



Predictive value of post-operative neutrophil/lymphocyte count ratio for surgical site infection in patients following posterior lumbar spinal surgery

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ABSTRACT

Objective: Surgical site infection (SSI) is a common complication in patients following posterior lumbar spinal surgery. Various laboratory data such as laboratory parameters derived neutrophil/lymphocyte count ratio (NLR), have been applied for the prediction of SSI, but more studies are necessary to evaluate the significance of these indicators. Here, our study aims to investigate the predictive value of total white blood cells (WBCs), count and percentages of neutrophils and leukocytes, NLR, and C-reactive protein (CRP) for surgical site infection (SSI) in patients after posterior lumbar spinal surgery.

Methods: A total of 293 patients who underwent posterior lumbar spinal surgery were enrolled in this study. Each patient's medical history was retrospectively reviewed, and patients were divided into the deep SSI group (n = 13) and the non-SSI group (n = 280). Laboratory data including total WBC, count and percentages of neutrophils and leukocytes, NLR at 1 week before the operation and the 4 and 7 days post-operation, and CRP at 4 and 7 days post-operation were analysed between the SSI and non-SSI groups. Moreover, predictive power and cut-off of NLR for SSI were determined by receiver operating characteristic curve (ROC) results.

Results: Data revealed that the medians of NLR were markedly increased in the SSI group as compared to that in non-SSI group at 4 days ($p = 0.011$) and 7 days ($p = 0.047$) post-operation. Moreover, the neutrophil percentage was also dramatically increased in the SSI group at both 4 and 7 days post-operation ($p = 0.010$ and $p = 0.030$) respectively compared to the non-SSI group. However, no significant difference was observed between the groups 1 week before the operation. ROC results showed that NLR at 4 days (cut-off > 5.19; sensitivity: 61.5%; specificity: 77.6%; AUC = 0.708) and 7 days (cut-off > 3.85; sensitivity: 69.2%; specificity: 62.7%; AUC = 0.663) post-operation could significantly discriminate the SSI and non-SSI groups. Logistic regression analysis showed that NLR at both post-operative time points (OR = 1.218; $p = 0.003$ and OR = 1.296; $p = 0.048$) could be valuable predictors for SSI.

Conclusion: NLR at 4 and 7 days post-operation are valuable laboratory predictors for SSI in patients with posterior lumbar spinal surgery.

1. Introduction

Posterior lumbar spinal surgery is a routine and effective operation for degenerative diseases; however, complications occur in some patients after the operation [1]. Surgical site infection (SSI) is one of the serious complications after posterior lumbar surgery. SSI generally results in poor clinical outcomes, additional expenses, and even damages to the patient's satisfaction with the medical experience [2,3].

Various measures have been applied for SSI prophylaxis, such as continuously improving on general surgery protocols, anaesthesiology

standards and reducing risk factors associated with SSI [4]. Despite these efforts to prevent SSI, the incidence of SSI remains high and ranges from 0.7% to 11.9%, depending on the type of operation and complexity of the procedure reported in previous studies [5]. Thus, besides an initial diagnosis by a surgeon or assessment with imaging equipments, which can effectively determine whether SSI occurs or not. Other indicators, such as the relevant clinical laboratory markers are also of great value in prediction and monitoring of SSI [6,7].

In this scenario, post-operative clinical laboratory markers, such as acute phase-related C-reactive protein (CRP), have been widely used as

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inflammatory markers. Additionally, the predictive value for the diagnosis of early SSI with the count and percentage change of peripheral white blood cells (WBCs) and their subsets at different time points after spinal surgery have been emphasized [8]. Iwata et al. [8] indicated that lymphocyte counts on the 4th and 7th days after surgery and CRP levels on the 7th day after surgery were the most reliable laboratory markers for SSI after posterior lumbar surgery. Another marker, the neutrophil/lymphocyte count ratio (NLR), is of clinical significance as an infection or inflammation indicator [9]. Increasing evidence has revealed that NLR is a simple, fast, and superior biomarker for predicting infection than leukocytes and CRP for emergency clinics, community-acquired infections [10,11].

In our retrospective study, the predictive value for SSI of peripheral WBCs and their subsets count and percentage, NLR at 1 week before the operation and at 4 and 7 days post-operation, and CRP at 4 and 7 days post-operation were assessed in 293 patients who underwent posterior lumbar spinal surgery.

2. Materials and methods

2.1. Study design

The study protocol was approved by the Ethics Committee of Taizhou Hospital of Zhejiang Province affiliated to Wenzhou Medical University, China. This study initially retrospectively reviewed 1195 consecutive patients who underwent posterior lumbar spinal surgery for degenerative spine disease at the Department of Spine Surgery, TaiZhou Hospital of Zhejiang Province, China, from December 2016 to November 2018. All patients' medical history and the evidence for deep SSI were reviewed.

Deep SSI was determined according to criteria released by the U.S. Centers for Disease Control and Prevention [12]. A deep SSI was confirmed only when the surgeon diagnosed deep SSI and conducted debridement and positive microbiological culture. However, patients with existing infection including superficial SSI ($n = 12$), trauma, chronic inflammatory disease, and previous surgery of the lumbar spine were excluded from the study.

Among 1195 patients who underwent posterior lumbar spinal surgery, there were 13 patients with SSI, and laboratory data from 280 consecutive non-SSI patients were retrieved as the control group. Routine laboratory data including WBCs and their subsets count and percentage, NLR at 1 week before the operation and at 4 and 7 days post-operation, and CRP at 4 and 7 days post-operation were collected and analysed. Patient demographic and references of the related laboratory data are listed in Table 1. Reference intervals for blood cell analysis and the cut-off for CRP are according to the National Standard of the People's Republic of China WS/T 405–2012 and WS/T 404.9–2018, respectively. Normal reference intervals for NLR were as reported by Luo et al. [13].

2.2. Statistical analysis

All statistical analyses between the SSI and non-SSI groups were performed by SPSS 13.0 (SPSS, Inc., Chicago, IL). Quantitative variables such as age, operating time, length of stay, WBCs and their subsets count and percentage, NLR and CRP were analysed with the Mann–Whitney U test. Qualitative variables such as patient sex, status of hypertension and diabetes were analysed by χ^2 test with Fisher's exact probability. Receiver operating characteristics (ROC) analysis was performed for variables between the SSI and non-SSI groups, and the cut-off value including the sensitivity and specificity of related variables was determined by Youden's index. All statistical analyses were two-sided and $p < 0.05$ was considered statistically significant.

3. Results

3.1. Comparison of demographic and laboratory data between SSI and non-SSI patients

Among these patients, 13 SSI patients were identified in the study. The microbiological culture results for patients with SSI are shown in Table 2, and the timeline of onset of infection in days after the operation in SSI patients are shown in Fig. 1.

Demographic data analysis showed that no significant difference was observed for patient age, sex, operation time, status of hypertension and diabetes between the SSI and non-SSI patients; however, the length of hospital stay was much longer in SSI compared to in non-SSI patients (37.0 days vs 14.0 days; $p < 0.001$), which could have resulted from more medical treatments for the SSI patients.

Analysis of laboratory data at 4 days post-operation revealed that the median percentage of neutrophils (77.2% vs 71.8%; $p = 0.010$) and NLR (5.40 vs 3.69; $p = 0.011$) were markedly increased and the median percentage of leukocytes were dramatically decreased (14.80 vs 19.20; $p = 0.027$) in the SSI group compared to that in the non-SSI group. In contrast, there were no obvious differences in total WBC count ($p = 0.282$) or neutrophil ($p = 0.121$), leukocyte ($p = 0.124$), and CRP levels ($p = 0.447$) between the two groups. Analysis of laboratory data at 7 days post-operation showed that the median neutrophil count ($7.1 \times 10^9/L$ vs $4.9 \times 10^9/L$; $p = 0.039$), percentage (73.7% vs 68.3%; $p = 0.030$) and NLR (4.3 vs 3.2; $p = 0.047$) were markedly increased in the SSI group compared to that in the non-SSI group, while there were no obvious differences in other laboratory parameters between the two groups (Table 1). The distribution of each of the variables at 4 and 7 days post-operation are presented in Fig. 2 and Fig. 3. However, no significant difference was observed between the SSI and non-SSI groups at 1 week before the operation (Suppl. Fig. 1).

In addition, data revealed that the NLR at 4 days post-operation was significantly higher in the SSI patients infected with gram-negative bacteria compared to that of patients infected with gram-positive bacteria ($p = 0.003$; Fig. 4).

3.2. ROC and logistic regression analysis of 4 and 7 days post-operative NLR between SSI and non-SSI patients

ROC curve analysis showed that both the 4 and 7 days post-operative NLR levels could effectively distinguish SSI patients from non-SSI patients. The area under the curve (AUC) for the 4 days post-operative NLR was 0.708 (95% CI: 0.543–0.837; $p = 0.021$; Fig. 5A), and the 7 days post-operative NLR was 0.663 (95% CI: 0.521–0.806; $p = 0.047$; Fig. 5B), respectively. For other parameters, the AUC for the percentages of neutrophils and lymphocytes at 4 days post-operation was 0.713 ($p = 0.010$) and 0.682 ($p = 0.027$; Suppl. Fig. 2A and B), respectively, and the percentage and count of neutrophils at 7 days post-operation was 0.678 ($p = 0.030$) and 0.669 ($p = 0.039$; Suppl. Fig. 2C and D), respectively.

Then, the optimal cut-off value for the variables was determined according to Youden's index ($J = \text{sensitivity} + \text{specificity} - 1$) with maximum value. Data showed that an optimal cut-off value for the 4 days post-operative NLR was 5.19 (sensitivity: 61.5%; specificity: 77.6%), and the optimal cut-off value for the 7 days post-operative NLR was 3.85 (sensitivity: 69.2%; specificity: 62.7%).

Finally, whether the 4 and 7 days post-operative NLR were valuable laboratory predictors for SSI were analysed by logistic regression model. Data showed that NLR at both post-operative time points ($OR = 1.218$; $p = 0.003$ and $OR = 1.296$; $p = 0.048$) could be valuable predictors for SSI (data not shown).

4. Discussion

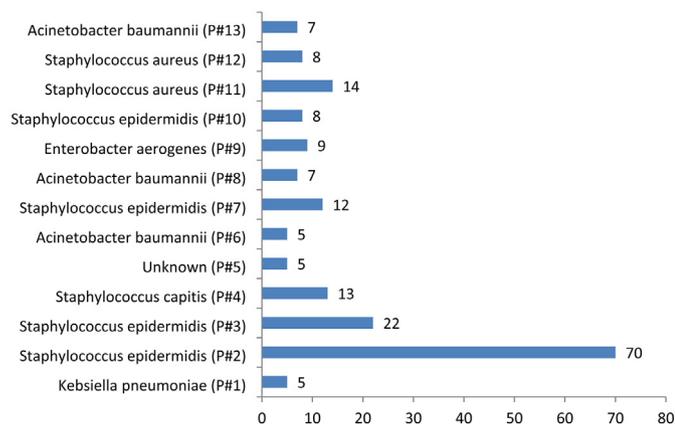
Surgical site infection (SSI) is one of the serious complications in

Table 1
Patient demographic and laboratory data.

Variables	Reference	SSI (n = 13) Median (range)	Non-SSI (n = 280) Median (range)	p
Age (yr)	/	62 (44–72)	61 (34–98)	0.510
Sex (male/female)	/	8/5	144/136	0.911
Operating time (min)	/	167 (85–234)	155 (45–422)	0.717
Hypertension (No/Yes)	/	9/4	188/92	0.875
Diabetes (No/Yes)	/	11/2	252/28	0.531
Length of stay (days)	/	37.0 (12–160)	14 (5–59)	< 0.001
4 days post-operation				
WBC ($10^9/L$)	3.5–9.5	10.3 (3.5–15.1)	7.8 (3.5–17.6)	0.282
Neutrophil ($10^9/L$)	1.8–6.3	7.0 (3.0–13.8)	5.5 (0.8–15.1)	0.121
Lymphocyte ($10^9/L$)	1.1–3.2	1.2 (0.3–2.9)	1.5 (0.3–3.6)	0.124
Neutrophil (%)	40.0–75.0	77.2 (60.8–91.6)	71.8 (45.4–93.5)	0.010
Lymphocyte (%)	20.0–50.0	14.8 (5.4–28.3)	19.2 (3.9–42.2)	0.027
NLR	0.88–4.0	5.4 (2.1–17.30)	3.69 (0.87–23.6)	0.011
CRP (mg/L)	< 6.0	49.9 (4.1–130.9)	40.4 (0.6–253.0)	0.447
7 days post-operation				
WBC ($10^9/L$)	3.5–9.5	8.9 (4.2–19.0)	7.3 (3.3–18.2)	0.099
Neutrophil ($10^9/L$)	1.8–6.3	7.1 (2.6–15.3)	4.9 (1.7–15.4)	0.039
Lymphocyte ($10^9/L$)	1.1–3.2	1.3 (0.9–2.9)	1.5 (0.5–4.1)	0.559
Neutrophil (%)	40.0–75.0	73.7 (62.5–71.7)	68.3 (40.5–86.8)	0.030
Lymphocyte (%)	20.0–50.0	18.1 (9.0–26.9)	20.8 (8.4–44.0)	0.073
NLR	0.88–4.0	4.3 (2.4–8.7)	3.2 (0.9–10.0)	0.047
CRP (mg/L)	< 6.0	36.8 (16.5–104.0)	32.3 (0.5–162.6)	0.140

Table 2
Microbiological culture data in the SSI group.

No.	Age	Sex	Diabetes	Hypertention	Culture
1	45	Male	No	No	<i>Kebsiella pneumoniae</i>
2	69	Male	No	Yes	<i>Staphylococcus epidermidis</i>
3	44	Female	No	No	<i>Staphylococcus epidermidis</i>
4	48	Male	No	No	<i>Staphylococcus capitis</i>
5	72	Female	Yes	Yes	Unknown
6	57	Male	No	No	<i>Acinetobacter baumannii</i>
7	61	Male	No	No	<i>Staphylococcus epidermidis</i>
8	65	Female	No	Yes	<i>Acinetobacter baumannii</i>
9	50	Male	No	No	<i>Enterobacter aerogenes</i>
10	68	Female	Yes	Yes	<i>Staphylococcus epidermidis</i>
11	62	Female	No	No	<i>Staphylococcus aureus</i>
12	63	Male	No	No	<i>Staphylococcus aureus</i>
13	69	Male	No	No	<i>Acinetobacter baumannii</i>

Timeline of infection onset after operation in SSI patients (days)**Fig. 1.** Timeline of onset of infection in days after operation in individual SSI patients with the infected bacteria.

patients after posterior lumbar surgery. Many risk factors associated with the incidence of SSI have been proposed and various prophylaxis measures have been taken to prevent the occurrence of SSI [14]. Moreover, screening and diagnosis of early SSI with potential

laboratory markers such as CRP, ESR and count and/or percentage of WBCs and their subsets (due to their objectivity, low cost, convenience and non-invasiveness), has received interest in recent years [15].

As early as 2006, Takahashi et al. [16] first analysed the kinetics of lymphocytes in patients who underwent spinal instrumentation surgery, although the cohort size was very limited. They reported that WBCs and the percentage and number of neutrophils increased, while the percentage and number of lymphocytes decreased at 4 days post-operation in patients with SSI. Since then, a number of studies have been carried out to focus on the clinical significance of count and percentage of WBCs and their subsets and have derived indices such as NLR and platelet-to-lymphocyte ratio (PLR) which now have been investigated in the early diagnosis of SSI in patients after spine and other surgical operations [17,18]. In this context, a study by Iwata et al. [19] showed that an increased CRP level and a decreased lymphocyte count at 4 days post-operation could be useful screening items for SSI in patients who underwent posterior lumbar spinal surgery. Their recent study further revealed that a decreased percentage and count of lymphocytes at 4 days post-operation, which was unaffected by operative factors, could be a reliable screening laboratory marker for SSI after posterior lumbar decompression surgery [20]. In that study, the cutoff for the percentage of lymphocyte at 4 days post-operation was < 19.4% (sensitivity: 80.0%; specificity: 62.5%; AUC: 0.708). In our study, similar data were obtained, and the percentage of lymphocytes at 4 days post-operation was significantly decreased in SSI patients. When the cutoff < 15%, the sensitivity was 61.5%, and specificity was 73.3% (AUC = 0.682) at the 4 days post-operation. We also found that the percentage of neutrophils at both 4 and 7 days post-operation could discriminate the SSI and non-SSI groups with an AUC of 0.713 ($p = 0.010$) and 0.678 ($p = 0.030$), respectively.

To be noted, increasing evidence has emphasized that NLR is a simple and even better marker than CRP for predicting infection as reported in patients with burn injuries or using domiciliary non-invasive mechanical ventilation [21,22]. Interestingly, NLR was the only marker that was dramatically significant in patients with *E. coli* urinary tract infections after renal transplantation [23]. However, the clinical significance of NLR in the prediction of SSI in spinal surgery remains to be investigated. In this study, our data presented that the NLR was much higher in SSI patients at both 4 and 7 days post-operation and could be a valuable indicator for SSI after posterior lumbar spinal

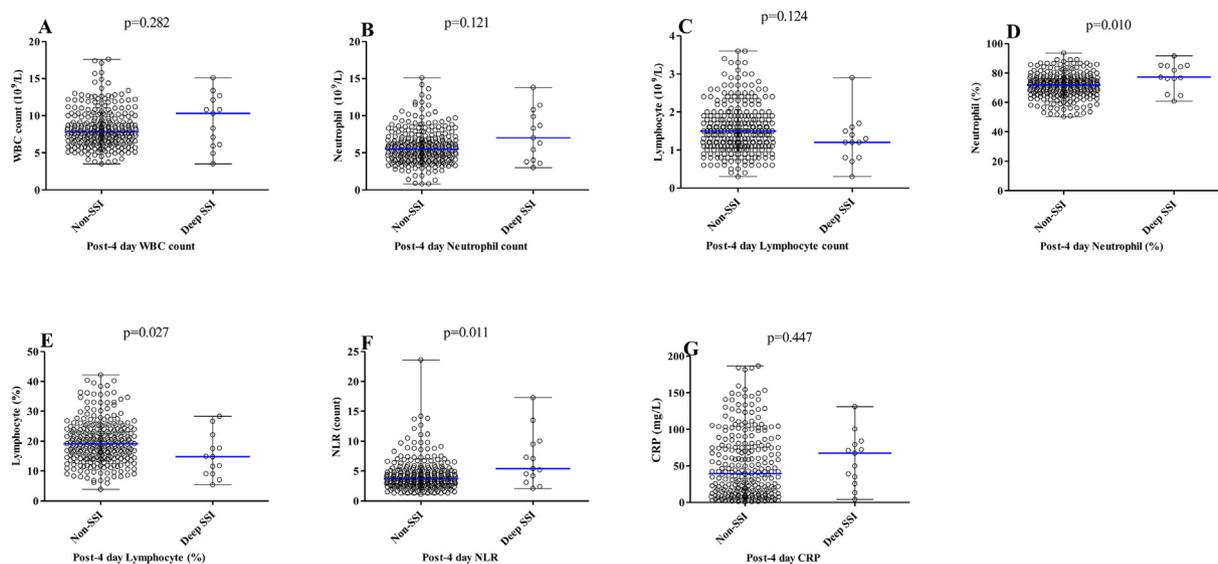


Fig. 2. The distribution and comparison of 4-days post-operative laboratory variables between the patients with SSI ($n = 13$) and non-SSI ($n = 280$) patients. (A) WBC count; (B) neutrophil count; (C) lymphocyte count; (D) percentage of neutrophils; (E) percentage of lymphocytes; (F) neutrophil/lymphocyte count ratio; and (G) CRP. The blue line represents the median values. All comparisons were performed with the Mann-Whitney U test. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

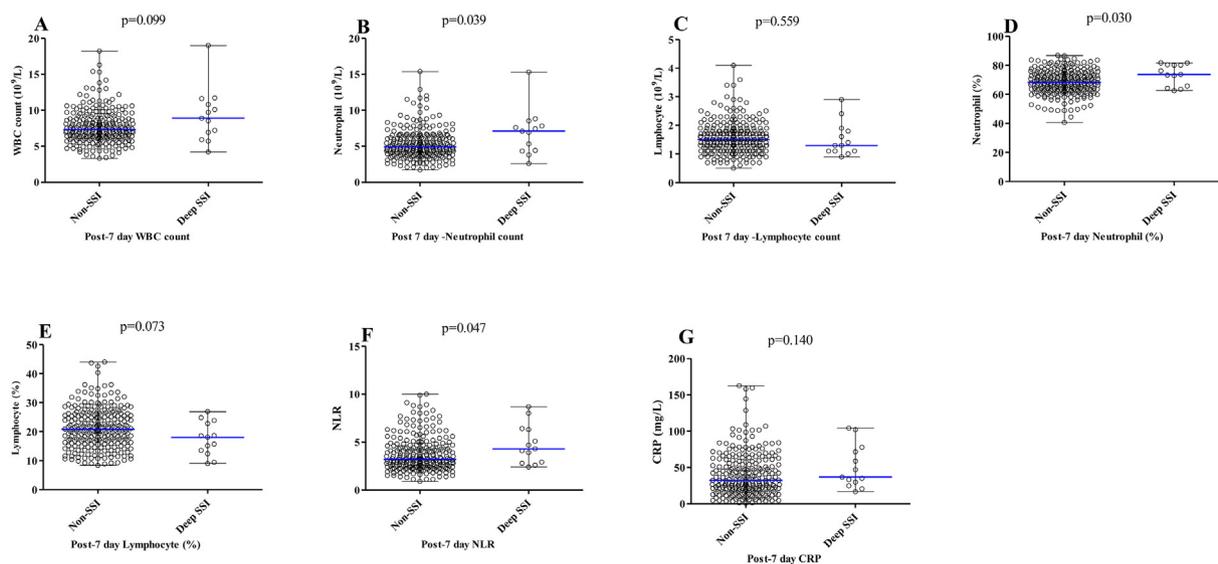


Fig. 3. The distribution and comparison of 7-days post-operative laboratory variables between the SSI ($n = 13$) and non-SSI ($n = 280$) patients. (A) WBC count; (B) neutrophil count; (C) lymphocyte count; (D) percentage of neutrophils; (E) percentage of lymphocytes; (F) neutrophil/lymphocyte count ratio; and (G) CRP. The blue line represents the median values. All comparison were performed with the Mann-Whitney U test. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

surgery. With the optimal NLR cutoff value > 5.19 , the sensitivity was 61.5%, and the specificity was 77.6% ($AUC = 0.708$) at 4 days post-operation, and with the optimal NLR cut-off value is > 3.85 , the sensitivity was 69.2% and specificity was 62.7% at 7 days post-operation. Interestingly, with the limited, small size sample of patients, our data revealed that the NLR at 4 days post-operation was significantly higher in SSI patients infected with gram-negative compared to that of patients infected with gram-positive bacteria ($p = 0.003$). However, the underlying mechanisms remain elusive and the findings obtained in previous studies are controversial. Vandijck et al. [24] reported that WBC count was dramatically increased in patients infected with gram-negative bacteria as compared to patients infected with gram-positive bacteria, while others documented that the WBC counts were not related to the types of infectious bacteria and their gram staining status [25,26]. Moreover, our data also showed that the neutrophil percentage

was obviously elevated in SSI patients as compared to that in non-SSI patients both at 4 days and 7 days post-operation. As the logistic regression analysis indicated, the neutrophil percentage and NLR could be valuable predictors for SSI.

Our present study does have several limitations. First, due to the rare incidence of the SSI cases and their very limited sizes, only 13 patients with SSI were identified and included in the study. Second, this was a retrospective study and only three time points were included before and after the operation. Third, the follow-up period was relatively short and some patients who later developed SSI would be missed. Additionally, different operation procedures may alter the levels of the laboratory indices. Therefore, a multi-centre prospective study with a larger cohort and dynamic evaluation of the clinical laboratory parameters may help to solve these problems.

In summary, our findings indicated that the count and percentage of

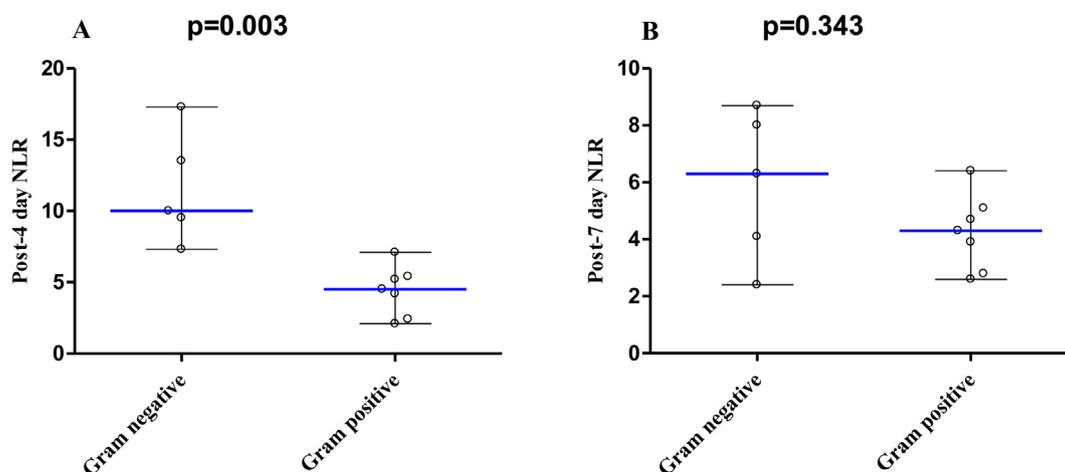


Fig. 4. Comparison of the NLR levels between the SSI patients infected with Gram negative (n = 5) bacteria and Gram positive (n = 7) bacteria at 4-days post-operation (A) and at 7-days post-operation (B).

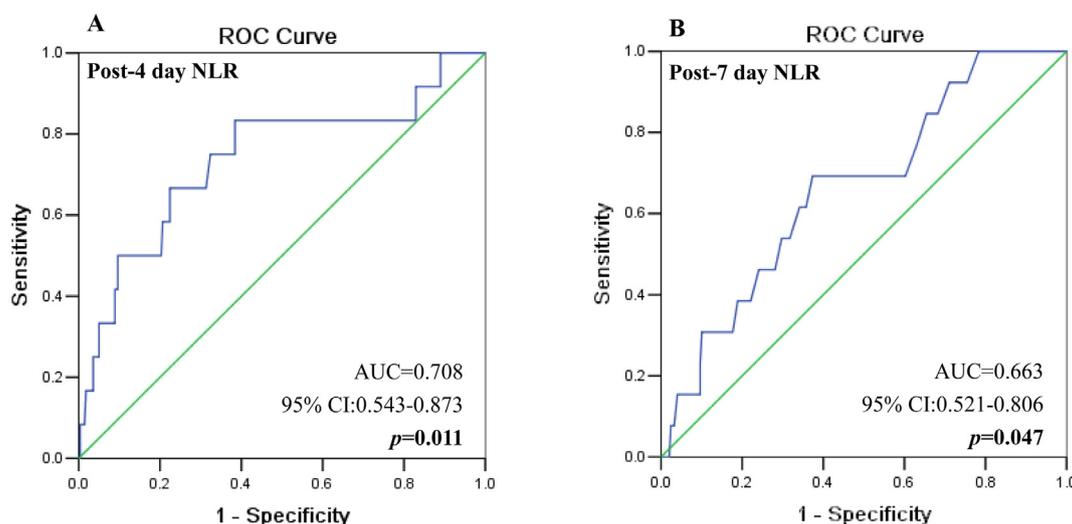


Fig. 5. ROC analysis for NLR in discriminating between SSI (n = 13) and non-SSI (n = 280) patients at 4 -days post-operation (A) and at 7 -days post-operation (B).

peripheral WBCs and their subpopulations are of importance in the screening of early SSI among patients after posterior lumbar spinal surgery, which might be valuable for the early diagnosis and control of infection. NLR at 4 and 7 days post-operation could be a predictor for SSI in patients undergoing posterior lumbar spinal surgery, which can be very convenient as these are routine laboratory tests and can help a physician to make treatment choices. However, a multi-centre prospective study with a larger cohort and dynamic evaluation of the clinical laboratory parameters may help to validate the significance of these parameters in predicting SSI in patients who underwent posterior lumbar spinal surgery.

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.intimp.2019.105705>.

Declaration of Competing Interest

The authors report no proprietary or commercial interest in any product mentioned or concept discussed in this article.

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