



# A phase I trial of intraperitoneal nab-paclitaxel in the treatment of advanced malignancies primarily confined to the peritoneal cavity

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## Abstract

**Purpose** To evaluate intraperitoneal (IP) nab-paclitaxel in patients with advanced malignancies that are primarily confined to the peritoneal cavity in a phase I trial.

**Methods** Using a 3 + 3 dose escalation of IP nab-paclitaxel on days 1, 8, and 15 of a 28-day cycle, we evaluated six dose levels (35–175 mg/m<sup>2</sup>/dose). Maximum tolerated dose (MTD) and pharmacokinetics (PK) of IP nab-paclitaxel were determined.

**Results** There were no dose-limiting toxicities (DLTs) in cohorts 1–3. There was a DLT in one of six patients in cohort 4 (112.5 mg/m<sup>2</sup>) (grade 3 neutropenia causing treatment delay > 15 days) and a DLT in one of three patients in cohort 6 (175 mg/m<sup>2</sup>) (grade 4 neutropenia and grade 3 abdominal pain). A second patient in cohort 6 experienced a serious adverse event (cycle 1, grade 4 ANC ≤ 7 days, cycle 4, grade 2 left ventricular dysfunction). This dose level was determined to be above the MTD. No DLTs were seen in seven patients treated in cohort 5 (140 mg/m<sup>2</sup>). The MTD of IP nab-paclitaxel was established at 140 mg/m<sup>2</sup> on days 1, 8, and 15 of a 28-day cycle. There was a PK advantage for IP nab-paclitaxel, with an IP plasma area under the concentration–time curve (AUC) ratio of 147-fold (range 50–403) and therapeutic range systemic drug levels. Eight of 27 enrolled patients had progression-free survival ≥ 6 months. One patient experienced complete response, and one patient experienced partial response. Six patients had stable disease.

**Conclusions** Weekly IP nab-paclitaxel has a favorable toxicity profile, a significant pharmacologic advantage, and promising clinical activity.

**Clinical trial registration** NCT00825201.

**Keywords** Intraperitoneal chemotherapy · Nab-paclitaxel · Pharmacologic advantage · Peritoneal carcinomatosis; ovarian cancer

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## Introduction

Peritoneal carcinomatosis is a typical presentation of advanced ovarian carcinoma and is less commonly the initial presentation for other epithelial carcinomas. Potentially curative therapy exists for ovarian carcinoma at initial presentation; however, therapeutic options for other malignancies presenting with these findings are limited. In ovarian cancer, there is a definite dose–response relationship, with higher doses of chemotherapeutic agents showing higher response rates [1]. Delivery of chemotherapeutic agents to these tumors via intraperitoneal (IP) administration allows increased dose intensity to tumors with the primary site of disease in the peritoneal cavity.

This route of administration confers a pharmacologic advantage allowing large concentrations of active agents to reach the tumor while minimizing systemic toxicity. Several chemotherapeutic agents have been utilized for IP administration including melphalan, cisplatin, carboplatin, 5-fluorouracil, methotrexate, cytosine arabinoside, paclitaxel, gemcitabine, docetaxel, and bleomycin. The pharmacologic advantage of various drugs, defined as the ratio of the peak IP drug level (the highest IP concentration of a drug) to corresponding plasma values, is agent specific and ranges from 7 to almost 8000.

Three sequential randomized Phase III GOG trials demonstrated a survival advantage for IP versus intravenous (IV) chemotherapy in patients with advanced epithelial ovarian cancer with low-volume residual disease after primary debulking surgery [2–4]. The first two studies were not adopted by the oncology community, due to criticism for using an “outdated” platinum regimen which did not include paclitaxel [2] or concern for severe toxicity and inability to deliver IP chemotherapy to a large number of patients [3]. GOG 172, however, had a more significant clinical impact on the treatment of ovarian cancer compared to previous studies [4], where Armstrong et al. demonstrated improved PFS (23.8 versus 18.3 months) and OS (65.6 versus 49.7 months) in patients with advanced, optimally debulked ovarian cancer who received the experimental arm of IP cisplatin and IV/IP paclitaxel compared to IV cisplatin/paclitaxel. Even with the substantial benefit in OS, toxicity of the IP arm of the GOG 172 trial was substantial, explaining why many physicians were reluctant to adopt IP chemotherapy. Nevertheless, the improvement in survival led to the 2006 NCI clinical announcement recommending the use of IP therapy in optimally debulked ovarian patients [5].

Following the publication of these landmark clinical trials and NCI acknowledgement of improved clinical outcomes associated with IP chemotherapy in ovarian cancer, investigators in several centers and cooperative groups

initiated a series of IP trials with other chemotherapy agents or combination regimens, with the ultimate goal to maintain the advantage of regional administration of chemotherapy, while improving the toxicity.

Between 1998 and 2007, our institution reported several phase I studies evaluating IP administration of iododeoxyuridine, docetaxel, and gemcitabine, demonstrating a 67- to 850-fold peritoneal advantage depending on the agent [6–8].

By the mid 2000s, IV nab-paclitaxel (a solvent-free, albumin-bound paclitaxel) had demonstrated antitumor activity in women with metastatic breast cancer, and the IV weekly administration of this agent showed a 15% objective response in patients whose disease progressed despite conventional taxane therapy [9]. The regimen was well tolerated. Evidence of nab-paclitaxel activity in recurrent ovarian cancer after prior taxane exposure was first demonstrated in patients with platinum-sensitive disease [10].

The current study reports the results of a phase I trial in patients with peritoneal carcinomatosis and evaluates IP nab-paclitaxel on days 1, 8, and 15 of a 28-day cycle. The goal of the study was to determine the maximum tolerated dose (MTD) and to evaluate its toxicity. Secondary goals were to evaluate the pharmacokinetics (PK) of IP nab-paclitaxel and to further explore peripheral neuropathy through pre-treatment and sequential evaluation of the Neuropathic Pain Syndrome Inventory and Serial Nerve Conduction Studies.

## Materials and methods

### Patient eligibility

The following patients were eligible for this study: adults with histologically confirmed advanced cancer primarily confined to the peritoneal cavity for which no “standard” chemotherapy regimens existed, with an Eastern Cooperative Group (ECOG) performance status (PS) of 0–2, adequate hematological, hepatic (total bilirubin within normal institutional limits, liver enzymes  $< 2.5 \times$  upper limit of normal, ULN), and renal function (estimated creatinine clearance  $> 60$  mL/min/1.73 m<sup>2</sup>). Prior IP chemotherapy was allowed. Patients with ovarian cancer having residual disease at second-look laparotomy or following secondary debulking were also eligible and were enrolled  $> 4$  weeks after surgery. There was no limit on the number of prior lines of chemotherapy, but the protocol required a 4-week washout from previous chemotherapy or radiotherapy (6 weeks for nitrosoureas or mitomycin C). Prior taxane exposure was allowed if pre-existing sensory neuropathy was  $\leq$  grade 1.

The following patients were not eligible: patients with ongoing abdominal infections, bowel obstruction, and peritoneal adhesions that precluded the placement of an

IP catheter; patients with known brain metastases; pregnant or breastfeeding women; patients with a history of allergic reactions to compounds similar to nab-paclitaxel; and patients with serious or uncontrolled intercurrent illness. Patients with “massive ascites” requiring therapeutic paracentesis were evaluated on an individual basis prior to enrollment. The Institutional Review board (IRB) of the two participating centers, City of Hope, CA and Swedish Cancer Institute, WA, approved the conduct of the trial (ClinicalTrials.gov Identifier NCT 00825201), which was in accordance with the Declaration of Helsinki and Good Clinical Practice. Written informed consent was obtained from all patients prior to inclusion onto the study according to institutional guidelines.

### Study treatment

The study was designed as a 3 + 3 dose escalation clinical trial. Treatment was administered on an outpatient basis. Nab-paclitaxel was administered by IP infusion weekly on days 1, 8, and 15 of a 28-day cycle in successive cohorts of patients with no intra-patient dose escalation. The starting dose was determined by decreasing the usual IV dose by 60% and escalating according to a modified Fibonacci scheme. Doses explored were 35, 70, 90, 112.5, 140, and 175 mg/m<sup>2</sup>. All patients required surgical placement of an IP catheter by standard surgical procedures. Sequential assessment of the sensory neurotoxicity was conducted during the course of treatment and included optional quantitative sensory and nerve conduction tests, peripheral neuropathy composite score, and neuropathic pain syndrome inventory. The assessment was done at baseline, prior to cycle three, and at the end of study. Additional testing was offered to patients who developed grade 3 neuropathy.

### Toxicity evaluation, dose escalation rules, and response assessment

Dose-limiting toxicities (DLTs) and adverse events (AE) were graded by the NCI Common Terminology Criteria of Adverse Events (CTC-AE) version 3.0. Patients were enrolled per dose level in cohorts of three. The MTD was defined as < 2 patients out of six with a DLT and required at least six patients to be treated at that dose. To be evaluable for toxicity, a patient must have completed two out of the three weekly administrations of nab-paclitaxel during the first cycle of treatment or have experienced a DLT. Patients who were not evaluable for toxicity were replaced. All patients who did not experience a DLT were observed for a minimum of 4 weeks after the start of the first course, before the dose level was escalated.

DLTs were defined as any of the following events during the first course of treatment and attributable to

nab-paclitaxel: grade 4 neutropenia lasting more than 7 days or associated with fever or infection; grade 4 thrombocytopenia, or any grade 3 or 4 non hematological toxicity with the following exceptions: grade 3 or 4 nausea or vomiting that occurred without maximal antiemetic therapy; grade 3 or 4 diarrhea due to patient noncompliance with loperamide; grade 3 alopecia; and grade 3 fatigue. Failure to complete at least 66% of planned dose during cycle 1 due to toxicity and any AE that resulted in a delay of treatment for > 15 days were also considered DLTs. All patients underwent baseline radiologic evaluation (CT or PET/CT). Restaging scans were obtained every 8 weeks until progressive toxicity, intolerable toxicity, or patient’s request to discontinue treatment. RECIST 1.0 criteria were used for response assessment.

Plasma and peritoneal samples for PK studies were obtained on cycle 1, day 1 and cycle 1, day 15 pre-instillation, hours 0, 1, 2, 4, 6, 8, 12, 24, and 48 following completion of nab-paclitaxel administration. Total and free paclitaxel in plasma were measured using a modification of the LC–MS/MS method of Gardner et al. [11]. Briefly, after the addition of paclitaxel-d5 (Cambridge Isotope Laboratories, Tewksbury, MA, USA) as an internal standard, total paclitaxel was extracted from plasma by protein precipitation, and free paclitaxel was extracted by ultracentrifugation using a Centrifree micropartition device (EMD Millipore, Billerica, MA, USA). Following extraction, paclitaxel concentrations were determined by reversed-phase liquid chromatography and tandem mass spectrometry. The lower limit of quantitation for paclitaxel was 4 ng/mL, and the intra- and inter-day accuracy and precision of the assay were within  $\pm 10\%$  of target values.

PK data analyses were performed using non-compartmental methods according to the rule of linear trapezoids. Individual PK parameter estimates ( $C_{\max}$  and  $AUC_{0-t}$ ) for total plasma and peritoneal paclitaxel were determined and tabulated using summary statistics (medians and ranges). The pharmacologic advantage of IP nab-paclitaxel was defined as the AUC peritoneal/AUC plasma.

## Results

### Patient characteristics

Twenty-seven patients with peritoneal carcinomatosis secondary to gynecologic ( $n = 14$ ) and gastrointestinal ( $n = 12$ ) malignancies and peritoneal mesothelioma ( $n = 1$ ) were enrolled on this study. The starting dose level was 35 mg/m<sup>2</sup> and escalated to 175 mg/m<sup>2</sup>.

Between April 2009 and November 2014, treatment was initiated in 27 patients. Two patients were not evaluable for cycle 1 toxicity; one patient in the 35 mg/m<sup>2</sup> cohort had port failure, and a second patient in the 70 mg/m<sup>2</sup> cohort, held

dose on cycle 1 day 8, due to leukopenia that did not qualify as a DLT (according to the protocol version at that time, patients receiving less than 80% of cycle 1 planned dose, had to be replaced, unless they experienced a DLT). The clinico-pathologic characteristics of the 27 treated patients are shown in Table 1.

### DLT and the maximum tolerated dose

No DLTs were observed in the first three cohorts. One patient treated in cohort 4 (112.5 mg/m<sup>2</sup>) experienced grade 3 neutropenia resulting in a treatment delay > 15 days, which qualified as a DLT. This cohort was expanded to a total of six patients with no additional DLTs. One DLT was noted on the first patient treated in cohort 6 (175 mg/m<sup>2</sup>) and combined with a second serious AE (SAE); this dose level was closed and determined to be above the MTD. The patient with the DLT had both grade 4 neutropenia and grade 3 abdominal pain possibly related to the IP administration of nab-paclitaxel. The second patient treated in cohort 6 experienced asymptomatic grade 2 left ventricular systolic dysfunction during cycle 4, possibly related to nab-paclitaxel, detected on a routine 2D-echocardiogram. This patient had been exposed to doxorubicin (total dose 180 mg/m<sup>2</sup>) and one cycle of pegylated liposomal doxorubicin 40 mg/m<sup>2</sup> prior

to nab-paclitaxel, but a baseline 2D-echocardiogram prior to enrollment onto this clinical trial had demonstrated a normal ejection fraction. The patient had no prior history of coronary disease and no cardiac complaints at the time the systolic dysfunction was detected. This event did not qualify for a DLT but was considered a SAE. Although a third patient treated in cohort 6 tolerated the treatment well with no major toxicities, this dose level was considered intolerable, and a decision was made to stop accrual to cohort 6 (175 mg/m<sup>2</sup>). The previous cohort, cohort 5, (140 mg/m<sup>2</sup>) had initially enrolled three patients with no DLTs, and this cohort was expanded and enrolled four additional patients. This dose level was well tolerated, and no DLTs were observed in the seven patients treated in this cohort. The MTD of IP nab-paclitaxel was established at 140 mg/m<sup>2</sup> on days 1, 8, and 15 of a 28-day cycle. Table 2 shows dose level summary and Table 3 shows detailed toxicities.

### Response

Clinical response was not an end point on this study due to the potential heterogeneity of the patients. However, 8 of 27 enrolled patients had progression-free survival (PFS) ≥ 6 months. One patient with relapsed ovarian cancer (biochemical recurrence) achieved a complete biochemical response and decided to discontinue the clinical trial after cycle 6 due to abdominal pain and intense treatment schedule interfering with the quality of life. A patient with recurrent carcinosarcoma of the uterus had a partial response and received 12 cycles of IP nab-paclitaxel before progressing on treatment. Six patients had stable disease (Table 4).

### Pharmacokinetics

Plasma and peritoneal nab-paclitaxel PK data were available from 16 to 13 patients, respectively. The data are summarized in Table 5 and time series are shown in Fig. 1 where the separation between plasma and peritoneal concentrations are shown. Total paclitaxel exposure in both the peritoneum and plasma increased in a dose-dependent manner. Furthermore, the intra-patient variability in plasma and peritoneal PK between days 1 and 15 of the first cycle was low (data not shown). The median peritoneal total paclitaxel  $C_{max}$  and  $AUC_{0-t}$  at the defined MTD of 140 mg/m<sup>2</sup> on days 1 and 15 were 73.6 mg/L (range 53.2–97.0) and 259.1 mg/L × h (range 244.0–339.6). The median plasma  $C_{max}$  and  $AUC_{0-t}$  at the MTD days 1 and 15 were 0.5 mg/L (range 0.2–1.0) and 4.4 mg/L × h (range 2.8–11.8). Across all dose levels, the median pharmacologic advantage of IP administration of nab-paclitaxel was 147-fold (range 50–403).

**Table 1** Patient characteristics (N=27)

Age: median (range)	59 (38–77)
Gender	
Male	7
Female	20
ECOG score at screening	
0	3
1	20
2	4
Number of prior systemic chemotherapy treatments	
1	7
2	12
> 2	8
Tumor types	
GI	
Colon/appendix/rectosigmoid/rectum	8
Stomach	2
Pancreas	1
Bile duct	1
GYN	
Ovary/fallopian	9
Uterus	2
Cervix	3
Other (mesothelioma)	1

**Table 2** Treatment summary

Nab-paclitaxel (mg/m <sup>2</sup> )	Patients treated	Patients excluded from course one tox. eval.	Patients excluded from response evaluation	Completed cycles median (range) (excluding ineligible patients for response)	Patients with DLTs	DLT description	Best responses during therapy (all eligible patients for response)
Cohorts 1–35	4	1 <sup>a</sup>	1 <sup>a</sup>	2 (2–2)	0	–	PD—3 NA—1
Cohorts 2–70	4	1 <sup>b</sup>	0	15 (6–18)	0	–	CR—1 SD—3
Cohorts 3–90	3	0	0	2 (2–10)	0	–	SD—1 PD—2
Cohorts 4–112.5	6	0	2 <sup>c</sup>	2 (1–3)	1	Gr3 leukocyte Cnt Decr and Gr3 neutrophil Cnt Decr	SD—2 PD—2 NA—2
Cohorts 5–140	7	0	0	2 (2–12)	0	–	PR—1 SD—3 PD—3
Cohorts 6–175	3	0	1 <sup>d</sup>	2 (1–4)	1 <sup>e</sup>	Gr4 neutrophil Cnt Decr and Gr3 abdominal pain	SD—1 PD—1 NA—1

<sup>a</sup>Second patient did not receive full course of course 1 treatment due to port complications; terminated treatment prior to completion of course 2

<sup>b</sup>Second patient held treatment on day 8 of course 1 due to low wbc (not a DLT)

<sup>c</sup>Second patient terminated treatment due to toxicity and the third patient died (sepsis) prior to the first disease evaluation

<sup>d</sup>Third patient off treatment for toxicity prior to first disease evaluation

<sup>e</sup>Second patient on arm 6 had grade 4 ANC, and decr ejection fraction cycle 4

## Discussion

Nab-paclitaxel is a cremophor-free 130-nm nanoparticle of albumin-stabilized paclitaxel. This formulation can increase the intratumoral concentration of paclitaxel by a receptor-mediated transport process across the endothelial cell wall [12]. Additional advantages include the avoidance of the cremophor EL medium and ease of administration. The major toxicity of this agent is hematologic. Nab-paclitaxel has demonstrated a high degree of activity in metastatic breast, lung, and pancreatic cancer [9, 13, 14] and also in ovarian and gastric malignancies [10, 15, 16]. Teneriello et al. demonstrated an objective response rate of 64% in platinum-sensitive ovarian patients treated with nab-paclitaxel 260 mg/m<sup>2</sup> [10]. The weekly regimen of nab-paclitaxel was also evaluated in platinum and taxane refractory ovarian patients with evidence of a 23% partial response rate and 36% stable disease [15].

Nab-paclitaxel appears as an attractive chemotherapy option in taxane-sensitive malignancies due to similar or improved efficacy compared to conventional solvent-based paclitaxel (sb-paclitaxel) and also due to a favorable toxicity profile.

Several clinical studies have demonstrated the favorable PK of paclitaxel-administered IP [17–21], with cytotoxic

drug levels maintained in the peritoneal cavity for several days [18, 22]. A preclinical study using a mouse peritoneal model with subcutaneous xenografts evaluated the antitumor activity of nab-paclitaxel-administered IV or IP compared to conventional IP paclitaxel administered at equitoxic doses [23]. Treatment with either IV or IP nab-paclitaxel achieved greater survival benefit compared to conventional IP paclitaxel.

Our study represents the first trial of IP nab-paclitaxel in patients with peritoneal carcinomatosis. We enrolled 14 patients with gynecologic malignancies (9 of which had ovarian cancer) 12 patients with gastrointestinal tumors (8 of which had colon cancer) and 1 patient with peritoneal mesothelioma. The MTD of IP nab-paclitaxel was established at 140 mg/m<sup>2</sup> on days 1, 8, and 15 of a 28-day cycle. We demonstrated that this regimen was feasible and had a favorable toxicity profile. IP catheter complications in our study were minimal and led to discontinuation of treatment in only two patients. Only three patients experienced abdominal pain related to the IP administration of nab-paclitaxel. Eight out of 27 enrolled patients had PFS of more than 6 months.

At each dose level, we found a large PK advantage of IP administration of nab-paclitaxel. Across all dose levels of nab-paclitaxel, the median IP versus IV AUC<sub>0–t</sub> was 147-fold (range 50–403), resulting in increased peritoneal

**Table 3** Treatment-related toxicity, arm by grade, *n* (%)

Adverse event	Treatment arm by grade, <i>n</i> (%)																							
	Nab-paclitaxel 35 mg/m <sup>2</sup> ( <i>n</i> =4)				Nab-paclitaxel 70 mg/m <sup>2</sup> ( <i>n</i> =4)				Nab-paclitaxel 90 mg/ m <sup>2</sup> ( <i>n</i> =3)				Nab-paclitaxel 112.5 mg/ m <sup>2</sup> ( <i>n</i> =6)				Nab-paclitaxel 140 mg/m <sup>2</sup> ( <i>n</i> =7)				Nab-paclitaxel 175 mg/m <sup>2</sup> ( <i>n</i> =3)			
	2	3	4	2	3	4	2	3	4	2	3	4	2	3	4	2	3	4	2	3	4	2	3	4
AST, SGOT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Albumin, serum low	0	0	0	1(25%)	0	0	0	0	0	0	1(17%)	0	0	0	0	0	0	0	0	0	0	0	0	
Alkaline phosphatase	0	0	0	0	0	0	0	1(33%)	0	1(17%)	0	0	0	0	0	0	0	0	0	0	0	0	0	
Amylase	0	0	0	0	0	1(25%)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Anorexia	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1(17%)	0	0	0	0	0	0	0	0	
Diarrhea	0	0	0	1(25%)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Distension/bloating, abdominal	0	0	0	1(25%)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Fatigue	0	0	0	2(50%)	0	0	1(33%)	0	0	1(17%)	1(17%)	0	0	0	0	0	0	0	0	0	0	0	0	
Glucose, serum high	0	0	0	1(25%)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Hair loss/alopecia	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Hemoglobin	1(25%)	0	0	2(50%)	0	0	2(67%)	0	0	1(17%)	1(17%)	0	0	0	0	0	0	0	0	0	0	0	0	
Infection	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Left ventricular systolic dysfunction	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Leukocytes	0	0	0	1(25%)	1(25%)	0	0	0	0	0	0	0	0	0	2(33%)	1(17%)	1(17%)	0	0	0	0	0	0	
Lipase	0	0	0	0	0	0	1(25%)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Lymphopenia	0	0	0	0	0	0	2(50%)	1(33%)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Mucositis/stomatitis	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Neuropathy	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Neutrophils/granulocytes	0	0	0	1(25%)	1(25%)	0	0	0	0	0	0	0	0	0	2(33%)	1(17%)	1(17%)	0	0	0	0	0	0	
Pain	2(50%)	0	0	1(25%)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Pancreatitis	0	0	0	0	0	1(25%)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Phosphate, serum low	0	0	0	1(25%)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Platelets	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Proteinuria	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Rash/desquamation	0	0	0	0	0	1(25%)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Sodium, serum low	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Ulcer, GI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Vomiting	1(25%)	0	0	2(50%)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

**Table 4** Patients who had progression-free survival  $\geq 6$  months

Patient number	Dose level	Prior regimens <sup>a</sup>	Response	Disease site	Cycles
5	2	4	CR	Ovary	6 (off for abdominal pain)
6	2	1	SD	Pylorus (signet ring cell)	12 (off for PD)
7	2	1	SD	Pancreas	18 (off for PD)
8	2	2	SD	Appendix (mucin)	18 (off for PD)
10	3	4	SD	Appendix (mucin producing)	10 (off for PD)
13	4	2	SD	Cervix uteri	1 (off for DLT)
18	5	1	PR	Uterus	12 (off for PD)
24	5	2	SD	Cervix uteri	6 (chemical peritonitis and pleural effusion)

<sup>a</sup>Count includes adjuvant chemotherapy and subsequent regimens for recurrent disease

**Table 5** Pharmacokinetics nab-paclitaxel

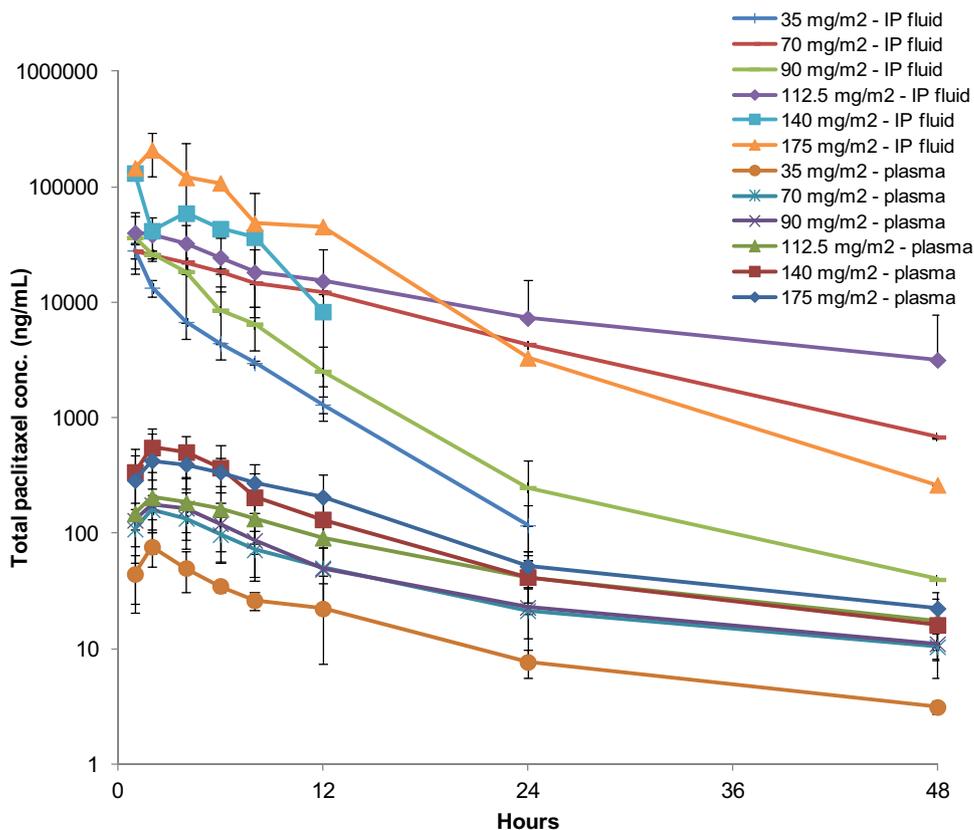
Dose level (mg/m <sup>2</sup> )	Study day	N	$C_{\max}$ (mg/L) <sup>a</sup>		$AUC_{0-t}$ (mg/L × h)		
			Plasma	Peritoneal	Plasma	Peritoneal	Ratio
35	Cycle 1, day 1	1	0.06	25.1	0.6	89.3	148
35	Cycle 1, day 15	1	0.06	31.1	0.5	93.2	186
70	Cycle 1, day 1	1	0.1	24.9	1.5	299.2	199
70	Cycle 1, day 15	1	0.1	30.3	1.6	321.4	201
90	Cycle 1, day 1	2	0.2 (0.1–0.2)	39.8	1.6 (1.1–2.2)	206.8	94
90	Cycle 1, day 15	2	0.2 (0.1–0.2)	46.1 (33.9–58.4)	1.9 (1.1–2.7)	193.9	72
112.5	Cycle 1, day 1	4	0.3 (0.04–0.4)	65.8 (29.3–1473.1)	3.0 (0.8–4.2)	304.9 (210.5–427.2)	134 (50–389)
112.5	Cycle 1, day 15	4	0.2 (0.03–0.3)	34.5 (28.0–79.4)	2.5 (0.6–3.7)	289.6 (229.9–358.0)	118 (88–403)
140	Cycle 1, day 1	5	0.5 (0.2–0.5)	65.8	4.3 (3.6–6.3)	339.6	116
140	Cycle 1, day 15	5	0.5 (0.3–1.0)	73.6 (53.2–97.0)	4.5 (2.8–11.8)	251.5 (244.0–259.1)	239 (87–392)
170	Cycle 1, day 1	3	0.4 (0.3–0.5)	243.3	6.0 (4.8–7.2)	622.7	260
170	Cycle 1, day 15	3	0.7 (0.4–1.0)	146.8	3.2	978.0	306

<sup>a</sup>Median (range)

drug exposure. The inter- and intra-patient variabilities appear to be low. At the defined MTD of weekly IP nab-paclitaxel of 140 mg/m<sup>2</sup>, the median peritoneal total paclitaxel  $C_{\max}$  and  $AUC_{0-t}$  were 73.6 mg/L (range 53.2–97.0) and 259.1 mg/L × h (range 244.0–339.6), with median plasma  $C_{\max}$  and  $AUC_{0-t}$  of 0.5 mg/L (range 0.2–1.0) and 4.4 mg/L × h (2.8–11.8). These results are identical to plasma  $AUC_{0-t}$  obtained after the weekly IV administration of nab-paclitaxel at 100–130 mg/m<sup>2</sup>. This indicates that in addition to achieving high local drug levels in the peritoneum, patients are exposed to therapeutic systemic drug levels as well. The pharmacologic advantage for IP nab-paclitaxel appears lower compared to the  $C_{\max}$  and  $AUC_{0-t}$  ratios of IP conventional paclitaxel, which are approximately 800–1000 and 550–2000, respectively [17–21]. Possible explanations include differences in methods of drug concentration measurement, volume of carrier solution, treatment frequency, and patient population. Compared to other drugs that are frequently used in ovarian cancer such as cisplatin

and carboplatin, which have IP to IV ratios of approximately 5–20 [24], our study demonstrated a significant peritoneal advantage for nab-paclitaxel. This chemotherapy agent had a low frequency of abdominal pain, which allowed a dose escalation at higher doses than anticipated. This compares favorably to the IP administration of conventional paclitaxel, for which abdominal pain was the DLT [17]. Fourteen patients underwent voluntary neurological exams, nerve conduction studies, and quantitative sensory nerve testing at baseline and at pre-cycle three. Although there was a slight trend towards worsening neuropathy, no significant differences were found for any of the measured parameters. Only one patient, with stage IV carcinosarcoma of the uterus, with base line grade 1 peripheral neuropathy, developed grade 2 motor neuropathy related to IP nab-paclitaxel after cycle 12 of treatment. The neuropathy was confirmed by a nerve conduction study that was compared to two prior nerve conduction studies demonstrating new bilateral axonal neuropathy. The patient discontinued treatment after cycle

**Fig. 1** Total paclitaxel concentrations in intraperitoneal fluid and plasma. Symbols represent the means and the error bars are standard deviations



12 due to progressive disease and toxicity. Her grade 2 neuropathy improved to grade 1, 4 months after discontinuing nab-paclitaxel.

This study took 68 months to determine the MTD. This is more than double the national average for Phase I cancer studies and represents a significant barrier for IP investigations. Several causes were apparent: (1) starting far below the IV dose added multiple dose levels that increased the duration and may not be necessary in future IP studies; (2) the screening period was very long (average of 1 month and as long as 3 months) and involved normal screening procedures plus the additional port placement and possible additional debulking at time of port placement; this necessitated subsequent additional recovery time for up to 6 weeks; (3) the number of screen failures, due both to screening tests, port failures and other intercurrent illnesses that can occur in the screening period was high at 40% (18/45 patients consented), which is due to the limited number of slots in a 3 + 3 provides additional delays, and (4) the patient interarrival times were longer than standard Phase I patients due to the specific candidacy requirements. Based on the assumption of a 30-day mean interarrival time, a 40% screen failure and other parameters to estimate the above logistical considerations, simulations show an expected duration of 68 months if dose level 5 was selected as the MTD, consistent with our observation. This consistent observation has led us to

make several suggestions to improve the feasibility of future IP studies. First, adding an additional site could reduce the mean interarrival time to 14 days and reduce the study duration to 47 months, which is still considerable. Second, starting at 70% of the IV dose rather than 1/3 the IV dose reduces the duration to 38.9 months. Last, applying queue-based modifications of the 3 + 3 design (manuscript in submission) results in an expected duration of 29.0 months, which is a duration that would allow IP studies to be completed with an acceptable duration.

The study completed accrual in 2014 and was reported at ASCO 2015 [25]. Around the same time, Wright et al. reported on the use and effectiveness of IP/IV chemotherapy at six National Comprehensive Cancer Network (NCCN) centers, including our institution [26]. The authors concluded that the use of IP/IV chemotherapy increased significantly at NCCN centers between 2003 and 2012, especially after the publication of GOG 172, and this approach was associated with significantly improved overall survival (3-year overall survival, 81% vs 71%; hazard ratio 0.68; 95% CI 0.47–0.99).

Our initial intent was to develop larger studies utilizing IP nab-paclitaxel either as a single agent or in combination with platinum agents in a more homogenous patient population to further demonstrate the efficacy of peritoneal administration of nab-paclitaxel. The extended duration

of this IP Phase 1 trial has lead us to consider several modifications to our approach for future similar studies, including adding an additional center and adopting a queue-based Phase I design that combined can reduce the expected study duration by more than half.

However, the preliminary results of the phase III randomized GOG 252 trial added more questions than answers on the role of IP chemotherapy [27]. This study evaluated three arms: a standard IV arm of 3-weekly carboplatin and weekly paclitaxel based on the encouraging results of JGOG 3016 [28], an IP dose reduced cisplatin arm, and an additional arm substituting IP carboplatin for cisplatin. All arms included IV bevacizumab. The study failed to demonstrate a PFS advantage from IP chemotherapy over IV dose-dense chemotherapy in patients who have undergone optimal primary debulking surgery. Survival data are not yet mature.

This negative trial is challenging the benefit of IP chemotherapy compared to dose-dense IV chemotherapy. Dose reductions of paclitaxel and cisplatin may have compromised the efficacy of IP chemotherapy. The addition of bevacizumab to all arms of study may have been a confounding factor that equalized the efficacy of IP treatment to that of IV dose-dense treatment.

Another IP study, the Japanese iPocc trial (ClinicalTrials.gov identifier: NCT01506856), is still ongoing, but is unlikely to have an international impact because trials showing a benefit for Japanese patients have not always been confirmed in western populations.

It is unlikely that large, conventional IP chemotherapy studies will be developed by cooperative groups. New techniques of IP chemotherapy, such as pressurized intraperitoneal aerosol chemotherapy (PIPAC) [29, 30], may stimulate the interest in regional delivery of chemotherapy agents including nab-paclitaxel. In addition, novel IP trials incorporating targeted agents or immunotherapy may prove beneficial for patients with carcinomatosis due to gynecologic or gastrointestinal malignancies.

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## Compliance with ethical standards

**Conflict of interest** MC receives personal fees from Astra Zeneca and VC is a member of the Celgene Speaker's Bureau.

**Ethical approval** All procedures performed in the study involving human participants were in accordance with the ethical standards of the institutional and/or national research committee, and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

**Informed consent** Informed consent was obtained from all individual participants included in the study.

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