

Supplements for Optimal Sports Performance

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There is an enthusiastic market for the multitude of sports foods and supplements which claim to enhance sports performance. Despite a lengthy history of antipathy towards this industry, many peak sporting bodies and expert groups now support a pragmatic acceptance of the use of products which have passed a risk:benefit analysis of being safe, effective and legal, as well as appropriate to the athlete's age and maturation in their sport. Sports supplements can be grouped: sports foods which provide a practical form of nutrients to meet sports nutrition goals; medical supplements which may be needed to prevent or correct nutrient deficiencies that occur in athletes, and the larger category of performance supplements which claim either to directly enhance exercise capacity or to support activities that allow the athlete to train hard, recover, achieve physique goals or reduce the risk of illness and injury. Gaining an evidence base for this latter group is challenged by the scarcity of research in relation to the number of products on the market, as well as limitations or poor quality of some of the available studies. While controlled scientific trials and meta-analyses help to provide information about the general use of performance supplements, most high performance athletes are more interested in real-life issues that are hard to encapsulate (e.g. detecting benefits that are meaningful to the outcomes of sporting competition, accounting for the use of several supplements in combination or the use of same supplement over successive events). Strategies to isolate and explain the variability of benefits to individual athletes is also a topic demanding investigation.

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Introduction

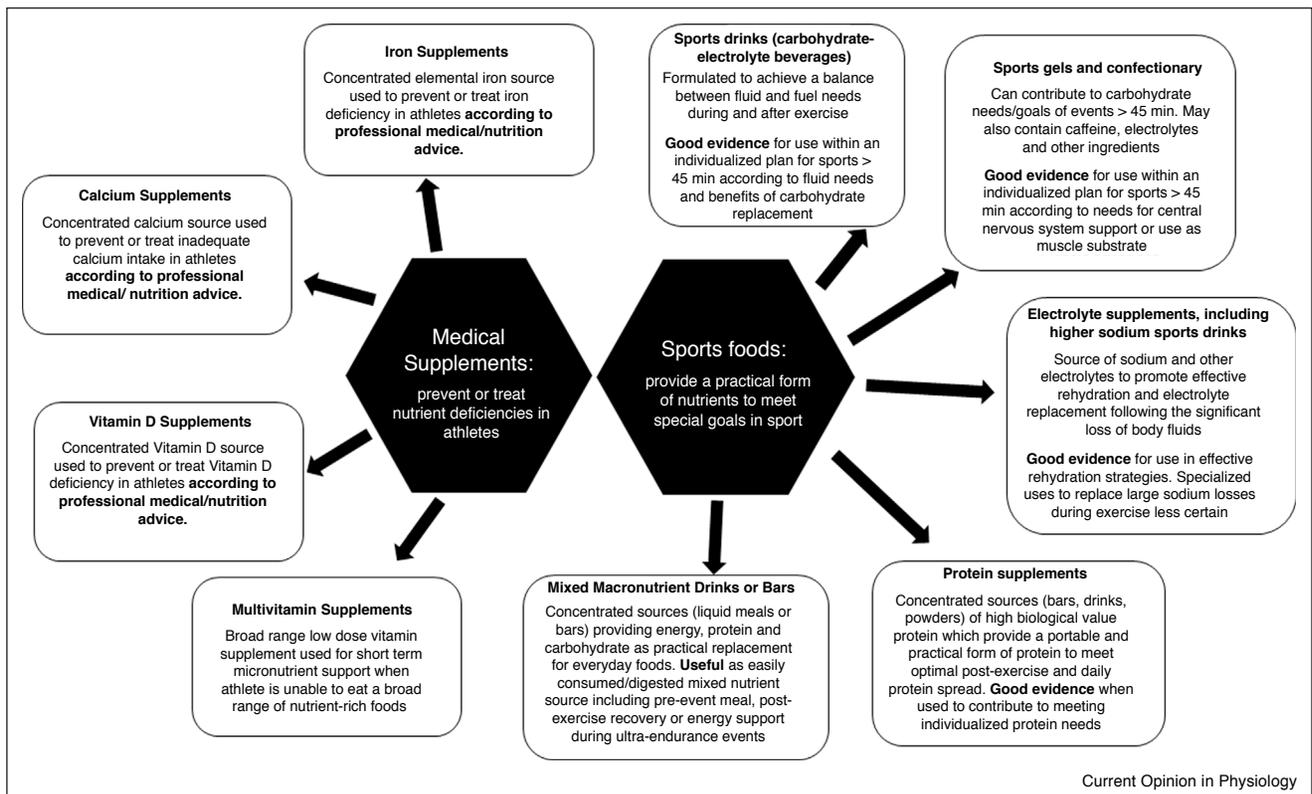
According to recent business analyses, the sports supplement industry contributes a substantial market share of \$US11.6 billion dollars within the more extensive

health/wellness supplement portfolio, with projected growth forecast to reach 24.4 billion by 2025 [1]. Traditionally, peak bodies for sport or sports medicine have regarded supplement use by athletes with antipathy and conservative messaging that optimal performance is achieved through a ‘well-balanced diet’. Meanwhile, surveys confirm the high prevalence of sports food and supplement use among athletes, including greater use at higher levels of competition and different motivations of use [2]. However, evolving awareness of the benefits of some products, and the need to better connect with athletes and coaches, have led to recent changes in this attitude. Indeed, several influential bodies now extend a pragmatic acceptance to products that have passed a risk: benefit analysis of being safe, effective and legal, while also being appropriate to the athlete's age and maturation in their sport [3,4]. Although the number of commercially available supplements is overwhelming, three different categories can be recognized: 1. Medical supplements; 2. Sports foods; and 3. Performance supplements that either directly enhance exercise capacity or provide indirect benefits. This brief review provides an overview of these categories with topical updates on key products within each. In addition, it identified the challenges of developing the evidence base needed to allow the athlete to make an informed decision when contemplating supplement use.

Sports foods and medical supplements

Products within the categories of sports foods and medical supplements are arguably the most credible sports supplements, at least when manufactured by mainstream companies and used according to best-practice protocols adapted to the specific athlete and their scenario of use. As illustrated in [Figure 1](#), medical supplements can be used to treat or prevent nutrient deficiencies; these often occur in increased prevalence in some athletic groups or have a detrimental effect on adaptation and performance, even at levels considered to be sub-clinical in sedentary populations. For example, iron deficiency may occur more frequently due to exercise-associated increases in the iron-regulatory hormone, hepcidin [5], which reduces dietary iron absorption and macrophage recycling. Meanwhile, sports foods provide a convenient way to consume specific nutrients targets, especially in exercise-related scenarios where consumption of everyday foods may be impractical. Although products in these categories may appear well-established, knowledge and practice continue to evolve. For example, it has been recently established that daily iron supplementation, commencing in advance of altitude training, is needed to augment existing iron

Figure 1



Summary of evidence-based uses of sports foods and medical supplements by athletes.

stores to maximize the desired hematological adaptations to this protocol, even in non-anemic athletes [6*].

In regard to the use of sports drinks, gels and confectionary, newer guidelines around carbohydrate intake during exercise [7] have expanded the range of benefits and valuable scenarios of use to include central nervous system effects from the oral sensing of carbohydrate in events of 45-75 min where its contribution as a muscle substrate is not limiting to performance [8,9]. They also note additional benefits of carbohydrate intake in amounts greater than the previous targets (i.e. 30-60 g/h), in scenarios of dwindling muscle glycogen stores (e.g. events of ~2 h and above) [10]. Here, targets of ~90 g/h [11*] are made possible by products with higher concentrations than standard sports drinks and the use of “multiple transportable carbohydrate” formula to include glucose-based and fructose sugar which increase intestinal carbohydrate absorption by utilizing different transport mechanisms [11*,12*,13]. Furthermore, there is evidence that practicing carbohydrate intake during exercise can adapt the gut, potentially by up-regulating the activity of sodium-dependent glucose transporters (SGLT1), to better absorb exogenous carbohydrate uptake [12*], with potential performance benefits [14*] coming from increased muscle carbohydrate use [15] as well as reductions in gut

discomfort [14*]. However, these benefit seems specific to the form of exogenous CHO to which the athlete has adapted [14*]. Although intestinal absorption is currently considered the limiting factor in transferring exogenous carbohydrate into a substantial muscle fuel source [12*], there has been a renewed interest in strategies to increase the gastric emptying of concentrated carbohydrate solutions during exercise. A newly commercialized sports drink claims such an advantage by combining a concentrated (~15 g/100 ml) multiple transportable carbohydrate formula with added alginate and pectin [16]. This is apparently transformed from liquid to a hydrogel in the acidic gastric environment, for enhanced emptying via the lowering of net osmolality, but then reconverted in the duodenum for absorption [16]. Although the technology, employed in the better resourced areas of targeted drug delivery, has hypothetical appeal, the marketing and testimonial support [17] for this product has occurred ahead of the publication of robust investigations of its pharmacokinetics, gut tolerance and performance characteristics, particularly in the high performance scenarios and populations for which it is focused. While such research is eagerly awaited, some caution is warranted since negative feedback on gastric emptying from osmoreceptors in the duodenum may occur as the gel breaks down and increases intestinal lumen osmolality and energy content.

Performance supplements

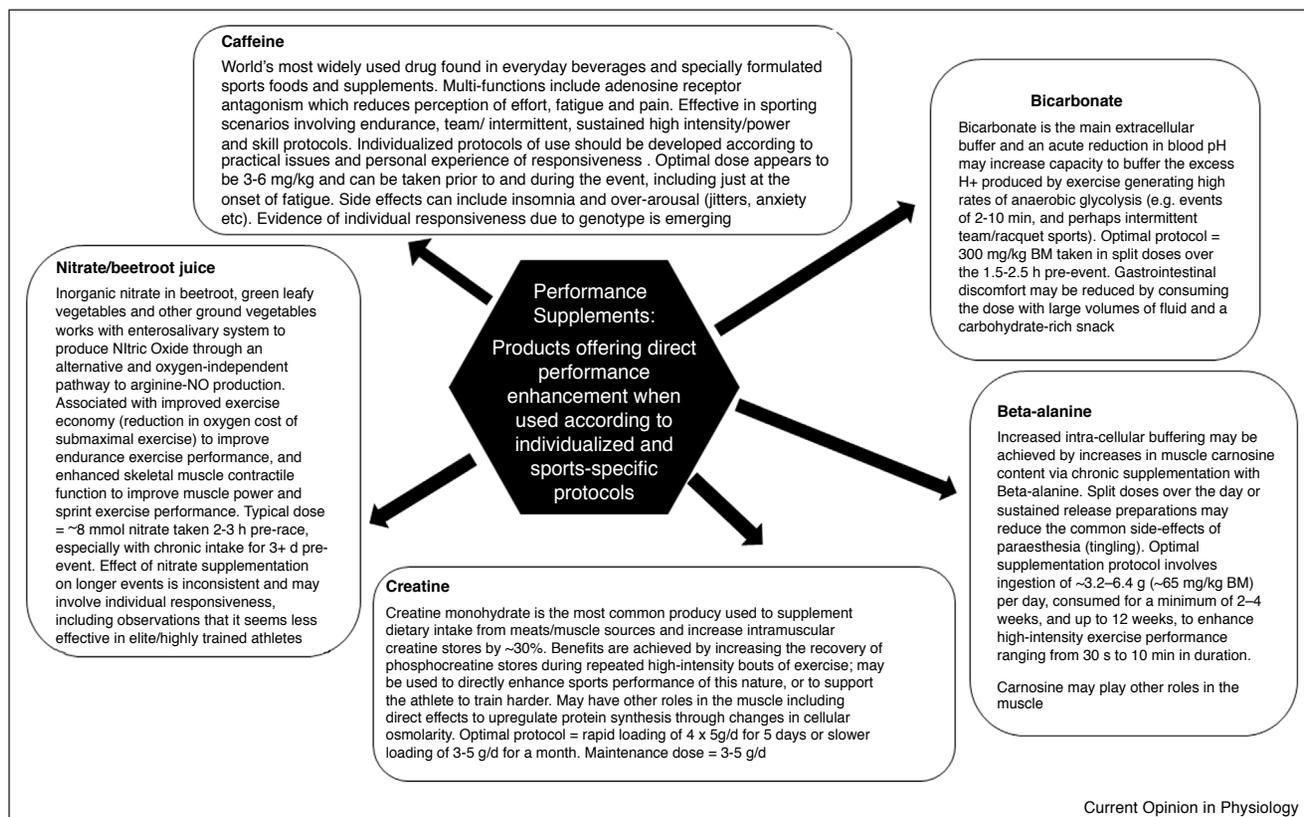
The majority of sports supplements are marketed with claims of directly enhancing performance, or providing an indirect benefit via support for the athlete to train hard, recover or adapt optimally, stay free from illness or injury, or achieve optimal body composition. Figure 2 summarizes a number of products which enjoy substantial evidence of direct benefits of performance; further discussion can be found in recent reviews [3^{**},18^{*},19,20]. It is beyond the brief nature of this paper to cover the vast multitude of products in this category; however, some commentary around the oldest and one of the newest performance compounds will be provided as an example of the continued evolution of this field. Despite progress in identifying real or potential performance aids, it should be noted that the commercial presentation of many supplements involves multi-ingredient formula, often with undeclared “proprietary blends”, which place the athlete at particular risk of unknown, variable [21], and potentially hazardous intakes of ingredients [22]. Indeed, supplements may contain deliberate or unintentional/contaminant content of substances that are banned under the anti-doping codes under which high performance athletes compete; these include stimulants, anabolic agents, selective androgen receptor modulators, diuretics, anorectics and β 2 agonists [23^{*}]. Strict liability codes

mean that a positive urine test can trigger an Anti-Doping Rule Violation with potentially serious impact on the athlete’s career, livelihood and reputation, despite unintentional intake or minute (ineffective) doses. Third-party auditing of products can help elite athletes to make informed choices about supplement use but cannot provide an absolute guarantee of product safety [22]. For this reason, athletes are guided to make systematic and informed decisions about supplement use that balance the potential benefits against the expense and potential harm (see Figure 3 [24^{*}])

New thoughts on an old product

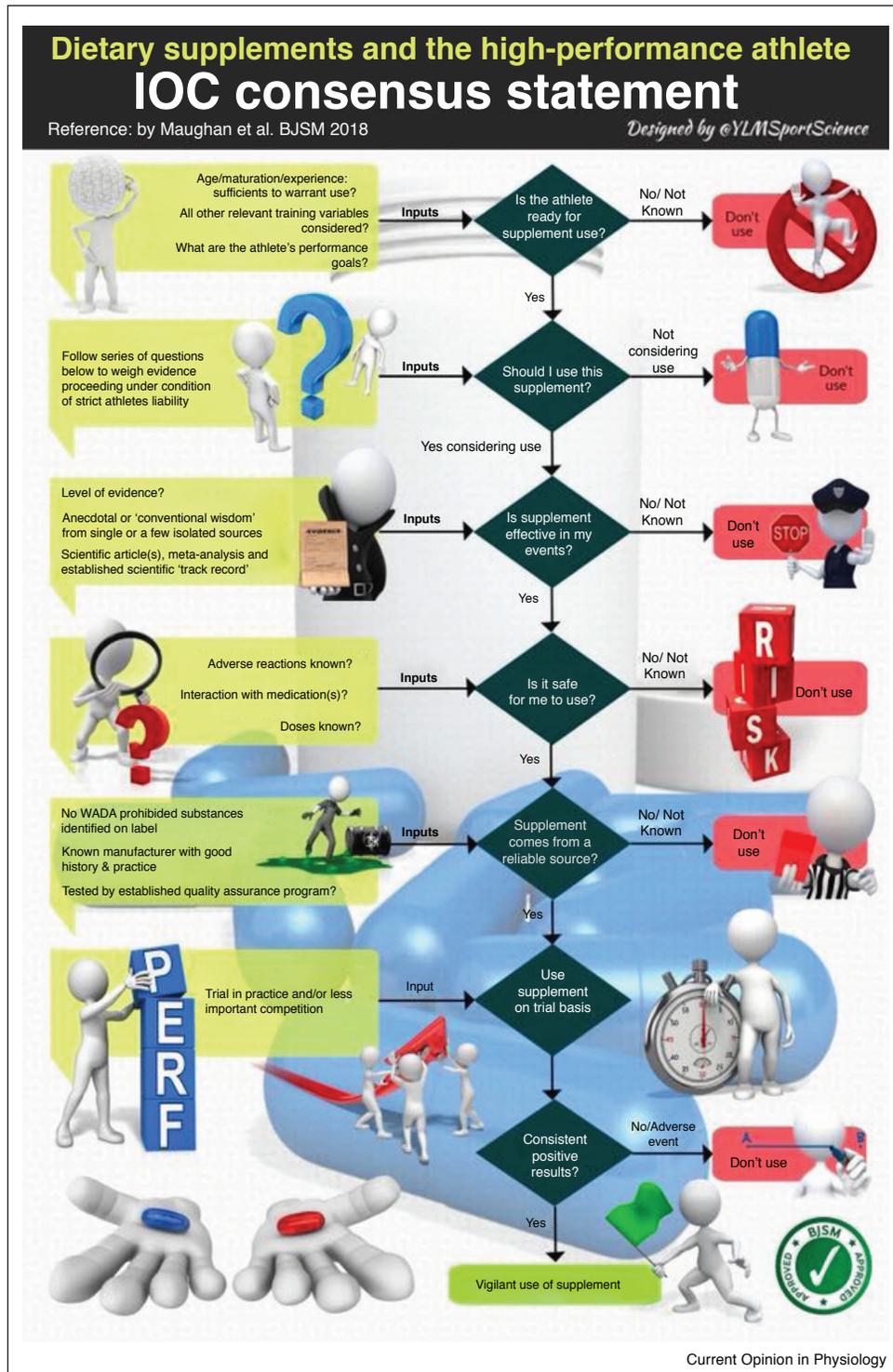
Caffeine is one of the oldest performance supplements, but also one of the few with robust evidence of performance benefits and the unusual trait of being ergogenic across a wide variety of exercise modes and protocols [18^{*}]. The layers of current information span an umbrella analysis of 21 previous meta-analyses [25], drilling down to meta-analyses of its effects on groups of sports (e.g. team sports [26]) and even further to specific events (e.g. soccer [27]) and forms of intake (e.g. energy drinks [28^{*}]). Although caffeine has numerous effects on various body tissues and systems, antagonism of adenosine receptors and direct effects on muscle contractility via calcium handling are the main mechanisms of action. Attenuation

Figure 2



Summary of evidence-based performance supplements achieving direct enhancement of exercise activities/sporting events.

Figure 3



Infographic providing a decision tree approach to making an informed decision about the intended use of a performance supplement according to the IOC Statement on the Use of Dietary Supplements by High Performance Athletes [24*].

of fatigue and enhanced perception of effort translates into performance improvements across a range of sporting activities, which explains its ubiquitous use by athletes. Indeed, its change in status in 2004, from a controlled substance on the List of Prohibited Substances to the Monitoring program of the World Anti-Doping agency, has provided a means of tracking its use by athletes, albeit with various limitations, by inspecting trends in urinary caffeine concentrations from samples taken at post-competition Anti-Doping Control stations [29^{*}]. An analysis of nearly 7500 samples collected from 2004-2015 suggests that caffeine is used in moderate amounts, the prevalence of use and size of doses has increased over this time, and athletes in endurance/aerobic sports have the highest urinary concentrations [29^{*}].

While the pioneering studies of caffeine and performance in the 1960s-1980s used doses of ~6 mg/kg body mass (BM), consumed an hour prior to exercise, to provide benefits in laboratory based exercise protocols, contemporary studies have shown that caffeine is ergogenic in smaller doses (~3 mg/kg) when consumed in a variety of protocols, pre- and during exercise, including just before the onset of fatigue [30]. This allows athletes to consume caffeine in similar doses and for similar purposes as the everyday consumer (i.e. to preserve their ability to undertake daily activities) [31], with encouragement to experiment to find a judicious use of this widely available drug (i.e. the lowest effective dose), according to their logistics of their sport, while minimizing any side-effects such as sleep disturbances and overstimulation of mood or metabolic response [31]. Intervention studies investigating real-world scenarios using high caliber athletes, in a simulated competition in field conditions [32^{*},33], actual competition [34,35] or as a laboratory simulation of an actual event [36] are welcomed. Future interests [37^{*}] include comparison of the many currently used forms of caffeine intake (e.g. caffeine tablets, coffee, sports foods, energy drinks) as well as alternative forms such as chewing gum, mouth rinses and aerosols [38^{*}]. There is also interest in the tolerance to habitual use of caffeine and the need for a “withdrawal period” to optimize the ergogenic effect of caffeine [39^{*}]. While such withdrawal has been an expected component of intervention studies and cultural patterns of competition use by some athletes, other studies have shown that it is unnecessary for an effect to be seen [40]. Indeed, the apparently heightened performance effects of caffeine following several days of “de-adaptation” to normal caffeine intake prior to a trial might simply reflect the reversal of the negative effects of withdrawal (fatigue, headache etc) added to the true ergogenic effect. Nevertheless, a recent study which serially measured performance effects over a 20 day period of daily caffeine dose of 3 mg/kg reported that the greatest performance benefit was seen on the first day, and although there was a continued ergogenic effect,

changes in the magnitude of this effect suggested progressive tolerance [41^{*}].

Opportunities for a new product

Performance of exercise of > ~40 min is often determined by the availability of oxidative substrates for the muscle with various sports nutrition strategies targeting opportunities to increase carbohydrate availability or capacity for fat oxidation [42^{**}]. A third option involves the increased use of ketone bodies (β -hydroxy-butyrate [BHB], acetoacetate and acetone) which are produced in the liver during starvation and a ketogenic diet to provide an alternative fuel source for peripheral tissues including brain, heart and skeletal muscle, with the latter having an enhanced ability to oxidize ketone bodies in endurance-trained individuals [43,44^{**}]. Metabolic effects during exercise include attenuation of glucose utilization in peripheral tissues and anti-lipolytic effects on adipose tissue, while in post-exercise recovery, there may be enhancement of glycogen synthesis, attenuation of proteolysis in skeletal muscle and inhibition of histone deacetylases which regulate adaptive responses [43,44^{**}]. The acute consumption of ketone salts and the recently developed ketone ester supplements [45^{**}] has emerged as an alternative to chronic ketogenic diets, with benefits including the lack of need for strenuous and restrictive dietary changes and the opportunity to integrate this strategy into a range of other dietary manipulations of fat and carbohydrate availability [42^{**}]. A landmark paper [45^{**}] detailed the range of metabolic changes caused by the achievement of circulating ketone bodies within the potentially beneficial range of 1-3 mmol/L [44^{**}] by a novel ketone ester as well as an observation of enhanced time-trial performance in well-trained cyclists who consumed it with a carbohydrate-rich drink before a 90 min protocol [45^{**}]. Despite this promise, other studies using exogenous ketone supplements have failed to find performance benefit [46,47,48^{*}], and issues such as gastrointestinal discomfort [48^{*}], achievement and measurement [49^{*}] of adequate but not excessive blood ketone body concentrations, and finding the “right” exercise/sports activity in which ketone bodies can provide a valuable fuel source without impairing the utilization of others [42^{**},43,44^{**}] need to be overcome.

Finding an evidence base for performance supplements

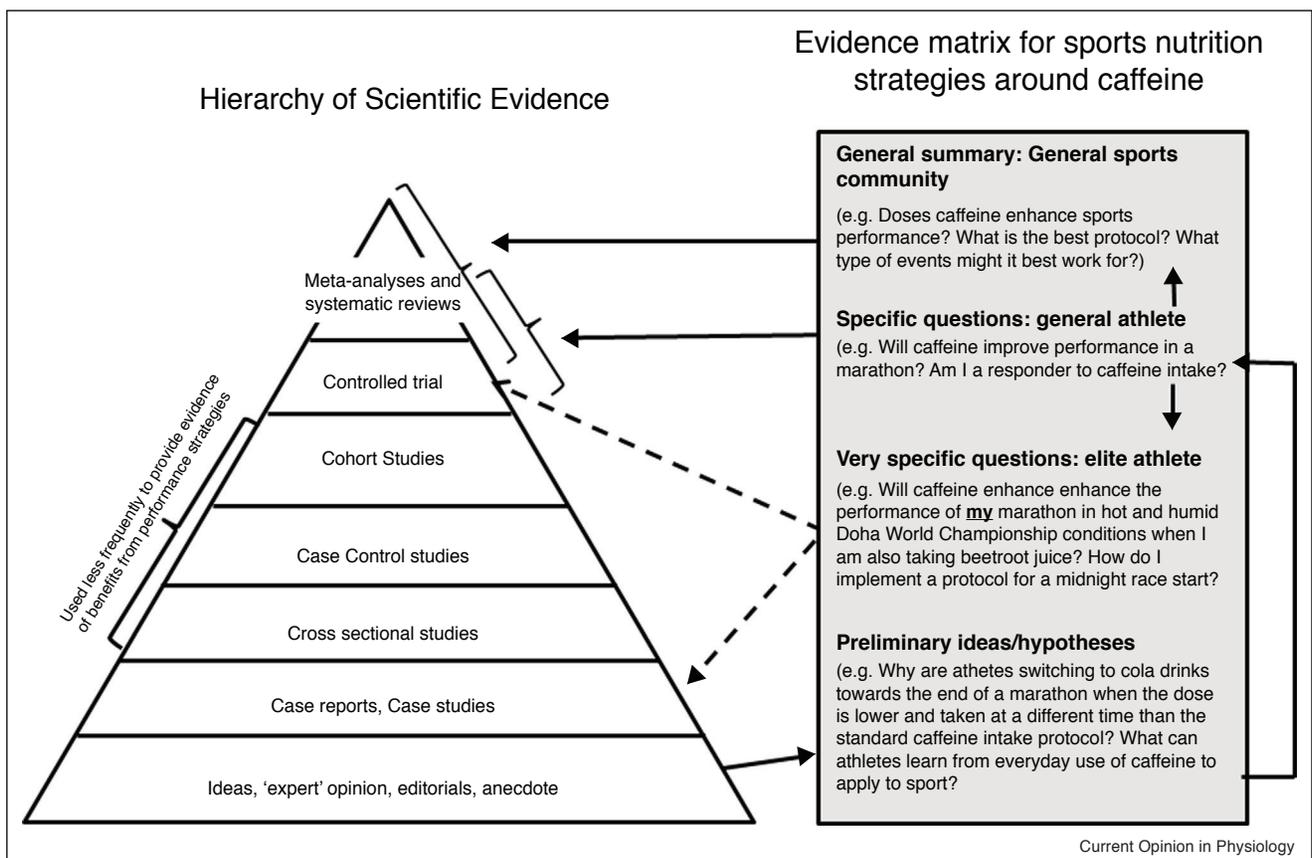
Substantiating the claims made about performance supplements is a challenging process. This author has previously noted that the requirements and processes of sports science research, particularly as they relate to high performance athletes, are often misunderstood by mainstream researchers [50^{**}], with the specific issues around performance supplements exemplifying the difference between the generalizable truths sought in many areas of scientific research and the intricate questions for which elite athletes seek answers. However, she has also stated

that this area of sports nutrition is probably the most effected by poor research methodologies, a low bar for publication and publication bias [51^{*}]. Figure 4 provides a hierarchical model of the suggested strength of the evidence provided by different information sources, with specific examples continuing to be viewed through the prism of caffeine and sports performance. The most common types of information around supplement efficacy presented to athletes and coaches come from models with the lowest rigor: anecdotes/observations from athletes [51^{*}]. Despite some hesitancy about the scientific credibility of this type of information, science has sometimes come to support supplement practices observed among athletes which were earlier dismissed as being incorrect or unlikely to achieve performance benefits. Indeed, some of the first trials of the lower doses of caffeine and its use during, rather than an hour prior to exercise, were undertaken to confirm and explain the persistent observations of the use of cola drinks (providing caffeine doses of 1-2 mg/kg) in the last 25% of prolonged endurance/ultra-endurance races [52]. However, caution is also advised due to the slow and non-systematic pathway of learning via experience, as well as the lack of transparency around

the commercial involvement of athletes and scientists with the supplement industry [51^{*}].

The controlled scientific trial remains the gold standard of investigative research but an understanding of key issues in sports performance research is needed to design and implement such studies [51^{*}], especially to mimic the scenarios in which the product is targeted for use, and to control issues that can alter performance such as environmental conditions, background training and nutrition status, familiarization with the protocol and motivation [51^{*}]. As summarized in Figure 4, few studies interrogate the specific and personalized scenarios in which athletes, particularly the highest caliber performers wish to apply supplement use; indeed, the complexity of the intended application of the supplement demonstrated in Figure 4 overwhelms the opportunity to undertake a scientific trial, both on methodological and economic grounds. There are four main themes in which the current performance supplement literature fails to address the specific needs of high performance athletes; interaction of evidence-based supplements that are used concurrently, the ability to repeat supplement use in a critical period,

Figure 4



Hierarchy of evidence sources for making decisions about performance supplements, comparing traditional approach with the appropriate protocols for use in sport; the specific requirements of athletes are exemplified by issues around caffeine use.

consideration of true individual differences in response, and the judgement of the magnitude of a performance difference that will be meaningful in competitive sport in which the margins of winning and losing are measured in decimal points [51^{*}]. The last issue ignites debate about statistical analysis that is beyond the scope of this paper. However, caffeine has been involved in the emerging literature that attempts to address the other concerns.

Even though there are few evidence-supported performance supplements, they are often suited to the same type of event and may therefore be used concurrently. The development of the optimal protocol of use requires examination of the surprisingly numerous combinations and themes in which their separate and additive effects might interact [51^{*},52]. Current research endeavors have typically limited the focus to the single and/or combined use of two products, with the variation in results highlighting the need for such research. In the case of caffeine, studies have shown that bicarbonate and caffeine supplementation combined to increase the performance benefits of another judo simulation [53], but bicarbonate counteracted the benefits of caffeine on rowing ergometer performance due to gut disturbances [54]. A Latin Squares application of treatments in a crossover designed study can provide a robust and practical framework for investigating the single and combined effect of two acutely administered products. Meanwhile, the integration of larger numbers of products into the athlete's program might be practically managed using single case and small group observations, with intuition and experience also guiding the process. In many sports, competition outcomes are decided through a series of heats and finals, stages in a race or games in a tournament. In some cases, the interval between bouts is measured in hours and may fall within the half-life of a supplement or the body's return to normal physiological status or homeostasis following the event. At least three different issues might need to be considered in terms of repeat use; subsequent use might require a different protocol to restore the physiological advantage or to meet the logistical requirements of competition spacing; desensitization of physiological systems may render the subsequent use of a supplement less effective; residual fatigue left from enhanced performance in the first event may carry over to the subsequent event [51^{*}]. The corollary to these possibilities include an altered protocol (timing and dose), the decision not to use the supplement on all occasions, or the need to modify pacing strategies for earlier events. The sparse literature on repeated use of well supported performance supplements includes evidence that caffeine supplementation was equally effective when repeated, 24 hours apart, to enhance the performance of two cross-country ski time trials [55], despite increased muscle damage and soreness from the first bout, attributed to the greater effort made possible by caffeine use.

Finally, against a background of variability in day to day sports performance, worsened in size and outcome by poor study design/control, and small sample sizes [51^{*}] is the likelihood that there are true individual responses to a supplement due to specific characteristics such as caliber [56] or sex, or to genetic differences. This requires effortful approaches around larger sample sizes and co-variate analysis, clever matching of subjects in cohort studies to isolate the characteristic of interest, and enhancement of "personalized medicine" research so that its outcomes are able to match its potential and industry marketing. Newer research around some of the issues is emerging, with studies on caffeine use reporting that females may be equally responsive to caffeine use in at least some scenarios of use [57]. Furthermore, single nucleotide polymorphisms (SNPs) of at least two different genes may account for true variability in the performance response to caffeine; CYP1A2, a gene associated with cytochrome P450 and hepatic metabolism of caffeine, and ADORA2A, a gene associated with the activity of the adenosine receptors [58^{*},59^{*}]. Although studies of the effects of SNPs of these genes on metabolism and sports performance following caffeine use is emerging, it is likely to be more complex than identifying true responders and minimal responders or altering the characteristics of supplementation protocols to suit the specific activity of the genotype. Indeed, in terms of the CYP1A2 gene, there are various studies reporting a greater benefit in athletes with the AA allele rather than CC [60^{*}], AC over others [61] and no difference between groups [62^{*}]. Although this is an area of great interest, it is likely to be confused by issues of poor study design as well as specific effects of the rate of caffeine metabolism on the caffeine dose and sporting protocol.

Conclusion

Sports nutrition research should address the important questions that athletes and coaches need to consider to make evidence-based decisions about if and how to use a specific supplement. Medical supplements and sports foods can be chosen according to well-supported information about nutrient needs and nutritional goals. Unfortunately, the opportunity to undertake high quality investigations of performance supplements is dwarfed by the number of products on the market (even when these are limited to those with some evidence base) and the complexities of real-life scenarios of use that might make a difference to performance outcomes. Nevertheless, models to conduct and interpret studies of performance supplements are available. Caffeine provides a handy illustration of the way that knowledge and practice evolve in sports nutrition, with the likelihood that there is always something new or better to learn.

Conflict of interest

Nothing declared

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- of special interest
- of outstanding interest

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