

## Protective effects of tanshinone IIA on SH-SY5Y cells against $\text{oA}\beta_{1-42}$ -induced apoptosis due to prevention of endoplasmic reticulum stress



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### ABSTRACT

Endoplasmic reticulum (ER) stress caused by  $\beta$ -amyloid protein ( $\text{A}\beta$ ) may play an important role in the pathogenesis of Alzheimer disease (AD). Our previous data have indicated that tanshinone IIA (tan IIA) protected primary neurons from  $\text{A}\beta$  induced neurotoxicity. To further explore the neuroprotection of tan IIA, here we study the effects of tan IIA on the ER stress response in oligomeric  $\text{A}\beta_{1-42}$  ( $\text{oA}\beta_{1-42}$ )-induced SH-SY5Y cell injury. Our data showed that tan IIA pretreatment could increase cell viability and inhibit apoptosis caused by  $\text{oA}\beta_{1-42}$ . Furthermore, tan IIA markedly suppressed ER dilation and prevented  $\text{oA}\beta_{1-42}$ -induced abnormal expression of glucose regulated protein 78 (GRP78), initiation factor 2 $\alpha$  (eIF2 $\alpha$ ), activating transcription factor 6 (ATF6), as well as inhibited the activation of C/EBP homologous protein (CHOP) and c-Jun N-terminal kinase (JNK) pathways. Moreover, tan IIA ameliorated  $\text{oA}\beta_{1-42}$ -induced Bcl-2/Bax ratio reduction, prevented cytochrome c translocation into cytosol from mitochondria, reduced  $\text{oA}\beta_{1-42}$ -induced cleavage of caspase-9 and caspase-3, suppressed caspase-3/7 activity, and increased mitochondrial membrane potential (MMP) and ATP content. Meanwhile,  $\text{oA}\beta_{1-42}$ -induced cell apoptosis and activation of ER stress can also be attenuated by the inhibitor of ER stress 4-phenylbutyric acid (4-PBA). Taken together, these data indicated that tan IIA protects SH-SY5Y cells against  $\text{oA}\beta_{1-42}$ -induced apoptosis through attenuating ER stress, modulating CHOP and JNK pathways, decreasing the expression of cytochrome c, cleaved caspase-9 and cleaved caspase-3, as well as increasing the ratio of Bcl-2/Bax, MMP and ATP content. Our results strongly suggested that tan IIA may be effective in treating AD associated with ER stress.

### 1. Introduction

Alzheimer's disease (AD) is a common dementia in the elderly. Two major hallmarks, senile plaques (SPs) and neurofibrillary tangles (NFTs), are widely known to be characteristic of AD pathology. NFTs consist of hyperphosphorylated tau protein in neurons, and SPs consist of  $\beta$ -amyloid protein ( $\text{A}\beta$ ) in the extracellular space. Several hypotheses have been currently proposed to elucidate AD pathogenesis, and  $\text{A}\beta$  hypothesis is accepted as one of the most evidence-based (Ferreira and Klein, 2011; Musiek and Holtzman, 2015). Accumulation of  $\text{A}\beta$  in the brain leads to a series of harmful events including plaque formation, tau hyperphosphorylation, oxidative stress, endoplasmic reticulum (ER) stress and eventually neuronal apoptosis (Chen et al., 2017; Gerakis and Hetz, 2017; Guo et al., 2018; Hardy and Allsop, 1991; Lim and Han,

2018; Yao et al., 2017).

The ER is the main compartment involved in protein folding and secretion and is drastically affected in AD neurons. The accumulation of unfolded or misfolded proteins in the ER activates a cellular stress response known as the unfolded protein response (UPR) and initiates the removal of toxic misfolded proteins as a way to protect the cell (Gerakis and Hetz, 2017; Hosoi et al., 2009). The UPR is initiated by three stress sensor proteins, including inositol requiring enzyme 1 (IRE1), (PKR)-like endoplasmic reticulum kinase (PERK), and activating transcription factor 6 (ATF6). These are normally maintained in an inactive state via binding with an ER chaperone, glucose regulated protein 78 (GRP78). However, ER stress triggers the release of GRP78 from the complexes, and the stress sensors recognize the misfolded proteins in the ER and activate a complex signaling network of UPR (Bertolotti et al., 2000).

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For example, extended phosphorylation of PERK subsequently induces the phosphorylation of  $\alpha$  subunit of eukaryotic translation initiation factor (eIF2 $\alpha$ ) at ser51. Phosphorylated eIF2 $\alpha$  also promotes the translation of mRNAs such as ATF4 (Duran-Aniotz et al., 2014; Urra et al., 2013). ATF4 acts as the upstream activator of genes involved in amino acid metabolism and transportation, as well as genes related to apoptosis, including C/EBP homologous protein (CHOP) and members of the B-cell lymphoma 2 (Bcl-2) protein family (Chen et al., 2018; Gu et al., 2010; Vattem and Wek, 2004).

Recently, soluble oligomeric A $\beta$  (oA $\beta$ ) were reported to be more highly toxic to synapses than fibrillary A $\beta$  (fA $\beta$ ) (Evans et al., 2008; Kittelberger et al., 2012; Sakono and Zako, 2010). oA $\beta$  may be formed early on in the disease process both inside and outside synaptic terminals (Kokubo et al., 2005; Takahashi et al., 2004), and intracellular and extracellular A $\beta$  may interact (LaFerla et al., 2007; Oddo et al., 2006). Previous studies indicated that oA $\beta$ , but not fA $\beta$ , induced cell apoptotic death by activating ER stress (Chafekar et al., 2007; Costa et al., 2012). Data from *in vitro* and *in vivo* experiments confirmed that A $\beta$  promotes the expression of the markers of ER stress and increases the level of effectors of ER stress related apoptosis pathways such as CHOP, c-Jun N-terminal kinase (JNK), and caspase-12 (Barbero-Camps et al., 2014; Song et al., 2003; Tseng et al., 2008). In contrast, blockage of ER stress is indicated to effectively ameliorate cells damage induced by A $\beta$  (Lee et al., 2010). These data demonstrate that ER stress is one of the significant molecular mechanisms involved in A $\beta$  cytotoxicity.

There have been continuous efforts to develop drugs or nutraceuticals to treat or prevent AD. Numerous candidate treatment agents have been examined, including various kinds of herbal and natural products. Tanshinone IIA (tan IIA) is an active lipophilic component extracted from the root of *Salvia miltiorrhiza* Bunge (Danshen) and exerts multiple neuroprotective potentials relevant to AD, such as anti-A $\beta$ , antioxidant, anti-inflammation, anti-apoptosis, and acetylcholinesterase inhibition (Akaberi et al., 2016; Dong et al., 2012; Jeon et al., 2011; Jiang et al., 2014; Kong et al., 2017; Liu et al., 2016; Maione et al., 2017; Seo et al., 2017; Wang et al., 2013) (Fig. 1). Our previous study demonstrated that pretreatment of tan IIA protected primary neurons from A $\beta$ <sub>25–35</sub> induced neurotoxicity, reduced the cleavage of p35 into p25 and thus inhibited the cyclin-dependent kinase 5 pathway. Additionally, the compound can significantly reduce phosphorylated tau levels in neurons and improve the impairment of the cell ultrastructure, including nuclear condensation, fragmentation, and neurofibril collapse (Shi et al., 2012). In addition, we found that tan IIA also suppresses A $\beta$ <sub>1–42</sub> induced apoptosis in cortical neurons by activating the Bcl-xL pathway (Qian et al., 2012). Recently, our experimental data confirmed that tan IIA prevents streptozotocin (STZ) induced memory deficits in mice (Liu et al., 2016). It has been proposed that tan IIA protects SH-SY5Y cells against glutamate toxicity by reducing oxidative stress and regulating apoptosis and MAPK pathways (Li et al., 2017). Moreover, tan IIA enhanced insulin sensitivity and

improved glucose metabolic disorders by attenuating ER stress induced insulin resistance in L6 myotubes mice (Hwang et al., 2012). Tan IIA also significantly attenuated oxidative stress injury and decreased ER stress mediated apoptosis in the protection of kidney hypothermic preservation (Zhang et al., 2012). However, the effects of tan IIA on ER stress mediated apoptosis in AD are lacking. Therefore, in the present study, we investigate the potentially beneficial effects of tan IIA on preventing ER stress and apoptosis induced by oA $\beta$ <sub>1–42</sub> in SH-SY5Y cells and attempted to explore its underlying mechanisms.

## 2. Materials and methods

### 2.1. Reagents and antibodies

See Supplementary data.

### 2.2. Cell culture

Human neuroblastoma SH-SY5Y cells was cultured in high glucose DMEM supplemented with 10% (v/v) FBS, penicillin (100 units/mL), streptomycin (100  $\mu$ g/mL), and L-glutamine (2 mM) at 37 °C in a humidified incubator under an atmosphere of 95% air and 5% CO<sub>2</sub>. At 70–80% confluence, cells were maintained in serum-free conditions overnight prior to experiments.

### 2.3. Preparation of oA $\beta$ <sub>1–42</sub> and tan IIA

Preparation of oA $\beta$ <sub>1–42</sub> was carried out as previously described (Cai et al., 2014). Briefly, solid A $\beta$ <sub>1–42</sub> peptide was dissolved at a concentration of 1 mg/mL in cold HFIP and then incubated at room temperature for at least 1 h to establish monomerization and randomization of structure. The peptide was separated into aliquots in sterile microcentrifuge tubes and freeze-dried for 3 h. When the film is formed, it was stored at –80 °C. To oligomerize A $\beta$ , the peptide was first re-suspended in anhydrous DMSO to a concentration of 2 mM. Next, the solution was diluted with DMEM to the appropriate concentration and incubated for 24 h at 4 °C (Choi et al., 2017; Chromy et al., 2003; Dahlgren et al., 2002).

Tan IIA was dissolved in DMSO (no more than 0.1% in v/v), and was further dissolved in DMEM.

### 2.4. MTT reduction assay to evaluate cell viability

Cell viability was measured and calculated using the MTT reduction assay, as described previously (Shi et al., 2012; Yang et al., 2015) (see Supplementary data).

### 2.5. Detection of apoptosis by TUNEL

See Supplementary data.

### 2.6. Transmission electron microscopy

The ultrastructural characteristics of cells suffered from ER stress or control cells were visualized via transmission electron microscopy (see Supplementary data).

### 2.7. Immunofluorescence assay

To investigate the location of protein expression, we performed immunofluorescence staining analysis. See Supplementary data.

### 2.8. Semiquantitative reverse transcription polymerase chain reaction (RT-PCR)

See Supplementary data.

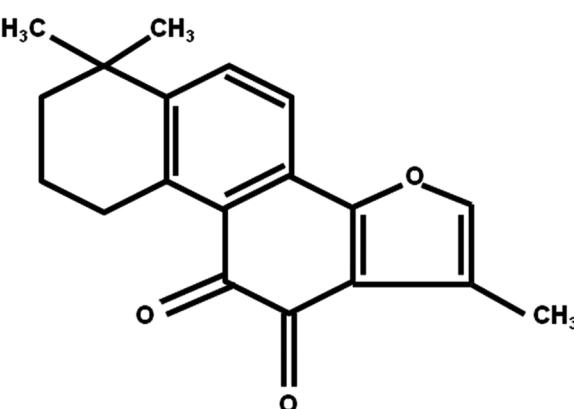


Fig. 1. Chemical structure of tan IIA.

### 2.9. Isolation of mitochondrial and cytosolic fractions

The mitochondrial and cytosolic fractions of cells were separated using mitochondria isolation kit for cultured cells according to manufacturer's instructions.

### 2.10. Mitochondrial membrane potential (MMP) assay

MMP was measured using JC-1, a dual-emission dye, as previously described (Hu et al., 2016) (see Supplementary data).

### 2.11. Detection of mitochondrial ATP level

ATP levels were measured in isolated mitochondria from all groups of cells using ATP luminescent assay kit (see Supplementary data).

### 2.12. Caspase-3/7 activity assay

Caspase-3/7 activity was determined using the Caspase-Glo 3/7 kit according to the manufacturer's protocols (see Supplementary data).

### 2.13. Western blotting analysis

Western blotting was performed as described previously (Yang et al., 2015) (see Supplementary data).

### 2.14. Statistical analysis

Results were analyzed with SPSS 13.0 software and presented as mean  $\pm$  standard deviation (SD) from at least three independent experiments. Statistical significance among different experimental groups were determined by one-way analysis of variance (ANOVA) followed by Newman–Keuls or Tukey–Kramer test, and  $p < 0.05$  was considered significant for all analyses.

## 3. Results

### 3.1. Tan IIA protected SH-SY5Y cells against $\text{oA}\beta_{1-42}$ -induced toxicity

To investigate the toxic of  $\text{oA}\beta_{1-42}$ , SH-SY5Y cells were exposed to  $\text{oA}\beta_{1-42}$  (ranging from 1  $\mu\text{M}$  to 20  $\mu\text{M}$ ) for 24 h and the cell viability was assessed by the MTT reduction assay. As shown in Fig. 2A,  $\text{oA}\beta_{1-42}$  treatment caused a significant decrease of cell viability in SH-SY5Y cells and the cytotoxic effect of  $\text{oA}\beta_{1-42}$  exhibited a dose-dependent manner. The viability of the cell was decreased approximately 47% after treating

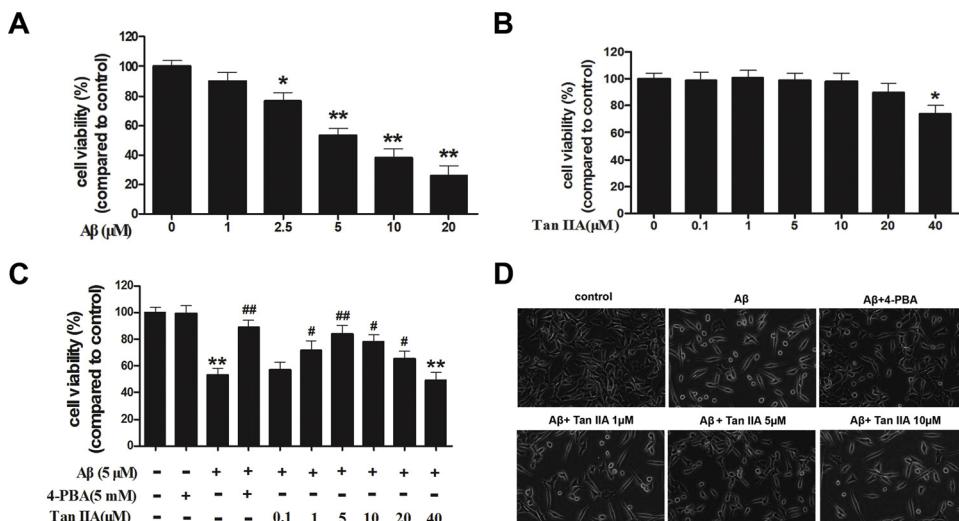
with 5  $\mu\text{M}$   $\text{oA}\beta_{1-42}$  for 24 h. Based on the results, 5  $\mu\text{M}$  was selected as the optimal  $\text{oA}\beta_{1-42}$  concentrations for subsequent experiments. We then examined the neuroprotective effects of tan IIA on  $\text{oA}\beta_{1-42}$ -induced toxicity in SH-SY5Y cells. The MTT assay showed that cell viability was not significantly reduced by the low concentrations of tan IIA (0.1  $\mu\text{M}$  to 20  $\mu\text{M}$ ), but was significantly decreased by the higher concentration of tan IIA (40  $\mu\text{M}$ ), suggesting that tan IIA at the concentration of  $< 20 \mu\text{M}$  induced no toxicity to SH-SY5Y cells (Fig. 2B). Furthermore, pretreatment with tan IIA (1, 5, and 10  $\mu\text{M}$ ) for 30 min and then treated with 5  $\mu\text{M}$   $\text{oA}\beta_{1-42}$  for 24 h significantly increased the viability of cells against  $\text{oA}\beta_{1-42}$ -induced cytotoxicity and reached the maximum effect at 5  $\mu\text{M}$ . However, lower dose (0.1  $\mu\text{M}$ ) tan IIA exhibited no protective effect and treatment with a higher amount (40  $\mu\text{M}$ ) of tan IIA increased the cytotoxicity of  $\text{oA}\beta_{1-42}$  ( $p < 0.05$  or  $p < 0.01$ ). Moreover, we found that 4-PBA could significantly improve cell viability in  $\text{oA}\beta_{1-42}$  treated SH-SY5Y cells (Fig. 2C). As shown in Fig. 2D, the protective effects of tan IIA on  $\text{oA}\beta_{1-42}$ -induced growth inhibition was further confirmed by observing cells' morphology under a microscope. The results showed that morphology of  $\text{oA}\beta_{1-42}$ -induced cells were obviously damaged and SH-SY5Y cells exposed to  $\text{oA}\beta_{1-42}$  exhibited cell shrinkage, suspending and shape irregularity. However, tan IIA (1, 5 or 10  $\mu\text{M}$ ) could protect SH-SY5Y cells against structural damage induced by  $\text{oA}\beta_{1-42}$ .

### 3.2. Tan IIA rescued $\text{oA}\beta_{1-42}$ -induced apoptosis in SH-SY5Y cells

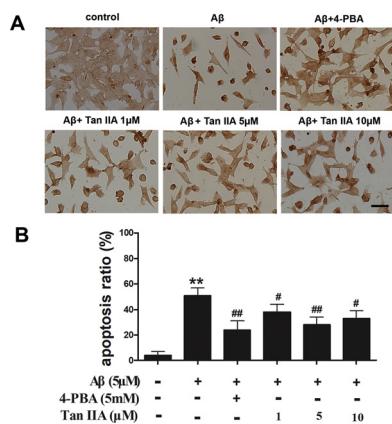
To determine whether tan IIA can inhibit  $\text{oA}\beta_{1-42}$ -induced cell apoptosis, we observed the nuclear morphologic changes associated with apoptosis by TUNEL staining. The representative photomicrographs showed that the TUNEL-positive cells as brown localized in SH-SY5Y cells (Fig. 3A). The number of apoptotic cells was increased more than 17-fold after 24 h incubation with 5  $\mu\text{M}$   $\text{oA}\beta_{1-42}$  compared to controls ( $p < 0.01$ ). However, the number of TUNEL-positive nuclei was significantly reduced when cells were pretreated with tan IIA. At concentrations of 1, 5 and 10  $\mu\text{M}$ , tan IIA reduced the apoptosis of  $\text{oA}\beta_{1-42}$  treated cells to 37.75%, 27.68% and 32.57%, respectively. Similar to tan IIA, 4-PBA also attenuated the apoptosis in  $\text{oA}\beta_{1-42}$  treated SH-SY5Y cells (Fig. 3B) ( $p < 0.05$  or  $p < 0.01$ ).

### 3.3. Effects of tan IIA on the morphological structure of SH-SY5Y cells incubated with $\text{oA}\beta_{1-42}$

In eukaryotic cells, the ER is responsible for protein synthesis, folding and maturity, but it also acts as a sensor for cellular stress. In the present study, we examined whether 5  $\mu\text{M}$  of  $\text{oA}\beta_{1-42}$  for 24 h has the



**Fig. 2.** Protective effects of tan IIA on  $\text{oA}\beta_{1-42}$ -induced toxicity in SH-SY5Y cells. (A) SH-SY5Y cells were untreated (control) or treated with  $\text{oA}\beta_{1-42}$  at 1, 2.5, 5, 10 and 20  $\mu\text{M}$  for 24 h. (B) SH-SY5Y cells were treated with tan IIA at 0.1, 1, 5, 10, 20, and 40  $\mu\text{M}$  for 24 h. (C) SH-SY5Y cells were pretreated with tan IIA (0.1, 1, 5, 10, 20, and 40  $\mu\text{M}$ ) for 30 min or pretreated with 4-PBA (5 mM) for 6 h and then incubated with  $\text{oA}\beta_{1-42}$  (5  $\mu\text{M}$ ) for an additional 24 h. (D) Morphological characteristics of SH-SY5Y cells were visualized with microscopic analysis. Scale bar is 50  $\mu\text{m}$ . Cell viability was determined by MTT assay. Percentage of cell viability was relative to the untreated control cells. Results were shown as the mean  $\pm$  SD. The experiments were repeated three times independently. \* $p < 0.05$  and \*\* $p < 0.01$  versus control, # $p < 0.05$  and ## $p < 0.01$  versus  $\text{oA}\beta_{1-42}$  treated cells.



**Fig. 3.** Tan IIA rescued  $\text{oA}\beta_{1-42}$ -induced apoptosis in SH-SY5Y cells. Cells were pretreated with tan IIA (1, 5, and 10  $\mu\text{M}$ ) for 30 min or 4-PBA (5 mM) for 6 h followed by 5  $\mu\text{M}$   $\text{oA}\beta_{1-42}$  for 24 h. (A) Representative images of TUNEL staining. Scale bar is 50  $\mu\text{m}$ . (B) The ratio was calculated by counting the percentage of cells exhibiting positive TUNEL staining. Results were shown as the mean  $\pm$  SD. The experiments were repeated three times independently. \*\* $p < 0.01$  versus control, # $p < 0.05$  and # $p < 0.01$  versus  $\text{oA}\beta_{1-42}$  treated cells.

potential to induce ER stress in SH-SY5Y cells by testing the endoplasmic reticular ultrastructural changes. As shown in Fig. 4, SH-SY5Y cells treated with  $\text{oA}\beta_{1-42}$  presented obvious endoplasmic reticular proliferation, dilation and degranulation, accompanied with some apoptosis characteristic ultrastructural features including chromatin condensation and appearance of chromatin crescent. However, the damage of ER induced by ER stress could be attenuated when cells were preincubated with tan IIA (5  $\mu\text{M}$ ) or 4-PBA. These results indicated that tan IIA could attenuate the excessive ER stress.

#### 3.4. Tan IIA prevented $\text{oA}\beta_{1-42}$ -induced core protein of ER stress expression in SH-SY5Y cells

To explore the relationship between ER stress and the neuroprotective effects of tan IIA, we detected the expression of GRP78, the central regulator of the ER stress (Ghaderi et al., 2018). As shown in Fig. 5A, the mRNA levels of GRP78 was increased significantly when cells treated with  $\text{oA}\beta_{1-42}$  ( $p < 0.01$ ). However, pretreatment with tan IIA (1, 5 or 10  $\mu\text{M}$ ) effectively attenuated the GRP78 mRNA ( $p < 0.05$  or  $p < 0.01$ ). Using western blotting analysis, we further found that treatment of cells with 5  $\mu\text{M}$   $\text{oA}\beta_{1-42}$  for 24 h significantly increased the expression of GRP78 compared with the controls, but this was significantly reduced by tan IIA (1, 5 or 10  $\mu\text{M}$ ) pretreatment (Fig. 5B) ( $p < 0.05$  or  $p < 0.01$ ). The immunofluorescence staining of GRP78 was consistent with those of western blotting results (Fig. 5C). The

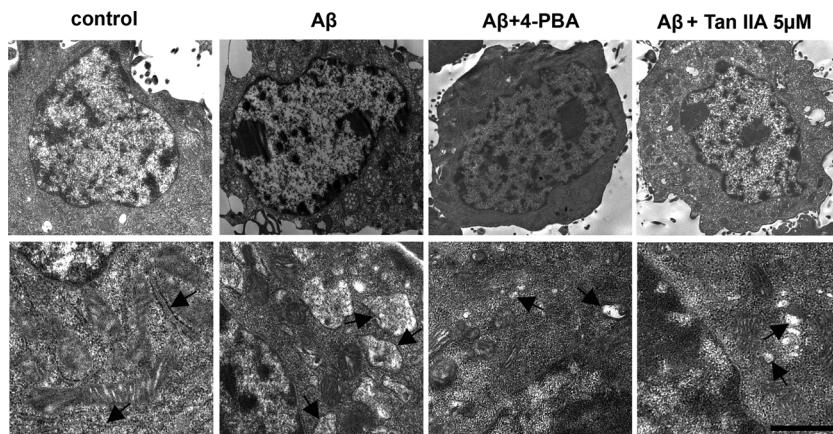
effects of 4-PBA on GRP78 expression induced by  $\text{oA}\beta_{1-42}$  was similar to tan IIA. In this experiment, 5  $\mu\text{M}$  tan IIA provided more significant neuroprotection than other dosages.

#### 3.5. Tan IIA suppressed $\text{oA}\beta_{1-42}$ -induced the expression of UPR signaling protein in SH-SY5Y cells

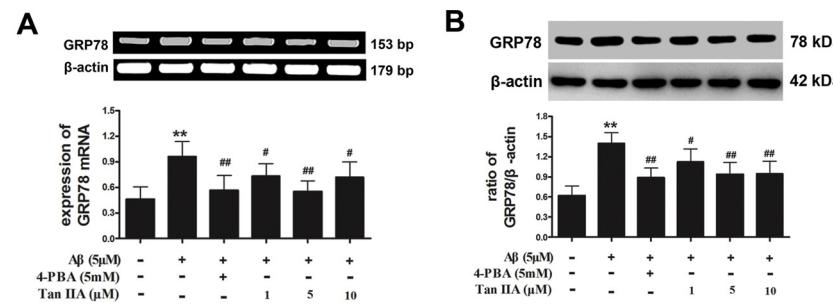
After the accumulation of misfolded proteins, GRP78 is released to form aggregates with transmembrane signaling proteins, resulting in the initiation of the UPR. The UPR is primarily mediated by three signal pathways: PERK/eIF2 $\alpha$ , IRE1/XBP1 and ATF6 pathways (Bertolotti et al., 2000). To further investigate the effects of tan IIA against ER stress induced by  $\text{oA}\beta_{1-42}$  in SH-SY5Y cells, we tested the levels of phospho-eIF2 $\alpha$  and ATF6 proteins. As shown in Fig. 6A, the expression of phospho-eIF2 $\alpha$  was upregulated significantly when cells exposed to 5  $\mu\text{M}$   $\text{oA}\beta_{1-42}$  for 24 h, which could be significantly inhibited by tan IIA (1, 5 or 10  $\mu\text{M}$ ) pretreatment ( $p < 0.01$ ). ATF6 is cleaved during ER stress and its cytosolic domain translocates to the nucleus (Teodoro et al., 2012). In this study, tan IIA (1, 5 or 10  $\mu\text{M}$ ) drastically reduced the protein levels of ATF6 in the nuclear fractions compared to the  $\text{oA}\beta_{1-42}$  group, while the levels of this total protein was no significantly difference in the cells ( $p < 0.05$  or  $p < 0.01$ ). In addition, the immunofluorescence staining of ATF6 were consistent with western blotting results (Fig. 6B). The same inhibitory effects on the expression of phospho-eIF2 $\alpha$  and ATF6 were observed in 4-PBA pretreated cells. These results showed that ER stress contribute to  $\text{oA}\beta_{1-42}$ -induced SH-SY5Y cell injury, which could be antagonized by tan IIA.

#### 3.6. Tan IIA inhibited $\text{oA}\beta_{1-42}$ -induced activation of CHOP and JNK pathways in SH-SY5Y cells

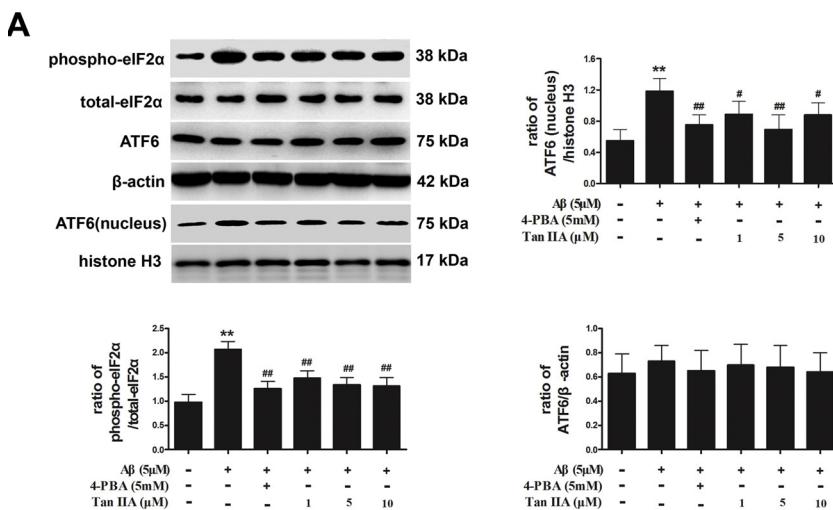
When ER stress is excessive and/or prolonged, the initiation of apoptosis is promoted by the transcriptional induction of CHOP, JNK, or the caspase-12 dependent pathway (Sadeghi et al., 2017; Sharma et al., 2012; Tarantino and Caputi, 2011; Urano et al., 2000; Wortel et al., 2017). In this study, we detected the expression of CHOP and phospho-JNK to investigate whether tan IIA inhibited apoptosis induced by ER stress via these two signaling pathways. Using western blotting analysis, we found that treatment of cells with 5  $\mu\text{M}$   $\text{oA}\beta_{1-42}$  for 24 h significantly enhanced the expression of CHOP, as well as phospho-JNK ( $p < 0.01$ ). On the contrary, tan IIA (1, 5 or 10  $\mu\text{M}$ ) suppressed the expression of CHOP and phospho-JNK (Fig. 7A) ( $p < 0.05$  or  $p < 0.01$ ). Likewise, the mRNA levels of CHOP and the immunofluorescence staining of CHOP were consistent with western blotting results (Fig. 7B and C). 4-PBA also effectively inhibited the expression of CHOP and phospho-JNK. These results confirmed that tan IIA protects SH-SY5Y cells from  $\text{oA}\beta_{1-42}$ -induced apoptosis at least partly through suppression of CHOP and JNK pathways.



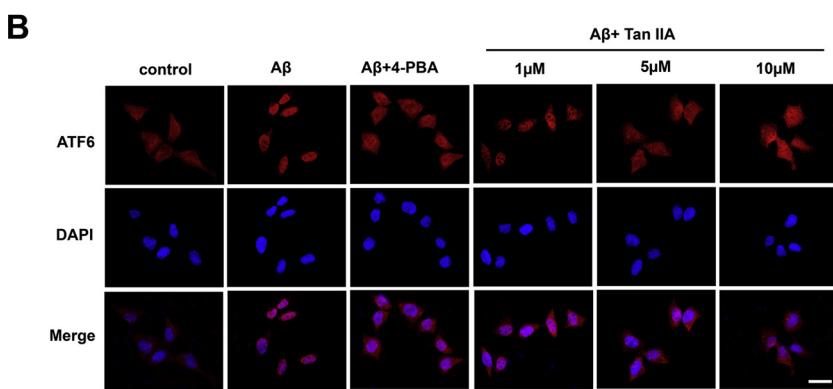
**Fig. 4.** The morphological endoplasmic reticular ultrastructural changes in SH-SY5Y cells were observed under transmission electric microscopy. Cells were pretreated with tan IIA (5  $\mu\text{M}$ ) for 30 min or 4-PBA (5 mM) for 6 h followed by 5  $\mu\text{M}$   $\text{oA}\beta_{1-42}$  for 24 h. SH-SY5Y cells treated with  $\text{oA}\beta_{1-42}$  displayed endoplasmic reticulum proliferation, dilation and degranulation, accompanied with condensed chromatin and apoptotic nuclei. Scale bar is 1  $\mu\text{m}$ . Arrow indicated endoplasmic reticulum.



**Fig. 5.** Tan IIA prevented oA $\beta$ <sub>1-42</sub>-induced the expression of GRP78 in SH-SY5Y cells. Cells were pretreated with tan IIA (1, 5, and 10  $\mu$ M) for 30 min or 4-PBA (5 mM) for 6 h followed by 5  $\mu$ M oA $\beta$ <sub>1-42</sub> for 24 h. (A) The mRNA expression of GRP78 with RT-PCR.  $\beta$ -actin was detected for controls. (B) The protein expression of GRP78 with western blotting.  $\beta$ -actin was detected for controls. (C) Immunofluorescence of GRP78 (green), the nuclear (blue) was labelled with DAPI. Scale bar is 20  $\mu$ m. Results were shown as the mean  $\pm$  SD. The experiments were repeated three times independently. \*\* $p$  < 0.01 versus control, # $p$  < 0.05 and ## $p$  < 0.01 versus oA $\beta$ <sub>1-42</sub> treated cells. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article).



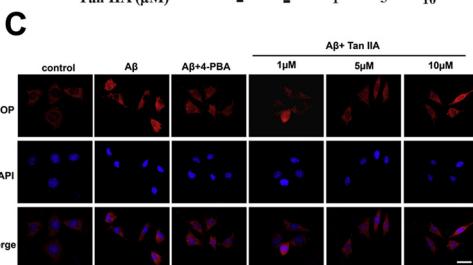
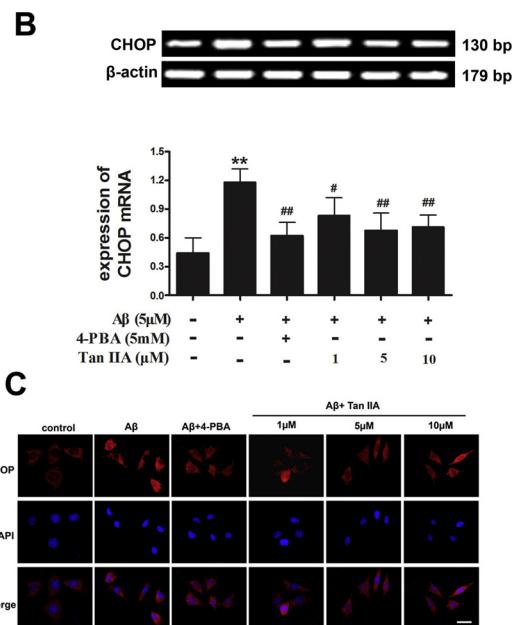
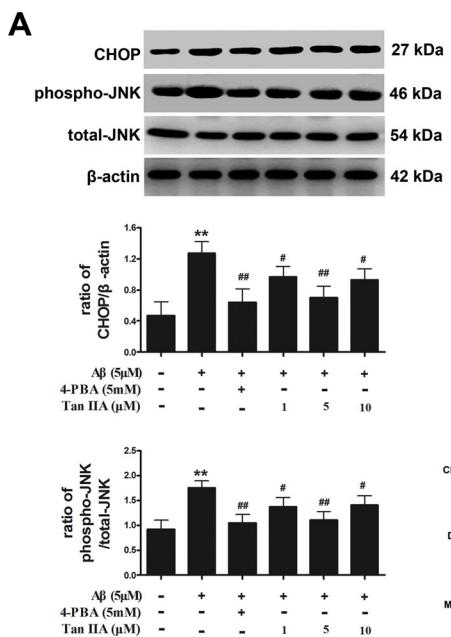
**Fig. 6.** Tan IIA prevented oA $\beta$ <sub>1-42</sub>-induced the expression of phospho-eIF2α and ATF6 in SH-SY5Y cells. Cells were pretreated with tan IIA (1, 5, and 10  $\mu$ M) for 30 min or 4-PBA (5 mM) for 6 h followed by 5  $\mu$ M oA $\beta$ <sub>1-42</sub> for 24 h. (A) The protein expression of eIF2α, phospho-eIF2α and ATF6 with western blotting.  $\beta$ -actin and histone H3 were detected for controls. (B) Immunofluorescence of ATF6 (red), the nuclear (blue) was labelled with DAPI. Scale bar is 20  $\mu$ m. Results were shown as the mean  $\pm$  SD. The experiments were repeated three times independently. \*\* $p$  < 0.01 versus control, # $p$  < 0.05 and ## $p$  < 0.01 versus oA $\beta$ <sub>1-42</sub> treated cells. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article).



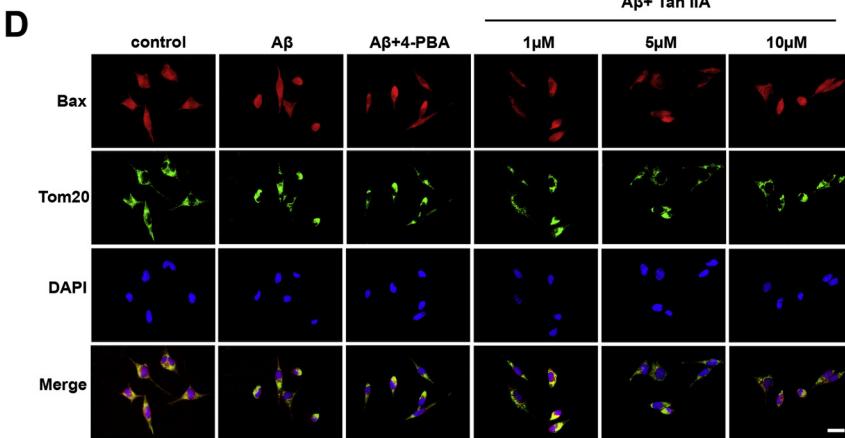
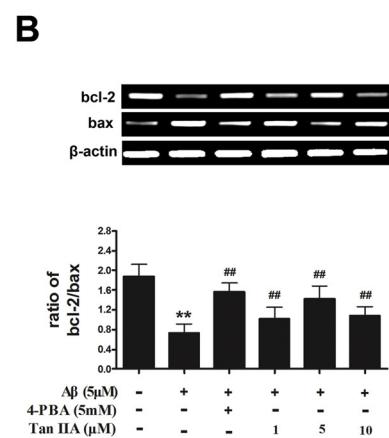
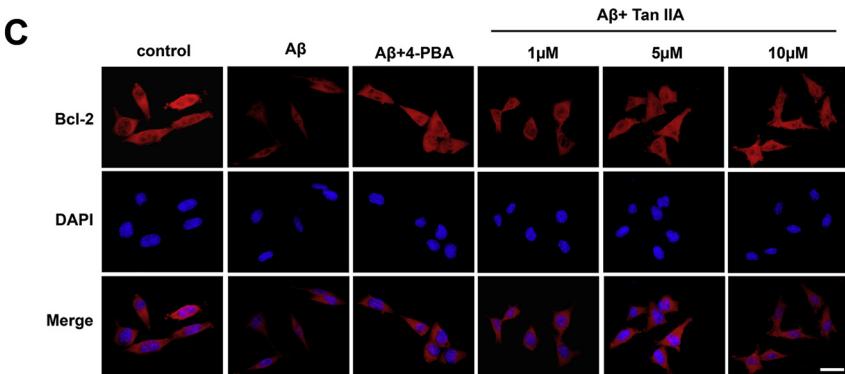
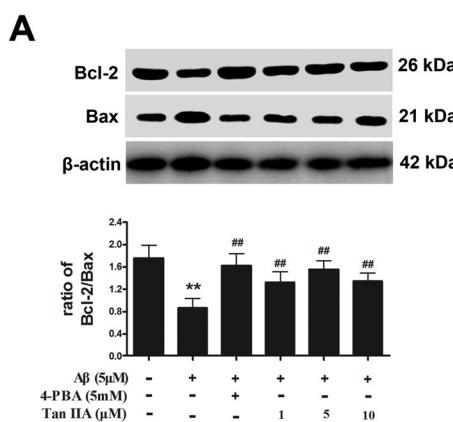
### 3.7. Tan IIA attenuated apoptosis-related protein in SH-SY5Y cells

The Bcl-2 family members play crucial roles in the regulation of the mitochondrial pathway of apoptosis. Bax translocates from the cytosol

to the mitochondria and causes release of cytochrome c, followed by activates of caspase-9, which in turn activates caspase-3 (Cai et al., 2011). Caspase-3 is one of the key proteases responsible for the initiation of the caspase cascade and believed to be at the final stage of



**Fig. 7.** Tan IIA inhibited oA $\beta$ <sub>1–42</sub>-induced activation of CHOP and JNK pathways in SH-SY5Y cells. Cells were pretreated with tan IIA (1, 5, and 10  $\mu$ M) for 30 min or 4-PBA (5 mM) for 6 h followed by 5  $\mu$ M oA $\beta$ <sub>1–42</sub> for 24 h. (A) The protein expression of CHOP, JNK and phospho-JNK with western blotting.  $\beta$ -actin was detected for controls. (B) The mRNA expression of CHOP with RT-PCR.  $\beta$ -actin was detected for controls. (C) Immunofluorescence of CHOP (red), the nuclear (blue) was labelled with DAPI. Scale bar is 20  $\mu$ m. Results were shown as the mean  $\pm$  SD. The experiments were repeated three times independently. \*\* $p$  < 0.01 versus control,  $#p$  < 0.05 and ## $p$  < 0.01 versus oA $\beta$ <sub>1–42</sub> treated cells. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article).



**Fig. 8.** Tan IIA increased oA $\beta$ <sub>1–42</sub>-induced the ratio of Bcl-2/Bax in SH-SY5Y cells. Cells were pretreated with tan IIA (1, 5, and 10  $\mu$ M) for 30 min or 4-PBA (5 mM) for 6 h followed by 5  $\mu$ M oA $\beta$ <sub>1–42</sub> for 24 h. (A) The protein expression of Bcl-2 and Bax with western blotting.  $\beta$ -actin was detected for controls. (B) The mRNA expression of Bcl-2 and Bax with RT-PCR.  $\beta$ -actin was detected for controls. (C) Immunofluorescence of Bcl-2 (red), the nuclear (blue) was labelled with DAPI. Scale bar is 20  $\mu$ m. (D) Immunofluorescence of Bax (red), Tom20 (green), the nuclear (blue) was labelled with DAPI. Scale bar is 20  $\mu$ m. Results were shown as the mean  $\pm$  SD. The experiments were repeated three times independently. \*\* $p$  < 0.01 versus control,  $#p$  < 0.05 and ## $p$  < 0.01 versus oA $\beta$ <sub>1–42</sub> treated cells. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article).

apoptosis. In the present study, we examined the levels of Bcl-2 and Bax in SH-SY5Y cells by western blotting, RT-PCR and immunofluorescence assay. As shown in Fig. 8A, treatment of cells with 5  $\mu$ M o $\alpha$  $\beta$ <sub>1–42</sub> for 24 h decreased the expression of Bcl-2 and concomitantly increased Bax expression. Tan IIA (1, 5 or 10  $\mu$ M) reversed the alternations of Bcl-2 and Bax expressions induced by o $\alpha$  $\beta$ <sub>1–42</sub>, and substantially increased the ratio of Bcl-2/Bax ( $p < 0.01$ ). The expression of Bcl-2 and Bax at the mRNA level and the immunofluorescence staining of Bcl-2 and Bax were consistent with western blotting results (Fig. 8B–D). Furthermore, in the o $\alpha$  $\beta$ <sub>1–42</sub> treated cells, Bax were co-localized with mitochondrial marker Tom20 in dying cells. Pretreatment with tan IIA (1, 5 or 10  $\mu$ M) improved this change. Meanwhile, the effects of 4-PBA on the expression of Bcl-2 and Bax were similar to tan IIA.

Next, we detected the levels of cytochrome *c*, caspase-9, cleaved caspase-9 and cleaved caspase-3 in SH-SY5Y cells. Firstly, to be sure about sample purity, GAPDH and SDHA antibodies were used as markers for cytosolic and mitochondrial fractions, respectively (Supplementary Fig. 1). Then the protein levels of cytochrome *c* in mitochondrial and cytosolic fractions were determined by western blotting. o $\alpha$  $\beta$ <sub>1–42</sub> greatly increased the levels of cytochrome *c* in the cytosol. In the mitochondria, cytochrome *c* content was correspondingly decreased, indicating that o $\alpha$  $\beta$ <sub>1–42</sub> increased the release of cytochrome *c* into cytosol. Consistently, treatment o $\alpha$  $\beta$ <sub>1–42</sub> greatly increased the levels of cleaved caspase-9, cleaved caspase-3 and the activity of caspase-3/7. In contrast, tan IIA (1, 5 or 10  $\mu$ M) effectively inhibited the release of cytochrome *c* and cleavage of caspase-9 and caspase-3, as well as suppressed the activity of caspase-3/7, indicating tan IIA prevented o $\alpha$  $\beta$ <sub>1–42</sub>-induced cell apoptosis (Fig. 9A and B) ( $p < 0.05$  or  $p < 0.01$ ). In addition, an immunofluorescence assay detecting the cytochrome *c* and cleaved caspase-3 elicited the same results (Fig. 9C and D). Meanwhile, 4-PBA also attenuated the expression of cytochrome *c*, cleaved caspase-9, cleaved caspase-3 and the activity of caspase-3/7.

Furthermore, we determined MMP and ATP content in SH-SY5Y cells, which is related to mitochondrial dysfunction. As shown in Fig. 10A and B, cells exposed to o $\alpha$  $\beta$ <sub>1–42</sub> markedly decreased MMP and ATP content, whereas these o $\alpha$  $\beta$ <sub>1–42</sub>-induced effects were rescued to various degrees by pretreatment with tan IIA (1, 5 or 10  $\mu$ M) ( $p < 0.05$  or  $p < 0.01$ ). Similar to tan IIA, 4-PBA also increased MMP and ATP content. In this experiment, 5  $\mu$ M tan IIA provided more significant neuroprotection than other dosages. Taken together, these results revealed that tan IIA can protect SH-SY5Y cells against ER stress induced apoptosis through mitochondria-mediated intrinsic pathway.

#### 4. Discussion

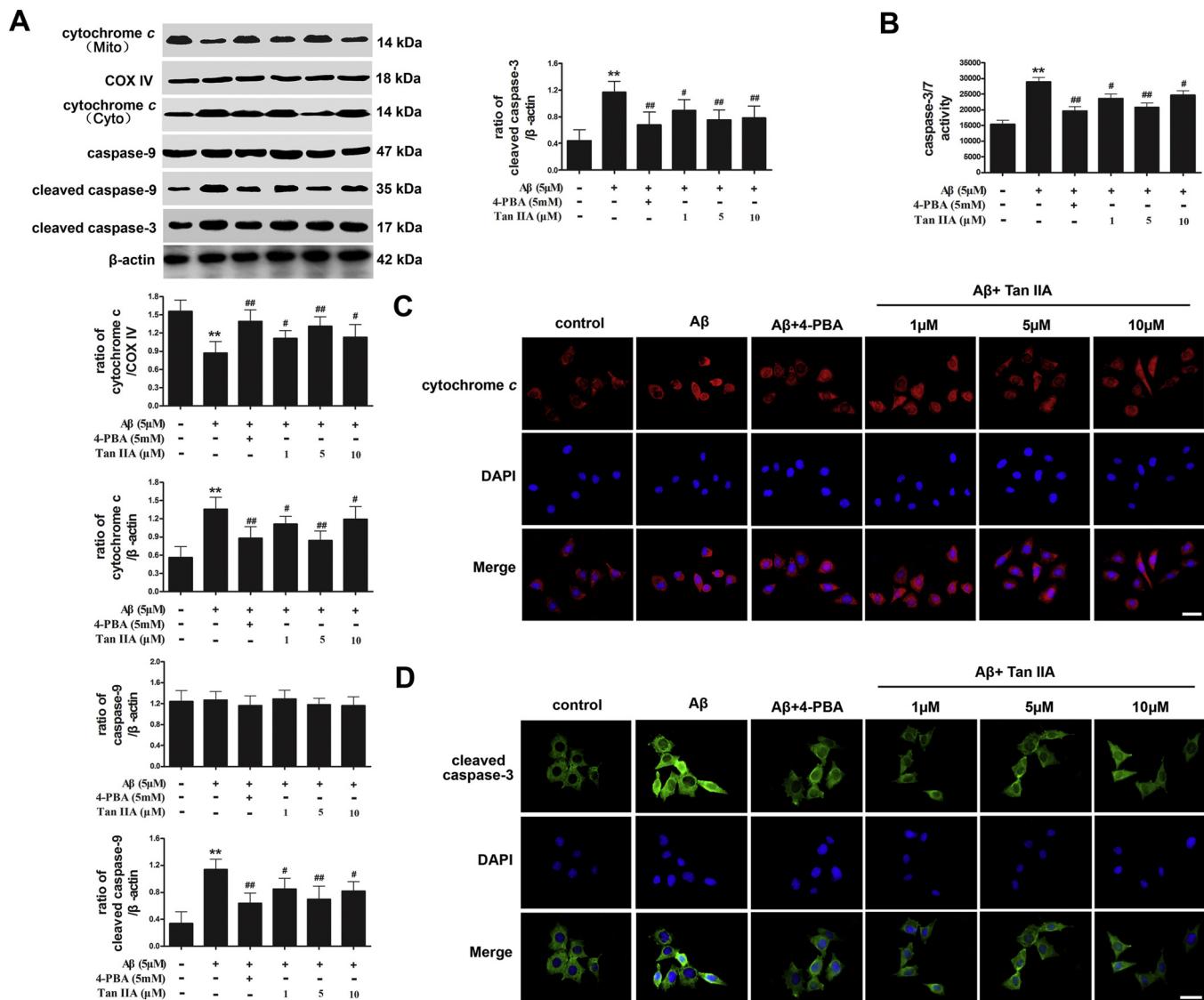
A few published articles have demonstrated the prevented effects of tan IIA on ER stress. In the kidneys preserved with tan IIA, the protein markers of ER stress, caspase 12, and CHOP are decreased compared with that in the kidneys maintained with Celsior solution solely (Zhang et al., 2012). In L6 myotubes, tan IIA prevented the activation of ER stress induced by tunicamycin, a widespread used activator of ER stress (Hwang et al., 2012). In cardiac fibroblasts, tan IIA treatment also weakened the radiation-induced ER stress and fibrosis damage (Gu et al., 2014). All these results demonstrated that tan IIA reduces the stimulation of ER stress, resulting in amelioration of tissue impairment. A recent study have shown that tan IIA reduced reactive oxygen species level, increased activities and protein levels of the antioxidant enzymes, increased MMP and ATP content, and inhibited glutamate-induced apoptosis through regulation of apoptosis related protein expression and MAPK activation in SH-SY5Y cells (Li et al., 2017). Although this study has demonstrated that tan IIA protects SH-SY5Y cells against glutamate toxicity. However, the role of tan IIA on ER stress mediated apoptosis and underlying mechanisms in SH-SY5Y cells is remains unclear. Our present study indicated that exposure of SH-SY5Y cells to o $\alpha$  $\beta$ <sub>1–42</sub> (5  $\mu$ M) for 24 h decreased cell viability and led to a massive apoptosis, as determined by MTT assay, morphological examination,

and TUNEL staining. When the cells were preincubated with tan IIA (1, 5 or 10  $\mu$ M), the cell viability, apoptotic ratio and the morphology changes of the o $\alpha$  $\beta$ <sub>1–42</sub> treated cells were all improved. Furthermore, cells treated with o $\alpha$  $\beta$ <sub>1–42</sub> showed that increased levels of GRP78, phospho-eIF2 $\alpha$  and ATF6. o $\alpha$  $\beta$ <sub>1–42</sub> also induced activation of CHOP and JNK pathways in SH-SY5Y cells. By contrast, pretreatment of tan IIA (1, 5 or 10  $\mu$ M) markedly reduced ER stress related protein expression. Moreover, our results showed that o $\alpha$  $\beta$ <sub>1–42</sub>-induced cytochrome *c* release, caspase-9 and caspase-3 activation, as well as decreasing the Bcl-2/Bax expression ratio, MMP and ATP content. However, when SH-SY5Y cells were preincubated with tan IIA (1, 5 or 10  $\mu$ M), these o $\alpha$  $\beta$ <sub>1–42</sub>-induced cellular events were reversed. Similar to tan IIA, we found that 4-PBA, an ER stress inhibitor, also has protective action against o $\alpha$  $\beta$ <sub>1–42</sub>-induced cytotoxicity in SH-SY5Y cells. Taken together, our findings demonstrated that tan IIA can be considered as a useful neuroprotective agent for ameliorating ER stress and apoptosis triggered by o $\alpha$  $\beta$ <sub>1–42</sub> in SH-SY5Y cells.

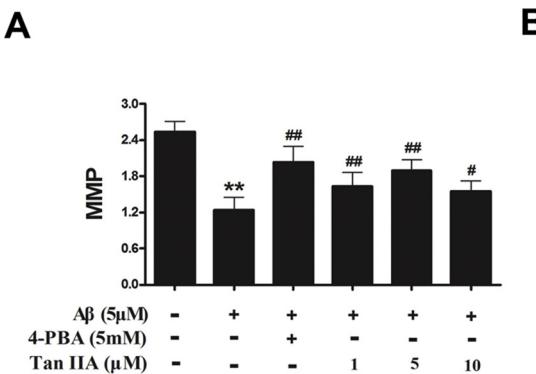
To confirm ER stress, we observed the expression of GRP78, the core ER regulatory protein which functions as a molecular chaperone and plays an important role in the recognition of unfolded proteins. Under normal conditions, GRP78 proteins are expressed at a low level and are bound to ER transmembrane receptors. When ER stress is triggered, the unfolded or misfolded proteins combine with free GRP78 and lead to their activation (Hetz, 2012). Therefore, GRP78 is considered to be an endoplasmic reticulum homeostat, and its up-regulation indicates the occurrence of ER stress. In the present study, GRP78 mRNA and protein levels were upregulated significantly when cells treated with o $\alpha$  $\beta$ <sub>1–42</sub>, indicating ER stress activation. However, tan IIA (1, 5 or 10  $\mu$ M) significantly decreased GRP78 expression at the mRNA and protein levels. ER stress is sensed by the luminal domains of three ER transmembrane proteins: PERK, ATF6 and IRE1. PERK is a transmembrane kinase which dimerizes and autophosphorylates when stress is sensed. Its main function is to regulate protein synthesis through phosphorylation of eIF2 $\alpha$ . ATF6 is a transcription factor which gets shuttled to the Golgi for its ER stress-mediated activation. Translocation of the processed form of ATF6 to the nucleus results in the upregulation of UPR homeostatic effectors involved in protein folding, processing, and degradation (Fonseca et al., 2011). To further explore the inhibitory effects of tan IIA on ER stress signaling pathway induced by o $\alpha$  $\beta$ <sub>1–42</sub> in SH-SY5Y cells, we tested the levels of phospho-eIF2 $\alpha$  and ATF6 in each group. In our study, o $\alpha$  $\beta$ <sub>1–42</sub> upregulated the levels of phospho-eIF2 $\alpha$ , while tan IIA (1, 5 or 10  $\mu$ M) markedly down-regulated its expression in SH-SY5Y cells. Meanwhile, tan IIA (1, 5 or 10  $\mu$ M) significantly downregulated the protein levels of ATF6 in the nuclear fractions compared to the o $\alpha$  $\beta$ <sub>1–42</sub> group. All of the findings indicated that tan IIA can inhibit ER stress by inhibiting PERK/eIF2 $\alpha$ , and ATF6 pathways.

When ER functions are severely impaired, apoptotic signals are triggered to eliminate the damaged cells (Kaufman, 1999). CHOP, as a basic leucine zipper transcription factor, which is regulated under the PERK, IRE1, and ATF6 arms of the UPR, assumes the best indicator of pro-apoptotic phase in ER-stressed cells (Kennedy et al., 2015; Rao et al., 2013; Sari et al., 2010; Yao et al., 2015; Zhao et al., 2018). So, prevention of the initiation of pro-apoptotic events through down-regulation of CHOP partially protects ER stress. In this study, we found that the levels of CHOP protein and mRNA are upregulated in o $\alpha$  $\beta$ <sub>1–42</sub> treated cells, but significantly inhibited by tan IIA (1, 5 or 10  $\mu$ M). It has been reported that JNK activation is required in the ER stress of islet beta cells and JNK-mediated signaling pathways lead to apoptotic UPR during ER stress (Chan et al., 2015; Lakshmanan et al., 2011). In the present study, the results revealed that the phospho-JNK was progressively increased in o $\alpha$  $\beta$ <sub>1–42</sub> treated cells. Pretreatment of tan IIA (1, 5 or 10  $\mu$ M) significantly attenuated the phospho-JNK. These findings demonstrated that tan IIA inhibits ER stress-induced apoptosis at least partly through suppression of CHOP and JNK pathways.

Bcl-2 family proteins appear to be the downstream executors of CHOP-mediated apoptotic signals. Overexpression of CHOP has been



**Fig. 9.** Tan IIA attenuated oA $\beta$ <sub>1–42</sub>-induced the levels of cytochrome *c*, cleaved caspase-9, cleaved caspase-3 and the activity of caspase-3/7 in SH-SY5Y cells. Cells were pretreated with tan IIA (1, 5, and 10  $\mu$ M) for 30 min or 4-PBA (5 mM) for 6 h followed by 5  $\mu$ M oA $\beta$ <sub>1–42</sub> for 24 h. (A) The protein levels of cytochrome *c* in mitochondrial and cytosolic fractions, caspase-9, cleaved caspase-9 and cleaved caspase-3 in total protein extracts were analyzed by western blotting.  $\beta$ -actin and COX IV were detected for controls. (B) Determination of caspase-3/7 activity. (C) Immunofluorescence of cytochrome *c* (red), the nuclear (blue) was labelled with DAPI. Scale bar is 20  $\mu$ m. (D) Immunofluorescence of cleaved caspase-3 (green), the nuclear (blue) was labelled with DAPI. Scale bar is 20  $\mu$ m. Results were shown as the mean  $\pm$  SD. The experiments were repeated three times independently. \*\* $p$  < 0.01 versus control,  $^{\#}$  $p$  < 0.05 and ## $p$  < 0.01 versus oA $\beta$ <sub>1–42</sub> treated cells (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article).



**Fig. 10.** Tan IIA increased oA $\beta$ <sub>1–42</sub>-induced MMP and ATP content in SH-SY5Y cells. Cells were pretreated with tan IIA (1, 5, and 10  $\mu$ M) for 30 min or 4-PBA (5 mM) for 6 h followed by 5  $\mu$ M oA $\beta$ <sub>1–42</sub> for 24 h. (A) Determination of MMP. (B) Determination of ATP content. Results were shown as the mean  $\pm$  SD. The experiments were repeated three times independently. \*\* $p$  < 0.01 versus control,  $^{\#}$  $p$  < 0.05 and ## $p$  < 0.01 versus oA $\beta$ <sub>1–42</sub> treated cells.

shown to mediate the decrease of Bcl-2 protein and perturbation of the cellular redox state (Chen et al., 2018; Gu et al., 2010; Matsumoto et al., 1996; McCullough et al., 2001; Oyadomari and Mori, 2004). In addition, JNK activation through the IRE1 pathway may lead to Bcl-2 and Bcl-xL phosphorylation and their subsequent inactivation (Davis, 2000; Fan et al., 2000). Whether CHOP or JNK mediated, cell death induced by ER stressors has been widely shown to occur through the mitochondrial pathway of apoptosis. In the mitochondrial pathway, the loss of MMP due to mitochondrial outer membrane permeabilization represents an important event that is controlled by the Bcl-2 proteins. The Bcl-2 family comprises both proapoptotic members, including BH3-only proteins and the multidomain effector proteins Bak and Bax, as well as antiapoptotic members (such as Bcl-2 and Bcl-xL). BH3-only proteins trigger Bak/Bax activation. Once activated, Bak and Bax can initiate a pore in the outer mitochondrial membrane, resulting in the detachment of proteins like cytochrome *c* from the mitochondrial intermembrane space and their release into the cytosol (Heinicke et al., 2018; Pecot et al., 2016). Upon release, cytochrome *c* facilitates apoptosome formation, thus causing caspase activation and execution of apoptotic cell death (Zhan et al., 2015). Meanwhile, BH3-only proteins trigger cell death by neutralizing antiapoptotic Bcl-2 family members. In this investigation, we found that tan IIA (1, 5 or 10  $\mu$ M) reversed the alternations of Bcl-2 and Bax expressions induced by oA $\beta$ <sub>1-42</sub>, and substantially restored the ratio of Bcl-2/Bax in SH-SY5Y cells. Additionally, pretreatment with tan IIA ameliorated the oA $\beta$ <sub>1-42</sub>-induced increase in Bax level at the mitochondria. We also found that oA $\beta$ <sub>1-42</sub> caused the release of cytochrome *c* from the mitochondria to cytosol, an attributable process during induction of apoptosis. In contrast, tan IIA (1, 5 or 10  $\mu$ M) treatment strongly inhibited the release of cytochrome *c*. Accordingly, tan IIA (1, 5 or 10  $\mu$ M) blocked the expression of cleaved caspase-9 and cleaved caspase-3, as well as suppressed the activity of caspase-3/7. Furthermore, tan IIA (1, 5 or 10  $\mu$ M) pretreatment significantly increased MMP and ATP content. Collectively, these results revealed that tan IIA is able to prevent ER stress induced apoptosis via mitochondria-mediated intrinsic pathway.

A number of studies have shown an upregulation of ER stress markers in AD models, and many groups have reported upregulation of the ER stress response in post-mortem human AD brains (Barbero-Camps et al., 2014; Ma et al., 2013; Mouton Liger et al., 2012; Yoon et al., 2012). From the point of view of Ca<sup>2+</sup> homeostasis anomalies or protein misfolding in AD, ER stress could be regarded as a plausible mechanism leading to cell injury. Danshen and its active components, such as tan IIA have been used in clinical practice as drugs with efficient blood-brain barrier penetration (Yu et al., 2011), and thus, may attenuate the development of cognitive impairment by suppressing ER stress induced apoptosis.

In summary, we demonstrated that tan IIA protects SH-SY5Y cells against oA $\beta$ <sub>1-42</sub>-induced apoptosis and the possible mechanisms. Our results showed that oA $\beta$ <sub>1-42</sub> decreased cell survival, induced occurrence of excess ER stress and apoptosis. However, these oA $\beta$ <sub>1-42</sub>-induced changes were significantly prevented by co-treatment with tan IIA through attenuating the expression of ER stress markers GRP78, eIF2 $\alpha$  and ATF6, modulating the CHOP and JNK signaling pathways, decreasing the expression of cytochrome *c*, cleaved caspase-9 and cleaved caspase-3, suppressing the activity of caspase-3/7, increasing the ratio of Bcl-2/Bax, MMP and ATP content. In the present study, we have used only an *in vitro* model. Therefore, the role of tan IIA on ER stress induced apoptosis needs to be further confirmed by using different models, such as in a different *in vitro* model (primary neurons) or *in vivo* model (APPsw/PS1dE9 mice).

#### Conflict of interest statement

The authors declare that there are no conflicts of interest.

#### Acknowledgments

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#### Appendix A. Supplementary data

Supplementary material related to this article can be found, in the online version, at doi:<https://doi.org/10.1016/j.biocel.2018.12.011>.

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