



## Automatic discrimination of neoplastic epithelium and stromal response in breast carcinoma



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### ABSTRACT

**Background and objectives:** In breast carcinoma, epithelial–stromal interactions play a pivotal role in tumor formation and progression, and it must be accurately assessed for a correct extraction of predictive and prognostic biomarkers. Evaluation of preoperative (baseline) neoplasia/stroma ratio and the enumeration of tumor infiltrating lymphocytes (TIL) represent only two conditions in which precise discrimination of cancer epithelium and stromal reaction are relevant. However, subjectivity and expertise of the operators may lead to different degrees of assessment.

**Methods:** In this paper, we present a fully automated method for the discrimination between neoplastic epithelium and stromal reaction in breast carcinoma. Starting from cell nuclei, the proposed method implements computer vision strategies to split the neoplastic epithelium tissue from the stromal reaction.

**Results:** The algorithm is tested on 100 H&E (hematoxylin and eosin) stained images representative of 10 different cases of invasive carcinoma. The algorithm performance in the detection of neoplastic epithelium (compared to manual annotations by an expert pathologist) gave a  $F1_{SCORE}$  of 0.8894 and mean  $jaccard_{INDEX}$  of 0.8481.

**Conclusions:** To the best of our knowledge, the proposed method is the first fully automated algorithm for the discrimination between neoplastic epithelium and stromal reaction in H&E stained images of breast tissue. The proposed approach paves the way for an automated and quantitative analysis of predictive and prognostic biomarkers in breast carcinoma.

### 1. Introduction

The analysis of histological images of breast carcinoma is the standard clinical practice for the diagnosis and prognosis of breast cancer development. In routine histology, the most widely used staining method to visualize tissues is hematoxylin and eosin (H&E), which allow to distinguish cell nuclei (bluish color - hematoxylin) from cytoplasm (pinkish color - eosin) [1]. Pathologists manually perform the tissue analysis under a microscope and their experience directly influence the accuracy of the diagnosis [2].

A generally underrated problem related to image analysis of cancer on histopathological slides is the correct identification of neoplastic epithelium and its distinction from stromal reaction. In most cases, the focus is on the neoplastic epithelium where morphological measures (nuclear pleomorphism, glands formation) and immuno-phenotypical quantifications (proteins expression) are performed. In other cases, the stromal component is analyzed (quantification of tumor infiltrating

lymphocytes, characterization of stromal reaction type). In both cases, morphological and immuno-phenotypic characterization of the tumor can predict the breast carcinoma behavior and its prognosis [3–5] and, of course, should only be evaluated on the correct tumor component (epithelial vs stromal). In addition, the direct estimation of tumor/stroma ratio (the ratio of tumor volume occupied by neoplastic epithelium and stroma) has relevance in the determination of the pathological response to neoadjuvant therapy [6] and represents a novel prognostic parameter [7].

Since most of the current pathology diagnosis processes are based on the subjective opinion of pathologists, solutions for the quantitative assessment of histological images would have scope of application. In particular, an automatic system for the analysis of histological breast tissue could assist pathologists by providing objective results, quantitative measurements or even a second opinion.

In the last decade, several algorithms have been proposed for the automatic analysis of breast carcinoma [8–10]. These methods are

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based on multi-resolution algorithms [11,12], nuclear features [13,14], and deep neural networks [15–17] to perform cancer detection and grading. In particular, deep neural networks have driven advances in image recognition and they achieved state-of-art performance in many segmentation tasks of medical imaging. Above all, convolutional neural networks (CNNs or Mask RCNNs) have shown promising results in the analysis of histological images. Conceptually, these techniques act like classifiers, which are trained to recognize the presence or the absence of tumoral tissue within the [18] image. None of them is specifically designed to discriminate between neoplastic epithelium and stromal response. Furthermore, these methods do not perform a segmentation of the tumor areas; hence, they cannot provide accurate contours of the cancer tissue. This is also due to the extreme inter-tumoral and intra-tumoral variability of neoplastic epithelium morphology, which is a major challenge for an automatic segmentation of the cancer area.

To the best of our knowledge, no fully-automated solutions have been proposed so far for the segmentation of neoplastic epithelium in H & E stained images of breast tissue. In this paper, we present an adaptive and automatic method for the discrimination between neoplastic epithelium and stromal response in breast carcinoma. The proposed algorithm is tested on 100 H&E stained images representative of 10 breast cancer cases and automatic results are compared with manual annotations made by an expert pathologist. In the following section, an exhaustive description of the method is provided.

## 2. Materials and method

### 2.1. Image database

Our dataset consists of 100 H&E stained images from 10 different cases of invasive non-special type (according to WHO 2012 classification [19]) carcinoma. Each image is extracted with a fixed dimension of 600x1200 pixel from a whole-slide scan digitalized at 20x magnification. 50 images include both neoplastic epithelium and stromal reaction, 25 has only neoplastic epithelium and 25 images are extracted without cancer tissue in the field-of-view. One expert pathologist (M.B.) manually annotated the neoplastic epithelium contours in each image. The overall dataset composition is shown in Table 1.

### 2.2. Algorithm architecture

The proposed algorithm is designed to automatically detect neoplastic epithelium contours in H&E stained images of breast tissue. The algorithm is developed using MATLAB (MathWorks, Natick, MA, USA) environment and runs on a workstation with a 3.5 GHz octa-core CPU and 64-GB of RAM. The procedure of the proposed method is schematically described in Fig. 1. Two main steps compose the processing: i) nuclei segmentation and classification, ii) neoplastic epithelium detection. In the following sections, a detailed description of the algorithm is provided.

#### 2.2.1. Nuclei segmentation and classification

The first step of the algorithm is the cell nuclei segmentation inside the image. This task is performed using a multi-tissue and multi-scale approach for nuclei detection adopted in our previous works [20,21]. Briefly, the RGB image of the histological specimen (Fig. 2a) is

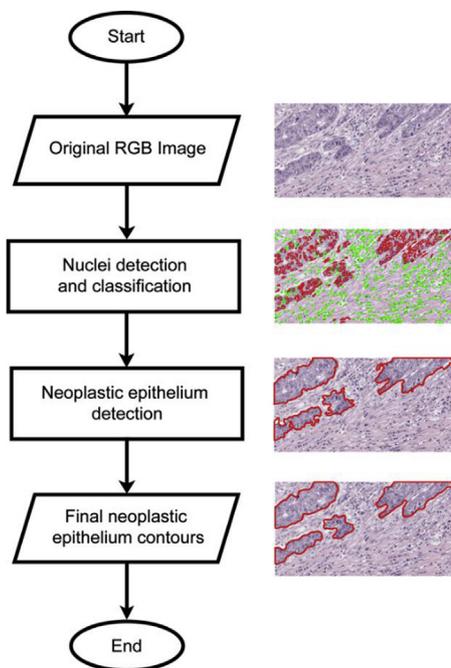


Fig. 1. Schematic representation of the proposed algorithm.

converted into grayscale and a novel object-based detection is applied to obtain a raw mask of nuclei. Then, area-based corrections and nuclei separation are performed to identify the cells boundaries (Fig. 2b).

In order to find tumor areas, each nucleus needs to be classified according to a certain pattern (*tumor* or *no-tumor*). A feed-forward artificial neural network (ANN) is used to classify each cell. Five images were randomly selected to train the network. For each image, the pathologist manually drew all nuclei and assigned a pattern for each of them. Then, the total number of nuclei (9316) was randomly divided into 3 sets: the training set (70% = 6522 cells), development set (15% = 1397 cells), and test set (15% = 1397 cells). Five first-order texture descriptors [22,23] were extracted from each cell and used as input features for the ANN: *mean*, *variance*, *skewness*, *kurtosis* and *entropy*. The detailed features description is provided in Table 2. Nuclear texture is used to classify each cell as cancer nuclei have a distinct morphology characterized by coarse chromatin texture [24]. Where  $N$  indicates the cell area,  $I(x,y)$  denotes the grayscale image of the nucleus and  $p$  represents its normalized histogram.

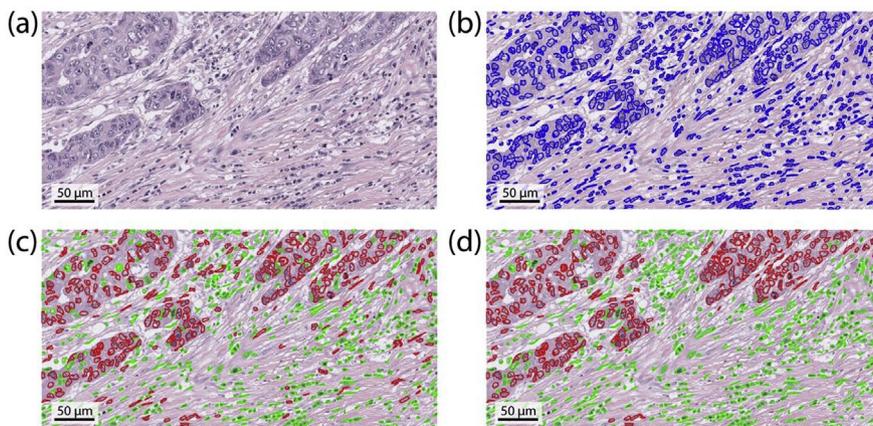
In order to understand the feature's relation to the response variable (*tumor/no-tumor* nuclei), the Pearson correlation coefficient is also computed [25]. All five input features are used to train the neural network because, for each of them, the absolute value of the Pearson coefficient is always higher than 0.3. Feature standardization is applied to obtain variables with zero-mean and unit-variance. During network training, the Levenberg-Marquardt backpropagation [26] and the mean squared normalized error (mse) are used as optimization algorithm and cost function respectively. Finally, the number of epochs is set to 100.

Several network configurations were tested, varying the network parameters (#units, #layers, activation functions and learning rate) and, for each configuration, the errors on the train and dev sets were evaluated. The optimal neural network was chosen in two steps. First of all, the ANNs with a maximum difference between the train and development error equal to 3% were selected to avoid overfitting. Among these, the optimal configuration is chosen as the one with the minimum train/dev mean error to ensure best performance. The optimal network is then used to classify all the cell nuclei detected by the proposed algorithm (Fig. 2c).

Since some nuclei can be misclassified, an iterative three-steps refining process is applied to better define the tumor areas:

Table 1  
Dataset composition.

Tissue condition	#Images
Neoplastic epithelium and stromal reaction	50
All neoplastic epithelium	25
No neoplastic epithelium	25
<b>Total</b>	<b>100</b>



**Fig. 2.** Nuclei detection and classification. (a) original RGB image, (b) nuclei detection, (c) raw nuclei classification (red: tumor nuclei, green: no-tumor nuclei), (d) nuclei classification after refining process. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

**Table 2**

Input features of the artificial neural network to perform nuclei classification.

Feature	Mathematical definition
Mean ( $m$ )	
Variance ( $\sigma^2$ )	
Skewness ( $S_k$ )	
Kurtosis ( $K_k$ )	
Entropy ( $E$ )	

1. For each cell classified as *no-tumor*, a Region of Interest (ROI) of  $40 \times 40 \mu\text{m}$  surrounding that cell is defined. If inside that ROI at least 95% (*conversion percentage*) of the nuclei has been classified as *tumor*, then the cell is now labeled as *tumor*;
2. For each cell classified as *tumor*, a Region of Interest (ROI) of  $40 \times 40 \mu\text{m}$  is also defined. If inside that ROI at least 95% of the nuclei has been classified as *no-tumor*, then the cell is now labeled as *no-tumor*;
3. Lowering of the *conversion percentage* by 5%. These steps are repeated until the conversion percentage reaches 75%.

The procedure described above allowed to decrease the number of misclassified nuclei. An example of the refining process is shown in Fig. 2d.

### 2.2.2. Neoplastic epithelium detection

Once performed nuclei detection and classification, only nuclei labeled as *tumor* are considered for the next steps of the processing (Fig. 3a). Centroids of tumor cells are extracted, and a density-based clustering is performed to segment tumor areas. In particular, a spatial clustering named DBSCAN (Density-Based Spatial Clustering of Application with Noise) is applied to each nuclei centroid [27]. This algorithm finds neighbors of data points, within a circle of radius  $\epsilon$ , and adds them into same cluster. For any neighbor point, if its  $\epsilon$ -neighborhood contains at least a predefined number of points ( $min_{POINTS}$ ), the cluster is expanded to contain its neighbors, as well. However, if the number of points in the neighborhood is less than  $min_{POINTS}$ , the point is considered to be noise and it is deleted. An iterative two-steps DBSCAN is performed by the proposed method, starting from  $\epsilon = 200 \mu\text{m}$  and  $min_{POINTS} = 5$ . We choose an initial  $\epsilon$ -neighborhood of  $200 \mu\text{m}$  as it represents the average cell density in the tumor zones while we set a  $min_{POINTS}$  equal to 5 since a tumor area has always more than 5 cells. The iterative DBSCAN follows two steps:

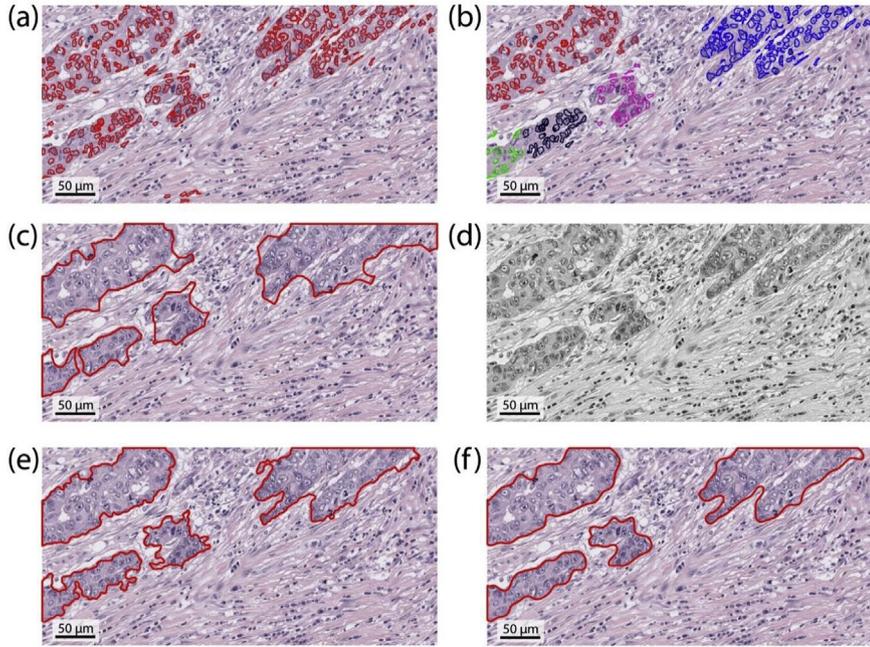
1. Application of the DBSCAN on nuclei centroids using the current values of  $\epsilon$  and  $min_{POINTS}$ ;

2. Comparison between the number of cells before and after the clustering. If the difference is less than 5%,  $\epsilon$  is decreased by  $20 \mu\text{m}$  and the algorithm returns to step 1.

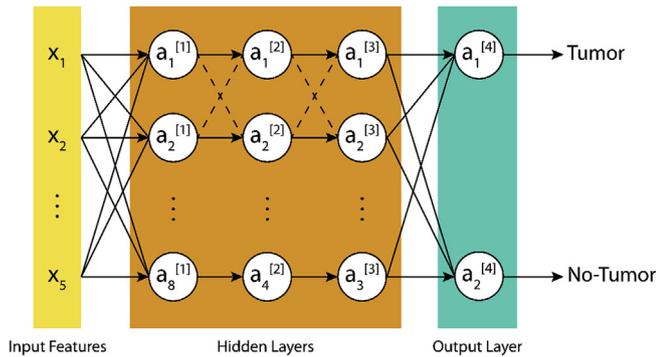
These two steps are repeated until more than 5% of nuclei are deleted by DBSCAN or  $\epsilon$  reaches  $50 \mu\text{m}$ . In this way, the clustering sensitivity is adapted for each image by varying the neighborhood size ( $\epsilon$ ). The application of the iterative DBSCAN is shown in Fig. 3b. The refining process (section 2.2.1) is essential for the DBSCAN performance. In fact, in the presence of healthy cells inside tumor areas, the spatial clustering could disconnect the tumor areas with a consequent underestimation of the neoplastic epithelium area. On the other hand, if the tumor cells are scattered within the stroma, the DBSCAN could underestimate the number of clusters and consequently overestimate the tumor areas. Using the iterative process as preprocessing step, it is possible to maximize the performance of the spatial clustering (Fig. 3b). For each cluster, the proposed method extracts the circumscribed polygon to all the nuclei of that cluster (Fig. 3c). However, the result obtained is still sub-optimal, so further steps are performed to get an accurate contour of the neoplastic epithelium.

The proposed method applies an active contour model to improve the detection of tumor borders. In particular, the Chan-Vese region-based energy model is implemented as described in Ref. [28]. This model could detect objects whose boundaries are not necessarily defined by gradient. The Chan-Vese active contours is based on techniques of curve evolution [29], Mumford–Shah functional for segmentation [30] and level sets [31]. For each image of the dataset, this model allows to obtain accurate contours of tumor areas, even if the gradient between neoplastic epithelium and stromal response was very low. The Chan-Vese active contours is applied to the image  $I_1 = I_R - I_B$ , where  $I_R$  and  $I_B$  are the red and blue channels of the considered image  $I$ , respectively. This channel subtraction aims to brighten the stromal response while simultaneously darkening everything belonging to the neoplastic epithelium (Fig. 3d). The tumor polygons obtained in the previous step are used as the initial contour at which the evolution of the segmentation begins, and the number of iterations is set to 100. The result obtained after the application of Chan-Vese model is illustrated in Fig. 3e.

Morphological operators are then applied to tumor areas to obtain smoother contours. This process is composed of three steps: i) morphological erosion using a disk with  $5 \mu\text{m}$  radius, ii) removal of areas smaller than  $100 \mu\text{m}^2$  and iii) morphological dilation using the same structural element of the previous erosion. Finally, boundaries between neoplastic epithelium and stromal response are interpolated using the Savitzky-Golay filter [32]. The polynomial order is set to 11 with a window size of 201. The final result provided by the proposed method is shown in Fig. 3f.



**Fig. 3.** Neoplastic epithelium detection. (a) nuclei classified as tumor, (b) density-based nuclei clustering (each color represents one cluster), (c) circumscribed polygon for each tumor zone, (d) starting image for active contours (e) tumor boundaries after active contours, (f) final neoplastic epithelium contours. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)



**Fig. 4.** Neural network architecture for nuclei classification.

Finally, one of the three tissue conditions is associated to each image following these rules:

1. If the whole image is recognized as a tumor area, then the tissue condition is *all neoplastic epithelium*;
2. If there is no tumor area, then the tissue condition is *no neoplastic epithelium*;
3. In all other cases the tissue condition is *neoplastic epithelium and stromal reaction*.

### 2.3. Performance measures

A comparison between masks drawn by a manual operator ( $MASK_{MANUAL}$ ) and those provided by the proposed method ( $MASK_{AUTOMATIC}$ ) is carried out to assess the algorithm performance in the segmentation of neoplastic epithelium. True positive (TP) is the number of pixels in common between manual and automatic masks, false negative (FN) denotes all pixels not identified by the algorithm and false positive (FP) represents all the pixels identified by the automatic method but not by the manual operator. The segmentation performance is evaluated by calculating the *sensitivity*, *specificity*, *precision*,  $F1_{SCORE}$  and  $jaccard_{INDEX}$ , defined as follows:

$$sensitivity = \frac{TP}{TP + FN}$$

$$specificity = \frac{TN}{TN + FP}$$

$$precision = \frac{TP}{TP + FP}$$

$$F1_{SCORE} = \frac{2TP}{2TP + FP + FN}$$

$$jaccard_{INDEX} = \frac{|MASK_{MANUAL} \cap MASK_{AUTOMATIC}|}{|MASK_{MANUAL} \cup MASK_{AUTOMATIC}|}$$

In detail, *sensitivity* measures the missed detection of ground truth shapes, *specificity* assesses the true negative fraction, *precision* evaluates the false detection of ghost objects,  $F1_{SCORE}$  is defined as the harmonic mean of sensitivity and precision [33], and the  $jaccard_{INDEX}$  measures similarity between two different shapes, defined as the size of the intersection divided by the size of the union of the segmented object [34].

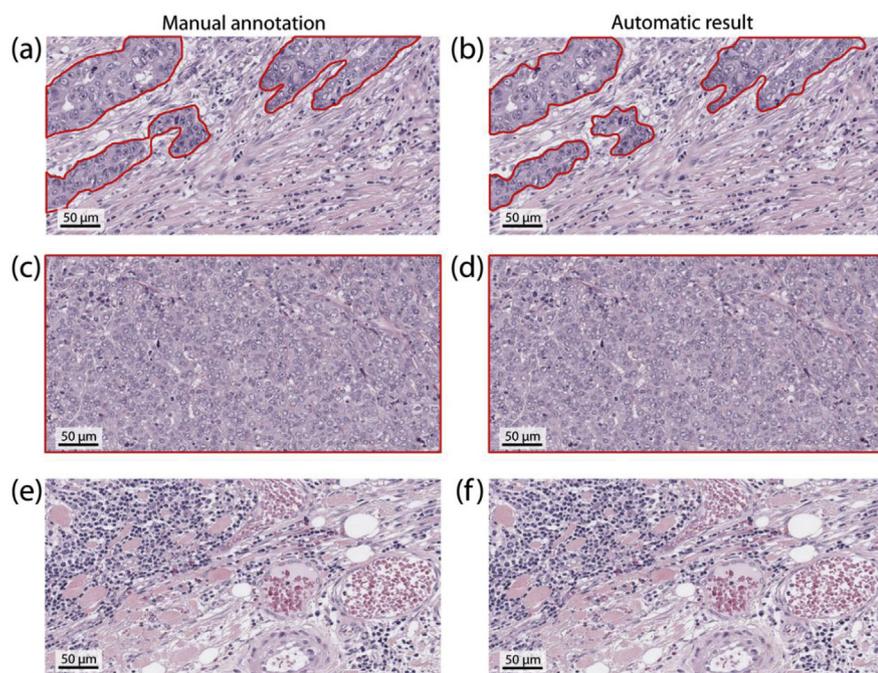
### 3. Results

The optimal network configuration used in this work has three hidden layers, with respectively 8, 4 and 3 units (Fig. 4). All layers have a tan-sigmoid transfer function while the output layer has a linear transfer function. The network learning rate is set to 0.01. The network for nuclei classification achieves an average accuracy of 94.64% (training set) and 94.29% (development set). In the test set, the network obtains a mean accuracy of 95.22% (with a precision, recall and  $F1_{SCORE}$  of 93.35%, 97.77% and 95.51%, respectively). The five random images selected to train the ANN were excluded from the validation process.

The algorithm obtains a 100% of accuracy in the identification of the tissue condition for all the images. An example of manual and automatic segmentation in the three tissue conditions is shown in Fig. 5.

The performance of the proposed method in the discrimination between neoplastic epithelium and stromal response is also assessed. In order to perform this kind of evaluation, images should contain both neoplastic epithelium and stromal response. For this reason, only images labeled as *neoplastic epithelium and stromal response* are used. The results of the comparison between manual and automatic segmentation are summarized in Table 3.

The algorithm can be considered very performing in the detection of neoplastic epithelium, with very high average values of *sensitivity*,



**Fig. 5.** Comparison between manual and automatic segmentation in the three tissue conditions. First column illustrates the manual annotations while second column shows the corresponding automatic results. (a,b) Image labeled as neoplastic epithelium and stromal response, (c,d) image with all neoplastic epithelium, (e,f) image without neoplastic epithelium.

*specificity*, *precision*,  $F1_{SCORE}$ , and  $jaccard_{INDEX}$  thus demonstrating the accuracy of the method (Table 3). For all images, *specificity* and *precision* are always higher than 0.90. In addition to being accurate, the proposed method is also fast, with an average computational time of 18.21 s.

Fig. 6 shows some examples of manual and automatic segmentation of neoplastic epithelium in different patients.

#### 4. Discussion

In the present study, we propose a fully automatic method for the discrimination between neoplastic epithelium and stromal reaction in H & E stained images of breast tissue. The distinction between neoplastic epithelium and stromal reaction is essential in the correct extraction of predictive and prognostic biomarkers for breast carcinoma [3,5]. Besides representing an independent prognostic factor, comparison of preoperative (baseline) tumor/stroma ratio on biopsy and after chemotherapy on the surgical specimen is an obligate step for efficacy evaluation of neoadjuvant therapy [6].

Starting from cell nuclei, our technique is able to detect neoplastic epithelium boundaries without any user interaction. The proposed method is tested on 100 H&E stained images of breast tissue and automatic results are compared with manual annotations of an expert pathologist.

The comparison between manual and automatic segmentation shows high performances of the proposed technique (Table 3). In particular, an average  $F1_{SCORE}$  of 0.8894 coupled to a mean  $jaccard_{INDEX}$  of 0.8481 is obtained. These high performances are mainly due to the combination of an accurate cell nuclei detection/classification and adaptive techniques (spatial clustering and active contours).

The proposed method needs an image acquired with at least 20x magnification otherwise, with a lower resolution, the ANN fails the nuclear texture classification. As a consequence, the algorithm is not able to correctly discriminate between neoplastic epithelium and

stromal response. Our method shows excellent performance in images with large extension of tumor areas (Fig. 7a–b). However, the algorithm accuracy slightly decreases with a lobular-structure tumor (Fig. 7c–d) due to the imposed parameters (i.e. settings of the spatial clustering and active contours).

During the algorithm tuning, the two main parameters of DBSCAN (initial value of  $\epsilon$ ,  $min_{POINTS}$ ) and the number of iterations of the Chan-Vese active contour model are optimized. Low values of  $\epsilon$  ( $< 200 \mu\text{m}$ ) and  $min_{POINTS}$  ( $< 5$ ) produce an underestimation of tumor areas while too high values of  $\epsilon$  ( $> 200 \mu\text{m}$ ) and  $min_{POINTS}$  ( $> 5$ ) do not allow a correct separation between neoplastic epithelium and stromal response. With a low number of iterations ( $< 100$ ), the Chan-Vese model fails to adapt itself to the neoplastic epithelium contour so the algorithm does not perform an accurate segmentation of the tumor boundaries. On the other hand, high values of iteration ( $> 100$ ) causes a rise of the computational time without leading to an increase in performance. The sensitivity analysis of the DBSCAN and Chan-Vese parameters is outlined in Table 4.

The proposed method exhibits excellent performance in images of invasive non-special type carcinoma, but future studies are required to test the accuracy of our algorithm for tumor segmentation in other types of cancer like tubular and lobular breast carcinoma. In the future, automated systems for tumor characterization in whole-slide tissue can be easily developed thanks to the speed and robustness of the proposed method.

#### 5. Conclusion

In this paper, an adaptive algorithm for the automatic discrimination between neoplastic epithelium and stromal response in breast carcinoma is presented. To the best of our knowledge, the proposed method is the first fully automated algorithm for the segmentation of neoplastic epithelium in H&E stained images of breast tissue.

**Table 3**

Performance of the proposed method in the discrimination between neoplastic epithelium and stromal response. Data are reported as mean  $\pm$  standard deviation.

Tissue condition	Computational Time (sec)	Sensitivity	Specificity	Precision	F1SCORE	jaccardINDEX
Neoplastic epithelium and stromal reaction	18.11 $\pm$ 3.86	0.8680 $\pm$ 0.0418	0.9407 $\pm$ 0.0683	0.9326 $\pm$ 0.0660	0.8894 $\pm$ 0.0736	0.8481 $\pm$ 0.1114

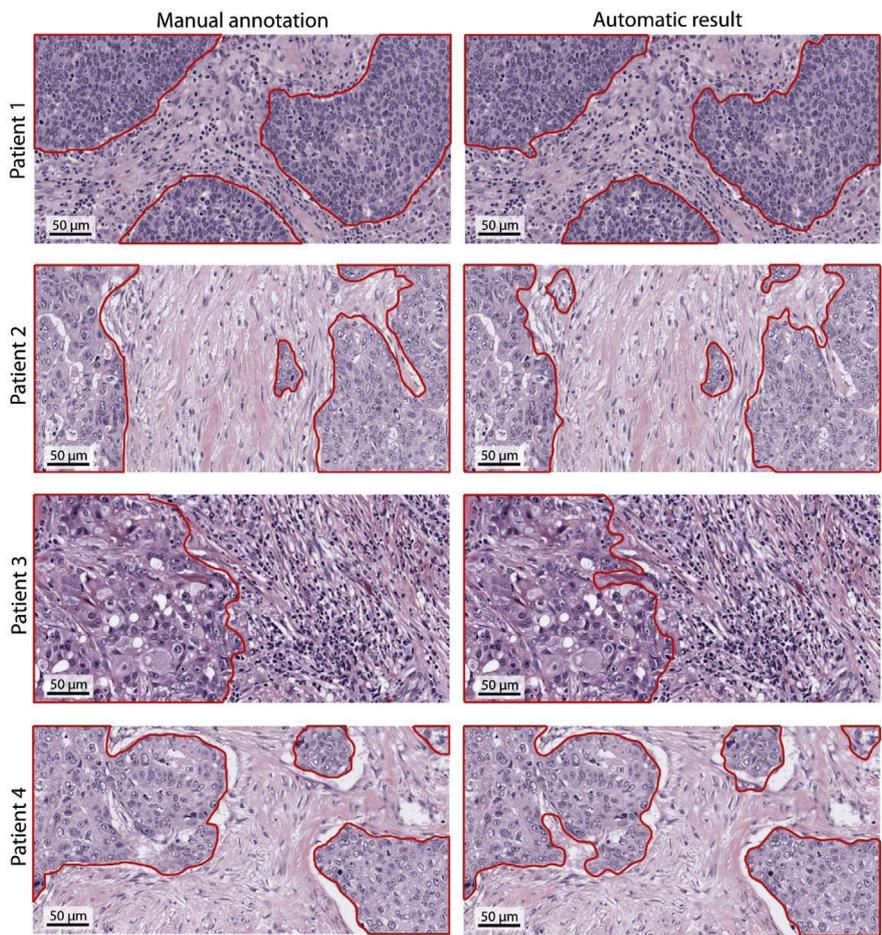


Fig. 6. Comparison between manual and automatic segmentation of the neoplastic epithelium for four different patients, showing challenging cases with high variation of staining intensities, cells morphology and tumor-stromal architecture.

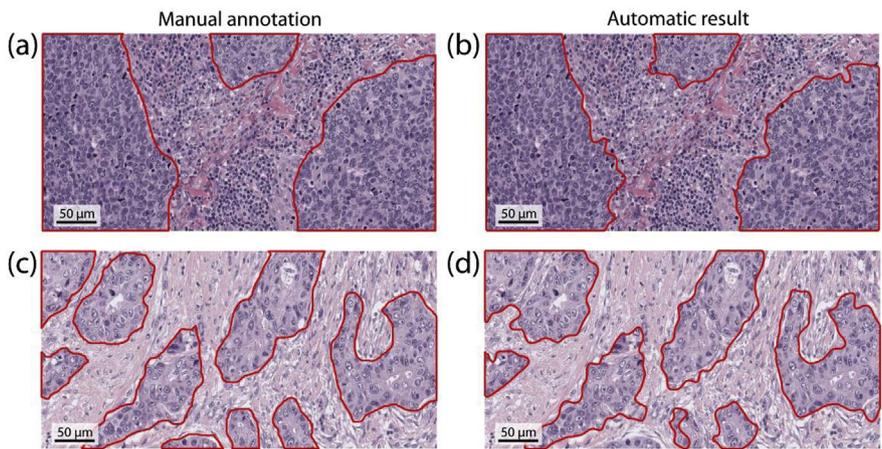


Fig. 7. Comparison between manual and automatic segmentation for two representative samples. First column illustrates the manual annotations while second column shows the corresponding automatic results. (a,b) Image with large extension of tumor areas, (c,d) image with a lobular-structure cancer.

The algorithm is tested on 100 H&E images with high variation of staining intensities (e.g. first vs. Second row of Fig. 6), cells morphology (e.g. Fig. 5c vs Fig. 5e) and tumor-stroma architecture (e.g. third vs. last row of Fig. 6). High segmentation performances are obtained for each image of the dataset.

Being totally automated, this algorithm could be used in future studies as starting point to realize reliable systems for the morphological immunophenotypical tumor characterization and diagnosis. Another possible application of the algorithm is the evaluation of tumor

responsiveness to neoadjuvant therapy by means of the determination of the relative fraction of the tumor volume occupied by neoplastic epithelium and stroma before and after the administration of chemotherapy [6]. Our research group is currently working on an extension of this algorithm for the automatic quantification of the biomarkers expressed by stromal cells near the tumor boundary.

**Table 4**

Sensitivity analysis of the proposed method for the DBSCAN and Chan-Vese parameters. The optimal value for each parameter is highlighted in boldface.

Parameter	Value	Sensitivity	F1SCORE
Initial value of $\epsilon$ (DBSCAN)	50	0.7157 $\pm$ 0.0583	0.8203 $\pm$ 0.0630
	200	<b>0.8680 <math>\pm</math> 0.0418</b>	<b>0.8894 <math>\pm</math> 0.0736</b>
	500	0.8266 $\pm$ 0.0427	0.8542 $\pm$ 0.0548
$min_{POINTS}$ (DBSCAN)	3	0.7721 $\pm$ 0.0393	0.8458 $\pm$ 0.0791
	5	<b>0.8680 <math>\pm</math> 0.0418</b>	<b>0.8894 <math>\pm</math> 0.0736</b>
	7	0.8384 $\pm$ 0.0498	0.8523 $\pm$ 0.0654
Number of iteration (Chan-Vese)	50	0.8521 $\pm$ 0.0340	0.8604 $\pm$ 0.0592
	100	<b>0.8680 <math>\pm</math> 0.0418</b>	<b>0.8894 <math>\pm</math> 0.0736</b>
	300	0.8680 $\pm$ 0.0418	0.8894 $\pm$ 0.0736

## Conflicts of interest

The authors have no conflicts of interest.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.compbimed.2019.05.009>.

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