



Allogeneic hematopoietic stem cells transplantation improves the survival of intermediate-risk acute myeloid leukemia patients aged less than 60 years

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Abstract

The prognosis of acute myeloid leukemia (AML) with normal karyotype is further determined by specific genetic alterations. The optimal post-remission therapy (PRT) in younger patients within this group after first complete remission (CR1) remains to be determined. We report a retrospective evaluation of PRT approaches in 223 patients under the age of 60 years old with intermediate-risk AML in CR1. Patients receiving allogeneic hematopoietic stem cell transplantation (alloHSCT) obtained improved overall survival (OS) than patients who treated with chemotherapy (5-year $61.6 \pm 5.2\%$ versus $41.1 \pm 5.3\%$, $p = 0.004$). AlloHSCT led to fewer cases of relapse (hazard ratio [HR] 0.14, $p < 0.001$) and increased the relapse-free survival (RFS, HR 0.45, $p < 0.001$). With alloHSCT, the outcome of patients who reached negative minimal residual disease after 2 cycles of consolidation could be further improved with an increased OS of 66% and RFS of 61%. Nucleophosmin-1 (NPM1) mutation negative, CCAAT/enhancer binding protein alpha (CEBPA) double mutation negative, and FLT-3 internal tandem duplication negative (NPM1^{mut-neg}CEBPA^{dm-neg}FLT3-ITD^{neg}) patients had a significantly longer RFS with alloHSCT. In conclusion, our results provide additional evidence that alloHSCT is preferential PRT in patients with intermediate-risk AML that are under the age of 60 years old in CR1.

Keywords Acute myeloid leukemia · Intermediate risk · Postremission therapy · Allogeneic hematopoietic stem cell transplantation · NPM1^{mut-neg}CEBPA^{dm-neg}FLT3-ITD^{neg}

Introduction

Acute myeloid leukemia (AML) is a heterogeneous disease that is characterized by impaired differentiation and increased proliferation of myeloid progenitors [1]. Most patients with

AML receive induction chemotherapy to achieve complete remission (CR), followed by post-remission therapy (PRT). For younger patients (18–60 years old), 4–6 cycles of intermediate to high doses of cytarabine [2], combined chemotherapy [3], autologous HSCT (ASCT) [4], and allogeneic hematopoietic stem cell transplantation (alloHSCT) [5–7] are all recommended as PRT.

The majority of patients with AML present intermediate-risk cytogenetics. The European Leukemia Net (ELN) proposes three groups of standardized genetic prognostic system, which correlates cytogenetic and molecular profiles with clinical data [8]. Patients with normal karyotype belong to the intermediate-risk category, and their prognosis is further determined by specific genetic alterations, particularly Nucleophosmin-1 (NPM1) mutation, CCAAT/enhancer binding protein alpha (CEBPA) and FLT-3 internal tandem duplication (ITD) [9–11]. PRT with repeated cycles of intermediate or high-dose cytarabine may not improve the survival for

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intermediate-risk AML, especially for patients harboring concurrent wild-type NPM1 and FLT3-ITD [9, 12, 13]. Although a few studies have suggested that ASCT might improve event-free survival, randomized trials have not demonstrated any long-lasting benefits for ASCT in intermediate-risk AML [14–16]. AlloHSCT is an effective antileukemic therapy, but is compromised by non-relapse mortality (NRM). To balance the treatment-related toxic effects with the risk of relapse, clinical trials involving young adults have adopted alternative strategies, such as reduced intensity conditioning (RIC) [17]. Therefore, the optimal PRT for intermediate-risk AML, which accounts for nearly half of the cases of AML, remains to be controversial.

The “triple-negative” subgroup (NPM1^{mut-neg}CEBPA^{dm-neg}FLT3-ITD^{neg}) within intermediate-risk group is becoming to the focus of clinical research. Schlenk et al. demonstrated that the benefit of transplantation was limited for patients with FLT3-ITD and the triple-negative group [13]. The other retrospective studies reported a favorable OS after alloHSCT for the subgroup, and the survival was even similar to those of favorable-risk group by ELN risk classification [18, 19]. Therefore, the optimal PRT for triple-negative intermediate-risk AML remains to be determined.

In this study, we retrospectively evaluated the association of the PRT with the treatment outcome in patients with intermediate-risk AML. In addition to cytogenetic risk, the patients were also stratified by the minimal residual disease (MRD) detected after 2 cycles of consolidation. We found that NPM1^{mut-neg}CEBPA^{dm-neg}FLT3-ITD^{neg} patients after alloHSCT in CR1 had a significant longer RFS rather than OS, when compared with patients treated with PR-CT. AlloHSCT is demonstrated as a preferred choice for younger patients with intermediate-risk AML, even with an early achievement of negative MRD.

Materials and methods

Patients

Between January 2010 and June 2017, 400 de novo AML patients (212 male and 188 female) who received induction chemotherapy and PRT in Changhai Hospital were included in this study. The last follow-up was July 2018. Patients with acute promyeloid leukemia were excluded. According to the ELN [8], 46 patients were classed with favorable risk (11.5%), 279 with intermediate risk (69.8%), and 75 with unfavorable risk (18.7%). Among these 400 patients, 338 (84.5%) achieved first complete remission (CR1) after 1 or 2 cycles of induction therapy. From the 279 intermediate-risk patients, 223 patients (79.9%) who were under 60 years old and obtained CR1 were subjected to further analysis (Fig. 1). Institutional databases were retrospectively reviewed;

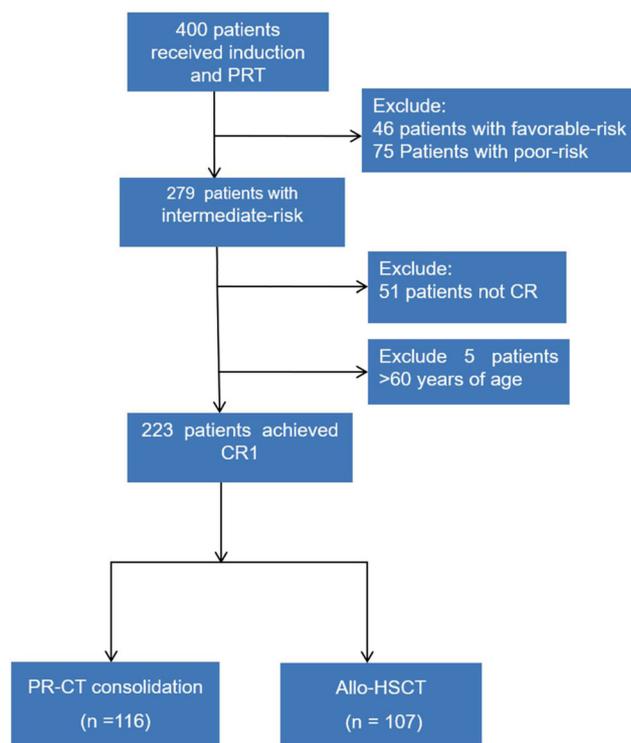


Fig. 1 Consort diagram outlining the analysis strategy of patients

demographic, clinical, and genetic information were extracted from the medical records. All procedures complied with the Helsinki Declaration standards and approved by the Changhai Hospital Institutional review board. The requirement for written informed consent was waived off, because this study used retrospective data from medical records, and there were no interventions in the patients.

Mutational analysis

NPM1 and FLT3-ITD mutations were analyzed on DNA as previously described [20, 21]. CEBPA mutational status was studied by PCR and direct sequencing as reported [22].

Treatment protocols

Induction chemotherapy consisted of DA (daunorubicin [DNR] 60 mg/m²/day by intravenous infusion from day 1–3; cytarabine [Ara-C] 100–200 mg/m²/day by intravenous infusion from day 1–7) or IDA (idarubicin [IDA] 10 mg/m²/day by intravenous infusion from day 1–3; Ara-C 100–200 mg/m²/day by intravenous infusion from day 1–7). The treatment included a maximum of two induction cycles. Patients who did not reach hematologic CR after 2 cycles of induction were not included in the study. Patients with CR received 4 cycles of consolidation with Ara-C (2 g/m², every 12 h, for 6 doses, PR-CT group) or proceeded to alloHSCT (alloHSCT group) after 2 cycles of consolidation followed by reduced intensity

conditioning (RIC) or myeloablative conditioning (MAC) conditioning if they had a matched sibling or $\geq 9/10$ HLA allele fully matched unrelated donor. Patients with CNS-1 (central nervous system) or CNS-2 received triple intrathecal infusions (methotrexate 10 mg, cytarabine 50 mg, dexamethasone 5 mg) twice a week until disappearance of blast cells in the cerebrospinal fluid (CNS-0).

Definitions

Hematological CR was defined as less than 5% marrow blasts, the absence of extramedullary disease, an absolute neutrophil count (ANC) $> 1.0 \times 10^9/L$, a platelet count $> 100 \times 10^9/L$ and independence from red cell transfusions. Relapse was defined as $\geq 5\%$ blasts in a bone marrow aspirate or peripheral blood or the presence of extramedullary disease. Overall survival (OS) was measured from the date of diagnosis until death or the last follow-up, and relapse-free survival (RFS) was measured from the date of CR1 until death, the first relapse or the last follow-up in continuous CR, respectively. In the computation of the cumulative incidence of leukemic relapse (CIR) and NRM, death and relapse were included as competing events and survival was counted as a censored event.

MRD analysis by flow cytometry

The MRD study was performed in bone marrow samples from patients in CR at pre-determined time points as previously described [23]. The flow cytometry strategy for MRD detection was based on the detection of cells expressing an aberrant phenotype, including asynchronous antigen expression, antigen overexpression, and abnormal light scatter patterns. Monoclonal antibody combinations used in all of the cases were as follows: CD34/CD117/CD45/CD56/CD2/CD7 and CD34/CD64/CD15/CD11c/CD45. These combinations included immature and myeloid markers commonly expressed in most AML cases. The acquisition and analyses were performed on a FACS Aria II flow cytometer (BD Biosciences, Franklin Lakes, NJ, USA). At least 1,000,000 events/cases were collected.

Negative MRD (MRD^{neg}) by flow cytometry was defined as $< 10^{-3}$ blasts ($< 0.1\%$) in bone marrow samples and positive MRD (MRD^{pos}) was defined as $\geq 10^{-3}$ blasts ($\geq 0.1\%$) in bone marrow.

Transplant procedure

RIC-alloHSCT was developed to reduce the toxicity of conditioning regimens, decrease the incidence of graft versus host disease (GVHD), and preserve a curative antitumor effect corresponding to alloHSCT [24, 25]. To further optimize the outcome of RIC-alloHSCT for acute leukemia, our center designed a novel RIC regimen named FBA consisting of

fludarabine, cytosine arabinoside, and busulfan [26]. The conditioning regimen for MAC-alloHSCT was classical BuCy regimen (Bu 0.8 mg/kg, day – 8 to – 5 and cyclophosphamide 60 mg/kg/day, day – 4 to – 3). If an unrelated donor was accepted, the patient would also receive antithymocyte globulin.

A total of 107 patients (47.9%) received alloHSCT. RIC-alloHSCT was applied in 47 patients (43.9%), whereas 60 (56.1%) received a MAC-alloHSCT. Of 107 patients, 82 intermediate-risk patients in CR1 were from the prospective, randomized phase II study (ChiCTR-TRC-08000102) [26]. These patients were 1:1 assigned to receive either RIC or MAC. For the remaining 25 patients, 6 patients were treated with RIC conditioning, and 19 patients with MAC conditioning (BuCy). Generally, patients who were fragile, and > 55 years old were preferred with RIC conditioning. All patients received cyclosporine, short-term methotrexate, and mycophenolate mofetil as GVHD prophylaxis [27]. Fluconazole, ganciclovir, and sulfamethoxazole were used as infection prophylaxis from day – 10 to – 1 before transplantation. After neutrophil and platelet engraftment, each drug was administered alone for 7 days in turn in the sequence of fluconazole, ganciclovir, and sulfamethoxazole until the tapering or cessation of immunosuppression. Granulocyte colony-stimulating factor was administered starting on day +5 until neutrophil engraftment. All patients received blood products when necessary.

Statistics

The Kaplan–Meier method was used to estimate the survival probability for a defined time. The multivariate Cox model was applied to analyze the impact of PR-CT and alloHSCT on OS and RFS. We compared characteristics of two groups using the Mann-Whitney test, Kruskal-Wallis test, or Fisher exact test. The CIR was performed between groups using the method of Gray with Kalbfleisch and Prentice method for estimation [28]. Hazard ratios were given with 95% confidence intervals (95% CI). To exclude the bias that may result from involving high-risk patients who relapsed or died too early to receive alloHSCT in CR1, landmark analysis was taken when we compared the outcomes of patients receiving alloHSCT with those receiving chemotherapy [29, 30]. As the average time from CR1 to PRT was 1 month, a 1-month landmark was set for the Simon–Makuch plots for RFS. For the OS, a 3-month landmark was set as the average time from induction therapy to PRT in alloHSCT. A *P* value less than 0.05 was considered to be statistically significant. SAS 9.4 (SAS Institute Inc., Cary, NC, USA) and R 3.4.2, were used for statistical analyses.

Table 1 Patient characteristics

Patients	PR-CT	AlloHSCT	Total	<i>p</i>
Number of patients, <i>n</i>	116	107	223	0.365
Age, years; median (range)	47 (17–60)	35 (17–58)	41.5 (17–60)	< 0.001
Female, <i>n</i> (%)	62 (48.8%)	56 (58.9%)	118 (52.9%)	0.643
Blood and laboratory values at diagnosis				
WBC, ×10 ⁹ /L; median (range)	7.33 (0.54–264.1)	8.56 (0.9–276.3)	7.8 (0.54–276.3)	0.981
HB, g/L; median (range)	84 (39–157)	91 (24–131)	87 (24–157)	0.966
PLT, ×10 ⁹ /L; median (range)	52 (5–268)	44 (2–307)	47.5 (2–307)	0.422
Blast in BM, %; median (range)	71 (21–96)	61.5 (23.5–98.5)	66.25 (21–98.5)	0.297
Flow cytometry-MRD before PRT, %; median (range)	0.074 (0.001–1.421)	0.077 (0.003–17)	0.076 (0.001–17)	<i>0.014</i>
FAB type				
M0	9	4	13	0.201
M1	12	18	30	0.157
M2	36	29	65	0.519
M4	30	27	57	0.914
M5	24	24	48	0.752
M6	3	5	8	0.403
M7	2	0	2	0.513
Karyotype <i>n</i> (%)				
CN-X-Y, <i>n</i> (%)	85 (72.3%)	80 (74.8%)	165 (74.0%)	0.800
Molecular classification (positive patient)				
NPM1 ^{mut} FLT3-ITD ^{high} †	12 (10.3%)	20 (18.7%)	32 (14.3%)	0.071
NPM1 ^{wt} without FLT3-ITD	10 (8.6%)	18 (16.8%)	28 (12.6%)	0.093
NPM1 ^{wt} with FLT3-ITD ^{low} ‡	8 (6.9%)	10 (9.3%)	18 (8.1%)	0.602
t(9;11)(p21.3;q23.3)	7 (6.1%)	4 (3.7%)	11 (4.9%)	0.516
NPM1 ^{mut-neg} CEBPA ^{dm-neg} FLT3-ITD ^{neg}	79 (68.1%)	55 (51.5%)	134 (60.1%)	<i>0.014</i>
CR reached after				
Cycle 1 (early CR)	94 (81%)	72 (67%)	166 (74%)	0.133
Cycle 2 (late CR)	22 (19%)	35 (33%)	57 (26%)	<i>0.024</i>
Relapse, <i>n</i> (%)	79 (68.1%)	34 (31.8%)	113 (50.7%)	< 0.001
NRM, % (at 5 years)	1.24 ± 0.02	18.08 ± 1.92	–	< 0.001
CIR, % (at 5 years)	74.41 ± 4.13	25.53 ± 2.50	–	< 0.001
Follow-up; median (range) (months)	41 (14–104)	46 (9–106)	44 (9–106)	0.075

Italics denotes statistically significant

PR-CT, post remission chemotherapy; AlloHSCT, allogeneic hematopoietic stem cell transplantation; WBC, white blood cell count; HB, hemoglobin; PLT, platelet; BM, bone marrow; Flow cytometry-MRD before PRT, the MRD study was performed in bone marrow samples from patients in CR by the flow cytometry before post-remission therapy. MRD, minimal residual disease before PR-CT or AlloHSCT; PRT, post-remission therapy, FAB, French-American British classification; CN-X-Y, cytogenetically normal or only loss of X or Y chromosome; AML, acute myeloid leukemia; CR, complete remission; OS, overall survival (with event death whatever the cause); RFS, relapse-free survival (with event death in first CR or relapse); NRM, non-relapse mortality (with event death in first CR and censored at relapse); CIR, cumulative incidence of relapse. †Low, low allelic ratio (< 0.5); high, high allelic ratio (≥ 0.5); semiquantitative assessment of FLT3-ITD allelic ratio (using DNA fragment analysis) is determined as ratio of the area under the curve “FLT3-ITD” divided by area under the curve “FLT3 wild-type”

Results

Patient characteristics

Patients' characteristics were presented in Table 1. The median age of the 223 patients at diagnosis was 41.5 years old (range 17–60 years). The median follow-up time for patients without

death was 44 months (range 9–106 months). Among them, 105 (47.1%) were male and 118 (52.9%) were female. There was no significant difference in the white blood cell count, hemoglobin, platelet count and marrow blasts between the PR-CT and alloHSCT groups. The features concerning FAB type, karyotype, and molecular classification between the two groups were comparable. As expected, a higher percentage of patients with

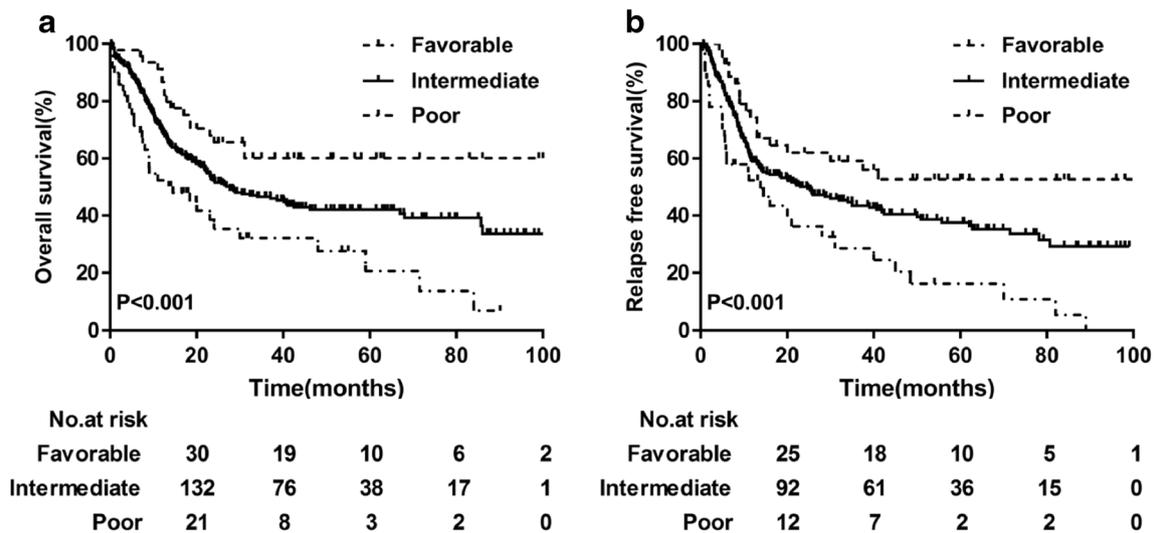


Fig. 2 Patient outcomes based on risk profiles. **a** OS and **b** RFS

2 cycles of induction was observed in the alloHSCT group ($p = 0.024$). The proportion of patients with $NPM1^{mut-neg}CEBPA^{dm-neg}FLT3-ITD^{neg}$ genetic alteration was higher in PR-CT group (68.1 versus 51.5%, $p = 0.014$).

Survival by PRT in intermediate-risk AML patients

The outcome of 400 patients was categorized into favorable-risk, intermediate-risk, and adverse-risk groups. There was a significant difference in the OS and RFS between the three groups (all $p < 0.001$). The OS at 5 years were $60.1 \pm 7.6\%$ for favorable-risk patients, $41.9 \pm 3.3\%$ for intermediate-risk patients, and $19.8 \pm 8.0\%$ for adverse-risk patients (Fig. 2a). The RFS in each group were $52.7 \pm 7.6\%$, $37.5 \pm 3.3\%$, and $16.3 \pm 7.1\%$, respectively (Fig. 2b).

For all patients, alloHSCT markedly improved the OS and RFS when compared with PR-CT (OS $55.8 \pm 5.1\%$ versus $37.1 \pm 3.6\%$ at 5 years, $p < 0.001$; RFS $48.6 \pm 5.1\%$ versus $26.8 \pm 3.5\%$ at 5 years, $p = 0.009$, Fig. 3). Subsequently, the OS and RFS of the intermediate-risk patients were further analyzed according to the types of PRT. AlloHSCT group had a significantly improved OS at 5 years as compared with PR-CT group ($61.6 \pm 5.2\%$ versus $41.1 \pm 5.3\%$, $p = 0.004$, Fig. 4a). A longer RFS was also found in patients receiving alloHSCT (alloHSCT group: $51.3 \pm 5.3\%$ versus PR-CT group: $27.4 \pm 4.9\%$ at 5 years, $p < 0.001$, Fig. 4b). After CR, 116 (52.0%) patients received chemotherapy, with a relapse rate of 68.1%, whereas 107 (48.0%) patients received alloHSCT, with a relapse rate of 31.8%. The difference in the relapse rates between the groups was significant ($p < 0.001$).

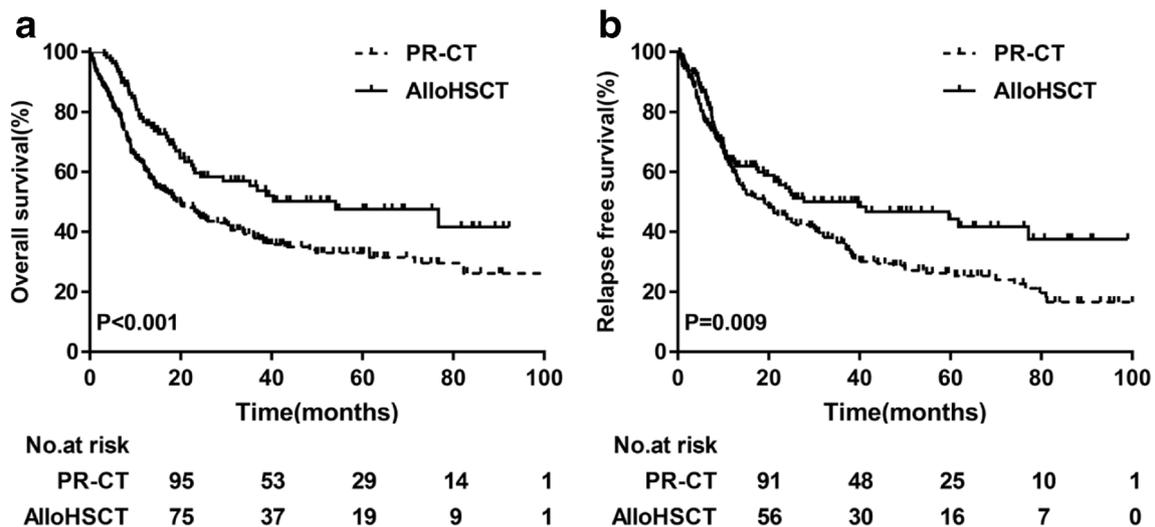


Fig. 3 Comparison of outcomes of PR-CT versus alloHSCT in all patients. **a** OS, **b** RFS. A landmark was set at 1 month for RFS and 3 months for overall survival

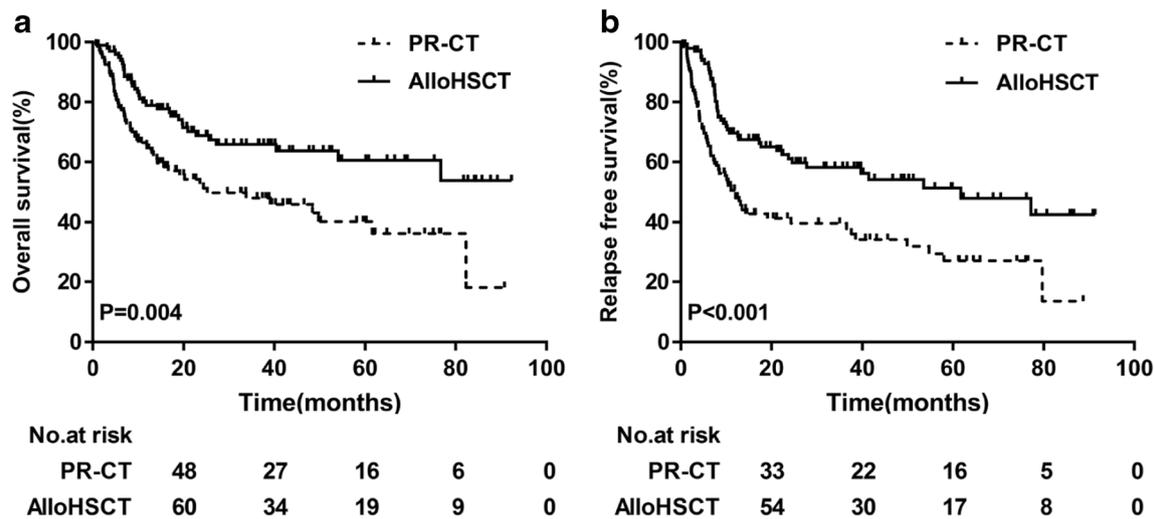


Fig. 4 Comparison of outcomes of PR-CT versus alloHSCT in intermediate risk patients with CR1. **a** OS, **b** RFS. A landmark was set at 1 month for RFS and 3 months for overall survival

Out of 223 intermediate-risk patients, 134 patients (60.1%) were with $NPM1^{mut-neg}CEBPA^{dm-neg}FLT3-ITD^{neg}$ genetic alteration. Of those, 55 patients received alloHSCT, and 79 patients with PR-CT. The characteristics of the analyzable patients were shown in Table 2. The probability of OS at 5-year was $58.6 \pm 9.0\%$ with alloHSCT, compared with $33.3 \pm 8.8\%$ with PR-CT ($p = 0.024$, Fig. 5a). The probabilities of RFS at

5 years were $50.1 \pm 9.9\%$ for alloHSCT, and $30.0 \pm 9.1\%$ for PR-CT ($p = 0.012$, Fig. 5b). The CIR for patients treated with PR-CT was $59.3 \pm 0.4\%$ and $26.9 \pm 0.3\%$ with alloHSCT ($p = 0.001$, Fig. 5c).

Multivariable analysis with adjustments for sex, age, time from CR to PRT, and PRT type was performed (Table 3). Both OS and RFS were significantly improved following alloHSCT

Table 2 Characteristics of PR-CT and AlloHSCT in intermediate-risk AML patients with $NPM1^{mut-neg}CEBPA^{dm-neg}FLT3-ITD^{neg}$ genetic alteration

	PR-CT (n = 79)	AlloHSCT (n = 55)	p
WBC, $\times 10^9/L$; median (range)	6.5 (0.6–264.1)	14 (0.9–276.3)	0.519
HB, g/L; median (range)	84 (40–148)	94.5 (52–131)	0.466
PLT, $\times 10^9/L$; median (range)	58 (14–268)	31 (5–265)	0.228
Blast in BM, %; median (range)	73.5 (21–96)	65.7 (23.5–95.5)	0.986
Age (years)			
Median (range)	48 (18–60)	36 (23–58)	0.044
CR reached after			
Cycle 1 (early CR)	54 (68%)	39 (71%)	0.645
Cycle 2 (late CR)	25 (32%)	16 (29%)	
MRD ^{pos} * ($\geq 0.1\%$)	14 (18%)	11 (20%)	0.010
MRD ^{neg} * ($< 0.1\%$)	37 (47%)	39 (71%)	
Miss	28 (35%)	5 (9%)	
Relapse, n (%)	45 (57%)	18 (33%)	0.001
NRM, % (at 5 years)	5.6 ± 0.2	20.4 ± 0.4	0.018
CIR, % (at 5 years)	59.3 ± 0.4	26.9 ± 0.3	0.001
Follow-up; median (range) (months)	35 (19–88)	36(7–84)	0.469

Italics denotes statistically significant

WBC, white blood cell count; HB, hemoglobin; PLT, platelet; BM, bone marrow; CR, complete remission; MRD, minimal residual disease; AlloHSCT, allogeneic hematopoietic stem cell transplantation; OS, overall survival (with event death whatever the cause); RFS, relapse-free survival (with event death in first complete remission (CR) or relapse); NRM, non-relapse mortality (with event death in first CR and censored at relapse); CIR, cumulative incidence of relapse

* MRD^{neg}, MRD $< 0.1\%$; MRD^{pos}, MRD $\geq 0.1\%$

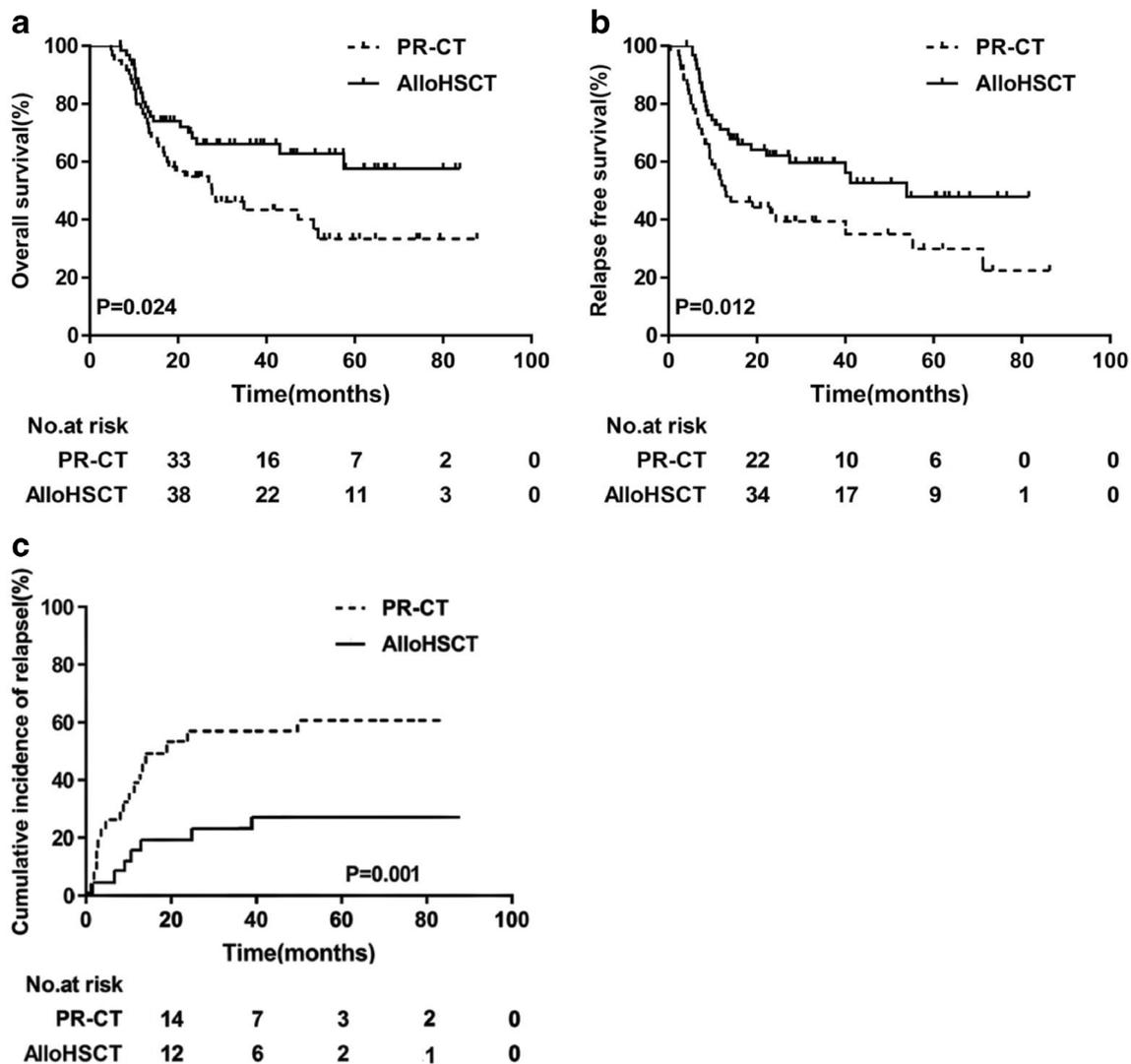


Fig. 5 Comparison of outcomes of $NPM1^{mut-neg}CEBPA^{dm-neg}FLT3-ITD^{neg}$ genetic alteration in PR-CT and alloHSCT group. **a** OS, **b** RFS, **c** CIR. A landmark was set at 1 month for RFS and 3 months for overall survival

as compared to the rates in PR-CT group, with HRs of 0.51 (95% CI 0.34–0.77, $p = 0.001$), and 0.45 (95% CI: 0.29–0.26, $p < 0.001$), respectively. Moreover, the relapse risk in the alloHSCT group was significantly lower than that of PR-CT group (HR 0.14, 95% CI 0.05–0.37, $p < 0.001$).

Survival by MRD in intermediate-risk AML patients

MRD data after 2 cycles of consolidation were available in 144 out of 223 patients. Eighty-eight patients achieved MRD^{neg} after 2 cycles of consolidation. The overall characteristics of the analyzable patients were shown in Table 4. Forty-eight MRD^{neg} patients (55%) underwent alloHSCT and 40 patients (45%) with PR-CT. Patients in the two groups were comparable for most variables including WBC counts and ECOG status. As expected, the patients with MRD^{pos} underwent alloHSCT were younger than those in PR-CT

group ($p = 0.001$). At last follow-up, for patients receiving alloHSCT, 8 MRD^{neg} patients (16.6%) experienced relapse, whereas 6 patients (12.5%) died due to non-relapse treatment-related complications. For patients received chemotherapy, 20 MRD^{neg} patients (50.0%) relapsed. The 4-year RFS for MRD^{neg} patients with alloHSCT was better than that with PR-CT. (61.1 versus 33.4%, $p = 0.035$), although it did not have a significant effect on OS (Table 4, Fig. 6).

Discussion

PRT in patients with AML includes continuing chemotherapy and autologous or allogeneic HSCT. Favorable-risk patients generally receive chemotherapeutic consolidation [31]. Although alloHSCT is considered to be the preferred PRT for poor-risk AML, the effect of alloHSCT in intermediate-

Table 3 Results of multivariable analysis for OS and RFS

	OS			RFS			Relapse			NRM		
	HR [§]	95% CI	<i>p</i>	HR [§]	95% CI	<i>p</i>	HR [§]	95% CI	<i>p</i>	HR [§]	95% CI	<i>p</i>
Transplantation type												
RIC vs. MAC	1.24	0.47–3.27	0.61	1.41	0.54–3.67	0.48	0.84	0.45–1.46	0.54	1.78	0.43–7.29	0.42
MRD ^{neg*} vs. MRD ^{pos*}	2.41	1.35–4.31	<i>0.003</i>	2.45	1.46–4.11	<i>0.001</i>	1.39	0.80–2.39	0.23	1.03	0.09–11.62	0.97
Post-remission treatment												
PR-CT vs. alloHSCT	0.51	0.34–0.77	<i>0.001</i>	0.45	0.29–0.62	<i>< 0.001</i>	0.14	0.05–0.37	<i>< 0.001</i>	4.77	0.14–10.38	0.37
Sex (male vs. female)	0.93	0.53–1.61	0.79	1.04	0.64–1.71	0.85	0.94	0.55–1.60	0.52	1.17	0.25–5.29	0.83
Age [†]	1.62	0.87–2.98	0.12	1.23	0.72–2.11	0.44	1.02	0.59–1.75	0.92	1.76	0.74–4.19	0.11
CR (late vs. early)	0.80	0.31–2.02	0.64	0.96	0.43–1.71	0.92	1.46	0.63–3.39	0.36	1.84	0.05–4.59	0.73

Italics denotes statistically significant

OS, overall survival (with event death whatever the cause); RFS, relapse-free survival (with event death in first complete remission (CR) or relapse); NRM, non-relapse mortality (with event death in first CR and censored at relapse); RIC, reduced intensity conditioning allogeneic hematopoietic stem cell transplantation; MAC, myeloablative conditioning allogeneic hematopoietic stem cell transplantation; HR, hazard ratio; CI, confidence interval; AlloHSCT, allogeneic hematopoietic stem cell transplantation; vs., versus; PR-CT, post remission chemotherapy; CR, complete remission

§ The HRs are the estimates of the effect of covariates for each outcome parameter, stratified by leukemia risk and adjusted for sex, age, CR (late vs. early), and type of post-remission treatment

† Linear with estimates of HRs for 7 years difference

* MRD^{neg}, MRD < 0.1%; MRD^{pos}, MRD ≥ 0.1%

risk AML remains controversial [25, 31]. In the present study, we observed improved survival through alloHSCT in CR1 patients who were less than 60 years of age. Our findings suggest that alloHSCT is a preferred PRT for younger patients with intermediate-risk AML.

AlloHSCT is a potentially curative approach for AML patients. However, the high NRM limits its further application [7]. In our previous study, we demonstrated that the OS, event-

free survival and NRM at 3 years between RIC and MAC group were not significantly different. AlloHSCT with RIC conditioning achieves higher graft-versus-host disease and relapse-free survival (GRFS) than the MAC regimen, and is associated with fewer early transplant-related complications [26]. AlloHSCT following MAC or RIC conditioning resulted in comparable outcome with respect to OS, RFS, and CIR (see details in supplemental Table 1). ASCT in AML has been

Table 4 Characteristics of patients in according to MRD

	MRD ^{neg} (n = 88)			MRD ^{pos} (n = 56)		
	PR-CT	AlloHSCT	<i>p</i>	PR-CT	AlloHSCT	<i>p</i>
Number of patients, n	40	48	0.080	27	29	0.753
Age, years; median (range)	46 (18–60)	39 (18–60)	0.126	48 (19–59)	34 (20–60)	<i>0.001</i>
Female, n (%)	21 (52.5%)	24 (50%)	0.818	12 (44.4%)	13 (44.8%)	0.978
Minimal residual disease, %; median (range) after 2 cycles of consolidation						
	0.043 (0.001–0.098)	0.05 (0.003–0.097)	0.281	0.202 (0.1–1.421)	0.9 (0.104–7.1)	<i>0.002</i>
OS, % (at 4 years)	45.1 ± 0.8	66.8 ± 7.1	0.486	28.3 ± 9.4	41.0 ± 9.1	<i>0.011</i>
RFS, % (at 4 years)	33.4 ± 0.9	61.1 ± 7.9	<i>0.035</i>	10.3 ± 6.3	35.1 ± 9.7	<i>0.002</i>
Relapse, n (%)	20 (50%)	8 (16.6%)	<i>0.001</i>	22 (81.5%)	11 (37.9%)	<i>< 0.001</i>
NRM, % (at 4 years)	2.5 ± 0.6	14.1 ± 0.3	<i>0.005</i>	4.0 ± 0.2	8.1 ± 0.3	0.234
CIR, % (at 4 years)	61.1 ± 0.8	33.4 ± 0.2	<i>0.001</i>	85.3 ± 0.6	50.9 ± 0.3	<i>0.001</i>
Follow-up; median (range) (months)	27 (7–90)	35(9–83)	0.450	26 (5–85)	32 (5–87)	0.350

Italics denotes statistically significant

MRD, minimal residual disease; MRD^{neg}, MRD negative, MRD < 0.1%; MRD^{pos}, MRD positive, MRD ≥ 0.1%; PR-CT, post remission chemotherapy; AlloHSCT, allogeneic hematopoietic stem cell transplantation; OS, overall survival (with event death whatever the cause); RFS, relapse-free survival (with event death in first complete remission (CR) or relapse); NRM, non-relapse mortality (with event death in first CR and censored at relapse); CIR, cumulative incidence of relapse

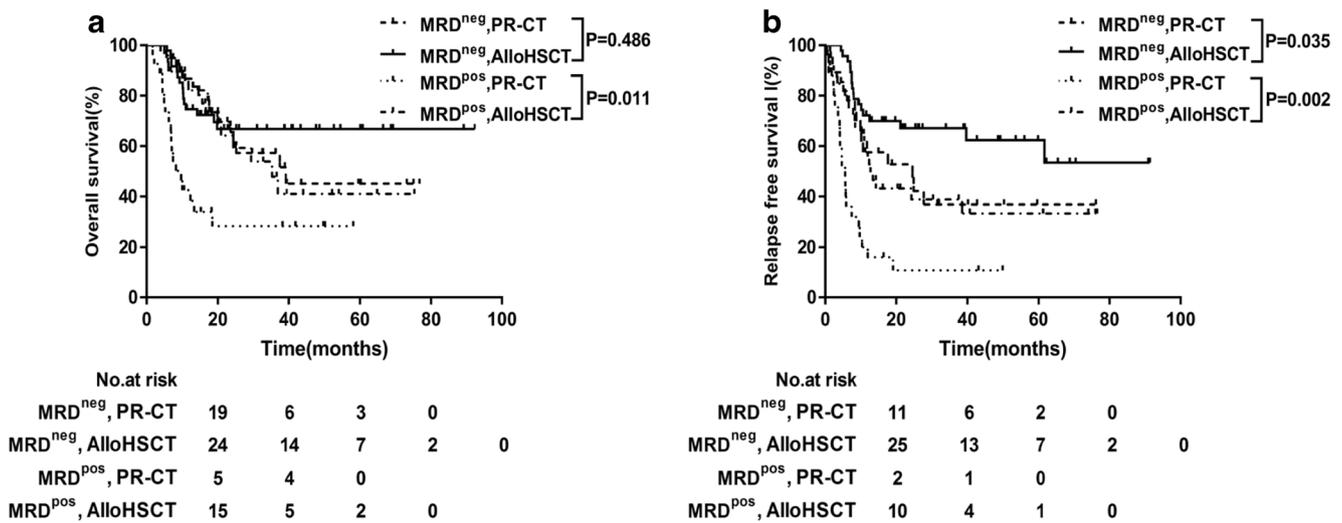


Fig. 6 Comparison of outcomes of PR-CT versus alloHSCT in MRD^{neg} and MRD^{pos} group. **a** OS, **b** RFS. A landmark was set at 1 month for RFS and 3 months for overall survival

recently revisited [31, 32] taking into account recent improvements resulting mainly from better selection of patients in the good and intermediate risk. Relapse is still the main concern after ASCT. With BuCy conditioning regimen, the 5-year relapse rate and OS were 50% and 56%, respectively. The lower NRM in ASCT contributed to OS after ASCT.

In terms of relapse risk, alloHSCT is the most effective antileukemic treatment option. Patients with FLT3-ITD profoundly benefit from alloHSCT [13]. Data comparing the outcomes of alloHSCT and PR-CT for NPM1^{mut-neg}CEBPA^{dm-neg}FLT3-ITD^{neg} AML is few. Heidrich et al. demonstrated that patients with intermediate-risk NPM1^{mut-neg}CEBPA^{dm-neg}FLT3-ITD^{neg} AML benefited from matched sibling alloHSCT in CR1 in terms of RFS (HR 0.5, $p = 0.02$) compared with PR-CT [19]. In the present analysis, we have indicated a 5-year OS close to 59% for NPM1^{mut-neg}CEBPA^{dm-neg}FLT3-ITD^{neg} patients in alloHSCT group, whereas 33% in PR-CT. The improvement in survival was due to a lower risk of relapse after alloHSCT. Ahn et al. reported a OS of 61% with alloHSCT in 27 patients, which are comparable to our results [18].

Combining MRD into a pretreatment risk-directed treatment algorithm would contribute to favorable clinical outcomes [33]. Although negative MRD after 2 cycles of consolidation may be a predictor for better outcomes, our results showed that relapses still occurred in 50% of MRD^{neg} cases, and long-term survival is less than 50% with PR-CT. With alloHSCT, the outcome of MRD^{neg} intermediate-risk AML would be further improved with an increased OS of 66% and RFS of 61%. Of note, for patients with alloHSCT, 71% patients were MRD^{neg} (Table 2). For MRD^{neg} patients, the OS was comparable between PR-CT and alloHSCT group, while CIR were significantly higher in MRD^{neg} patients who received PR-CT (Table 4). The data implied that for intermediate-risk AML patients, alloHSCT would improve survival with the reduction of relapse, even

for patients who already achieved negative MRD. Multivariate analysis further demonstrated that MRD after 2 cycles of consolidation was an independent prognostic factor for OS and RFS. The prognosis of MRD^{neg} and MRD^{pos} AML patients is related to age and conditioning regimen [34]. In a retrospective study by European Society for Blood and Marrow Transplantation registry, RIC was only inferior to MAC for patients in the < 50 years MRD^{pos} group, with worse relapse rate (HR 1.71) and RFS (HR 1.554). New approaches are needed for ≥ 50 years AML CR1 MRD^{pos} [33]. Given the promising results reported in recent retrospective studies of ASCT, prospective studies may also usefully address whether ASCT might be at least equivalent to alloHSCT in patients with rigorously confirmed AML CR1 MRD^{neg}, at least in the lower risk categories of AML [33, 35].

A limitation of our work is mainly related to the retrospective nature of this study. These include missing MRD data and molecular profile in a proportion of patients, and different conditioning intensity. Thus, caution should be taken when interpreting our data since this was a single-center, retrospective study with a small number of heterogeneous patients.

In summary, our results suggest that alloHSCT is a preferred choice of PRT over chemotherapy for patients with intermediate-risk AML who are less than 60 years of age, even for patients who achieved negative MRD after 2 cycles of consolidation. Additionally, we demonstrate that alloHSCT should be preferred PRT in NPM1^{mut-neg}CEBPA^{dm-neg}FLT3-ITD^{neg} intermediate-risk patients in CR1.

Authors' contribution Y.Z. collected and reviewed patient information, analyzed and interpreted the data, and wrote the manuscript; Y.M.Z. and Q.C. performed the statistical analysis; G.T. performed the molecular analysis; W.Z. and J.Y. performed the diagnosis and treatment for patients; J.W. and X.H. designed the study, interpreted the data, and

critically reviewed the manuscript. All authors reviewed and approved the manuscript.

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Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

Ethical approval All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and national research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards.

Informed consent For this retrospective study, formal content is not required.

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