



## Review

# Interactions between cancer stem cells, immune system and some environmental components: Friends or foes?

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## ABSTRACT

Cancer stem cells (CSCs) are a subgroup of tumor cells that are characterized by their tumor initiating capacity, low proliferation rate, self-renewal capacity, pluripotency and chemoresistance. The immune system, including innate and adaptive immune cells, plays pro-tumorigenic and anti-tumorigenic roles in cancer biology. Immunosurveillance often initially successfully eradicates tumor cells. However, following a phenomenon referred to as immunoediting, cancer cells may ultimately evade immune destruction, thus enabling tumor progression. Here, we review how CSCs both escape immune destruction and foster establishment of an immunosuppressive tumor microenvironment through intricate interactions with and recruitment of a broad range of immune cells, including natural killer (NK) cells, myeloid-derived suppressor cells (MDSCs), regulatory T cells (Tregs), tumor-associated macrophages (TAMs), cytotoxic T-lymphocytes (CTLs) and T helper (Th) cells. Further elucidation of CSC-immune cell interactions and the underlying signaling mechanisms will open up novel opportunities to improve cancer immunotherapy.

## 1. Introduction

The term cancer initiating cells, or more commonly, cancer stem cells (CSCs), refers to a subgroup of tumor cells that exist within the tumor mass and are characterized by tumorigenic and self-renewal properties and resistance to chemotherapy. The idea of CSCs was first put forward fifty years ago [1,2]. Since then, these cells have been regarded as a driving force behind tumor initiation and dissemination [3]. In fact, CSCs display greater resistance to radiation and chemotherapeutic agents compared to other cancer cells and, therefore, they are able to survive conventional therapies, resulting in local and distant relapse of the disease [4]. The concept that the immune system recognizes and destroys nascent transformed cells, including tumor cells, arose from the cancer immunosurveillance hypothesis described by Burnet and Thomas [5]. The relationship between the immune system and cancer has been widely investigated for over a century and was first emphasized by Rudolph Virchow more than 150 years ago [6].

The CSCs' microenvironment includes a broad range of components,

including immune cells that interact with CSCs, such interactions that promote changes in CSCs, which, over time, lead to their acquiring the ability to evade destruction by the immune system, a phenomenon known as cancer immunoediting. Sometimes, these changes are so powerful that the immune system promotes the development of CSCs [7]. It has also been shown that the tumor microenvironment directly promotes tumor development by inducing both local immunosuppression and the CSC phenotype [8].

Here, we comprehensively review the complex interactions between CSCs and a wide range of immune cells in the tumor microenvironment, covering immunosurveillance, immunoediting and immune escape during tumor development.

## 2. Definition of cancer stem cell (CSC)

There is increasing evidence that the tumor mass is highly heterogeneous, containing relatively small subgroups of cells with characteristics of stem cells. These are commonly known as "tumor-

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initiating cells” or CSCs [9,10]. Indeed, some of the key stem cell transcription factors are over-expressed in CSCs, such as SRY (sex-determining region Y) box-2 (SOX2), Octamer-binding transcription factor 4 (OCT4), kruppel-like factor 4 (KLF4), NANOG and cMYC, which maintain pluripotency [11]. CSCs are traditionally characterized by their chemoresistance [12], their capacity to self-renew, their multidrug resistance (MDR), their capacity to divide into different lineages, and to sustain homeostasis inside the tumor [13]. As such, CSCs behave similarly to embryonic stem cells or multipotent adult stem cells. For normal stem cells, cell fate determination and self-renewal are controlled by both cell-intrinsic and cell-extrinsic pathways. Impairment in these pathways leads to the expansion of stem cells and can be regarded as a key event in carcinogenesis [14–20]. For instance, many of the signaling pathways that regulate self-renewal of normal stem cells are impaired in CSCs, consequently leading to uncontrolled self-renewal and neoplastic CSC growth [21]. Multiple studies have shown that MDR in the CSC population is due to the over-expression of P-glycoproteins and/or ABC transporters (or drug efflux pumps), such as ABCB1/MRP1, ABCB1 and ABCG2 [19–22]. In the 20th century, Bonnet and Dick first proposed the concept of CSCs and studied it in human acute myeloid leukemia (AML) [23]. In contrast to most tumor cells, which are called non-CSCs or ‘bulk’ tumor cells, CSCs have the ability to initiate and sustain neoplastic progression, dissemination and relapse [24–26]. CSCs can be in a quiescent phase of the cell cycle but are not necessarily. In addition, they are characterized by high expression levels of transporters and anti-apoptosis proteins and by resistance to DNA damage [27].

In specifying and describing the subpopulation of cells with activities identical to those of stem cell in solid tumors, several markers, namely CD133, CD44, CD24, and aldehyde dehydrogenases (ALDH) are commonly used [14,28–30]. There are two strategies for CSC division, including symmetric cell division, which yields two CSCs, and asymmetric CSC division, which gives rise to one CSC and one non-CSC. Symmetric cell division produces CSCs instantaneously, while asymmetric division may sustain long-term survival of the progeny [13,31] (Fig. 1).

### 3. Tumor immunosurveillance

Malignancy can be countered completely by the immune system in a

process known as immunosurveillance [32]. During 1950s, the cancer immunosurveillance hypothesis was formally put forward to describe a possible function of adaptive cellular immunity in eliminating tumor cells [33]. CSCs have been reported to have lower immunogenicity when compared to non-CSCs [34,35]. As such, tumor cell growth is monitored and thereby, a first line of defense is constituted by innate immunity. Stress results in upregulation of ligands that help activate natural killer (NK) cell receptors, a response that activates an adaptive immune response [36] and other immune stimulatory surface molecules help identify and eliminate tumor cells. The main molecules responsible for tumor immunosurveillance include interferon-gamma [37], interleukin-12 (IL-12) [38], death receptor (DR4) and DR5 [39], perforin [40] and the recombination activating genes RAG1 [41] and RAG2 [37]. Loss of any of these culminates in more frequent or faster spontaneous or carcinogen-induced tumorigenesis [42]. In addition, both bulk tumor cells and CSCs may modify the biological properties of stromal cells, endothelial cells and immune cells in their unique microenvironments, consequently facilitating tumor progression and the emergence of drug-refractory tumor phenotypes [43–45]. Therefore, the interactions between tumor cells and nearby normal cellular components probably play a critical role in tumor initiation, progression, and responsiveness to anticancer therapeutics [46].

### 4. The CSC niche in the microenvironment

In healthy tissues, stem cells are concentrated in areas filled with blood vessels and stromal cells, otherwise known as the ‘stem cell niche’(SCN). This niche is believed to protect stem cells against apoptotic stimuli and to strike a proper balance between stem-cell self-renewal and differentiation. In fact, it has been suggested that, in the tumor microenvironment, CSCs reside in a niche that is vital for their maintenance [47], similar to normal stem cells. Developmental cell-intrinsic pathways, such as Hedgehog, Wnt and Notch, control the function of normal stem cells and are frequently impaired in cancers [17,18,48,49]. Extrinsic signals that control stem cell behavior originate in the stem cell microenvironment or niche. This niche consists of extracellular components, as well as various cell types [50]. Like normal stem cells, CSCs need input from the surrounding microenvironment to establish an optimal balance between self-renewal and differentiation. Such input might influence tumor initiation,

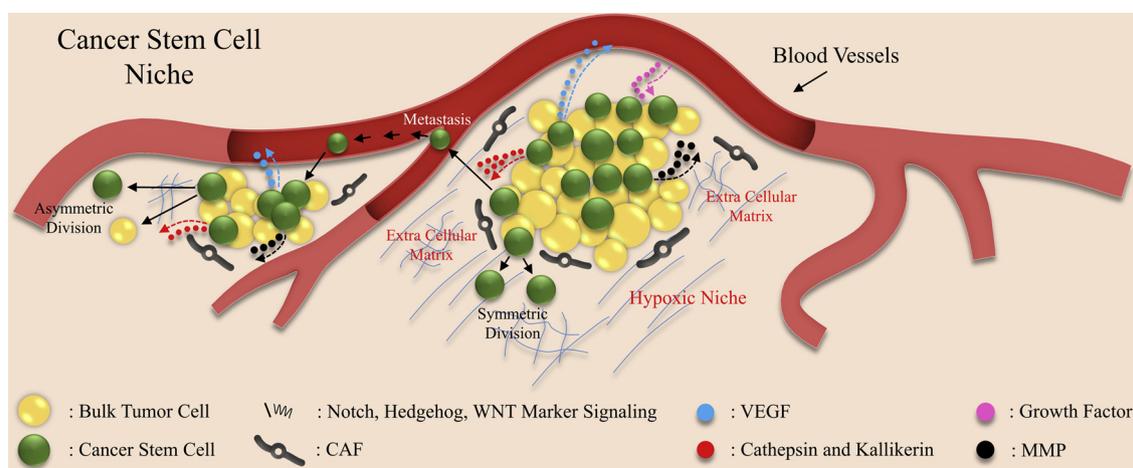


Fig. 1. Cancer stem cells (CSCs) niche and the function of this tumor cells in this site.

Cancer cells that create a special place in the vicinity of the vein that is known cancer stem cell niche (CSCN). This niche is believed to protect CSCs which protects them from the destructive of these cells. CSCs have symmetric division and asymmetric division. CSCs in this milieu with the hypoxic situation in extra cellular matrix (ECM) produce some factors that help to growth and progression this cells. Signaling of WNT, Notch, Hedgehog are developmental intrinsic pathways for CSCs. Endothelial cells produce and secret growth factors for CSCs. CSCs with producing and secreting metalloproteinase matrix (MMPs), cathepsins and kallikreins could promote cancer cell migration. Vascular endothelial growth factor (VEGF) that was produced by CSCs, elevate angiogenesis. Cancer associated fibroblasts (CAFs) that are in ECM have been identified as two potential tumor promoters. These CSCs with going into the vessel and then exhale from the bloodstream and enter to another place cause metastatic of tumor.

progression and outcome [51–54]. The cancer stem cell niche (CSCN) is rich in soluble factors, growth factors, adipose cells, cytokines, prostaglandins and extracellular matrix (ECM) components, while cancer-associated fibroblasts (CAFs), mesenchymal stem cells (MSCs), immune cells and endothelial cells are the key cellular players [55–57], and they all influence tumor growth and metastasis [50]. In the CSCN, metabolites, such as lactate, glutamine and ketone bodies reprogram again the metabolism of stromal stem cells and cancer cells advocating adaption of tumor cells to dynamic fluctuation of CSCN. Tumor cells in the CSCN stimulate CAFs to reprogram their metabolism, adopting a glycolytic phenotype. In other words, increases in glucose uptake and lactate secretion serve as nutrients for adjacent cancer cells [58,59]. On the one hand, lactate secretion increases acidity in the CSCN, which results in higher ECM protease activity and this promotes migration and metastasis. However, lactate is absorbed by cancer cells and this reprograms their metabolism from a glycolytic to a respiratory mode (OXPHOS), thus fostering ongoing tumor growth [60]. CSCs also express a great number of cellular proteases, including matrix metalloproteinases (MMPs), cathepsins and kallikreins [61]. This leads to degradation and remodeling of ECM and enables tumor cell migration.

Hypoxia-inducible factors (HIFs), which are produced by mesenchymal stem cells (MSCs) and a subset of cancer cells [62,63], facilitate metabolic shifts in cells in hypoxic environments, including the CSC's microenvironment. In addition, hypoxia stimulates epithelial-to-mesenchymal transition (EMT) in CSCs, a process necessary for metastasis, by activating EMT transcription factors, a process that results in loss of E-cadherin expression, among others [64,65]. In the tumor microenvironment, HIFs play a central role in the maintenance and proliferation of CSC and bulk cancer cells. Further, HIFs promote the differentiation and/or proliferation of host cells including, dendritic cells (DCs), endothelial cells (ECs), myeloid-derived suppressor cells (MDSCs), and tumor-associated macrophages (TAMs). In addition to regulating cells that modify T cell function, HIF also directly promotes the activation and differentiation of different functional subsets of T cells, including cytolytic T lymphocytes (CTLs), regulatory T cells (Tregs), and interleukin (IL)-17-producing T cells (Th17) [66] (Table 1). Recently, researchers are increasingly interested in the significance of the CSCN in controlling the biological properties of CSCs with the goal to enhance the response of tumors to drugs and therapeutic agents [3] (Fig. 1).

### 5. CSCs and harnessing the immune system

The field of cancer immunology is rapidly evolving [67] and the principles of cancer immunoeediting have set the scene for better understanding the dual host-protective and tumor sculpting actions of immunity from cancer and establishing a basis for new individualized cancer immunotherapies [68].

Changes in tumor immunogenicity, which lead to immune-resistant types of cancer, are initiated by the anti-tumor response in the immune system and it is used to identify immunoeediting [69].

Experts believe that three major categories of cells participate in the immunoeediting process: the cancer cells, which are heterogeneous, the immune cells, and the specialized stromal cells, including CAFs, endothelial cells, etc. As the CSC model is increasingly accepted, some argue that CSCs may be the key players in all three phases of the immunoeediting process [70] (Table 1). The immunoeediting concept, primarily put forward to explain the dynamics of the immune system in malignancies, is characterized by three Es (the Elimination, Equilibrium, and Escape phases). This model is now widely accepted in the field of cancer immunity [37,38,71].

The elimination phase in the immunoeediting process represents a component of the cancer immunosurveillance theory and is used to define the ability of the innate and adaptive immune system to recognize and destroy cancer cells [71–73]. Cancer cell lysis occurs via secretion of perforin from cytolytic immune cells (i.e., NK cells, NKT cells,  $\gamma\delta$ T cells, and CD8 + T cells), ADCC, or CDC [74]. The properties of low immunogenicity and quiescent behavior, which are exclusive to stem cells, may help CSCs remain detached in their niches, safe from the highly active and functional immune system during this elimination phase [75].

In the next stage, the equilibrium phase, the malignancy is contained but not eliminated. As this is a temporary and transitional stage, it is difficult to model in an in vivo setting. Hence, many aspects still remain to be elucidated. However, it is plausible that, thanks to their low proliferation rate and high resistance to immune-mediated killing, CSCs may already escape immune destruction, which is the key feature of tumor cells in the third phase of immunoeediting, while bulk tumor cells are eradicated by the immune system [76]. The ongoing increase in heterogeneity and genetic variation of cancer cells may then ultimately enable them to definitively evade immune destruction [71–73].

At the molecular level, complex interactions have been identified between CSCs and the immune system. Studies have shown that CSCs,

**Table 1**  
The soluble factors that affected on CSC and CSCN.

Soluble factors in CSC's niche/microenvironment	Produced by	Function	Reference
Growth factors	Fibroblasts, CSCs	Growth of CSCs	[48,213,214]
Cytokines ) TGF $\beta$ , IL-4, IL-6, IL-10, PGE2 (	CSCs	Protect CSCs from being destroyed	[70]
CXCL12, IL-6, IL-8	MSCs	Generation of CSCs and their maintenance	[96,101]
TGF-B	Tregs	Promote EMT of CSCs	[132]
IL-17	Tregs	Development of CSCs	[131]
IL-22	NK/T cells	Promote the CSC phenotype	[149,150]
IL-12, IL-23, CXCL19 and CXCL10	M1 macrophages	Development of immune cells against CSCs	[156,157]
Type II cytokines	M2 macrophages (TAM)	Promote CSCs growth	[156]
MFG-E8 and IL-6	MDSCs	Promote tumorigenesis and drug resistance in CSCs	[170]
PDGF, TGF- $\beta$ , IL-8 and CXCL12		Promote proliferation and invasion of CSCs	[75]
(iNOS), (ROS), (COX-2), and TGF- $\beta$		Suppress immune function to promote CSCs	[175,189]
Lactate	CSCN/CAFs	Increases acidity of CSCN and promote CSC metastasis	[58,59,60]
MMP	CSCs	Degradation and remodeling of ECM to promote CSC metastasis	[61]
Cathepsins	CSCs	Degradation and remodeling of ECM to promote CSC metastasis	[61]
Kallikreins	CSCs	Degradation and remodeling of ECM to promote CSC metastasis	[61]
HIF	MSC/some cancer cells	Mediate metabolic switches/ CSC maintenance and proliferation	[62,63,66]
VEGF	CSCs/Tregs	Promotion of angiogenesis in the CSCN and influence the stemness, progress of CSCs	[120,130]

Abbreviations: CSC, cancer stem cells; CSCN, cancer stem cell niche; EMT, epithelial-to-mesenchymal transition; ECM, extracellular matrix; HIF, hypoxia-induced factors, MDSC, myeloid derived suppressor cells; MSC, mesenchymal stem cells; MMP, matrix metalloproteinase; TAM, tumor-associated macrophages; Treg, regulatory T cells.

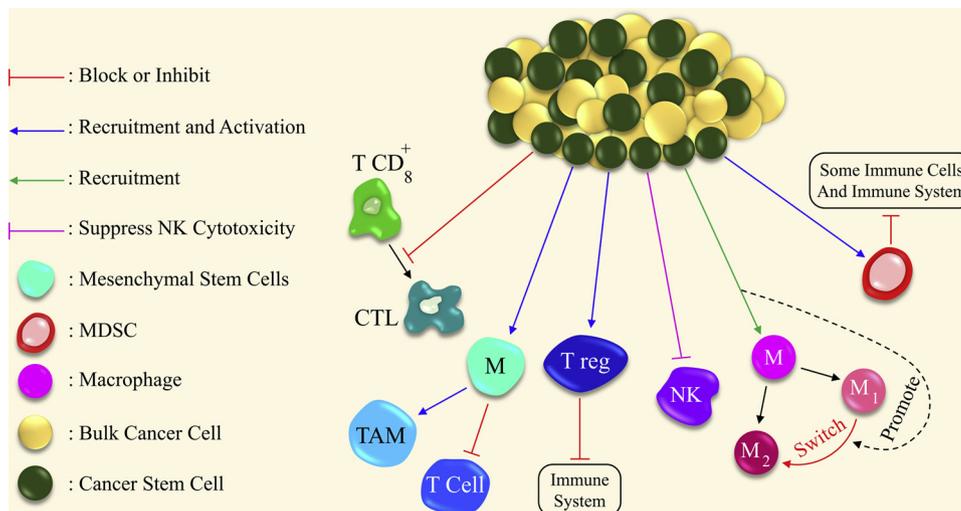
associated with different tumor types, express CD47, CD200, FasL, RCAS1, Bcl-2, BclxL, survivin, increased inhibitory NK cell receptor ligands, non-classical HLA Class I molecules, such as E, F and G, decreased histocompatibility complex I (MHC I) or absent MHC II and costimulatory molecules. In addition, CSCs show inadequate antigen presentation and secrete a repertoire of molecules, such as TGF-β, IL-4, IL-6, IL-10 and PGE2, that protect them against destruction by both innate and adaptive immune cells [70,71,77].

The stochastic model of tumor hierarchy suggests that cancer cells constitute a dynamic population that switches between differentiated and undifferentiated states [78]. Possibly, the more differentiated cancer cells with no apparent functions may act as a reservoir for dedifferentiation into CSCs at a later stage. Investigating the features of these so-called ‘free rider cells’ will be critical for our understanding of CSC biology [79].

### 6. Interactions between cytotoxic T-lymphocytes and cancer stem cells

T cells mediate an immune response after recognizing pathogen-derived antigens presented by antigen-presenting cells (APCs) and non-immune cells [80]. T cell receptors (TCR) of CD8 + T cells identify antigens presented by MHC I molecules [81]. In order to activate CD8 + naive T cells to become cytotoxic T-lymphocytes (CTLs), these cells must recognize an antigenic peptide presented by APCs. Subsequently, they are activated and expand clonally. Full activation requires not only signaling through the TCR, but also secondary signaling through co-stimulatory molecules [82]. Although CSCs express low levels of ligands for secondary signals (B7.1 [CD80] and B7.2 [CD86]), they do represent elevated degrees of the inhibitory co-stimulatory molecular ligand PD-L1 (CD274 or B7-H1). As such, CSCs may prevent the differentiation of CD8+ naive T cells into CTL, but they are unable to inhibit the cytotoxicity of differentiated and activated CTL [83] (Fig. 2). CSCs suppress their MHC I molecules [84], indicating that low MHC I expression may enable them to escape from the T cell response and survive [34]. Cytotoxic T-lymphocyte associated protein 4 (CTLA-4) is a vital negative regulator of T cell responses [85], and may cause T cell exhaustion and hence T cell dysfunction in various types of cancer [86]. CSCs are able to up-regulate CTLA-4 and thus, the binding of the CTLA-4 receptor to CD80/86 expressed on APCs acquires a co-inhibitory effect on T cells [87,88].

Activated CTLs can eradicate target cells in different ways: by



**Fig. 2.** Interaction of cancer stem cells (CSCs) with various immune cells and the affected to each other.

This schematic picture describes the direct effect of cancer stem cells (CSCs) on immune cells. The CSCs prevents the differentiation of the naive T cells to CTLs, that this action is favorable for CSCs. Mesenchymal stem cells (MSCs), by changing the function of the T cells, inhibit the antitumor activity of these T cells. Also, MSCs help to promoting the tumor by recruiting and activating tumor associated macrophage (TAM) cells. One of the tricks of CSCs is the recruiting and activating of immunosuppressive cells like regulatory T (Tregs) and myeloid-derived suppressor cells (MDSC) cells for repressing the immune responses. Suppressing cytotoxicity function of natural killer (NK) cells is another trick of CSCs. It is interesting to say that CSCs by recruiting macrophages and promote the switch of type 1 Macrophage (M1) to type 2 macrophage (M2) action favor of great state for promoting themselves and weakening responses of immune system.

releasing perforins and granzymes, which leads to the activation of the caspase cascade resulting in apoptosis, and also by secreting cytokines, especially interferon-γ (IFN-γ) and tumor necrosis factor-α (TNF-α) [81,89]. CTLs play a very important role in the immune responses to tumor cells, as they can recognize CSCs in an antigen-specific manner [82]. It has been found that CSCs express several tumor-associated antigens (TAAs) and that CTLs recognize CSCs in both laboratory and natural conditions [90,91]. It has been shown that CSCs are less immunogenic when compared to non-CSCs, and they may suppress many tumor-associated antigens, thus limiting the ability of the adaptive immune system to recognize them and mount an antigen-specific response to CSCs [92].

CTLs can multiply only when sufficient supply of glucose is available and die from nutrient deprivation. In contrast to tumor cells, CTLs are mobile and move relatively freely across the microenvironment. However, this CTL motility is energy-consuming, in particular when the movement is random [47]. On the other hand, CSCs are able to shun the anti-tumor immune response by creating a ‘protective shield’ of non-stem cancer cells around themselves. This shield both creates a physical barrier between CSCs and CTLs, and promotes competition for common resources, such as glucose, between non-stem cancer cells and CTLs. It has been suggested that competition for resources can provide an additional mechanism by which CTLs are incapacitated and hence another contribution to immune evasion [47].

At present, treatments using chimeric antigen receptor-modified T (CAR-T) cells containing an extracellular binding domain of a single-chain fragment of the antibody variable region (scFv) and the intracellular signaling domains of CD3zeta have been developed. CAR-T cells are able to specifically recognize antigens expressed on the surface of CSCs – or other cancer cells. Several potential antigens, such as epithelial cell adhesion molecule (EpCAM), CD44, CD90, CD133, and also ALDH have been recognized [93]. CAR-T cell therapy has proved successful in patients with hematologic malignancies, and their safety and feasibility for the treatment of patients with solid tumors have been confirmed [94].

### 7. Interactions between mesenchymal stem cells and CSCs

MSCs allude to a heterogeneous subset of stromal stem cells, which can be extracted from most adult tissues. They proliferate as adhesive cells and are morphologically similar to fibroblasts [95]. MSCs enter the bloodstream from the bone marrow and are essential components of the

tumor microenvironment [96] (Table 1). They serve many functions, including tissue repair, immunomodulation and stem cell homeostasis [97–99]. In addition, MSCs have a significant impact on the clinical course of human malignancies through their modulating CSC functions [96,100].

Hypoxia inducible factor-1 $\alpha$  (HIF-1 $\alpha$ ) regulates cellular oxygen homeostasis. Cancer cells often express higher than normal HIF-1 $\alpha$  levels [63]. This enables tumor cells to cope with hypoxic conditions. It promotes tumor angiogenesis and metabolic reprogramming. Additionally, HIF-1 $\alpha$  induces expression of chemokine (C-X-C motif) ligand 16 (CXCL16) in order to recruit MSCs. This stimulates MSCs to produce chemokine (C-C motif) ligand 5 (CCL5), which in turn recruits TAMs [66]. Interestingly, the CSC population is maintained by activating the NK-kB pathway via secretion of CXCL12, IL-6, and IL-8 [101] (Table 1). It has been shown that cytokines mediate crosstalk between MSCs and cancer cells affecting the tumor niche by promoting the generation and maintenance of CSCs [96]. With regard to immunosuppressive effectors, one particularly striking recent observation is the identification of CAFs and MSCs as two potential tumor promoters [102].

In the tumor microenvironment, MSCs are able to differentiate into stromal fibroblasts [103]. Fibroblasts from tumor tissues display an activated phenotype and are able to secrete many immunosuppressive factors, such as tumor growth factor  $\beta$  (TGF- $\beta$ ) and vascular endothelial growth factor (VEGF), among other factor [102]. Increased VEGF secretion in the microenvironment may promote maturation and dendritic cell (DC) differentiation and improve anti-tumor immune responses in cancer patients [104]. TGF- $\beta$  [105] is caused to the debilitation of MHC II expression and subsequent antigen processing [106] as well the gross expansion of population of the immune-suppressive Treg cells [83]. MSCs show reduced levels of MHC I [84], another indication suggesting reduced class I expression by CSC populations [107]. MHC I antigens are essential for immune recognition of transformed cells [108]. Therefore, selective or complete down-regulation of class I molecules may make class I MHC-restricted CTLs unable to lyse some target tumor cells [109,110]. Also, it is believed that class I MHC molecules are regulated not only on immune cells and normal stem cells, but also on cancer cells [111].

In the microenvironment of CSCs, MSCs interact with a number of immune cells and display immune-modulatory functions. MSCs suppress the cytotoxicity potential of NK cells and prevent activation of T cells through shifting immune cell functions towards immune suppression [112]. In addition, CSCs can facilitate immune suppression by recruiting MSCs, so that recruited cells regulate immune competence by releasing IL-10 or by producing the enzyme indoleamine-2,3-dioxygenase (IDO). IDO induces tolerance and a shift from Th1 to Th2 immune response [113]. As a result, attracted MSCs help create an immunosuppressive microenvironment with reduced immune surveillance, which in turn fosters CSC maintenance and promotes tumor growth [114] (Fig. 2).

## 8. Interactions between regulatory T cells (Tregs) and CSCs

The intricate crosstalk between CSCs and immune cells also includes Treg cells. In the past, Tregs were classified into two groups, those formed in the thymus (natural) and those differentiated in the periphery (induced) [115]. The latter cells (iTregs) are characterized as the CD4<sup>+</sup>CD25<sup>+</sup>FOXP3<sup>+</sup> T cell subpopulation, with FOXP3 being an important transcriptional regulator of the development and function of Treg cells [116,117]. The Treg cells put certain limitations on pathogenic immune responses to self-antigens and foreign antigens [118]. This Treg cell-mediated immunosuppression is primarily achieved through the production of various cytokines, such as IL-10, IL-35, and TGF-B [119]. In tumors, Treg cells are recruited in various ways, which primarily involves chemokine attractants. For example, tumor cells produce CCL22 and CCL28, which attract Treg cells to the environment

of CSCs [120–123]. The effector Treg cells, marked by expression of CCR4 (C-C motif chemokine receptor 4), are the predominant Tregs in the tumor niche [124]. These FOXP3<sup>+</sup> cells were recently found to be associated tumor progression. The Treg cells help in immune evasion of CSCs and an increasing body of literature has highlighted the role of tumor-associated macrophages (TAMs) and tumor-associated fibroblasts (TAFs) in recruiting the Treg cells to the tumor microenvironment [118].

CSCs produce TGF- $\beta$  [70,125], which induces Treg to iTreg differentiation by stimulating the synthesis of the transcription factor FOXP3 and thereby decreases antitumor immunity [126,127]. Treg infiltration has been shown to occur early during tumor formation and the Treg cell population also expands while the tumor develops. As the Treg infiltrate increases, it inhibits further accumulation of CD8<sup>+</sup> T cells and the simultaneous secretion of inflammatory cytokines, such as interferon  $\gamma$  (IFN- $\gamma$ ), tumor necrosis factor (TNF), interleukin 6, and chemokine (C-C motif) ligand 2 (CCL2), which is required to elicit an adaptive immune response. Overall, these events promote CSC maintenance [128].

Treg cells also influence angiogenesis and VEGF levels in the CSC microenvironment [120]. Treg cells produce VEGFA in response to hypoxia in the microenvironment and this promotes angiogenesis. Several cell types have been identified as producers of VEGFA and induction of angiogenesis. However, Tregs seem to be an important source of VEGFA [129] and their ability to promote angiogenesis positively affects the stemness, progress and control of CSCs [130]. Intriguingly, recent studies show that, under certain circumstances, FOXP3<sup>+</sup> Tregs express IL-17, which, together with hypoxia, plays an important role in CSCs development [131].

Tregs also produce TGF- $\beta$  and this cytokine is a major inducer in this the epithelial-to-mesenchymal transition (EMT), a process in which tumor cells obtain the capacity to migrate, invade, metastasize and acquire stem cell characteristics [132]. Many studies have demonstrated that CSCs actively recruit immune-suppressive cells to the tumor microenvironment. In addition to immune cell modulatory functions, these tumor-associated immune-suppressive cells, which mainly consist of Treg cells, are known to support CSCs through multiple pathways [133] (Table 1) (Fig. 2).

## 9. CSCs display resistance to NK cell cytotoxicity

NK cells are traditionally defined as innate lymphoid cells capable of killing virally-infected or malignantly transformed cells in a MHC I unrestricted manner [134]. About 95% of peripheral blood NK cells are characterized as CD56<sup>dim</sup> CD16<sup>+</sup> and exert intense cytotoxic activity. The remaining 5% is CD56<sup>bright</sup> CD16<sup>-</sup> and shows cytotoxicity through production of strong cytokines [135].

The death receptor and granule-dependent pathways are two typical cytotoxic mechanisms of NK cells. Fas-Ligand (FasL or CD95 L) and the TNF-related apoptosis-inducing ligand (TRAIL) are both expressed on NK cell membranes and trigger the death receptor pathway. Acting as a receptor for their ligands in target cells, this results in direct lysis of target tumor cells [136].

The granule-dependent pathway is activated after NK cells attach to the target cell, with subsequent delivery of cytotoxic granules, containing Perforin and proteases called Granzymes, to the coupled target cell [137]. NKG2D, Nkp30, Nkp44, and Nkp46 are the activation receptors on the surface of NK cells [138], whereas the inhibitory receptors of these cells are KIR, CD94/NKG2A and CD300a [139]. The ligands for key NK activation receptors, such as NKG2D, include MHC-Ib molecules (e.g., MICA and MICB), which are upregulated following cellular stress, such as rapid proliferation, viral infection, and CSCs [138]. Unlike TAMs and tumor associated neutrophils (TANs), which can exert either pro- or anti-tumor activities during cancer development, NK cells are exclusively anti-tumor contenders [104,140].

The vulnerability of CSCs to NK cell-mediated cytotoxicity is higher

than their parental cells or their differentiated counterparts. The susceptibility of CSCs to NK invasion is associated with the up-regulation of the natural activating cytotoxicity receptors, especially Nkp30 and Nkp44, on the exterior of NK cells, as well as the increased presentation of their respective ligands on the exterior of CSCs in these receptors [135]. In addition, Tallero and colleagues reported lower levels of MHC I expression on CSCs than non-CSCs, indicating that the differences seen in MHC I expression were related to NK cells' effective targeting of CSCs [135]. Moreover, CSCs are vulnerable to NK cell-mediated cytotoxicity due to preferential upregulation of the NK cell activation ligands MICA/B, Fas, and death receptor 5 (DR5) on CSCs [141].

Although CSCs are more susceptible to NK cell-mediated cytotoxicity, CSCs adopt traits that enable them to block NK cell function and increase inhibitory NK cell receptor ligands [70,104,140]. In addition, Wang et al. reported evasion of immunosurveillance and reduced NK killing of CSCs in some forms of cancer when CSCs shed MICA and MICB and apparently recruit Tregs to promote an immune evasive state [142,143]. NK cell cytotoxicity is also suppressed after interacting with CSCs [144–146]. On the other hand, interaction of NK cells with the refractory tumors does not lead to suppression of NK cell cytotoxicity [147].

One reason for decreased lysis of stem cells by NK cells was found to be linked to competitive lysis of monocytes by the NK cells in micro-environment of some of CSCs. Hence, although monocyte lysis by NK cells may help inhibit NK cell lysis of stem cells to some extent, interplay between monocytes and stem cells can also lead to the stem cells becoming resistant to NK cell cytotoxicity. Thus, although the presence of monocytes decreases the killing of stem cells by the NK cells, synergistic secretion of IFN- $\gamma$  by NK cells in the presence of monocytes and some of CSCs can show a reverse association between IFN- $\gamma$  secretion and cytotoxicity, a phenomenon called 'split energy'. The reduced cytotoxicity of NK cells was observed in parallel with improved split energy. Thus, this is one mechanism by which tumor cells may escape immune destruction and this expedites metastasis [148].

Another important strategy that contributes to CSC immune evasion is the production of IL-22 by NK and T cells, which was found to promote a CSC phenotype, indicating that CSCs have multiple mechanisms for immune evasion [149,150]. Similar to CAR-T cells, preclinical evidence from new advances in NK cell engineering, such as the generation of CAR-NK cells, suggest that NK cells may also be effective in recognizing and killing target tumor cells after genetic modification. CAR-NK cells are a particularly promising tool as a novel cellular immunotherapy against resistant malignancies. We expect that the ability to direct more potent NK cell-mediated cytotoxicity against refractory tumors via CAR expression will further fuel the recent revolution in cancer treatment using immunotherapeutic approaches [151] (Fig. 2).

## 10. Tumor-associated macrophages

Monocytes and macrophages refer to subsets of leukocytes with special roles in tissue homeostasis and immunity. Generally, monocytes differentiate while an inflammation or pathogen challenge is in progress, whereas tissue-resident macrophages are important for expansion, homeostasis, and resolution of inflammation [152]. Macrophages are also found in tumor tissues and hence are referred to as tumor-associated macrophages (TAMs). With the proposition that macrophages differentiate into classically or alternatively activated phenotypes, the significance of TAMs is now coming into light [153]. Monocytes or macrophages are typically placed into two poles, so-called M1 or M2 macrophages [154].

### 10.1. The influence of M1 macrophages on CSCs

Classically activated macrophages, otherwise known as M1-polarized macrophages, are activated by cytokines such as IFN- $\gamma$ , create high

levels of reactive nitrogen and oxygen intermediates [155] and secrete proinflammatory and immuno-stimulatory cytokines, such as IL-12, IL-23, CXCL19 and CXCL10 in the niche of CSCs. In turn, this stimulates the development of Th1, Th17 and NK cells. Amongst them are the infiltrating M1 tumor-associated macrophages, which are noted (or realized) in the primary steps of tumorigenesis and are capable of releasing proinflammatory cytokines and in turn restrain tumor growth, [156,157]. In several murine models of carcinogenesis, tumor progression is largely linked to a phenotypic shift from M1 to M2 in TAM [158] (Table 1).

### 10.2. The influence of M2 macrophages on CSCs

Researchers believe that TAMs are similar to M2-polarized macrophages, also known as alternatively activated macrophages, which are activated by Th2 cytokines (e.g., IL-4, IL-10, and IL-13), as well as MSCs [52,159]. Regarded as a major component of the tumor micro-environment, TAMs regulate CSC functions in several ways [46,160]. It has been shown that TAMs and TANs are both necessary for protecting CSCs from the continuous immune surveillance in the tumor niche [161–163]. M2 tumor-associated macrophages are particularly powerful during late stages of tumor formation. Some of their products, specifically Type II cytokines, are capable of inducing an anti-inflammatory reaction and thereby promote tumor growth [156]. In preliminary tumors and at metastatic locations, TAMs act in complex bidirectional interactions with tumor cells, fibroblasts, CSCs, mesenchymal stem cells, endothelial cells, T, B, as well as NK cells. Macrophages are able to destroy tumor cells and raise tumor-destructive responses, but several lines of evidence suggest that TAMs promote progression of established tumors by stimulating proliferation and survival of CSCs, as well as angiogenesis and lymphangiogenesis, and skewing and relaxing effective T cell responses [3,162,164].

Wu et al found that CSF-1 and CCL2, which are factors enriched in some of CSC microenvironments, mediated recruitment of macrophages and they tip the balance of the polarization of the monocyte pool toward the immune-suppressive M2 phenotype [165,166]. VEGF-A [167] is a major proangiogenic cytokine produced by TAMs. Under hypoxic conditions, HIF-1 $\alpha$  and HIF-2 $\alpha$  contribute to the production of VEGF-A by TAMs and thus promote CSCs angiogenesis and metastasis induced by TAMs [168,169].

In the niche of CSCs, TAMs promote tumorigenesis and drug resistance in several ways. They generate and secrete MFG-E8 and IL-6. This activates the transcription factor STAT3, which carries various signals of cytokines and growth factors from the cell membrane to nucleus, as it translocates from the cytoplasm to the nucleus [170]. In addition, TAMs activate the Hedgehog pathway in CSCs [46] and promote proliferation and invasion of CSCs by releasing PDGF, TGF- $\beta$  and the stemness-favoring cytokines IL-8 and CXCL12 [75]. A good strategy for targeting TAM is reprogramming the TAMs to anti-tumor M1 phenotypes through inhibiting anti-inflammatory cytokine IL-10, or co-activating the pro-inflammatory CD40, IL-12, IL-8 and TNF- $\alpha$  by antagonizing TLR-7. This was shown to effectively reverse tumor development [171]. Finally, dynamic shifts between M1 and M2 phenotypes were found to vary within different tumor microenvironments [172,173] (Table 1) (Fig. 2).

## 11. The role of MDSCs in the promotion and growth of CSCs

Myeloid-derived suppressor cells (MDSCs) are a subset of immature myeloid cells. These granulocytes infiltrate into the tumor micro-environment and exert immunosuppressive effects, in part by affecting CSC function [133,174]. Researchers generally believe that MDSCs are hematopoietic progenitor cells produced in the bone marrow that do not undergo terminal differentiation to mature monocytes or neutrophils prior to being released into the circulation [175]. In certain pathological conditions, such as the CSC microenvironment, this cell

population greatly expands and this results in highly immunosuppressive activity, as their name suggests [133,176]. One important feature of human tumor-resident MDSCs is that they are very similar to human peripheral blood neutrophils. In fact, MDSC subpopulations are characterized by CD33<sup>+</sup> CD11b<sup>+</sup> HLA-DR<sup>-</sup> and arginase-1<sup>+</sup> (Arg-1). As such, MDSCs that infiltrate the CSC microenvironment seem to be a modified version of a neutrophils that have adapted to their environment and adopted an immunosuppressive function [174,176,177].

Studies in both mice and humans have identified two different types of MDSCs: polymorphonuclear MDSCs (PMN-MDSCs), which are morphologically and phenotypically similar to neutrophils, and monocytic MDSCs (M-MDSCs), which are similar to monocytes [178–180]. In the tumor microenvironment and peripheral lymphoid organs, the prominent functions of MDSCs can be observed. The peripheral lymphoid organs mainly contain PMN-MDSCs with limited immunosuppressive activity and a significant role in the arrangement and regulation of tumor-specific immune responses that occur during the expansion of tumor-specific T cell tolerance. Differentiation of M-MDSCs into dendritic cells (DC) and macrophages is inhibited in these tissues. However, in the tumor microenvironment, MDSCs become more suppressive. Here, M-MDSCs are more abundant compared to PMN-MDSCs, and they rapidly differentiate to TAMs [181].

Recent studies have shown that the two MDSC subsets can polarize from a classically activated phenotype (M1) to an alternatively activated state (M2). M2-polarized MDSCs are known for their suppressive capability, anti-inflammatory activity and immune tolerance. On the other hand, M1 cells display pro-inflammatory and immunostimulatory activities [182].

MDSCs can suppress immune system function and support CSCs in a number of ways [183]. CSCs can produce VEGF and SDF-1 in order to recruit perivascular cells or produce granulocyte colony-stimulating factor (G-CSF) in order to recruit MDSCs into the tumor and the CSC microenvironment [133]. In addition, various cancer cells that produce chemokines, such as CCL2, CCL15, CXCL5, and CXCL12, can recruit MDSCs to these sites [184–187]. MDSC recruitment promotes CSC maintenance, because these myeloid cells suppress immune function through multiple mechanisms [188]. These include production of arginase, inducible nitric oxide synthase (iNOS), reactive oxygen species (ROS), cyclooxygenase-2 (COX-2), and TGF- $\beta$ , which together prevent T cells proliferation and function [175,189]. For example, it has been shown that T cell dysfunction is induced by MDSC-secreted IL10, TGF- $\beta$ , reactive oxygen species (ROS), arginase and nitric oxide synthase (NOS) [190]. Yamashina et al. demonstrated that CSCs secrete immunosuppressive cytokines (GM-CSF among others). Interestingly, chemo-resistant CSCs secrete cytokines that induce MDSCs and M2 macrophages to a greater extent than non-chemo-resistant CSCs [191]. Another study showed that MDSCs promote TANs under the influence of TGF- $\beta$  [192]. These cells have many pro-tumorigenic effects and also impair T cell and NK cell responses. In particular, they inhibit CD8 + T cell activation and effector function, which supports CSCs [178].

Lastly, it is important to note that there are close interactions between MDSCs and other immunosuppressive cell populations [178]. It is widely accepted that Treg induction is one of the most important mechanisms by which MDSCs inhibit T cells [193–195]. In addition, the aforementioned cells promote CSC maintenance and function (Table 1) (Fig. 2).

## 12. The indirect influence of T helper 2 and T helper 17 cells on CSCs

### 12.1. T helper 2 cells

A subset of T cells is called T helper 2 (Th2) cells. These lymphocytes organize protective type 2 immune responses, such as those that target helminths, and facilitate tissue repair. In addition, they contribute to chronic inflammatory diseases, including asthma and

allergies [196]. Th2 cells can indirectly promote CSCs via several routes.

The progression of many cancer types displays a shift from Th1 cells, a subset of T cells responsible for activating M1 macrophages, to Th2 cytokine production. This shift is largely found in the tumor microenvironment, especially in carcinomas with poor prognosis [197]. The interleukins produced and secreted by Th2 cells include IL-4, IL-5, IL-9, IL-10, IL-13 and IL-25 [198]. The Th2 cytokines IL-4 and IL-13 have been shown to stimulate TAMs in the tumor microenvironment to develop into polarized or alternatively activated M2 macrophages [199]. This indirectly promote CSCs.

In addition, the interactions between Th2 cells and the tumor microenvironment, particularly with MSCs, inhibits immune-mediated tumor suppression [200–202]. Since the relationship between MSCs and the microenvironment of CSCs is essential for maintaining cancer stemness [203], Th2s indirectly promote CSC stemness.

### 12.2. T helper 17 cells

Th17 lymphocytes, another subset of T cells, are vital modulators of adaptive immunity [204,205]. IL-17 and IL-22 are known as the major secretory products of these cells [206]. Thereby, Th17 cells are able to cooperate with Th1 cells to increase IFN- $\gamma$  and IL-17 levels, which in turn recruits antitumor CTLs and causes inflammation [207]. Several reports have shown that inflammatory signals induced in the tumor milieu regulate the functional fate and antitumor activity of Th17 cells [208]. Increased expression of IL-22 leads to activation of STAT3 signaling and promotes tumor growth [209]. Since STAT3 signaling promotes CSC maintenance [210], Th17 also indirectly support CSCs.

It has also been shown that Th17 cells promote the immunosuppressive effect of MDSCs [211], thus providing another way in which Th17 support CSCs.

Despite these observations, Th17 cells have been associated with both good and poor patient prognoses. The high plasticity of these cells toward the cells exhibiting either pathogenic or anti-inflammatory activities suggests that Th17 cells have diverse functions during tumorigenesis, which remain to be fully elucidated. However, it has become clear that this depends on the type of malignancy [212].

## 13. Conclusions

The relationship between the immune system and a tumor is intricate. The immune system can play pro-tumorigenic and anti-tumorigenic roles. Innate and adaptive immune cells can kill tumor cells, but they often do not succeed in destroying CSCs, because CSCs can shift their phenotypes, as well as modulate the function of immune cells. CSCs can produce, express or secrete factors and surface proteins that suppress the immune cells' ability to eradicate CSCs. In addition, CSCs recruit immune cells to the tumor microenvironment that have immunosuppressive activity, thus promoting their own survival. Hence, immune cells contribute to maintenance, growth, development and migration of CSCs and, ultimately, development of the tumor as a whole. Therefore, targeting CSCs by immunotherapy-based approaches, such as equipping cells with CAR, will aid in eliminating these tumor-initiating cells.

### Conflict of interest

The authors disclose no conflict of interest.

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