressure controlled mode:

- Positive end-expiratory pressure (PEEP) > 8 cmH₂O
- Peak inspiratory pressure (PIP) < 25 cmH₂O
- Tidal volume (Vt) 4–6 ml/kg
- Respiratory rate (RR) between 15 and 30
- FiO₂ < 80%
- In case of HFOV: mean pressure (MP) > 12 cmH₂O.

PEEP level was carefully chosen under echographic cardiac monitoring and close hemodynamic evaluation to minimize cardiopulmonary interaction. Paralysis was only used at the initial stage of ECMO. Sedation and analgesia were titrated to be as low as possible. Prone positioning

(PP), when indicated, was implemented for 12–18 consecutive h per day.

Assisted ventilation and NAVA settings

Frequent monitoring of Tidal volume (Vt) changes and repeat evaluation of pulmonary compliance using the pressure–volume curve on the Servo-I[®] Maquet (Maquet Critical Care, Rastatt, Germany) ventilators were performed to assess lung status and improvement during ECMO. Improvement usually started with the increase in Vt, using the same PIP and PEEP. Pressure-assisted mode, and then neurally adjusted ventilatory assist (NAVA) mode were instituted as soon as the improvement in Vt was confirmed. All parents signed the consent for the extra naso-gastric tube electrode necessary for the measurement the diaphragmatic electrical activity (Eadi).

NAVA Eadi inspiratory trigger was set at 0.5–0.8 μ V. Positive end expiratory pressures (PEEP) and FiO₂ were not modified, and NAVA levels were initially determined to achieve the same peak inspiratory pressures as in the conventional mode. During the first 30 min, the child was observed closely, and minor adjustments in NAVA levels were performed, based on the respiratory status, to aim for a comfortable respiration with a respiratory rate \leq 40/min. To evaluate the hemodynamic and respiratory impact of NAVA, ECMO parameters (pump flow, sweep gas flow, and FiO₂) were not modified 3 h before initiating NAVA, and up to 3 h after NAVA initiation. The following parameters were analyzed: Heart rate, arterial blood pressure, respiratory rate, peak inspiratory pressure, pH, paCO₂, paO₂, oxygen saturation, and tidal volume. The average of the values taken 3 h, then 1 h before NAVA, were compared with the average of the values measured 1 h and 3 h after NAVA initiation.

On conventional, and on NAVA ventilation, nurses were asked to write down all asynchrony episodes, along with their impressions concerning patient–ventilator synchrony. Digital data from the Servo-I ventilators was also used to study asynchrony episodes on NAVA, and to measure and analyze changes in EAdi values.

Comparisons were made using Mann–Whitney *U* or Pearson tests. *P* = 0.05 or less was considered significant. The software used was SPSS (IBM Corp. Released 2016. IBM SPSS Statistics for Windows, Version 24.0. Armonk, NY: IBM Corp.)

Results

General ECMO management

Six patients were treated during this period. The median age was 13.7 months (range 1–48 months). Demographic and pre-ECMO clinical characteristics are shown in Table 1.

All patients had worsening hypoxia under maximal MV settings, with PaO₂/FiO₂ ratios consistently below 80, and oxygenation indexes above 40 on 100% FiO₂. In addition, patients 1, 2, and 4 showed high levels of carbon dioxide. Three patients were on high frequency

oscillatory ventilation (HFOV). Both drowning patients experienced cardiac arrest before ECMO. Patient 2 had already been ventilated for 7 days before ECMO for severe bronchiolitis.

The sizes of the dual lumen cannulas used were 13 French for the three patients < 4 kg, and 16 French for the three other patients between 10 and 18 kg. There were no complications related to cannula insertion or position.

Table 2 shows the clinical characteristics following ECMO initiation. PH and serum lactates normalized in all patients within a median time of 3.5 h (range 3–10 h). Inotropic support was necessary in four patients with cardiac dysfunction and aortic velocity time index below 8 cm/s. Inotropes were initiated before ECMO in all four patients:

Table 1 Demographics, and pre-ECMO clinical characteristics

Patient	Sex Age Weight	Indication for ECMO	MV (h)	pH	PIP (cmH ₂ O)	PaCO ₂ (torr)	P/F ratio	Prone position	Lactates (mmol/l)
1	Male 5.5 months 3.2 kg	Bronchiolitis	48	7.28	32	105	70	No	8.4
2	Male 1 month 3.9 kg	Bronchiolitis	168	7.16	30	100	60	Yes	2.7
3	Female 24 months 15 kg	Drowning	4	7.40	34	30	40	Yes	7.5
4	Female 22 months 10 kg	Drowning	8	6.79	HFO 30 cmH ₂ O	134	70	No	3
5	Male 48 months 18 kg	Macrophage activation syndrome	36	7.23	HFO 25 cmH ₂ O	80	60	Yes	2.5
6	Female 3.5 months 3.1 kg	Postoperative truncus arteriosus	24	7.25	HFO 15 cmH ₂ O	47	40	Yes	4.1

ECMO Extracorporeal membrane oxygenator, HFO high frequency oscillator, MV mechanical ventilation, PIP peak inspiratory pressure, P/F ratio PaO₂ over inspired oxygen fraction

Table 2 Clinical characteristics and outcome

Patients	Indication for ECMO	ECMO flow ^a	ECMO days	Paralysis days	Inotropes	Dialysis days	Prone days	Outcome
1	Bronchiolitis	125	12	8	Milrinone	–	–	Discharged alive
2	Bronchiolitis	105	9	4	–	–	11	Discharged alive
3	Drowning	85	5	3	Epinephrine	5	8	Discharged alive
4	Drowning	105	3	3	Epinephrine, milrinone	–	–	Discharged alive
5	Macrophage activation	75	7	1	–	–	7	Discharged alive
6	Postoperative truncus arteriosus	130	18	3	Epinephrine, milrinone, levosimendan	9	14	Discharged alive

ECMO Extracorporeal membrane oxygenator

^aFlow in ml/kg/min

In patient 1, uncontrolled sepsis was complicated by cardiac dysfunction; in patients 3 and 4, drowning was followed by cardiac arrest and cardiac dysfunction; in patient 3, inotropes were necessary for weaning from cardiopulmonary bypass following cardiac surgery. Two patients needed renal replacement therapy for fluid overload and acute kidney injury.

Median ECMO duration was 8 days (range 3–18 days). Patient 6 had a prolonged ECMO run because of a bacterial lung infection (*enterobacter cloacae*) on day 5. Following weaning from ECMO, the median duration of mechanical ventilation, and intensive care unit stay were 4.5 days (range 1–8 days), and 13.5 days (range 9–30 days), respectively. Survival to hospital discharge was 100%.

Respiratory management and results

Paralysis and controlled ventilation were needed in all patients following ECMO initiation for a median time of 3 days (range 1–16 days). There were three patients on HFOV: patients 4 and 5 were put on HFOV in other institutions following resuscitation from drowning; patient 4 was switched to conventional MV immediately following ECMO cannulation, while patient 5 remained on HFOV and ECMO for 48 h. Patient 6 remained on HFOV and ECMO during 15 days. Table 3 shows the ventilation strategies used in each patient, and Fig. 1 shows these strategies on a timeline.

Prone positioning was performed in four patients, and was started early in the course of ECMO, at day 1.7 in average

Table 3 Ventilation strategy before, during, and following ECMO

Indication for ECMO	Ventilation before ECMO	ECMO days	HFO days on ECMO	CMV days on ECMO	NAVA days			MV days (total)	ICU days after ECMO
					On ECMO	After ECMO	Total NAVA		
Bronchiolitis	48 h CMV	12	–	10	2	1	3	15	16
Bronchiolitis	7 days MV	9	–	7	2	2	4	18	11
Drowning	4 h CMV	5	–	4	1	4	5	9	11
Drowning	8 h HFO	3	–	2	1	5	6	8	9
Macrophage activation	36 h HFO	7	2	4	1	5	6	13	26
Postoperative truncus arteriosus	24 h HFO	18	15	1	2	8	10	27	30

CMV Conventional mechanical ventilation, ECMO extracorporeal membrane oxygenator, HFOV high frequency oscillator ventilation, ICU intensive care unit, MV mechanical ventilation, NAVA neurally adjusted ventilatory assist

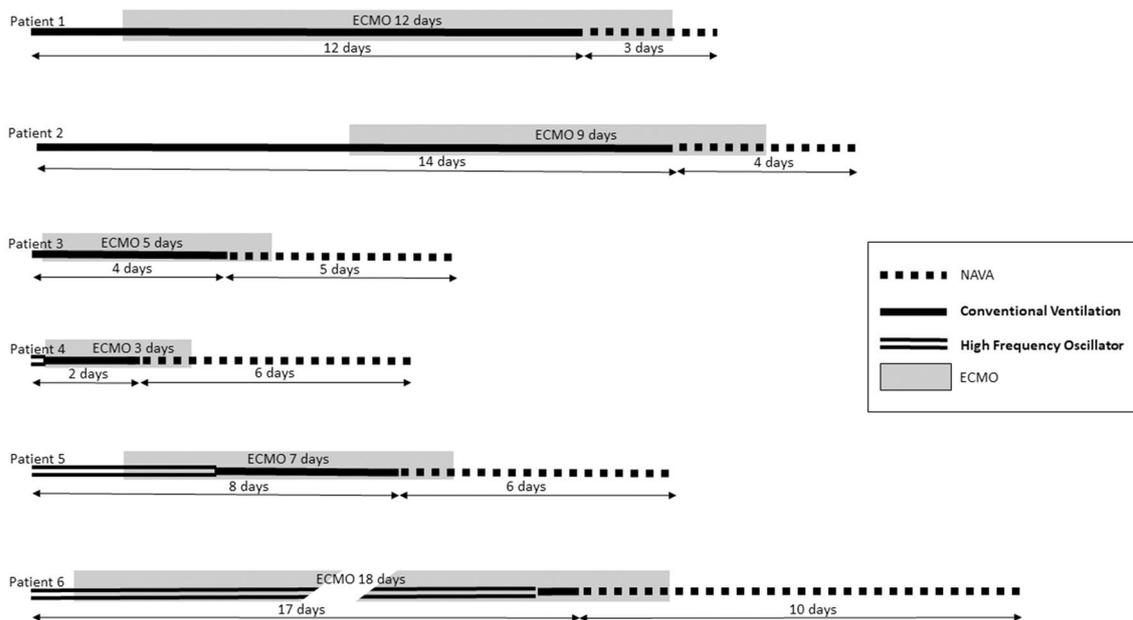


Fig. 1 Different mechanical ventilation strategies and ECMO, shown on a timeline

(range 0–5 days). Two patients were not prone because of acceptable gas exchange, and the intensivist's preference.

As stated above, controlled conventional MV was replaced with assisted conventional ventilation as soon as lung compliance showed signs of improvement, and changes in flow or pressure could trigger initiation and termination of ventilator support. NAVA was then initiated when diaphragmatic electrical activity (EAdi) allowed for triggering (Table 4): Initial peak Eadi values ranged between 1.8 and 26 μV . NAVA initiation was possible in all six patients after a median of 6.5 days on ECMO (range 2–15 days).

Heart rate, arterial blood pressure, respiratory rate, peak inspiratory pressure, pH, PaCO_2 , PaO_2 , oxygen saturation, and tidal volume, were compared on conventional ventilation, and then on NAVA, under unchanged ECMO parameters. Values and interquartile range are shown in Table 4: all six patients remained stable, and there were no statistically significant modification of any of the parameters before and after NAVA, despite less sedation.

Patient–ventilator synchrony was improved in all six patients. Analysis of the diaphragmatic electrical activity of the six patients showed that patient–ventilator asynchrony episodes occurred in less than 10% of all NAVA ventilation cycles, and most were triggering asynchronies. Analysis of the nurses' notes of the patients' charts showed that most of these asynchrony episodes were due to complete or partial loss of the EAdi signal caused by agitation because of secretions. The patients could be calmed down and synchronized again with the ventilator following tracheal suctioning, and proper repositioning of the NAVA esophageal sensor.

Before NAVA initiation, these dyssynchrony episodes were much more frequent according to our observations, and to nurses' notes. However, the prevalence of these episodes could not be appreciated objectively, because of the absence

of digital or paper data from the conventional ventilator. In contrast to NAVA, however, during most asynchrony episodes on conventional ventilation, there was no evidence of tracheobronchial secretions, and asynchrony was treated either by fine-tuning the respirator settings, or with sedation.

ECMO weaning

ECMO was weaned before any attempt at extubation, after a median time of 1.75 days (range 1–2 days) on NAVA. Following the decrease of sweep gas flow by 50% on the ECMO membrane, all patients kept a stable PCO_2 and PH by increasing the peak EAdi to values ranging from 5.2 to 41 μV , thus increasing proportionately the peak inspiratory pressure to values ranging from 22 to 38 cmH_2O , and subsequently tidal volumes to more than 6.6 ml/kg (6.6–8.6 ml/kg) (Table 5). The increase in tidal volume ranged from a modest 8% and 12% in patients 1 and 2, to a spectacular 88% in patient 6, associated with 72–80% reduction in respiratory rate in all patients. The increase in tidal volume, or decrease in respiratory rate were not proportional to EAdi increase, with p values of 0.13 and 0.72, respectively (Table 5).

Any decrease in PaO_2 was compensated by increasing the FiO_2 on the ventilator.

Discussion

This is the first study combining NAVA and VV ECMO in clinical practice in a pediatric population with severe respiratory failure. This series only aims to report the proof-of-concept, and describe the clinical management of these patients, without drawing any conclusions concerning outcomes, due to the small number of patients.

Table 4 Comparison of hemodynamic and respiratory parameters before and after NAVA initiation

	[H-1 + H-3] before NAVA	Inter-quartile range	[H1 + H3] after NAVA	Inter-quartile range	p
Heart rate (per min)	128	[121.0–134.4]	130	[129.0–134.4]	0.81
Blood pressure					
Systolic (mmHg)	94.9	[82.9–103.5]	93.8	[87.0–98.1]	0.82
Diastolic (mmHg)	51.3	[43.5–58.8]	51.5	[41.1–56.0]	0.99
Mean (mmHg)	70.8	[59.9–79.0]	69.6	[60.0–74.4]	0.70
Respiratory rate (per min)	46	[36.8–57.6]	46	[35–54]	0.94
Peak pressure (mmH_2O)	15	[12.3–17.5]	13	[11.6–14.5]	0.23
Tidal volume (ml)	6.76	[5.35–7.93]	6.17	[4.76–7.10]	0.48
pH	7.40	[7.39–7.45]	7.39	[7.34–7.41]	0.94
PaCO_2 (mmHg)	45.3	[40.05–47.6]	46.2	[41.7–49.5]	0.99
PaO_2 (mmHg)	106.5	[80.5–133.5]	117.2	[80.4–149.1]	0.70
SaO_2	97%	[96–99]	98%	[96–99]	0.93

NAVA Neurally adjusted ventilatory assist, PaCO_2 arterial content in carbon dioxide, PaO_2 arterial content in oxygen, SaO_2 arterial oxygen saturation

Table 5 Changes in diaphragmatic electrical activity, tidal volume, and respiratory rate, before and after the beginning of ECMO weaning

Patients	Before ECMO weaning			During ECMO weaning (3 h after weaning initiation: 50% reduction in sweep gas)		
	Mean EAdi (mV)	RR	Vt (ml/kg)	EAdi (mV) (% increase)	RR (% decrease) ^a	Vt (ml/kg) (% increase) ^b
Bronchiolitis	5.5	48	7.2	16 (190%)	35 (72%)	7.7 (8%)
Bronchiolitis	8.1	53	7.1	28 (245%)	42 (79%)	7.9 (12%)
Drowning	26	46	5.1	41 (57%)	35 (76%)	6.6 (30%)
Drowning	15	38	6.3	22 (46%)	30 (78%)	8.1 (29%)
Macrophage activation	12.4	36	4.6	18 (45%)	28 (77%)	8.6 (88%)
Postoperative truncus	1.8	55	6.2	5.2 (188%)	44 (80%)	7.5 (22%)

EAdi Electrical activity of the diaphragm, RR respiratory rate, Vt tidal volume

^aNo significant relation with EAdi ($p=0.72$)

^bNo significant relation with EAdi ($p=0.13$)

The management of mechanical ventilation on ECMO remains a matter of debate. The focus remains on lung rest, and the best approach to provide this while preventing further lung injury has not been convincingly determined [3, 8]. Protective ventilation has been advocated as one of the major factors for improving lung function on ECMO [2, 9, 13]. A Canadian team conducted an international survey to describe ventilation practices for patients on VV ECMO in ELSO registered centers [27]. In the neonatal and pediatric population, 47% of the centers used protective Vt (4–6 ml/kg), and 28% used ultra-protective Vt (<4 ml/kg). Only 11% of these centers targeted high PEEP levels (11–15 cmH₂O), whereas 65% used PEEP levels between 6 and 10 cmH₂O. Controlled modes of mechanical ventilation were the most commonly used modes during the initial phase of the disease among all centers.

Controlled ventilation is recommended, and usually necessary in current practice, under deep sedation, during the early phases of severe ARDS when lung function and compliance are greatly compromised [11]. Under VV ECMO, controlled ventilation and deep sedation allow the patient to benefit fully from protective, or ultra-protective ventilation settings, by reliably controlling the tidal volume and peak pressures. In addition, pressure-controlled ventilation mode allows for daily monitoring of progressive increase in the Vt, signaling lung function improvement.

As soon as lung improvement is translated clinically into an increase in Vt, spontaneous breathing may be initiated by decreasing sedation and resorting to assisted ventilation. Spontaneous breathing carries several benefits in critically ill patients; it is essential to prevent diaphragm and respiratory muscle atrophy, which may occur sometimes as early as 18 h after mechanical ventilation [12]. Assisted ventilation modes can also decrease days on mechanical ventilation, and length of stay in the intensive care unit [27–29]. Furthermore, allowing spontaneous breathing during ECMO was

associated with redistribution of ventilation towards dorsal areas, reducing atelectasis and intrapulmonary shunts [30]. Another benefit of spontaneous breathing was demonstrated by Hering et al. [31]: Visceral organ perfusion, an important determinant of outcome in critically ill patients, was shown to increase with the initiation of spontaneous breathing.

However, conventional pressure support ventilation may be difficult to implement in ARDS patients with low lung compliance; trigger delay may cause late initiation, or early termination of mechanical support [32]. This is clinically translated into irregular, disturbed respiration, leading to insufficient respiratory support, putting the patients at high risk further iatrogenic lung injury [33]. One of the major advantages of NAVA over other modes of assisted ventilation, is improved patient–ventilator synchrony [34, 35]. NAVA is immediately triggered by the electrical signal from the spontaneous diaphragmatic contraction, and the pressure support is proportional to the EAdi [13]. The patient can thus regulate his ventilation by varying his respiratory rate, and his diaphragmatic contraction, which will control the Vt and peak pressure. Hence, the incidence of asynchronies, in terms of premature cycling, ineffective triggering, double or auto-triggering, is decreased in most reports, compared to conventional pressure support ventilation [25, 35]. Following NAVA initiation, the improvement in patient–ventilator synchrony was obvious clinically in this small series of patients we report herein. We did not compare asynchrony on conventional, then on NAVA ventilation, because the incidence of asynchrony under conventional pressure support ventilation was not measured objectively. The accepted standard for the detection of asynchrony includes measurement of esophageal pressure (with a balloon), and/or electrical activity of the respiratory muscles (eg, diaphragm, transverse abdominis) [36]. These techniques allow for definitive confirmation of respiratory muscle activity and allow precise determination of neural inspiratory and expiratory time;

detection of asynchrony using only ventilator waveform analysis, may lead to underestimation or overestimation of the amount of asynchrony, whereas on NAVA, the detection and analysis of asynchrony are enhanced with EAdi measurements provided by the esophageal probe. Despite less sedation, an obvious reduction in asynchrony episodes was observed. Furthermore, NAVA initiation did not affect any of the hemodynamic or respiratory parameters measured in this series, which suggests that NAVA may be considered as a safe alternative in this fragile subpopulation of patients. A comparison of patient–ventilator interaction was conducted by Mauri et al. in a series of adult patients on VV ECMO [25]: they concluded that asynchrony is definitely reduced with NAVA, and that in patients with very low lung compliance, NAVA may be the most appropriate way to initiate assisted ventilation.

In addition to offering the patient the possibility to adapt, and drive the ventilator, NAVA proportional pressure support allows the patient to regulate Vt according to his physiological needs. We witnessed this clinically in our pediatric patients who progressively increased their Vt during ECMO weaning; however, the increase in tidal volume, though significant, was not found proportional to the increase in EAdi values in our series, a finding that may be explained by patient-specific factors, and the heterogeneous origin of lung injury with abnormal lung compliance due to residual lung disease. This beneficial patient–ventilator interaction was shown also in the elegant study of Karagiannidis in six adults on VV ECMO for severe ARDS [13]: during the weaning of ECMO, the progressive decrease in sweep gas was compensated by a stepwise increase in Vt and a pronounced increase in respiratory rate. The patients chose the best possible protective ventilation, by increasing the minute volume, while keeping the Vt around 6 ml/kg [13]. This is partly explained by the fact that NAVA automatically adjusts the level of pressure support, to the magnitude of inspirational effort, reducing the possibility of overassistance and the development of unnecessary high tidal volumes [32, 36, 37]. According to Karagiannidis, the drive for the needed EAdi, and thus the optimal minute ventilation is not oxygenation, but carbon dioxide and PH values. This was demonstrated by Kolobow and colleagues [38] in lambs on ECMO: the central drive for the rapid changes in spontaneous ventilation following the weaning of ECMO, depended on CO₂ extraction by the ECMO membrane. Similar findings were reported by Brander et al. [39], who demonstrated the same preferential up-regulation in the respiratory rate in rabbits on NAVA for acute lung injury.

This study has several limitations, including its retrospective design and the limited patient number. It is a small case series without historical controls, or dedicated measurement of the nominal purpose of NAVA, i.e. to decrease asynchrony and diaphragm atrophy. Subjective provider

assessment of patient ventilator synchrony by nurses or physicians should not be an acceptable end point, but cannot be completely discredited, especially due to the potential bias of knowing that NAVA improves patient ventilator synchrony, and the inability to blind which patients are on NAVA.

In conclusion, this strategy of combining NAVA to ECMO in severe paediatric respiratory failure has shown to be safe and successful. The simultaneous use of NAVA and ECMO in adult has also been successfully reported. Further studies with larger cohorts are needed to establish a consensus and to guide practice regarding optimal protective ventilation strategies during pediatric VV ECMO.

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Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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