



Diagnosis and Pathophysiological Mechanisms of Group 3 Hypoxia-Induced Pulmonary Hypertension

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Abstract

Purpose of review Group 3 hypoxia-induced pulmonary hypertension (PH) is an important and increasingly diagnosed condition in both the pediatric and adult population. The majority of pulmonary hypertension studies to date and all three classes of drug therapies were designed to focus on group 1 PH. There is a clear unmet medical need for understanding the molecular mechanisms of group 3 PH and a need for novel non-invasive methods of assessing PH in neonates.

Recent findings Several growth factors are expressed in patients and in animal models of group 3 PH and are thought to contribute to the pathophysiology of this disease. Here, we review some of the findings on the roles of vascular endothelial growth factor A (VEGFA), platelet-derived growth factor B (PDGFB), transforming growth factor-beta (TGFB1), and fibroblast growth factors (FGF) in PH. Additionally, we discuss novel uses of echocardiographic parameters in assessing right ventricular form and function.

Summary FGF2, TGFB, PDGFB, and VEGFA may serve as biomarkers in group 3 PH along with echocardiographic methods to diagnose and follow right ventricle function. FGFs and VEGFs may also function in the pathophysiology of group 3 PH.

Introduction

Pulmonary hypertension (PH) is a severe form of pulmonary vascular disease that results in death in up to two-thirds of affected patients within 5 years of diagnosis. PH is a progressive obliterative vasculopathy with multiple etiologies and high mortality. In response to pathologic stimuli, the vasculature often reactivates developmental signaling pathways as an adaptive response. For example, in PH, activated signaling mechanisms in endothelial cells increase intimal hyperplasia and fibrosis to accommodate high pulmonary vascular pressures. Endothelial cell dysfunction, excessive vascular remodeling, inflammation, and in situ thrombosis contribute to progressive elevation of pulmonary vascular resistance. The histopathology of PH is well documented, but the molecular mechanisms underlying the vascular remodeling are poorly understood. There are five WHO subtypes of PH. Group 1 pulmonary arterial hypertension (PAH) (idiopathic) is most common and group 3 PH (hypoxia induced) is the second most common type of PH.

Group 3 PH is primarily caused by alveolar hypoxia associated with lung immaturity and bronchopulmonary dysplasia (BPD), impaired control of breathing and alveolar hypoventilation disorders, chronic obstructive lung disease (COPD), interstitial lung disease, or high altitude [1, 2]. PH significantly increases the morbidity and mortality in both COPD and BPD patients. Up to half of preterm infants with BPD and PH die within 2 years of diagnosis [3], and 64% of adults with COPD and PH will die within 5 years [4].

Pathologic changes in endothelial cell function and vessel wall inflammation lead to pulmonary vascular remodeling, increased vascular resistance, decreased compliance, and gradual pulmonary vascular occlusion. Sustained increase in right heart afterload ultimately results in right heart failure. Current pharmacological treatments focused on vasodilation for symptomatic relief

have limited effects on vascular remodeling. Furthermore, common therapies that are being used for PH worsen the outcome for group 3 PH patients [5]. A mechanistic understanding of the pulmonary vascular remodeling in group 3 PH is thus necessary to help identify non-invasive diagnostic tools for early detection of cardiopulmonary compromise, to develop effective therapies, to monitor treatment response, and to improve overall outcome.

Group 3 PH, primarily due to hypoxemia, includes patients with developmental lung disorders such as BPD [1]. Preterm birth affects more than 500,000 babies born in the USA each year, and BPD is the most common complication in preterm infants born before 29-week gestation [3]. Preterm birth is associated with arrested development of the pulmonary vasculature and alveolar simplification [6] leading to functional hypoxemia and the development of PH. The improved survival of the extremely preterm neonate has led to increased numbers of patients with BPD and increased PH and right heart failure, which occurs in 12–25% of infants with BPD [7]. These infants have a 2-year mortality rate of 33–48% after PH diagnosis [3]. Despite the increasing recognition of group 3 PH in preterm infants with BPD, there is a scarcity of studies into the mechanisms underlying this disease.

COPD is a prevalent condition, affecting between 10 and 20% of the population, currently the fourth leading cause of mortality worldwide [8]. COPD encompasses two main conditions, chronic bronchitis and emphysema, characterized by persistent inflammation of the airways and widespread destruction of the alveolar walls. In fact, PH is a strong predictor of mortality in COPD, being a far stronger predictor of survival than the forced expiratory volume in 1 second measure [4]. Recent evidence suggests that 5–10% of patients with COPD are also diagnosed with PH, and these patients have an increased mortality rate [9].

Assessment of RV form and function, and pulmonary hemodynamics in group 3 hypoxia-induced pulmonary hypertension

The clinical course of group 3 PH and prognosis in infants and children are mainly determined by the form and function of the target organ, the right ventricle (RV). Long-term increases in pulmonary vascular resistance (PVR) and

pulmonary artery pressure (PAP) resulting from structural alterations and abnormal vasoreactivity of the pulmonary vasculature may lead to cardiac remodeling due to changes in geometry, structure, and function of the RV [10–12]. RV remodeling is dictated by many factors including severity of RV afterload caused by increased PVR, duration of the PH, neurohormonal activation, and altered gene expression [13]. The remodeling is orchestrated by modulation of the myofiber architecture and distribution and ventricle-specific anisotropy in the contractile pattern, particularly if these events occur during myocardial maturation in the early postnatal period. Conventional imaging methods, such as two-dimensional (2D) and Doppler echocardiography, are often qualitative, and they are not sufficiently sensitive in detecting changes in myofiber architecture and RV contractile function to provide robust prognostic information. Advances in cardiac imaging of the RV, including ultrasonic tissue characterization by integrated backscatter imaging (IBI), strain imaging, and flow dynamics imaging, provide quantitative information that often precedes conventional qualitative echocardiographic indicators of structural and functional changes in the RV.

In this section, we discuss the assessment of RV form, function, and hemodynamics in neonates, infants, and children with group 3 PH.

Assessment of RV form

RV myoarchitecture can be quantitatively evaluated by ultrasonic tissue characterization. There is a close relationship between measured ultrasonic parameters (attenuation, backscatter, speed of sound) and the inherent properties of myocardial tissue. Both the biochemical properties and the geometric properties (organization of myoskeleton) of the myocardium combine to produce the observed ultrasonic measures.

Studies have shown that ultrasonic tissue characterization has the ability to differentiate normal from abnormal myocardium in the RV. In patients with an intracardiac shunt leading to RV overload, integrated backscatter ultrasonography revealed interstitial and replacement fibrosis in the RV myocardium. This was consistent with histologic analysis, despite preserved systolic function in the early stages [14–20]. Studies have used tissue characterization measurements to detect differences in myocardial functional and textural properties in the presence of pressure and/or volume RV overload that preceded the clinical manifestation of RV dysfunction and failure in patients with PH [20].

Assessment of RV function

Strain measures the percentage change in myocardial deformation, whereas its time derivative, strain rate, defines the rate of deformation of the myocardium over time. Strain rate is a load-independent global measure of ventricular systolic function and correlates closely with myocardial contractility [21]. RV myocardial strain in 2D can be measured by a novel echocardiographic method that measures myocardial deformation from continuous frame-by-frame tracking of the speckle pattern, a technique called speckle tracking echocardiography (STE).

2D strain estimated by STE has been used to assess RV function in patients with PH [22]. RV strain and strain rate showed a close relationship with invasive pulmonary hemodynamics (PVR, mean PAP, and cardiac output), exercise capacity, and independently predicted functional classes of PH [23]. Furthermore, 2D strain and strain rate changed in proportion to the degree of increase

in PAP in patients with idiopathic PH [23] and were further changed in patients with concomitant RV failure [24]. Assessment of RV longitudinal systolic strain and strain rate by STE has been reported to independently predict future right-sided heart failure, clinical deterioration, and mortality in patients with PH [25, 26]. In a prospective study in extremely low gestational age neonates (ELGANs) that aimed to discern the predictive and tracking sensitivity of 2D echo, we compared longitudinal global strain of the RV at 32 weeks postconceptional age between ELGANs who did and did not develop BPD at 36 weeks postconceptional age (supplemental flow via nasal cannula, continuous positive airway pressure, or mechanical ventilation, the National Institutes of Health consensus definition of moderate and severe bronchopulmonary dysplasia) [27, 28]. ELGANs who developed BPD at 36 weeks postconceptional age had lower RV longitudinal global strain at both 32 weeks ($P < 0.003$) and 36 weeks ($P < 0.05$) than those who did not develop BPD at 36 weeks postconceptional age (Fig. 1a, b).

Echocardiographic assessment of flow hemodynamic characteristics

The heart is a dynamic organ that functions according to the principles of fluid dynamics. The structural form and mechanical function of the normal heart create time-varying and spatially complex flow patterns that are optimal for minimizing the energy required for normal function. Flow is immediately affected by changes in cardiac function and adaptation. Heart diseases and vascular alterations, including those caused by PH, have the potential to adversely alter these energy-efficient flow patterns. Therefore, analyzing the spatial and temporal distribution of blood flow in the heart and blood vessels may provide early diagnostic and prognostic information.

The methods that provide such information include crossed-beam approaches that use multiple transmitting and receiving transducers [29], vector flow mapping that combines the measured blood velocity along the ultrasonic beam axis with estimated orthogonal velocities obtained from the application of fundamental fluid dynamic principles [30], and methods that combine color Doppler measurements along with endocardial wall motion measurements and fundamental physical principles. Echocardiography-based particle image velocimetry (Echo-PIV) is a new method for quantifying blood flow dynamics [30–36]. The Echo-PIV method uses pairs of sequential image frames for calculating the direction and magnitude of contrast-enhanced blood flow. Tracking the displacement of contrast patterns in the second image, relative to the position of the patterns in the first image, provides a measure of the dynamics of blood flow. It is anticipated that emerging methods for assessing cardiac chamber blood flow may provide a means for recognizing early changes in flow dynamics that occur before the onset of clinical manifestations of cardiac dysfunction.

Molecular mechanisms associated with group 3 hypoxia-induced pulmonary hypertension

Research has led to drugs that have significantly decreased morbidity and prolonged life expectancy in patients with group 1 PAH. Unfortunately, none of these drugs show benefit to patients with group 3 PH [9]. In fact, recent clinical

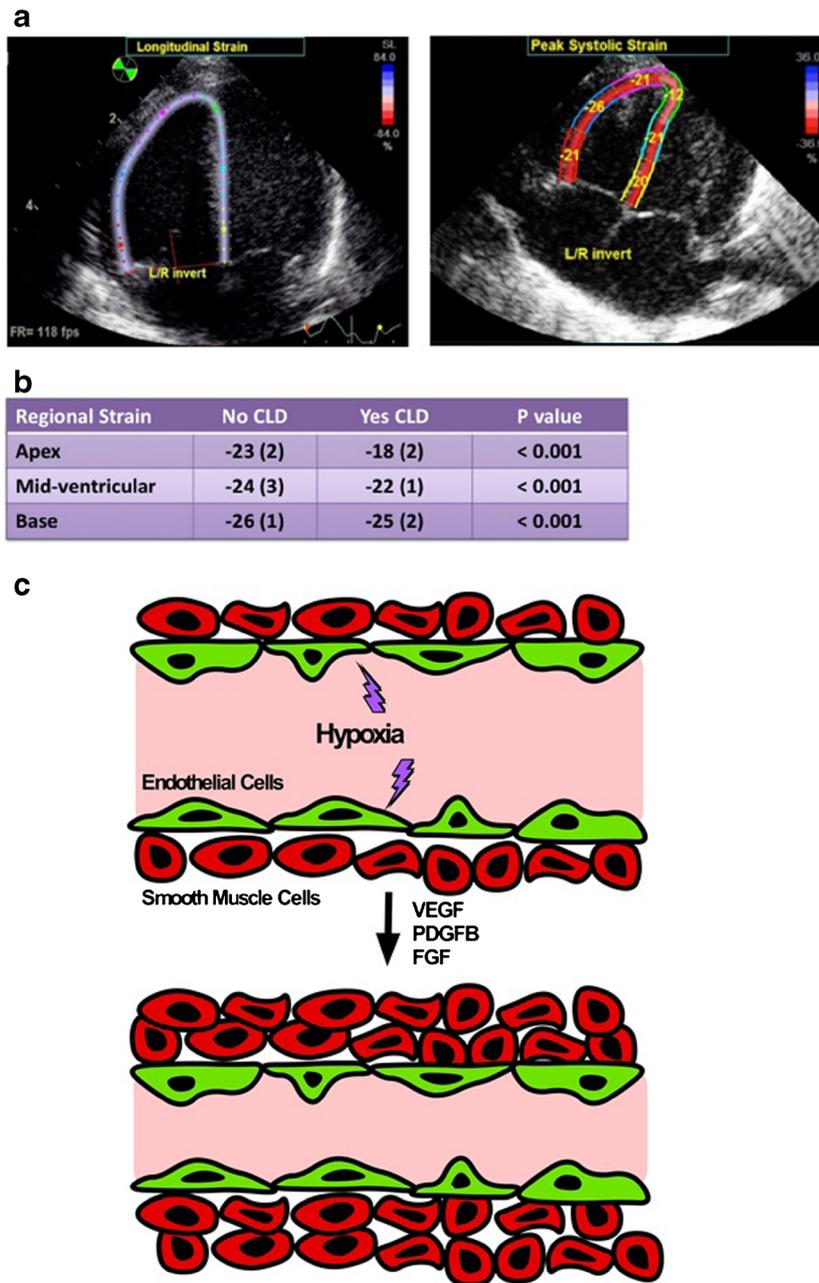


Fig. 1. Echocardiographic measure of strain and pathological markers and mediators of pulmonary hypertension. **a, b** RV regional strain in patients with vs. without chronic lung disease. Strain imaging of the right ventricle in an extremely low gestational age neonates using speckle-tracking echocardiography. The segmental strain is graphically presented by six different color-code curves and the global longitudinal strain by the white dotted curve. The peak of the average curve of all the segments (the dotted curve) was considered as peak global longitudinal strain (pGLS). AVC, aortic valve closure. **c** Summary role of VEGF, PDGFB, and FGF on PH.

trials of group 1-targeted therapies show, at best, no change in disease relief [37, 38], clinical worsening of disease [5], or increased risk of mortality [39] in group 3 PH patients. As such, understanding the pathophysiological mechanisms of

group 3 PH, finding new methods of monitoring disease, and identifying novel therapeutic targets is an unmet medical need. Here, we review the roles of some of the most commonly studied molecular mechanisms in group 3 hypoxia-induced PH (Fig. 1c).

TGFB1 in group 3 hypoxia-induced PH

Transforming growth factor-beta (TGFB1) regulates cellular growth, differentiation, and proliferation [40] and is a mediator of pulmonary development and cardiovascular disorders [41, 42]. TGF- β 1 expression is correlated with physiologic alterations of the pulmonary vasculature and RV pressures and with hypoxia-induced factor-1 alpha (HIF1A) expression [43]. The TGFB1 signaling pathway contributes to the pathogenesis of PH [44, 45]. Liu et al. recently showed that rats exposed to hypoxia had increased expression levels of TGFB1 in their lungs when compared to normoxia controls [46]. This finding was associated with a decrease in expression of phosphatase and tensin homolog deleted on chromosome 10 (PTEN). TGFB1 promotes PH by increasing pulmonary artery smooth muscle cell survival and proliferation in a PTEN-dependent manner. Serum and lung tissue expression levels of TGFB1 are elevated and patients with idiopathic group 1 PH, along with expression of downstream mediators, activin receptor-like kinase-1 (ACVRL1), and endoglin (ENG) [47]. Interestingly, exogenous addition of TGFB1 to pulmonary artery smooth muscle cells resulted in production of fibroblast growth factor-2 (FGF2), platelet-derived growth factor β (PDGFB), and endothelin (END1). TGFB1 also increased smooth muscle proliferation in vitro in a SMAD-dependent manner. The TGFB1/ACVRL1/ENG signaling pathway stimulated growth factor and cytokine production in endothelial cells, thus mediating idiopathic group 1 PH in patients and hypoxia induced PH in mice [47].

VEGF and PDGFB in group 3 hypoxia-induced PH

HIF1A was first reported to induce vascular endothelial growth factor A (VEGFA) expression over 20 years ago [48, 49]. The role of VEGFA in many pathologic conditions including group 1 PAH is well investigated and reviewed [50]. Small ubiquitin-like modifier 1 (SUMO1) [51] and interleukin 33 (IL33) [52] augment the HIF1A/VEGFA/VEGFR2 signaling pathway. Both SUMO1 and IL33 augment the expression of HIF1A; additionally, SUMO1 binds the protein molecule in a sumoylation process [51]. The effect of VEGFA on group 3 PH came to the forefront as a new animal model for research [53, 54]. Expression of VEGFA, along with its receptor, VEGFR2, is increased in the lungs of rats challenged with chronic hypoxia [55, 56]. Overexpression of VEGFA in the lung protects against the development of chronic hypoxia-induced PH in rats, via increase in endothelial nitric oxide production [57]. Interestingly, loss of VEGFB expression had no effect on hypoxia-induced PH in mice, suggesting absence of a role for endogenous VEGFB [58]. However, overexpression of VEGFB resulted in a protective effect, thus suggesting a protective but redundant role of VEGFB in PH protection in the presence of VEGFA. Liang et al. reported that PDGFB augmented VEGFA expression via increasing Kruppel-like factor 4 (KLF4) promoter activity [59]. Additionally, PDGFB/PDGFRB and VEGFA/VEGFR2 interact in a biological complex augmenting hypoxia-induced endothelial cell proliferation.

FGF in group 3 hypoxia-induced PH

Recent studies highlight a major role for FGF signaling in the pathogenesis of PH. FGFs have been implicated in the proliferation, survival, and differentiation of many cell types, including endothelial cells [60–62]. Studies to date suggest that FGF signaling is involved in the pathogenesis of PH [63]. Expression of the FGF2 and FGF receptor tyrosine kinases, FGFR1 and FGFR2 [64, 65], is elevated in lung samples from patients with group 1 PH. In vivo rat models and in vitro studies suggest that FGF signaling worsens group 1 PH, and inhibition of its activity either with siRNA or the receptor tyrosine kinase inhibitor SUGEN5402 alleviates PAH [63, 65]. Endothelial cell cultures from patients with group 1, idiopathic pulmonary arterial hypertension, are resistant to apoptosis via up-regulation of antiapoptotic factors BCL2 and BCL2-like 1 (BCL2L1) in an FGF2 dependent manner [64].

FGF2 production is also markedly increased in preterm infants with BPD [66] and in patients with PH [67]. Mouse models of hypoxia-induced PH mimic human group 3 PH associated with hypoxia [68]. FGF2 production is increased in the presence of HIF1A in a positive feedback mechanism [69, 70]. Exposure of mice to chronic hypoxia commonly causes muscularization of previously non-muscularized vessels and medial thickening and stiffening of vessels [71], leading to elevated pulmonary artery pressure [63, 65]. FGF2 is also elevated in the mouse model of group 3 PH where mice are exposed to chronic hypoxia [47, 72], suggesting a role for FGF signaling in group 3 pathophysiology. However, the function of FGF signaling in endothelial and vascular smooth muscle cells, and downstream pathogenic and protective mechanisms is not known. It is also not known if there are differential effects of FGF signaling in group 1 vs. group 3 PH or in neonatal vs. adult PH.

Summary

The past 3 decades of scientific studies have focused on group 1 PAH. The 3 classes of drug therapies currently available were designed to treat patients in this group. While the underlying pathology for PH is pulmonary vascular wall thickening, the etiology is different and hence the mechanistic pathways to disease are likely different. Investigating new echocardiographic measures and discovering molecular mechanisms involved in group 3 hypoxia-induced PH will be important in the coming years. Additionally, several of these molecules may prove useful as serum biomarkers, that along with echocardiographic and ultrasound diagnostics will allow early detection of disease onset and progression, and for assessing therapeutic effectiveness.

Compliance with Ethical Standards

Conflict of Interest

Kel Vin Woo declares no potential conflicts of interest.

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Human and Animal Rights and Informed Consent

This article does not contain any studies with human or animal subjects performed by any of the authors.

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