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Clinical manifestations and risk factors of community-onset *Acinetobacter* species pneumonia in Japan; case control study in a single institute in Japan[☆]



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ABSTRACT

To clarify the etiology, patients' characteristics and risk factors for community-onset AP (*Acinetobacter* species pneumonia), we conducted this case-control study. We reviewed all patients with community-onset AP at our institute from 2010 until 2018. We defined non-AP group as a control. The patients with non-*Acinetobacter* spp. pneumonia (non-AP) were randomly selected during the study period without clinical information based on medical records' list among patients with community-onset pneumonia. The age (± 2 years) and sex were matched to the patients with community-onset AP, and the ratio was AP:non-AP group = 1:3. Patients' characteristics, clinical outcomes, pathogens isolated and drug susceptibility were evaluated by comparing AP and non-AP group.

The mean age of community-onset AP group was 79 years. They were 8 males and 5 females. The 30-day and in-hospital mortality rates of community-onset AP were 23% (v.s. 3%, $p = 0.049$) and 31% (v.s. 5%, $p = 0.029$) respectively, which are higher than the control group. Heavy alcohol consumption (23% v.v. 0%, $p = 0.023$), higher Charlson Comorbidity index (3.2 v.s. 2.0, $p = 0.046$) and lobar pneumonia by chest radiology (50% v.s. 23%, $p = 0.071$) were seen more frequently in community-onset AP than in the control group.

In conclusion, community-onset AP shows poor outcomes despite the appropriate antibiotic therapy. Heavy alcohol history might be a risk factor of AP. Patients with community-onset AP could have more comorbidity and poor general conditions than the control group.

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Acinetobacter spp. is a Gram-negative coccobacillus that is ubiquitous in fresh water and soil, and is also found frequently on the skin or in the throat commensal in humans [1,2]. While *Acinetobacter* spp. could cause community-onset pneumonia with a high mortality, the etiology, clinical manifestations and risk factors remain unknown [1,2].

For the purpose of identifying the etiology, patients' characteristics and the risk factors for community-onset AP, we conducted this case-control study. We retrospectively reviewed all patients with community-onset AP at Aichi Medical University hospital from 2010 until 2018. We defined non-AP group as a control. The patients with non-*Acinetobacter* spp. pneumonia (non-AP) were randomly selected during the study period without clinical information based on medical records' list among the patients with community-onset pneumonia. The age (± 2 years) and sex were matched to the patients with community-onset AP, and the ratio was AP:non-AP group = 1:3. Patients' characteristics, clinical outcomes, radiological findings, pathogens isolated and drug susceptibility were evaluated by comparing AP and non-AP group. Pneumonia was diagnosed according to the previously published

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international guidelines [3]. Patients with community-onset AP who met the following criteria were recruited to the case group: (1) patients who were consistent who met the criteria of community-acquired pneumonia (CAP) or of healthcare-associated pneumonia (HCAP), (2) displayed growth of *Acinetobacter* spp. in sputum culture collected predominantly or alone. Also, those who displayed predominant or sole growth of *Acinetobacter* spp. in sputum culture. Sputum culture by the Geckler classification 3–5 was available. (3) hospital-acquired pneumonia (HAP) patients were excluded from this study. CAP and HCAP were based on the criteria published by ATS/IDSA in 2006 [4,5]. Severity of pneumonia was evaluated by A-DROP [6], CURB-65 [7], Pneumonia Severity Index (PSI) [8] and I-ROAD [9]. The chest X-ray and computed tomography (CT) were reviewed by two pulmonologists (N.A and H.W). Patients' conditions were evaluated by Eastern Cooperative Oncology Group-Performance Status (ECOG-PS) [10] and Karnofsky Performance Status (KPS) [11]. Comorbidity was assessed by the Charlson Comorbidity index (CCI) [12].

The antimicrobial susceptibility of isolated bacterial pathogens was assessed on the basis of the minimum inhibitory concentration by Clinical and Laboratory Standard Institute [13].

Heavy use of alcohol was defined as taking 60 g of alcohol daily for more than 5 years based on the criteria by the Ministry of Health, Labor and Welfare in Japan [14]. Antibiotic treatment was classified as appropriate and as inappropriate when the identified pathogens were sensitive and resistant respectively to the initial prescribed antibiotics. Disseminated intravascular coagulation (DIC) is diagnosed according to the disseminated intravascular coagulation diagnostic criteria established by the Japanese Association for Acute Medicine (JAAM DIC diagnostic criteria) [15]. ARDS was defined as PaO₂/FiO₂ ratio < 300 based on the Berlin Definition [16]. This study was approved by the Institutional Review Board of Aichi Medical University Hospital (IRB number 17-H106).

To identify the risk factors for community-onset AP, the following factors were evaluated by comparing AP and non-AP groups. The factors are patients' characteristics, conditions, and radiological findings. Continuous variables with a normal distribution were compared using paired *t*-test for non-normally distributed variables. The χ^2 statistic or Fisher's exact test were used to compare categorical variables. Differences were assumed to be significant if $p < 0.05$. All the analyses were performed using SPSS version 23 for Windows (SPSS Inc., Chicago, IL, USA).

Patients' characteristics were shown in Table 1. A total of 13 patients with AP were enrolled in this study. Heavy alcohol consumption was seen more frequently in AP than non-AP group (23% v.v. 0%, $p = 0.023$). AP group had higher CCI scores than non-AP group (3.2 v.s. 2.0, $p = 0.046$). As for the radiological patterns, lobar infiltration was seen more frequently in AP than non-AP group (50% v.s. 23%, $p = 0.071$). On the other hand, bronchopneumonic infiltrations were seen less frequently in AP than non-AP group (17% v.s. 62%, $p = 0.007$). In terms of outcomes, AP group showed both higher 30-day (23% v.s. 3%, $p = 0.049$) and in-hospital mortality (31% v.s. 5%, $p = 0.029$) rates than non-AP group. There is no difference of frequency of both ARDS and DIC among the two groups.

As for pathogens isolated by sputum cultures, *A.baumannii* was cultured most frequently in 5 of the 13 (39%). *A. nosocomialis* was seen in one patient. *A. ursingii* was seen in another (different) patient. The rest (46%) were not confirmed as to the genus. Antibiotic susceptibility testing for *Acinetobacter* spp. isolated were performed (Table 1). The bacteria detected were susceptible to sulbactam/ampicillin (100%, 13/13), tazobactam/piperacillin (100%, 13/13), cefepime (100%, 13/13), meropenem (100%, 13/13), amikacin (100%, 13/13), levofloxacin (100%, 13/13), ciprofloxacin (100%, 13/13), sulfamethoxazole-trimethoprim (100%, 13/13), minocycline

Table 1

Comparison with the two groups; patients' characteristics, treatments and outcomes.

	AP group (n = 13)	Non-AP (n = 39)	p-value
Mean age (\pm SD)	79.4 \pm 10.9	79.5 \pm 9.6	0.968
Male gender (%)	8 (62)	24 (62)	1.000
Category of pneumonia (%)			
Community-acquired pneumonia	3 (23)	6 (15)	0.674
Healthcare associated pneumonia	10 (77)	33 (85)	
Severity of pneumonia (%)			
A-DROP			
0-2	7 (54)	23 (59)	0-3 v.s. 4-5
3	3 (23)	9 (23)	0.697
4-5	3 (23)	7 (18)	
CURB-65			
0-1	4 (31)	9 (23)	0-2 v.s. 3-5
2	4 (31)	15 (39)	1.000
3-5	5 (38)	15 (39)	
PSI			
I-II	1 (8)	4 (10)	I-III v.s. IV-V
III	1 (8)	7 (18)	0.475
IV-V	11 (85)	28 (72)	
I-ROAD			
A	3 (23)	13 (33)	A,B v.s. C
B	4 (31)	2 (5)	0.353
C	6 (46)	24 (62)	
Smoking history (%)			
Ever smoker	10 (77)	22 (56)	
Never smoker	2 (15)	11 (28)	0.266
Unknown	1 (8)	6 (15)	
Heavy use of alcohol (%)	3 (23)	0	0.013
Comorbidity (%)			
Cardiac disease	6 (46)	14 (36)	0.529
Cerebrovascular disease	1 (8)	11 (28)	0.253
Chronic pulmonary disease	5 (38)	20 (51)	0.528
COPD	2 (15)	11 (28)	0.475
Diabetes mellitus	1 (8)	6 (15)	0.664
Kidney disease	0	5 (13)	0.314
Hemodialysis	0	4 (10)	0.561
Hepatic disease	0	4 (10)	0.561
Collagen disease	1 (8)	5 (13)	1.000
Malignancy	4 (31)	10 (26)	0.729
Dementia	5 (38)	10 (26)	0.483
GERD	0	3 (8)	0.564
Charlson comorbidity index (mean \pm SD)	3.2 \pm 2.8	2.0 \pm 1.5	0.046
Conditions			
ECOG-PS (mean \pm SD)	2.9 \pm 1.1	2.4 \pm 1.1	0.138
KPS (mean \pm SD)	51.5 \pm 11.5	60.5 \pm 18.1	0.134
ARDS (%)	5 (38)	14 (36)	1.000
DIC (%)	0	0	1.000
Bacteremia (%)	1 (14)	0	0.25
Radiological pattern (%) ^a			
Lobar	6 (50)	9 (23)	0.071
Bronchopneumonic	2 (17)	24 (62)	0.007
Ground glass opacity	1 (8)	3 (8)	1.000
Cavity	0	1 (3)	1.000
Mixed	3 (25)	4 (10)	0.337
Effusion	6 (50)	10 (26)	0.163
Portion of pneumonia			
Right	5 (42)	14 (36)	
Left	0	10 (26)	0.28
Bilateral	7 (58)	15 (38)	
Treatment and outcome (%)			
Antibiotics initially used			
SBT/ABPC	6 (46)	10 (26)	0.184
TAZ/PIPC	5 (38)	11 (28)	0.506
Cephalosporins	0	6 (15)	0.317
Carbapenems	0	8 (21)	0.177
β -lactams + NQ	2 (16)	0	0.059
Others	0	4 (10)	0.561
Outcome			
30-days mortality	3 (23)	1 (3)	0.049
In-hospital mortality	4 (31)	2 (5)	0.029
Pathogens isolated by sputum cultures ^b			
<i>Acinetobacter baumannii</i>	5		

Table 1 (continued)

	AP group (n = 13)	Non-AP (n = 39)	p-value
<i>Acinetobacter nosocomialis</i>	1		
<i>Acinetobacter ursingii</i>	1		
<i>Acinetobacter</i> spp.	6		
<i>Streptococcus pneumoniae</i>	1	2	
<i>Streptococcus agalactiae</i>		2	
<i>Klebsiella pneumoniae</i>		1	
<i>Staphylococcus aureus</i>	1	5	
MRSA	1	2	
MRCNS		1	
<i>Escherichia coli</i>		4	
<i>Moraxella catarrhalis</i>		2	
<i>Hemophilis influenzae</i>		2	
<i>Enterobacter</i> spp.		1	
<i>Serratia marcescens</i>		1	
<i>Achromobacter</i> spp.	1		
<i>Raoultella ornithinolytica</i>	1		
Normal flora		14 ^c	

^a AP, *Acinetobacter* spp. pneumonia; SD, standard deviation; SBT/ABPC, sulbactam/ampicillin; TAZ/PIPC, tazobactam/piperacillin; NQ, new quinolone; COPD, chronic pulmonary disease; GERD, ECOG-PS, Eastern Cooperative Oncology Group performance status; KPS, Karnofsky Performance Status; ARDS, acute respiratory distress syndrome; DIC, Disseminated intravascular coagulation.

^b One patient had no CT findings.

^c Among AP group, other pathogens were detected in 6 of 13. *Acinetobacter* spp. were isolated in 7 of the 13 patients.

^d As for identified pathogens, 14 showed normal flora. Six patients did not have sputum in non-AP group.

(100%, 13/13) and ceftazidime (83%, 10/13), except for aztreonam (8%, 1/13). This is the first case-control study of community-onset AP in Japan. Also, this is the second largest case-control study of community-onset AP in Southeast Asia. Previous studies reported that smoking history, alcohol consumption and existence of COPD were associated with community-onset AP [17–19]. These clinical features of community-onset AP were supported consistent with later theories. Alcohol has been shown to impair the phagocytic ability of neutrophils and lead to the dissemination of *A. baumannii*-infected mice [20]. Smoking decrease function in macrophages in lungs [21]. In our study, we noted features similar to most previous studies regarding patients' characteristics and clinical manifestations of community-onset AP. All patients were over 60 years. They showed unfavorable outcomes, had heavy alcohol consumption and poorer KPS compared with the control group as some previous reports had documented [17–19].

As for radiologic findings, lobar infiltrations were less frequently seen and bronchopneumonic infiltrations were more frequently seen among AP than in the non-AP group. As for laterality, 7 of the 12 (58%) showed the bilateral lung involvements and only right lung involvements were seen in 5 patients (42%), which may suggest transbronchial aspiration. In fact, 11 of the 13 patients (85%) had risk factors for aspiration pneumonia, such as cerebrovascular disorder, neurovascular disease or the use of a sleeping drug. It has previously been reported that community-onset AP is predominant in the rainy season due to bacterial character of *Acinetobacter* spp. In our study, 3 patients (17%) were diagnosed as AP in the rainy season, and 5 patients (28%) were seen in the winter season. There was no predominant seasonality which AP occurs more frequently in the rainy season. These features were quite different from those of the previous cohorts [17–19], and the difference may be attributable to the difference of weather condition among Japan, Thailand, Taiwan and Australia.

Outstandingly, susceptible testings for *Acinetobacter* spp. isolated in our study were sensitive for almost all the antibiotics except AZT. In contrast, previous studies in South Asia, *Acinetobacter* spp. were resistant to multiple-antibiotics [17–19]. The

Table 2

Severity by predictive values and prognosis of community-onset *Acinetobacter* spp. pneumonia (n = 13).

Predictive value	Category of pneumonia n (%)	30-day mortality rate ^a n (%)
A-DROP		
0-2	7 (54)	1 (14)
3	3 (23)	1 (33)
4-5	3 (23)	1 (33)
CURB-65		
0-1	4 (31)	1 (25)
2	4 (31)	1 (25)
3-5	5 (38)	1 (20)
PSI		
I-II	1 (8)	0
III	1 (8)	0
IV-V	11 (85)	3 (27)
I-ROAD		
A	3 (23)	0
B	4 (31)	1 (25)
C	6 (46)	2 (33)

^a The denominator in frequency (%) for category of pneumonia is 3.

reason of this discrepancy remains unknown. Although all patients had received appropriate antibiotic therapy as initial treatment including doses used, mortality rates were as high as previous studies. These might be associated with poor general conditions of the patients, or unknown virulent factors might have existed.

Some patients with community-onset AP who were categorized as mild to moderate by A-DROP and by CURB-65 died (Table 2). This might suggest that severity of community-onset AP might be underestimated, leading to the poor outcomes.

There are some limitations in our study. First, this is a retrospective study in a small population. Second, this study was a case-control design in which the level of the risk factors was not equal to the expected level in the population. Third, patients who were diagnosed as having AP based on Geckler 3 classification might be misclassified as having AP [22].

We concluded that patients with community-onset AP tended to have a history of heavy alcohol consumption and have more comorbidity showing a higher CCI score. More cases should be estimated and examined for clarifying the etiology and manifestations of AP.

COI statement

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