



## A randomized controlled trial of a smoking cessation self-help intervention for Spanish-speaking Hispanic/Latinx smokers: Study design and baseline characteristics



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### ABSTRACT

Although the current smoking prevalence among Hispanics/Latinxs (10%) is lower than in non-Hispanic whites (15%), higher prevalence is observed among certain subgroups (e.g., Puerto Rican males, 19%). Hispanic/Latinx smokers face unique challenges such as lower awareness and acceptability of nicotine replacement aids, lower prevalence of using counseling or medication, and receiving less advice to quit by their health care providers. Despite these barriers to smoking cessation, few interventions specifically targeted to Hispanic/Latinx smokers have been developed and evaluated. This paper summarizes the design, methods, analysis plan, and sample baseline characteristics of an ongoing randomized controlled trial to assess the efficacy of a Spanish-language self-help smoking cessation intervention among Hispanics/Latinxs. Current smokers who prefer health education materials in Spanish were randomized to one of two conditions. The usual care group received a standard smoking cessation booklet developed by the National Cancer Institute. The intervention group received 10 booklets, 9 pamphlets and a booklet for family and friends mailed monthly over 18 months. All participants complete self-report surveys every 6 months over 2 years. Smoking abstinence is biochemically verified at 12- and 24-month follow-up. A total of 2387 smokers were screened, 2056 were eligible and 1417 were enrolled in the study. The primary outcome is self-reported 7-day point prevalence abstinence. If the intervention is deemed efficacious, it has potential to have a large public health impact with respect to reducing smoking rates and smoking related morbidity and mortality among a large underserved minority population.

### 1. Introduction

Hispanics/Latinxs (those of Latin American or Spanish origin) are the largest ethnic minority group in the US, currently comprising 17.8% of the population, and projected to constitute 28.6% by 2060 [1]. The current prevalence of cigarette smoking among US Hispanics/Latinxs (10%) is lower than in non-Hispanic whites (16%). However, rates vary by subgroups, with highest prevalence among Puerto Ricans (19% men; 16% women) and Mexicans (15% men, 7% women) and lowest among Dominicans (6% overall) [2].

Despite smoking fewer cigarettes and being less likely to be daily smokers, Hispanics/Latinxs experience a high burden of tobacco-related morbidity and mortality [3]. Four of the five leading causes of death among Hispanics/Latinxs (cancer, heart disease, stroke, and diabetes)

are smoking-related [4]. Lung cancer is the leading cause of cancer death among Hispanic/Latinos and the second among Hispanic/Latinas [2]. Thus, reducing cigarette smoking is a significant public health issue for this population.

Hispanic/Latinx smokers have lower rates of quitting when compared to non-Hispanic whites, despite being more likely to have made a quit attempt [5–7]. They less frequently report receiving advice to quit smoking from health care providers, and have a lower prevalence of using counseling, medication, and/or nicotine replacement therapy, than non-Hispanic whites [5,6,8–10]. Other factors associated with poor cessation outcomes include limited access to health care, financial strains, and language barriers [11–19].

Hispanic/Latinx smokers' utilization of smoking cessation materials has been shown to be higher when delivered in the preferred language

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[20]. Yet, language-specific services and interventions are lacking [16,19,21]. In addition, research evaluating smoking cessation materials for Hispanics/Latinxs is scarce; few randomized trials have been conducted to date [22–24]. Therefore, evidence-based smoking cessation interventions that are culturally sensitive and responsive to the distinct sociocultural needs of Hispanic/Latinx smokers are needed [25,26].

To address this gap, an existing validated English-language self-help smoking cessation intervention developed by Brandon and colleagues, *Forever Free®: Stop Smoking for Good* (SSFG) was adapted for Spanish-speaking smokers [27,28]. SSFG is based on cognitive behavioral theory and empirical evidence on tobacco dependence, cessation and relapse. The series of 10 booklets and 9 pamphlets was found to be cost-effective and highly efficacious among self-quitting smokers. Compared to usual care, the SSFG intervention produced significantly greater smoking abstinence rates through the 30-month follow-up time point (33% vs. 23%) [29].

The process of “transcreating” the evidence-based SSFG into a Spanish-language extended self-help intervention involved translation and cultural adaptation. This systematic approach included incorporating distinctive cultural values such as familism, and relevant images and context into the materials. The resulting intervention presents a potentially cost-effective and highly accessible opportunity to reach the largest and fastest growing population of smokers [28].

This paper describes the design, methods, and baseline sample characteristics of an ongoing randomized controlled trial (RCT). The primary aim is to test the efficacy of an extended “transcreated” evidence-based self-help intervention for Hispanics/Latinxs in producing 7-day point-prevalence abstinence compared to a Spanish-language usual care. We hypothesized that new intervention would produce higher rates of smoking abstinence than usual care. The secondary aims are (1) to evaluate prospective moderator variables (e.g., gender, sub-ethnicity) that may aid in refining and targeting the intervention to maximize impact and (2) to calculate the cost-effectiveness in order to facilitate comparisons with other cessation interventions.

## 2. Methods

### 2.1. Study I: intervention development

The initial part of the study (Study I) was the transcreation process of the *Forever Free®: Stop Smoking for Good* (SSFG) intervention. The first of the 10 SSFG booklets provides a general summary of the process of quitting smoking; preparing to quit; using pharmacotherapies (e.g., nicotine replacement therapy, varenicline, etc.); and potential challenges. Each of the remaining 9 booklets includes more extensive information about maintaining abstinence. The content of each booklet is listed in Table 1. The booklets ranged from 13 to 33 pages in length, with a mean of 21 pages. The 9 single-sheet tri-fold color pamphlets, designed to induce a sense of social support, reinforce key messages about quitting smoking (e.g., dealing with stress, keeping weight gain in perspective, finding other forms of positive reinforcement, the effects of second hand smoke). The stories in the pamphlets are communicated via a first-person narrative from a former smoker in order to further enhance the perceived social support, which has been found to benefit smoking cessation [27,30,31].

The steps of the translation and adaptation process, as well as the results of the focus groups and learner verification interviews were described in greater detail by Piñero et al. (2018) [28]. Briefly, we utilized a series of systematic and iterative procedures to translate and culturally adapt the SSFG booklets and pamphlets. The first step (Phase I) was to conduct formative research to identify novel smoking cessation themes relevant to the target Hispanic/Latinx population. Focus groups were conducted with bilingual smokers to gather data on topics such as cultural-specific characteristics of Hispanic/Latinx smokers and barriers and facilitators to achieving smoking abstinence. Focus group

**Table 1**

Overview of study timeline: interventions and assessments.

Month	UC	LDC
0	Usual care booklet (NCI Booklet)	Baseline assessment Booklet 1: <i>Introduction</i> Booklet for Family and Friends One-Time Support Phone Call
1		Booklet 2: <i>Smoking Urges</i>
2		Booklet 3: <i>Smoking and Weight</i>
3		Booklet 4: <i>What if You Have a Cigarette?</i>
4		Pamphlet 1: <i>Angelica's Story (Quitting smoking)</i>
5		Booklet 5: <i>Your Health</i>
6		Pamphlet 2 <i>Mario's Story (Pharmacotherapy)</i> 6-Month follow-up assessment
7		Booklet 6: <i>Smoking, Stress, and Mood</i>
8		Pamphlet 3: <i>Rafael's Story (Smoking urges)</i>
9		Booklet 7: <i>Lifestyle Balance</i>
10		Pamphlet 4: <i>Jorge Luis's Story (Managing a slip)</i>
11		Pamphlet 5: <i>Carmen's Story (A healthier lifestyle)</i>
12		Booklet 8: <i>Life Without Cigarettes</i> 12-Month follow-up assessment CO collection
13		Pamphlet 6: <i>Maria Estela's Story (Weight gain concerns)</i>
14		Pamphlet 7: <i>Jose Luis's Story (Social support)</i>
15		Booklet 9: <i>The Benefits of Quitting Smoking</i>
16		Pamphlet 8: <i>Carolina's Story (Remaining smoking free around other smokers)</i>
17		Pamphlet 9: <i>Ramiro's Story (Positive activities/pastimes)</i>
18		Booklet 10: <i>The Road Ahead</i> 18-Month follow-up assessment
24		24-Month follow-up assessment CO and saliva collection

participants were also asked to provide feedback on the visual elements of the booklets and pamphlets of the existing SSFG intervention, as well as the name for the new series in Spanish. Key findings from the focus groups (e.g., negative perception of nicotine replacement therapy, stressors related to immigration experience, important role of family, etc.) were then used to modify the existing booklets and create additional content relevant to Hispanic/Latinx smokers.

Subsequently, the 10 booklets and 9 pamphlets of the English-language SSFG intervention were re-created in Spanish. During Phase II, two rounds of learner verification interviews were conducted, each with a sample of 10 participants interviewed individually. Learner verification assesses attraction, comprehension, self-efficacy, cultural acceptability, and persuasion to verify the suitability of the educational materials with the intended audience [32,33]. Feedback from the first round of learning verification interviews were used to refine the intervention. Revisions made after the first round of interviews were presented to participants in the second round for additional feedback. As a result of the learner verification interviews, vignettes and text were added to address culturally salient daily stressors related to the participants' home countries, as well as ways in which family and friends can assist smokers in the quitting process. In addition, photos portraying families, places, and activities depicting the diverse countries of origin of Hispanics/Latinxs were added to the booklets. Lastly, in Phase III the findings from the focus groups and learner verification interviews were used to create the final version of the booklets and pamphlets in Spanish. The result of Study I was the creation of the Spanish-language version of the SSFG intervention, titled *Libre del cigarrillo, por mi familia y por mí: Guía para dejar de fumar (LDC)*. In response to direct feedback from focus group participants, an additional booklet, *Para mis familiares y amigos*, was written for friends and family members to provide support in quitting smoking [28]. An emergent theme among participants in Study I was the desire for some form of personal contact along with the booklets. Thus, a single, brief telephone call was added to the LDC intervention (described further in Methods section).

## 2.2. Study II: the randomized controlled trial (RCT)

The RCT consists of two arms, Usual Care (UC) and the newly transcanted Libre del Cigarrillo (LDC) intervention. Participants in the UC condition receive a single Spanish-language smoking-cessation booklet developed by the National Cancer Institute (NCI) [34]. Those in the LDC condition receive the newly translated and adapted self-help intervention developed in Study I, a series comprising 10 booklets, 9 pamphlets, and the newly developed booklet for family and friends. Table 1 shows a timeline of the distribution of intervention materials and follow-up assessments.

The primary outcome is self-reported 7-day point-prevalence abstinence rates at 6-month intervals up to 24 months. We hypothesized that participants in the LDC condition will have higher rates of smoking abstinence than participants in the UC condition. This trial received both state and federal funding, with the former supporting data collection within the state of Florida, and the latter supporting data collection elsewhere in the United States.

### 2.2.1. Participants

To maximize generalizability, participants were enrolled in the RCT if they met the following inclusion criteria: 1) age  $\geq 18$ ; 2) smoking  $\geq 5$  tobacco cigarettes per week over the past year; 3) not currently enrolled in a face-to-face smoking cessation program; and 4) monolingual Spanish-speaking, or bilingual Spanish-English, who prefer receiving educational health materials in Spanish. The smoking inclusion criterion is based on past research demonstrating higher rates of non-daily and light smoking among Hispanics/Latinxs [11,35]. To minimize treatment contamination between the intervention and control groups, only one participant per house or apartment building was enrolled in the study. In addition, potential participants who were unable to provide a mailing address in the US were excluded from the study. Individuals who did not meet inclusion criteria were offered the same booklet provided to participants in the UC condition. The sample included 1417 current cigarette smokers living within the contiguous US and Puerto Rico at the end of accrual (555 from Florida and 852 elsewhere).

### 2.2.2. Procedures

Recruitment for the RCT was conducted between October 2016 and June 2018 via multimedia advertising including: website banners, TV (advertisements and news stories), public transportation signage, social media, radio and newsletters. In addition, recruitment was informed by a Cultural Advisory Board (CAB) comprised of researchers from key focused areas (i.e., Florida, Texas, New Mexico, Puerto Rico, Arizona, and California). CAB members used the established rapport with their local communities to assist in recruiting participants, primarily through distribution of study recruitment flyers.

Smokers who responded to the recruitment efforts advertising written materials were screened over the phone. During initial contact, Spanish-speaking research staff collected basic demographic information and a brief smoking history, and assessed eligibility for inclusion in the study. Eligible participants who provided verbal consent were sent the baseline assessment by postal mail or through a link via email (as per their preference) and were told that they would receive \$20 for completing the baseline assessment. Participants who completed and returned a baseline assessment and still met the inclusion criteria were enrolled in the RCT. Randomization was conducted using a balanced-permuted block strategy, stratified by sex, smoking status (daily vs. non-daily smoking), and income (household annual income  $< \$10,000$  vs.  $\geq \$10,000$ ). A total of 2387 smokers were screened, 2056 met inclusion criteria and consented to participate, 1467 completed and returned the baseline assessment, and 1417 remained eligible and were randomized and enrolled in the study. See Fig. 1 for a summary of study recruitment and enrollment.

Follow-up assessments occur at 6-month intervals after the date of

enrollment through 24 months. Participants were provided the option of completing the assessments online or via postal mail, and receive a \$20 gift card for completing each assessment. To encourage prompt completion, participants who returned the assessment within a week received a gift valued at less than \$2.00 (e.g., coin pouch, eyeglass cleaning cloth, etc.). Participants who complete all four follow-up assessments receive a \$50 bonus gift card after the 24 month assessment. Participants are told that compensation is for their research contributions, regardless of their smoking status. In addition, participants living within 75 miles from the cancer center who report abstinence at 12 months are asked to provide a Carbon Monoxide (CO) breath sample. Similarly, at 24 months those living within 75 miles from the cancer center who report abstinence are asked to provide a CO breath sample and a saliva sample for cotinine testing. Participants who complete the biochemical testing are compensated \$20, and an additional \$20 if they agree to travel to the laboratory to complete the biochemical assessment. This study was reviewed and approved by the Chesapeake Institutional Review Board and is registered on [clinicaltrials.gov](http://clinicaltrials.gov) (NCT02945787).

### 2.2.3. Study conditions

**2.2.3.1. Usual care (UC).** Participants in this condition receive NCI's standard smoking-cessation booklet, *Guía: Viva de forma más saludable para usted y su familia, deje de fumar hoy mismo* (Live Healthier for You and Your Family Quit Smoking Today). This comprehensive 40-page Spanish-language booklet with high quality content and visual presentation covers topics such as why it is difficult to quit smoking; health risks associated with smoking and the benefits of quitting; practical advice to quit smoking, including how to deal with cravings and relapse; and available options to aid in the quit attempt (e.g., pharmacotherapy, counseling, and quitlines).

**2.2.3.2. LDC (Libre del Cigarrillo).** Participants in this condition receive the newly transcanted series of 10 booklets, 9 social support pamphlets (sent during the months that a booklet is not sent), the booklet created for family and friends, and a one-time support phone call to introduce participants to the intervention. The initial booklet and the booklet for family and friends are mailed to participants immediately after randomization, and the rest are mailed sequentially once a month over a period of 18 months. (See Table 1). All participants receive hard copies of the intervention materials delivered by postal mail. However, participants were also given the option to receive the intervention materials electronically (through a link sent via email).

Support phone call. A 10 min phone call, intended to build rapport and to provide a personal connection to garner trust and credibility in the intervention, was placed a week after a participant was randomized to the LDC condition. During the phone call, which was conducted in Spanish, a staff member briefly presented the rationale for the intervention, offered suggestions for the intended use of the booklets and pamphlets, and inquired about the reasons for wanting to quit smoking. Of the 714 participants randomized to the intervention arm, 91% completed the support call. If found to be effective, this intervention could blend seamlessly into existing telephone tobacco cessation services such as state quitlines.

### 2.2.4. Measures

**2.2.4.1. Baseline assessment.** All assessments were carried out in Spanish. At baseline, we assessed self-reported socio-demographic characteristics (race/ethnicity, country of origin for each parent, years living in the U.S. if not native born, self-identified Hispanic subethnicity, marital status, etc.), smoking history (including use of electronic cigarettes and smoking cessation aids), and nicotine dependence (using the Spanish-validated Fagerström Test for Nicotine Dependence (FTND) [36]). Socioeconomic status (SES) was measured by education, household income, number of members in household,

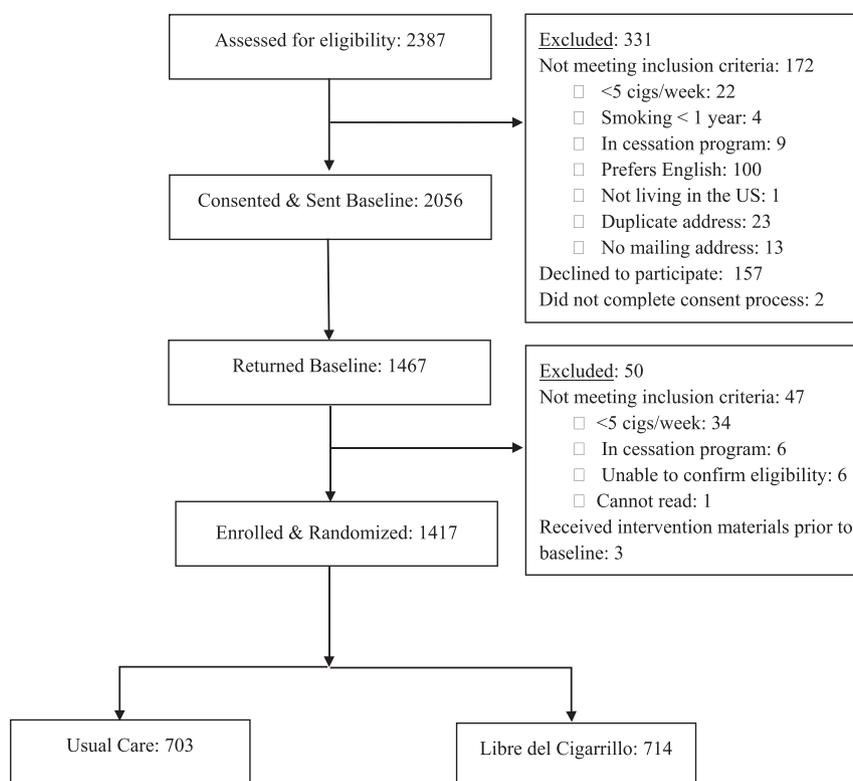


Fig. 1. Study recruitment and accrual.

and debt level [37]. In addition, an 11-point measure of readiness to quit, the Contemplation Ladder [38], and the Stages of Change Algorithm (SOC) [39,40] were administered to assess motivation to quit smoking. We also administered three motivation-related measures: 1) a brief measure of abstinence-related motivational engagement (ARME) [41], 2) a 9-item short form of the Smoking Situations Confidence Scale (SSC) [42], and 3) the validated Smoking Consequences Questionnaire-Spanish (SCQ-S) [43,44]. To reduce the response burden on participants, we administered 25 items of the 40-item SCQ-Spanish. We selected the three highest loading items for seven of the eight factors (negative affect reduction, stimulation/state enhancement, health risks, taste/sensory motor, social facilitation, weight control, and craving/addiction). For the eighth factor, boredom reduction, we included all four items. The Short Acculturation Scale for Hispanics (SASH) [45] was administered to assess level of acculturation. The SASH has demonstrated high reliability across Hispanic/Latinx subgroups [11]. In addition, we assessed level of familism using the Attitudinal Familism Scale [46]. Finally, we administered the trait version of the Spanish Positive and Negative Affect Schedule (SPANAS) [47,48], which has been validated in younger and older populations [49,50]. These measures of demographics, motivation, mood, acculturation, and familism will be tested as potential moderator variables.

**2.2.4.2. Follow-up assessments.** Participants receive follow-up assessments (by mail or e-mail link, as per their preference) at 6-month intervals through 24 months (Table 1). This follow-up duration provides for an assessment of smoking outcomes six months beyond the final mailing of the LDC intervention materials. The assessments include a questionnaire assessing combustible cigarette use since the previous contact, as well as any use of other tobacco products, electronic cigarettes, and pharmacotherapy or other smoking cessation assistance. Participants' use and evaluation of the self-help material is assessed using the 8-item Client Satisfaction Questionnaire developed in Spanish [51], plus additional items that distinguish the

benefits of the content and the repeated contact. To assess the impact of the smoking cessation intervention on health outcomes, the Spanish version of the SF-12 health survey [52,53] is included in the 18- and 24-month follow-up assessments. Finally, the trait version of the SPANAS is administered at 18 and 24 month follow-up. We attempt to collect a breath CO sample after receipt of the 12- and 24-month follow-up assessments from participants who report smoking abstinence and reside within 75 miles of the research base. Additionally, we attempt to collect a saliva sample at the 24-month follow-up assessment. A portable CO monitor (Vitalograph® by Vitalograph Inc.), is employed to collect the breath sample. The saliva sample is collected in a 2 mL tube for cotinine analysis using the NicAlert™ dipstick (Nymox Pharmaceutical Corporation, Hasbrouck Heights, NJ). Abstinence is determined by using a cut-off of 4 ppm for CO and 10 ng/mL for cotinine [54,55]. The disconfirmation rates of this subsample will be used to estimate adjusted smoking rates for the entire sample.

## 2.2.5. Data analyses plan

**2.2.5.1. Analysis overview.** Demographics and smoking characteristics at baseline will be compared across intervention conditions using one-way analysis of variance and chi-square tests.

The primary outcome is 7-day point-prevalence abstinence at the four follow-ups. All analyses of treatment effectiveness and prospective moderators will be performed on complete data sets following multiple imputation (see below). To evaluate treatment effectiveness, generalized estimating equations (GEE) will be used to fit population-averaged models of the longitudinally measured 7-day point prevalence abstinence rates, with the main covariates of intervention condition (UC vs. LDC), time (months from baseline, continuous), and the interaction of condition and time. The GEE analysis will allow for assessment of linear changes in abstinence rates over time and group differences in changes. Logistic regression will be used to assess condition differences at the 24-month (final) follow-up, which is six months after the final mailing of the LDC intervention materials. In both the GEE models and logistic regression, potential confounding variables (e.g., group

**Table 2**  
Baseline sample characteristics by treatment condition.

Characteristics	UC	LDC	Total
	<i>n</i> = 703	<i>n</i> = 714	<i>N</i> = 1417
	<i>M</i> ( <i>SD</i> ) or <i>n</i> (%)	<i>M</i> ( <i>SD</i> ) or <i>n</i> (%)	<i>M</i> ( <i>SD</i> ) or <i>n</i> (%)
Age	49.2 (11.5)	50.3 (11.9)	49.8 (11.7)
Sex (women)	339 (48.2%)	346 (48.5%)	685 (48.3%)
Marital status (married or cohabiting)	316 (45.3%)	343 (48.4%)	659 (46.8%)
Education (< high school)	201 (29.4%)	211 (30.5%)	412 (29.9%)
Employed	398 (57.8%)	405 (58.4%)	803 (58.1%)
Income (< \$10,000)	274 (41.4%)	273 (40.9%)	547 (41.1%)
Race			
White/Caucasian	313 (44.5%)	347 (48.6%)	660 (46.6%)
Black or African American	18 (2.6%)	23 (3.2%)	41 (2.9%)
American Indian or Alaska Native*	30 (4.3%)	12 (1.7%)	42 (3.0%)
Asian	0 (0.0%)	2 (0.3%)	2 (0.1%)
Native Hawaiian or Other Pacific Islander	13 (1.9%)	17 (2.4%)	30 (2.1%)
Multiple Races	28 (4.0%)	20 (2.8%)	48 (3.4%)
Not reported	301 (42.8%)	293 (41.0%)	594 (41.9%)
Born outside the US	540 (77.5%)	543 (76.8%)	1083 (77.1%)
Years in the US**	15.8 (11.4)	15.3 (12.7)	15.6 (12.0)
Sub-ethnicity			
Puerto Rican	118 (16.9%)	117 (16.4%)	235 (16.7%)
Central American	39 (5.6%)	48 (6.7%)	87 (6.2%)
Mexican/Mexican American	233 (33.4%)	244 (34.2%)	477 (33.8%)
South American	61 (8.8%)	64 (9.0%)	125 (8.9%)
Cuban	154 (22.1%)	162 (22.7%)	316 (22.4%)
Dominican	21 (3.0%)	16 (2.2%)	37 (2.6%)
Other	13 (1.9%)	7 (1.0%)	20 (1.4%)
More than one sub-ethnicity	58 (8.3%)	55 (7.7%)	113 (8.0%)
Smoking-related variables			
Years smoking	27.6 (12.8)	28.5 (13.0)	28.1 (12.9)
Smoke daily	660 (93.9%)	665 (93.1%)	1325 (93.5%)
Cigarettes per day	14.7 (8.8)	14.9 (8.0)	14.8 (8.4)
FTND <sup>‡</sup>	4.9 (2.4)	5.0 (2.4)	4.9 (2.4)
Contemplation ladder	6.9 (2.8)	6.9 (2.8)	6.9 (2.8)

LDC = Libre del Cigarrillo; UC = Usual Care.

\*  $p < .05$ .

\*\* Only includes those who were not born in the US or Puerto Rico.

‡ Fagerström Test of Nicotine Dependence.

differences on demographic variable) that appear despite randomization will be included.

The secondary aim is to assess prospective moderators of the expected intervention effect (e.g., gender, SES, quitting motivation, nicotine dependence, country of origin, acculturation, trait affect, and self-efficacy). Each moderator, assessed at baseline, will be assessed individually within a logistic regression for the 24-month assessment and within a GEE model by adding the moderator and the interaction term for the moderator and condition. The GEE models will also include interaction terms for the moderator with time and with the time x condition interaction. Significant interaction terms in a GEE model will be further explored using time-specific analyses. Significant interaction terms for the logistic regression at 24 months will be explored by assessing condition differences for each level of categorical moderators.

To manage missing data, multiple imputation under the Missing at Random assumption will be applied using a Markov Chain Monte Carlo method [56] via PROC MI in SAS, given the expected large number of non-monotonic missing data patterns and the expected large number of auxiliary variables (e.g., baseline measures that predict smoking status at follow-up) to be determined by preliminary analyses. A post hoc [57] approach will address the influence of Missing Not at Random (MNAR) data on smoking status (i.e., missing is due to smoking). Sensitivity analyses will be performed by comparing results from different multiple imputation data sets that were generated using different levels of MNAR

influence.

**2.2.5.2. Sample size estimation.** The primary statistical analyses will assess the efficacy of LDC in producing 7-day abstinence compared to UC in two ways: (1) across all four follow-up points using generalized estimating equations (GEE), and (2) at the 24-month follow-up using logistic regression. The estimated 7-day point prevalence abstinence rates at each 6-month assessment were based on previous research [27], with an adjustment of 0.625 standard error units to decrease group differences. Abstinence rates were estimated to increase from approximately 12% to 19% for the UC condition and from approximately 16% to 29% for the LDC condition. As previously stated, Study 2 (the RCT) received both state and federal funding; thus, target sample sizes were calculated separately for participants residing in Florida (Florida sample) and those residing elsewhere within the US (non-Florida sample). Both the Florida and the non-Florida sample sizes were estimated with  $\alpha = 0.05$  and a two-sided test. Power was required to be at least 0.80 for each sample. The larger sample for non-Florida was driven by an expected greater diversity in that population, which may decrease the chances of detecting an effective LDC intervention. Sample size estimates for GEE (GEESIZE version 3.1 [58,59]) and logistic regression (PASS 16 [60]) resulted in target sample sizes of 250/condition for Florida and 370/condition for non-Florida, for a total sample of 1240 participants. However, because there was a lower-than-expected survey return rate at the first follow-up we increased the target sample size to 1400 participants, 550 from Florida and 850 elsewhere.

### 2.2.6. Cost-effectiveness analysis

Assessment of an intervention such as the Spanish-language LDC smoking cessation intervention must include evaluation of the resources needed to successfully implement the intervention. Our resource utilization methodology is based on collecting information on all resources used in the intervention and affixing a standardized “price” to those resources. This approach, recommended as the most appropriate means of calculating true resource costs [61–63], gives us information on resource utilization and costs which can be compared across organizations. In our evaluation, we will calculate overall resource use/cost of the program, and use the overall cost figures to estimate several measures of the cost-effectiveness of the intervention (e.g., cost per individual enrolled in each intervention arm; cost per person quit).

## 3. Baseline results

Descriptive statistics for demographic and smoking variables for the 1417 participants are presented in Table 2. Of the total sample, 48.3% were female, the mean age was 49.8 ( $SD = 11.7$ ), 46.8% were married or cohabiting, 29.9% had an education below high school, 58.1% were employed, and 41.1% had a household annual income below \$10,000. The sample was 46.4% White, but included diverse Hispanic/Latino subgroups with the largest group being of Mexican descent. Regarding smoking-related variables, participants had been smoking for a mean of 28.1 years ( $SD = 12.9$ ), the vast majority were daily smokers (93.5%), and they smoked a mean of 14.8 ( $SD = 8.4$ ) cigarettes per day. They had a low to moderate nicotine dependence according to the FTND ( $M = 4.9$ ,  $SD = 2.4$ ). Comparisons between study conditions at baseline indicated that there were more American Indians in the UC condition (4.3%) than in the LDC condition (1.7%) ( $\chi^2 = 9.0$ ;  $p = .003$ ). No other significant differences were found between conditions for the remaining variables presented in Table 2.

## 4. Discussion

There is great need for efficacious Spanish-language smoking cessation intervention materials for the Hispanic/Latinx population [20,64]. Although a prior study found that culturally specific materials

and preferred language delivery were associated with both intervention intended use and abstinence at two weeks post intervention [20], there has been little research on the long term efficacy of culturally specific materials. This study addresses this gap through the development, testing, and long-term evaluation of a Spanish-language intervention, *Libre del Cigarrillo*. The study also represents the first nationwide RCT to test the efficacy of a culturally relevant Spanish-language adaption of a validated self-help smoking cessation intervention. The intervention, which provides self-help materials, delivered via mail over an 18 month period, is based on the efficacious SSFG intervention, which draws from empirical and theoretical research in smoking cessation and relapse prevention [27].

This study has several strengths. First, we used a broad recruitment strategy that included a myriad of local, state and national efforts, augmented by a CAB of national members. Our recruitment strategies yielded a large and diverse sample of Hispanic/Latinx smokers from 39 states across the US, including Puerto Rico. Furthermore, participants in the current sample self-identified with one or more Hispanic/Latinx subgroups, representing 19 Latin American countries, the US, and Spain. This allows us to examine differences in smoking characteristics by Hispanic/Latinx subgroups. Second, this study is the first RCT to provide longitudinal, prospective data on a national sample of Hispanic/Latinx smokers. Thus, we will be able to study demographic and psychological moderators of the expected effect of the intervention over time. Third, self-reported smoking abstinence at 12 and 24 month follow-up is confirmed using biochemical verification among a subset of participants. Few studies testing the efficacy of smoking cessation interventions among Hispanics/Latinxs have biochemically verified self-reported abstinence [21,65]. This is important because prior studies have demonstrated that Hispanic/Latinx smokers underreport cigarette consumption [66]. Fourth, the distribution of materials is offered electronically, in addition to hard copy. Data collected regarding use and satisfaction with the internet-based materials will aid in assessing this as a potential delivery modality that could reduce the cost and expand the reach of the intervention. Lastly, materials evaluated via this RCT were translated and adapted following a systematic and iterative process, which was informed by the unique cultural context and information needs of Hispanic/Latinx smokers, thus increasing the acceptability and efficacy of the intervention.

The limitations of the present study should be noted. First, there is no true no-treatment control group; participants in the UC condition received NCI's comprehensive smoking-cessation Spanish-language booklet. We chose to use credible usual care as a comparison condition rather than a no-treatment control for two reasons: 1) when using a reactive recruitment strategy that publicizes an offer of cessation assistance, we believe that there is an ethical obligation to provide at least high quality usual care to all participants; and 2) for public health significance to be high it is more meaningful to evaluate a novel self-help intervention (our LDC booklets and pamphlets) against an existing credible intervention. Second, the generalizability of the results may be affected by self-selection. Compared to the US Hispanic/Latinx population, the study sample has a higher proportion of participants of Puerto Rican and Cuban descent, and a lower proportion of participants of Mexican descent, despite implementing recruitment strategies targeting regions with varying concentrations of Hispanic/Latinx subgroups. For example, we ran TV ads for a longer period of time in Texas, which has a high population of Hispanics/Latinxs of Mexican descent [1]. However, Puerto Ricans and Cubans have the highest prevalence of cigarette smoking among Hispanic/Latinx subgroups [11,67]; thus, our sample may be more representative of Hispanic/Latinx smokers per se. Finally, we did not include smokers who prefer to receive their health information in English. Hence, we will be unable to generalize the findings towards Hispanics/Latinxs who are monolingual English-speaking, or bilingual but prefer English. These groups may include Hispanics/Latinxs who are younger and/or have higher levels of acculturation [68,69].

In summary, this ongoing study is the first national RCT to test the efficacy of a Spanish-language extended self-help smoking cessation intervention among Hispanics/Latinxs. Through a comprehensive recruitment strategy, we were able to enroll a large and diverse sample of smokers that prefer smoking cessation information in Spanish. This study has the potential to offer multiple insights into the feasibility of recruitment, follow-up, and retention of Hispanic/Latinx smokers. If demonstrated to be effective, it would present a low-cost intervention with high dissemination potential and the opportunity to reduce health disparities associated with tobacco smoking and contribute to improve health equity.

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