



# Salivary Flow Alteration in Patients Undergoing Treatment for Schizophrenia: Disease-Drug-Target Gene/Protein Association Study for Side-effects

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## ABSTRACT

**Background:** Salivary flow alteration (SA), is a known unwarranted effect of schizophrenic medications. It manifest either as reduced (xerostomia) or increased (sialorrhea) SA, among treated schizophrenic patients. It is believed that the SA is due to action of the drugs/disease process involving the muscarinic receptor-3 to process acetyl choline, the common neurotransmitter. The genetic mediation behind the SA in such patients remains largely unexplored. We aimed to address the same by using curated literary databases to identify such relationship, if any existed.

**Material and methods:** Curated databases of Gene-Disease Association, [www.DisGeNet.org](http://www.DisGeNet.org) and [www.networkanalyst.ca](http://www.networkanalyst.ca) were effectively used to identify the probable genes, strength of association and the drug-genes pathway that could be possibly be involved. The genes associated with schizophrenia and SA were analyzed in detail. Protein-Protein interaction (PPI) network proven experimentally in humans were used to identify the missing or unreported links.

**Results:** In all 28 genes associated with schizophrenia were linked to SA. The genetic network of schizophrenia and xerostomia involved FGFR2 gene prominently and network module was statistically significant ( $P = 9.87 \times 10^{-8}$ ) was achieved that had xerostomia as a node, while schizophrenia ( $P = 0.025$ ) had statistical significance. Sialorrhea had no statistical significance ( $P = 0.555$ ). When schizophrenia and sialorrhea connections were analyzed for genetic interaction, only gene GCH1 emerged. On combining the three disease entities, the association of TAC1 gene with sialorrhea was also identified. Using PPI, the coordination of CHRM3, TAC1 and GPRASP1 gene were identified. This network involved several genes that has significant influence on calcium signaling pathway ( $P = 7.74 \times 10^{-16}$ ), cholinergic synapse ( $P = 6 \times 10^{-4}$ ), salivary secretion ( $P = 4.38 \times 10^{-3}$ ), endocytosis ( $P = 8.23 \times 10^{-4}$ ), TGF $\beta$  signaling pathway ( $P = 0.0031$ ), gap junction ( $P = 4.08 \times 10^{-3}$ ) and glutamergic synapse ( $P = 6.4 \times 10^{-3}$ ). The involvement of G-receptor signaling protein product, GNAQ was established.

**Discussion and conclusion:** The possible genetic pathway of SA in schizophrenic patients are discussed in light of pharmacotherapeutics. Using the knowledge effectively would help to increase the quality of life of schizophrenic besides increasing the understanding to use saliva as a biomarker of prognosis of schizophrenia and its drug effects.

## 1. Introduction

Schizophrenia is a one of the chronic, debilitating mental illness. At a global level, about 1 in 100 persons suffer from this disorder. Yet, the patho-biology of this disorder is not entirely deciphered.<sup>1</sup> Schizophrenia presents with a spectrum of genetic alterations, clinical signs and symptoms, including autonomic nervous system (ANS)

dysfunction.<sup>1,2</sup> The treatment of schizophrenia has evolved over the years. Each of these standard treatment procedures have their own merits and demerits.<sup>3</sup> Non-adherence to prescribed drugs have evolved as a challenge in treatment. One of the reason for non-adherence of medications is extreme alterations in salivary flow.<sup>4–6</sup> Some of the schizophrenic drugs cause xerostomia (less-salivation) while others cause sialorrhea (excess salivation). Schizophrenia literature has

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adequate reports of salivary flow alteration (SA).<sup>7,8</sup> Treatment naive and those undergoing active treatment suffer from SA.<sup>9–11</sup>

Salivary glands (SG) produce saliva by the action of ANS (sympathetic and parasympathetic). The salivary nucleus (superior and inferior, SN) controls the salivary secretion. Trigeminal cranial nerve and nucleus of solitary tract convey the necessary, afferent sensory inputs to SN. The higher regions of the brain also exerts its influence over the SN. Regions such as hippocampus, hypothalamus and frontal lobe also influence the SN. These centers control response to agents that promote fear, anxiety, sleep and depression. As a response, these center secrete a variety of neurotransmitters. The SN receive these signals through their neural innervations. The processed signals, from SN reach SG via various ganglions and synapses. The receptors in the SG, notably the M3 Muscarinic receptor, stimulates the SG for secretion. As a response to the signals from the SN, the secretion of saliva occurs.<sup>7,8,12,13</sup> Pharmacological agents used to treat schizophrenia also act on the SN and SG. The SG secretory cells are responsive to muscarinic M1 and M3 receptors,  $\alpha$ 1- and  $\beta$ 1-adrenergic receptors, and certain peptidergic receptors such as substance P, Vaso-Intestinal Peptide.<sup>8</sup> Also, NK-1 (Neurokinin-1) and M3Rs via another pathway, diacylglycerol and protein kinase C,  $\gamma$ -amino butyric acid (GABA) are involved in SG signaling.<sup>7</sup> SG secretion also contains Substance-P (SP), Vasoactive Intestinal Peptide (VIP) and peptide histidine methionine (PHM) that could double as secretagogue signals. In humans SP does not act as a secretagogue while VIP and PHM, could possibly interact with the drugs such as clozapine and M1 receptors contributing to sialorrhea. Furthermore, VIPs are known to increase parasympathetic-mediated vasodilatation in SG, which also could possibly be involved in SA.<sup>14</sup>

Sialorrhea was associated as an adverse effect of schizophrenic drugs like clozapine, olanzapine, and venlafaxine (objectively assessed). Sialorrhea was a symptom associated with quetiapine and risperidone prescription. Clozapine had dual action of xerostomia and sialorrhea.<sup>7</sup> Olanzapine is another atypical antipsychotic that has xerostomia as a potential outcome. Sialorrhea was observed in 31–72% of clozapine-treated patients, whereas xerostomia was reported more often amongst olanzapine-treated patients.<sup>7,15</sup> Other atypical antipsychotics such as quetiapine and risperidone cause xerostomia in 14.5 and 6.9% of study population, respectively as reported by Scully C.<sup>7</sup> In rats, clozapine and its metabolite N-desmethylozapine have opposing actions. It is reported that they cause excitation of the M1 receptor in parotid and submandibular SG causing a low-grade, continuous salivary secretion. On the other hand, inhibition of M3 receptor via ANS as well as by  $\alpha$ -1-adrenergic receptors cause less flow of saliva. Hence, in absence of stimulation, stimulatory action of the drug dominates, creating an increased secretion. On the contrary, while during a meal, the salivation markedly decreases.<sup>16</sup>

There is alteration in salivary flow rate (SA) in treatment naive schizophrenia.<sup>9,10</sup> Established ANS dysfunction in schizophrenics could contribute to this fact.<sup>2</sup> Schizophrenia treatment requires use of drugs that interfere with signals of salivary secretion. This causes a myriad of salivary adverse effects.<sup>7</sup> For effective treatment regimen adherence, control of SA becomes crucial.<sup>5,6</sup> Hence understanding the interaction between: 1. Schizophrenia and altered salivary secretion (Sialorrhea/xerostomia) at a gene level; 2. Genes associated with salivary alteration with known pharmacological agents used for schizophrenia. The knowledge of such interaction would help as to understand the implication of SA in natural history of schizophrenia (treated and treatment naïve), particularly the ANS dysfunction, design better pharmacotherapeutic regime with minimal or no SA side effects. These would have a profound impact on the quality of life of schizophrenic patients. Also, understanding this mechanism would help to potentially use saliva as a non-invasive marker of the disease state, progression and effect of drug.<sup>1</sup>

Through this manuscript, we attempt to elucidate the existing literature for common genetic linkage between schizophrenia and salivary alterations. Also, we explore the relationship between such genes and

medications used in treatment for schizophrenia.

## 2. Material and methods

As this work is based upon curated networks and secondary data analysis of data from previously published, open access databases, the work is not subjected to ethical committee clearance.

We searched curated database of GDA (gene-disease association) ([www.DisGeNet.org](http://www.DisGeNet.org)), to identify the genes associated with schizophrenia - salivary flow alteration relationship. This database had assimilated data from repositories, Genomic Wide Association Studies (GWAS) catalogues and published peer reviewed literature. Perfection in the data mining process was earlier achieved by well-known algorithms.<sup>16</sup> The website also has metrics to rank the genotype to phenotype relationship. At present (version-5, as accessed on last week of November 2018). It claims to have 561,119 gene-disease associations (GDAs), between 17,074 gene and 20,370 diseases, disorders, traits, and clinical or abnormal human phenotypes, and 135,588 variant-disease associations (VDAs), between 83,002 SNPs and 9169 diseases and phenotypes. We used search set - “Schizophrenia”, “Sialorrhea” and “Xerostomia”. In this manuscript, the definitions of the search terms were that of the Unified Medical Language System - Concept Unique Identifier (UMLS-CUI). They were C0036341, C0037036 and C0043352 respectively. From the results, schizophrenia associated genes that were also differentially expressed in sialorrhea and xerostomia identified as two sets, represented in standard gene symbols.

These set of genes, were combined and then analyzed for their exact relationship using the web-based platform for gene expression profiling & biological network analysis - [www.networkAnalyst.ca](http://www.networkAnalyst.ca).<sup>17</sup> The network of association, is defined using node (visual representation of an involved entity), edge (visual representation of a relation and is the line that connect two nodes) and a seed (user supplied gene/protein). The parameters fed would be the degree (number of connections that a node has with other nodes, higher the number, more the important in the network) and the betweenness (number of shortest path, through a particular node, higher the number, it acts as a bottleneck in the network). Modules are tightly clustered subnetworks with more internal connections than expected randomly in the whole network. The platform does not consider any network that has less than 3 nodes. Depending upon the interactions and connectivity, the p values are calculated solely based on their connectivity. For the purpose of this study, degree and betweenness filters were set at 1 and included all network nodes for the GDA and betweenness at 0 for the drug target identification.

This site also assess the relationship between the genes and diseases, independently using the metrics from the [www.DisGeNet.org](http://www.DisGeNet.org) to find the strength of the association in detail. The resultant networks that emerged are presented. Also, through the [www.networkAnalyst.ca](http://www.networkAnalyst.ca), we assessed the protein and drug target information. The related information was obtained from the [www.drugbank.ca](http://www.drugbank.ca), version 5.0.0 that was released June 21, 2016.<sup>17</sup> The resultant network are also presented. For the unexplained connection, generic protein-protein interaction assessment was used to identify the connecting links using the STRING interactome with medium confidence score of 400 using studies that had experimental evidence only.<sup>18</sup>

## 3. Results

Using the GDA website, we identified, that the schizophrenia had 1871 genes, sialorrhea had 29 genes, and xerostomia had 54 genes associated as per the literature. Of all the genes related to sialorrhea, 24.14% (7 of the 29) genes were associated with schizophrenia while, the same for xerostomia was 38.89% (21 of the 54 genes). Schizophrenia shared 1.5% (28 of the 1871) genes with SA genes (sialorrhea = 7; xerostomia = 21). The details of the genes including name, class, and chromosome are provided in the [Table 1](#).

**Table 1**  
Genes involved in the Salivary Flow Rate Alteration in Schizophrenia, as appearing in curated databases.

Condition	Gene symbol	Gene Name	Protein Class	Map
Sialorrhea	GCH1	GTP cyclohydrolase 1	hydrolase	14q22.2
Sialorrhea	HLA-B	major histocompatibility complex, class I, B	null	6p21.33
Sialorrhea	MECP2	methyl-CpG binding protein 2	transferase; nucleic acid binding	Xq28
Sialorrhea	NRXN1	neurexin 1	cell adhesion molecule; transfer/carrier protein; transporter; protease; enzyme modulator; oxidoreductase; hydrolase; signaling molecule; extracellular matrix protein; receptor	2p16.3
Sialorrhea	PAK3	p21 (RAC1) activated kinase 3	null	Xq23
Sialorrhea	TAC1	tachykinin precursor 1	signaling molecule	7q21.3
Sialorrhea	ZEB2	zinc finger E-box binding homeobox 2	transcription factor	2q22.3
Xerostomia	ATN1	atrophin 1	null	12p13.31
Xerostomia	ATXN2	ataxin 2	nucleic acid binding	12q24.12
Xerostomia	B2M	beta-2-microglobulin	defense/immunity protein	15q21.1
Xerostomia	BMP6	bone morphogenetic protein 6	signaling molecule	6p24.3
Xerostomia	CAV1	caveolin 1	membrane traffic protein; enzyme modulator; transmembrane receptor regulatory/adaptor protein; structural protein	7q31.2
Xerostomia	CHRM3	cholinergic receptor muscarinic 3	receptor	1q43
Xerostomia	CYP2D6	cytochrome P450 family 2 subfamily D member 6	null	22q13.2
Xerostomia	DAO	D-amino acid oxidase	null	12q24.11
Xerostomia	ERBB4	erb-b2 receptor tyrosine kinase 4	null	2q34
Xerostomia	FGFR2	fibroblast growth factor receptor 2	null	10q26.13
Xerostomia	HLA-DRB1	major histocompatibility complex, class II, DR beta 1	defense/immunity protein	6p21.32
Xerostomia	NEFH	neurofilament heavy	null	22q12.2
Xerostomia	PON1	paraoxonase 1	null	7q21.3
Xerostomia	PPARGC1A	PPARG coactivator 1 alpha	transcription factor	4p15.2
Xerostomia	PTGS2	prostaglandin-endoperoxide synthase 2	oxidoreductase	1q31.1
Xerostomia	SOD1	superoxide dismutase 1	oxidoreductase	21q22.11
Xerostomia	TARDBP	TAR DNA binding protein	null	1p36.22
Xerostomia	TNFRSF1A	TNF receptor superfamily member 1A	receptor	12p13.31
Xerostomia	TREM2	triggering receptor expressed on myeloid cells 2	defense/immunity protein; receptor	6p21.1
Xerostomia	VAPB	VAMP associated protein B and C	membrane traffic protein	20q13.32
Xerostomia	WNT1	Wnt family member 1	signaling molecule	12q13.12

On analyzing the SA genes using the GDA interface of the [www.networkAnalyst.ca](http://www.networkAnalyst.ca), a single network was identified with 167 nodes, 47 edges and 16 seeds as single circular “continent” with no remarkable islands in Fruchterman-Reingold type of layout. The entire network is given in the Fig. 1 and closer look in Fig. 2. Schizophrenia had an average degree and betweenness of 13 and 1253.7, xerostomia had 8 and 372.54 while sialorrhea had 4 and 73.498 respectively. The network was further analyzed for functional enrichment module analysis using the walk-trap algorithm. In all 7 modules (0–6) were identified and presented in Fig. 3. Of this, three modules reached statistical significance. Most significance ( $P = 9.87 \times 10^{-8}$ , white color in Fig. 3) was achieved that had xerostomia as a node, while schizophrenia ( $P = 0.025$ , red color in Fig. 3) while sialorrhea had no statistical significance ( $P = 0.555$ , green color in Fig. 3). There was no module that had the three disorders as its part. When schizophrenia and sialorrhea connections were analyzed for genetic interaction, only gene GCH1 emerged. When the same was repeated for xerostomia, gene FGFR2 emerged. On combining the three disease entities, the association of TAC1 gene in sialorrhea was also identified. The interaction is outlined in Fig. 4.

On using the protein and drug target information network for the combined set of genes (as in Table 1), there were 6 sub-network created of which only 2 had a significant ( $\geq 10$ ) number of nodes. Of the 2 significant sub-network, one related to PTGS2 and FGFR2 gene had no schizophrenia related drug target. The other circular, radiating kind of network had CHRM3 gene at the center and had schizophrenia related drugs at the periphery. This network had 68 nodes, 67 edges and 1 seed – CHRM3. This gene had were 4 notable drugs used in schizophrenia treatment expressed among the many other drug interaction, as shown in Fig. 5.

Further exploration of the link between CHRM3 and TAC1 gene using the generic protein-protein interaction assessment, revealed the

involvement of GPRASP1 gene. The network had 57 nodes and 58 edges. It revealed that CHRM3 and TAC1 were linked via the GPRASP1 gene that interacted through the TACR1, TACR2, TACR3 receptor genes. Further KEGG pathway assessment of the 3 connectomes (Fig. 6) revealed that the calcium signaling pathway was upregulated in the network, involving CHRM3, TACR3, TACR2, TACR1, TGF $\beta$ 1, GNAQ, OXTR, ADRB2, AGTR1, GRM5, TBXAZR, CHRM2, CHRM1, ADRB1, F2R, HTR7 and CHRM5 genes with  $P = 7.74 \times 10^{-16}$ . The cholinergic synapse was involved by the CHRM1, CHRM2, CHRM3, CHRM4, CHRM5 and GNAQ genes with  $P = 6 \times 10^{-4}$ . This network also had 4 nodes that were involved in salivary secretion – ADRB2, ADRB1, GNAQ and CHRM3 with  $P = 4.38 \times 10^{-3}$ . Similarly endocytosis (GRK6, F2R, ADRB1, ADRB2, CXCR2;  $P = 8.23 \times 10^{-4}$ ), TGF $\beta$  signaling pathway (TGF $\beta$ 1, BMP2, BMP8, BMP4;  $P = 0.0031$ ), gap junction (ADRB1, GRM1, GRM5, GNAQ;  $P = 4.08 \times 10^{-3}$ ) and glutamergic synapse (GRM1, GRM5, GRM8, GNAQ;  $P = 6.4 \times 10^{-3}$ ) were involved.

#### 4. Discussion

Salivary flow alteration in schizophrenics, is dependent on the type of drug taken, its pharmacokinetics and patho-biology.<sup>19</sup> Depending on these, individual, immediate environmental and the genetic factors, the patient may have sialorrhea or xerostomia or may not have both. Xerostomia and sialorrhea, have their unique set of oral health related issues having adverse impact on quality of life. While xerostomia predisposes to dental caries, excessive salivation, especially in the night gives rise to choking sensation and halitosis.<sup>7</sup> A recent study among newly treated schizophrenics have identified the magnitude of the problem and its impact on quality of life.<sup>20,21</sup>

The exact mechanism by which the drugs cause SA is largely unknown. For patients on clonazepam, the adrenergic alpha-2 antagonism, muscarinic M4 agonism, reduction of laryngeal peristalsis and abolition

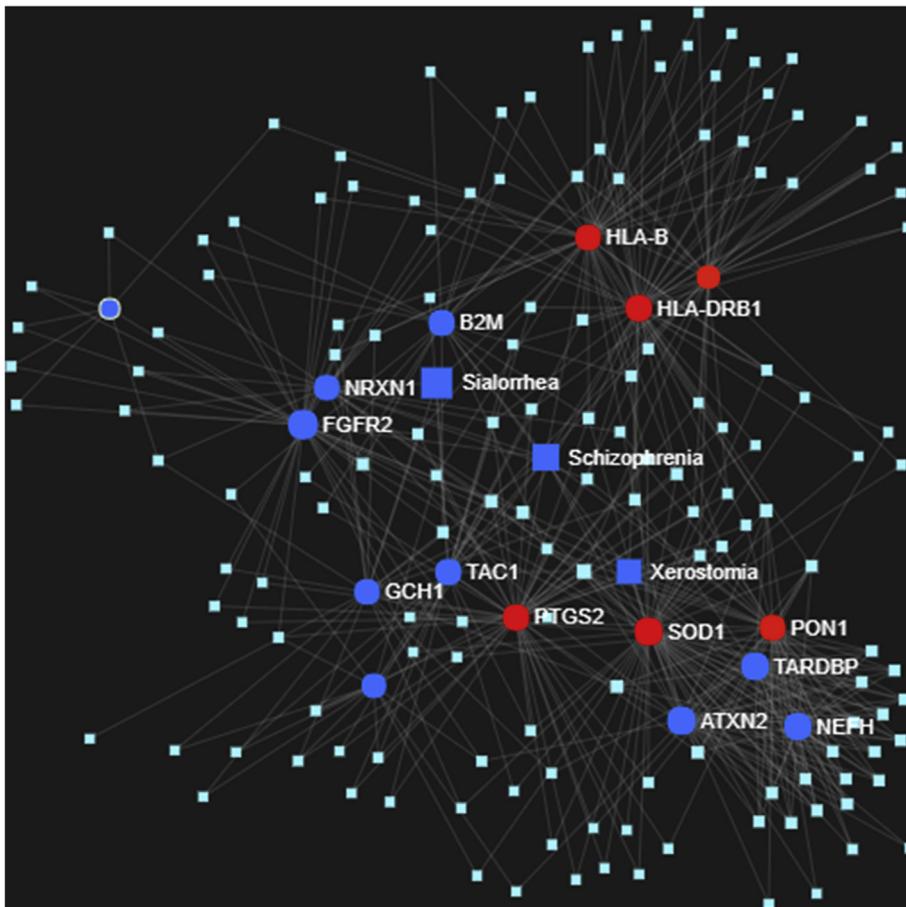


Fig. 1. Schizophrenia-Salivary Flow Alteration Genes-Disease Association network, Blue - Strong nodes from seed, Red - Identified nodes.

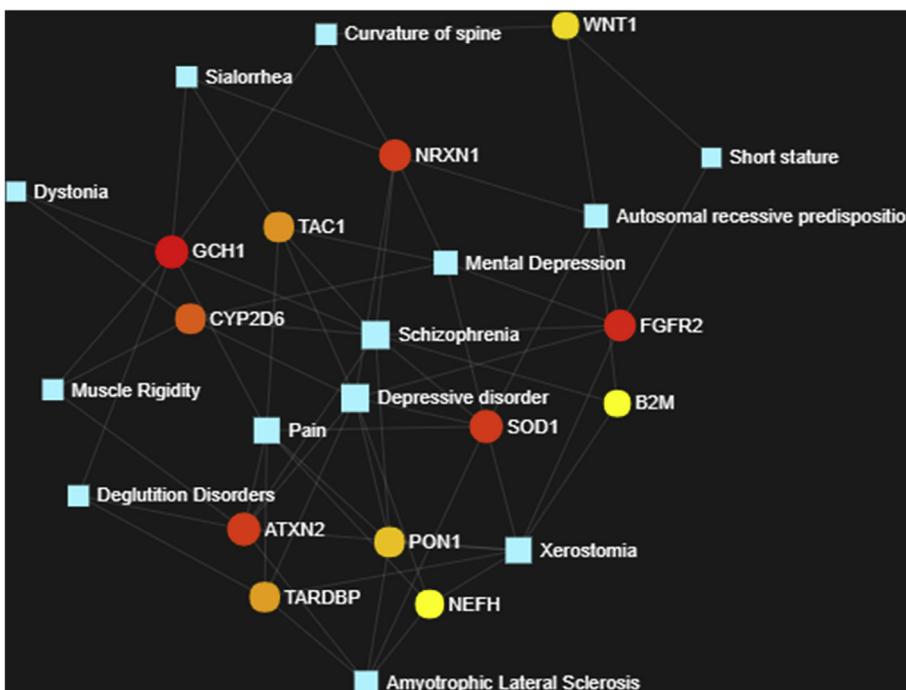


Fig. 2. Close look of the Schizophrenia, Xerostomia and Sialorrhea from figure- 1 network.

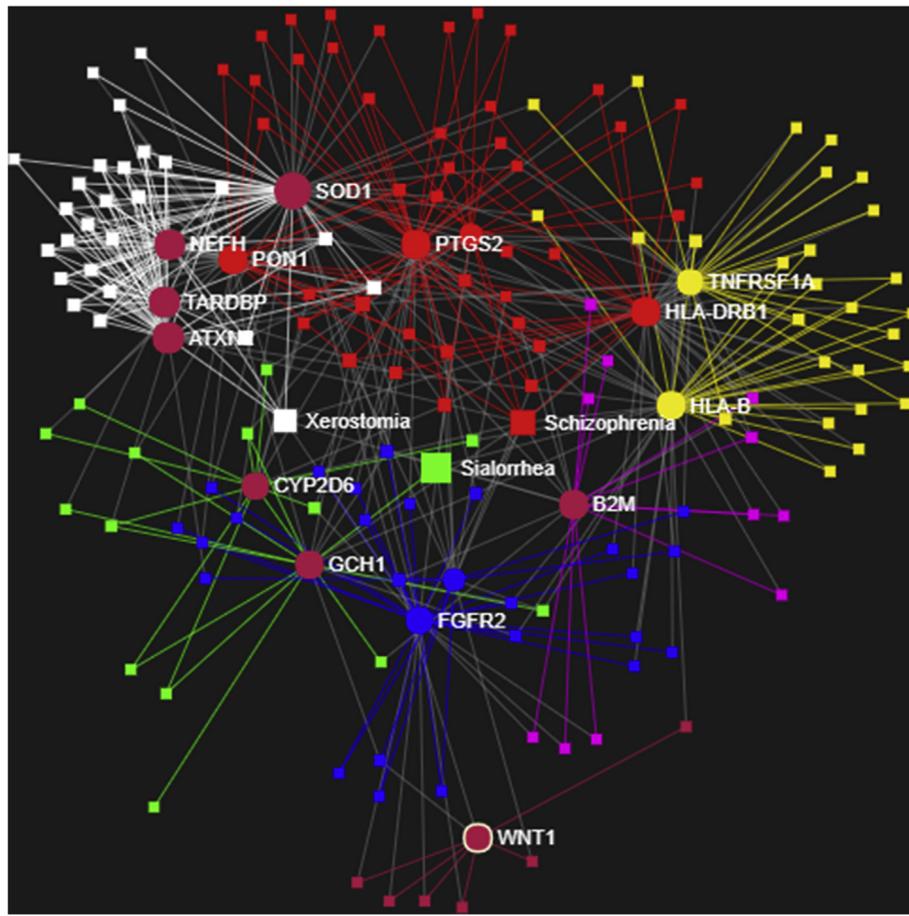


Fig. 3. Modules in the network. For color legend see text.

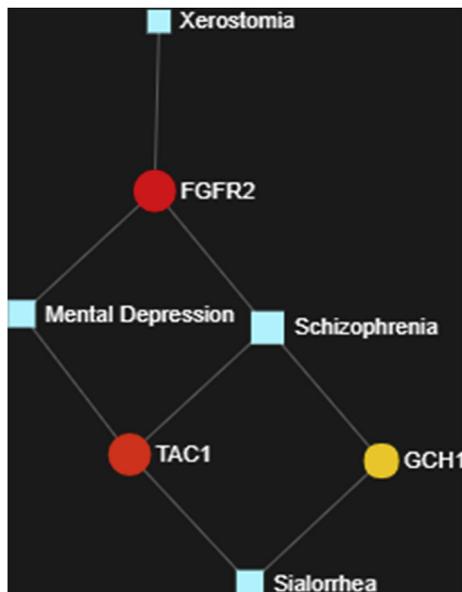


Fig. 4. Focused network of the salivary alteration and schizophrenia related genes.

of swallowing reflex have been cited as the reason for sialorrhea.<sup>19</sup> As the ANS activity was diminished by the other atypical antipsychotic drugs, possibly the SA could be a side effect of these drugs.<sup>2</sup> Muscarinic affinity of antipsychotics affects both the sympathetic and parasympathetic modulation. Any alteration in this could also be partially

reflected as SA. Conventionally, it is very common for antipsychotics to cause dry mouth due to anticholinergic effects, especially given the fact that high number of M3 receptors in SG. Thus sialorrhea in these patients seems to be paradoxical. Yet, occurrence of such effects, especially in poly-drug treatment has been identified in clinical practice and literature. Most of these phenomenon have been attributed to the ANS side-effects. Irrespective of the mechanism, the oral health as well the quality of life is diminished due to the SA.<sup>7,8,19–21</sup>

The drugs that have an antagonistic actions on the autonomic receptors but that are used to treat dysfunctions in the various effectors of the ANS may also affect the functions of SG. In this context, schizophrenic drugs with anti-muscarinic actions are well-known to cause xerostomia as they prevent parasympathetic (cholinergic) innervation from activating the SG.<sup>8</sup>

The genetic association of the SA to schizophrenia and its treatment remains largely unexplained, given the dynamicity of the disease and treatment process.<sup>3,22</sup> In this manuscript, we attempted to explore this link through the published evidences from available peer-reviewed literature. For this purpose we used curated website to search for the GDA using previously described methods. Xerostomia appears to be more commonly associated with schizophrenics, possibly due to large number of literature reports. The Table 1 and Fig. 1 indicates that only three signaling molecules – TAC1, WNT1 and BMP6 were involved and this may have a role to play in SA. SG have large number of receptors for signaling molecules.<sup>23</sup>

The strong relationship in connections of xerostomia and schizophrenia, as in Fig. 3, with a greater degree of statistical significance ( $P = 9.87 \times 10^{-8}$ ) indicates that possibly, gene-network association of schizophrenia with xerostomia is unravelled. The association of GCH1 as a pathway intermediary has emerged. This gene's association with



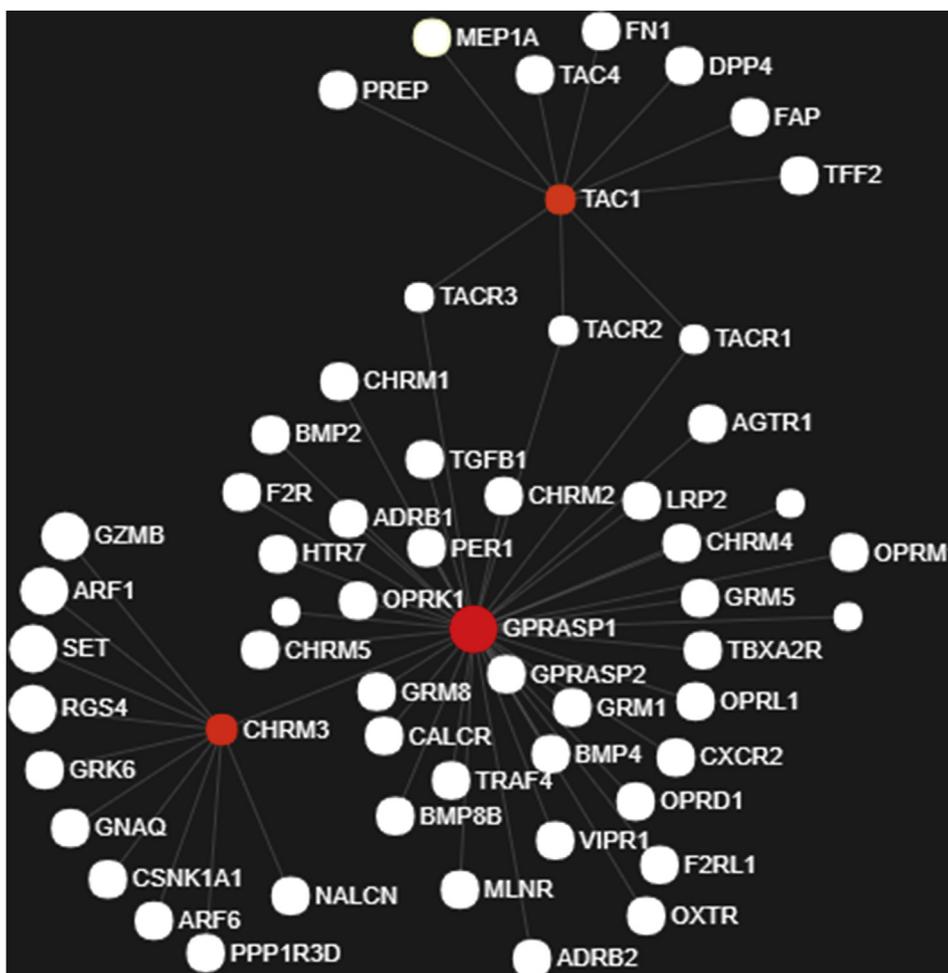


Fig. 6. Pathway analysis of Salivary flow alteration and Schizophrenia related genes.

with experimental evidences were included for this study. The results of the study indicate that there is a strong gene associated mechanism behind the association with schizophrenia-SA with statistical significance. However, further validation would be needed to establish the findings of the study.

**Limitations:** Genes have varied functions at different phase of time, and varies with different tissues. The differential expression or linking of genes/products, may not be directly associated with the trait (SA) expressed. Though there is a strong association emerging as seen in this study, the role of these schizophrenia genes in SA and the drug-gene interaction is not fully established in this study. Clinical, real-time validation would be needed. Till such a study emerges that demonstrates the drug-gene modulation for SA in schizophrenia, the results of this study would largely remain empirical.

**Clinical Relevance:** The treatment goal in schizophrenia would be to control the psychosis episode and improve the quality of life. Drugs that are used to treat schizophrenia interferes with salivary flow is a large subset of patients and some of them very severely. Knowledge and checking for the gene-drug interaction, could be the first step involved in personalized medication concept in schizophrenia treatment. If and when, the knowledge emanated from this study is used clinically, the quality of life of schizophrenic patients would drastically improve. Alteration in existing pharmacotherapeutics would prove beneficial to them. Among the subset of schizophrenic patients, who does not adhere to treatment for the adverse effects associated with SA, this approach would be helpful to stick to treatment regime. With poly-drug therapy and combination therapy being favored to control negative symptoms, it would also be necessary to know the gene-drug-SA interaction, before

deciding on the evidence basis of these combination.<sup>32</sup>

**Future directions:** The future studies in this direction should clearly have definitions of the xerostomia and sialorrhea. A range would be useful and participant variability would need to be factored in. Arbitrary dichotomies in differential gene expression should be done away. The gene interaction and position in reaction-expression-signaling cascade leading to SA needs to be considered. Study design would also need to avoid inappropriate yardsticks in measuring saliva flow rate, drug action, gene-drug interaction and other physical, physiological, psychological and neuro-pharmacological mechanism. The studies also need to have good sample size to demonstrate the rate of response, sufficient enough to demonstrate the gene-drug-schizophrenia-SA link. Also the SA after pharmacotherapy should also be studied as a subsequence and not a consequence to have a broader perspective. Using N-of-1 trial must be done, perhaps at institutional settings to determine the actual gene-schizophrenia-drug-SA relationship instead of a cross-sectional methodology.<sup>33</sup>

## 5. Conclusion

The GDA between the schizophrenia and SA have been established through this study. Xerostomia is associated with a different cascade of genes while the sialorrhea is associated with another set of genes. There is no overlap of genes identified in this study. The role of the inflammation and TAC1 genes in SA among treated and treatment naïve schizophrenics have to be explored further. The validation of the results of the study would be helpful to be start a clinical era of personalized medicine for schizophrenics.

## Conflicts of interest

Author(s) have nothing to disclose.

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