



The relationship between phosphorylation status of focal adhesion kinases, molecular subtypes, tumour microenvironment and survival in patients with primary operable ductal breast cancer

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ARTICLE INFO

Keywords:

Focal adhesion kinase
Phosphorylation
Molecular subtypes
Tumour microenvironment
Breast cancer
Survival

ABSTRACT

Background: Despite advances in therapies to treat breast cancer, over 100,000 patients die in the UK of this disease per year, highlighting the need to develop effect predictive and prognostic markers for patients with primary operable ductal breast cancer. Therefore, the aim of the present study was to examine the relationship between membranous, cytoplasmic and nuclear expression of focal adhesion kinase (phosphorylated at Y 397, Y 861 and Y 925), molecular subtypes, tumour microenvironment and survival in patients with primary operable ductal breast cancer.

Methods: Four hundred and seventy-four patients presenting between 1995 and 1998 with primary operable ductal breast cancer were included in this study. Using tissue microarrays expression of membranous, cytoplasmic and nuclear tumour cell phosphorylation of FAK at Y³⁹⁷, Y⁸⁶¹ and Y⁹²⁵ was assessed, and associations with clinicopathological characteristics, tumour microenvironment and cancer-specific survival (CSS) were examined.

Results: No significant association was observed for ph-FAK Y⁸⁶¹ with survival at all sites. However, high expression of membranous ph-FAK Y³⁹⁷ was associated with increased tumour grade ($P < .001$), molecular subtypes ($P < .001$), increased tumour necrosis ($P < .001$), high Klintrup–Mäkinen grade ($P < .001$), increased CD138+ plasma cells ($P = .031$), endocrine therapy ($P = .001$) and poor cancer specific survival ($P = .040$). Similarly, high expression of nuclear ph-FAK Y³⁹⁷ was associated with decreased age ($P = .042$), increased CD138+ plasma cells ($P = .001$) and poor cancer specific survival ($P = .003$). Furthermore, high expression of cytoplasmic ph-FAK Y⁹²⁵ was associated with decreased tumour grade ($P < .001$), less involved lymph node ($P = .020$), molecular subtypes ($P < .001$), decreased tumour necrosis ($P < .001$), low Klintrup–Mäkinen grade ($P < .001$), decreased CD4+ T-cells ($P = .006$), decreased CD138+ plasma cells ($P = .034$), endocrine therapy ($P < .001$), chemotherapy ($P = .048$), and improved cancer specific survival ($P = .044$). On multivariate analysis, high expression of nuclear ph-FAK Y³⁹⁷ was independently associated with reduced cancer specific survival ($P = .017$).

Conclusion: The results of the present study show that membranous and nuclear ph-FAK Y³⁹⁷ and cytoplasmic ph-FAK Y⁹²⁵ were associated with prognosis in patients with primary operable ductal breast cancer. In addition, high expression of nuclear ph-FAK Y³⁹⁷ was an independent prognostic factor in patients with primary operable ductal breast cancer and could be incorporated into clinical practice.

1. Introduction

Breast cancer is a heterogeneous disease and is the most common

cancer in females worldwide. In the UK, breast cancer is the second most common cancer representing 30% of all female cancers. Despite the routine use of hormone receptor status [1], TNM staging system [2],

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<https://doi.org/10.1016/j.cellsig.2019.04.006>

Received 14 February 2019; Received in revised form 1 April 2019; Accepted 10 April 2019

Available online 11 April 2019

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and the recent introduction of molecular subtypes to identify likely outcomes and plan treatment, it is clear such staging approaches are suboptimal and require refinement.

Recently, there has been an increasing interest in studying the tumour microenvironment and how it affects disease outcome. Indeed, it is clear that the interaction between the tumour and its microenvironment are crucial for long-term survival. In breast cancer, many studies have highlighted the association between components of the tumour microenvironment and patient survival [3–5]. In particular, some phenotypic features such as the local inflammatory infiltrate and stromal infiltrate have recently been reported to have independent prognostic value in patients with primary operable ductal breast cancer [6–8]. Therefore, there is now increasing interest in the signalling transduction pathways that may be important in regulating such important phenotypic features.

Focal adhesion kinase (FAK) is a cytoplasmic non-receptor protein tyrosine kinase that plays a critical role in cell motility and undergoes intracellular activation by multiple factors, such as Src Kinase, phospholipase and growth-factor-receptor-bound protein-7 [9]. In human cancers, increased tumour expression of FAK has been shown in several cancer types including lung, cervical and colon cancer when compared to normal tissue [10–12]. Phosphorylation of FAK at Y³⁹⁷ although not a measure of full activation itself, is a surrogate measure of activation and is associated with enhanced tumour growth, migration, invasion, adhesion and spreading, as well as tumour angiogenesis through regulation of both cancer cells and their microenvironment [13–15]. In two studies of > 1800 patients with breast cancer, total FAK expression was significantly associated with neoangiogenesis and reduced cancer specific survival [16,17]. Indeed, it has been reported that nuclear FAK regulates the anti-tumour immune response [18]. Moreover, auto-phosphorylation of FAK at Y³⁹⁷ allows binding of Src Kinase or other substrates such as ERK and PI3K to promote tumorigenesis [15,19]. Once auto-phosphorylated, phosphorylation of FAK Y⁸⁶¹ can occur via Src [20] and phosphorylation of FAK Y⁹²⁵ can occur via ERK [21] to mediate distinct downstream effects.

In the last decade, a number of studies have documented the role of total FAK in many types of cancer including breast cancer [10–12]. However, there is less information about the role of phosphorylated form at each site (membranous, cytoplasmic and nuclear). Therefore, it was of interest to examine in more detail expression of ph-FAK Y³⁹⁷ (auto-phosphorylation) and ph-FAK Y⁸⁶¹ (Src dependent phosphorylation) at different sites and highlight the relationship of each phosphorylation site individually with clinicopathological characteristic to provide novelty to our work.

Therefore, the aim of the present study was to examine relationship between phosphorylated membrane, cytoplasmic and nuclear FAK at Y³⁹⁷ (ph-FAK Y³⁹⁷), Y⁸⁶¹ (ph-FAK Y⁸⁶¹), and Y⁹²⁵ (ph-FAK Y⁹²⁵), molecular subtypes, the tumour microenvironment and cancer specific survival in patients with primary operable ductal breast cancer.

2. Patients and methods

2.1. Patient cohort

Patients presenting with primary operable ductal breast cancer at Glasgow Royal and Western Infirmary and Stobhill Hospital, in the West of Scotland, between 1995 and 1998 that had formalin-fixed paraffin embedded blocks of the primary tumour available for evaluation were studied ($n = 474$). The tissue was obtained from the Glasgow Biorepository and was approved by the Research Ethics Committee of the West Glasgow University Hospitals NHS Trust (REC reference 07/s0704/61). Individual patient consent was not required for this study.

2.2. Clinical and pathological characteristics

Clinicopathological data included age, tumour size, tumour grade,

lymph node status, type of surgery, and type of adjuvant therapy (chemotherapy, hormonal therapy and/or radiotherapy) was retrieved from the routine reports. Tumour grade was assigned according to Nottingham Grading System. Specific information on DCIS and on the resection margin status in this patient cohort was not available. ER and PR status were assessed on tissue microarrays (TMAs) using immunohistochemistry (IHC) and scored according to the American Society of Clinical Oncology and College of American Pathologists guidelines with cut-off value of 1% positive tumour nuclei [22]. HER-2 status were assessed visually using TMA sections as previously described i.e. a score 3+ is regarded as positive; 2+ is regarded as equivocal, leading to referral for HER-2 FISH; and 0 and 1+ are regarded as negative [23].

Proliferation index was assessed by Ki-67 IHC using established protocols in the Department of Pathology, Glasgow Royal Infirmary with appropriate positive and negative controls [24]. Blood (BVI) and lymph (LVI) vessel invasion were assessed, on 2.5 μ m thick sections, using IHC staining with the lymphatic endothelial marker D2–40 (SIG-3730, Covance, USA) diluted 1:100 and vascular endothelial marker Factor VIII (Leica, UK) diluted 1:100 as previously described [25]. Patients were routinely followed up after surgery. Date and cause of death was cross-checked with the cancer registration system and the Registrar General (Scotland). Death records were complete until 31st of May 2013 and that served as the censor date. Cancer-specific survival was measured from the date of primary surgery until the date of death from breast cancer.

2.3. Assessment of the tumour microenvironment

Full-section haematoxylin and eosin (H&E) slides taken at the deepest point of invasion were used to score local inflammatory infiltrate according to Klintrup Mäkinen (KM) grade as previously described [6]. Briefly, the inflammatory cell infiltrate at the invasive margin was classified as either low-grade (no increase or mild/patchy increase in inflammatory cells) or high-grade (prominent inflammatory reaction forming a band at the invasive margin, or florid cup-like infiltrate). Individual immune cells type was assessed using IHC staining on TMA sections for helper and cytotoxic T-lymphocytes, macrophages and plasma cells using CD4+, CD8+, CD68+ and CD138+ staining as previously described [26]. Full-section H&E slides were also used to score the tumour stroma percentage (TSP) and tumour budding as previously reported [8,27]. Briefly, a single field was examined for TSP and graded as either low ($\leq 50\%$) or high ($> 50\%$) and 5 fields were examined for budding and the highest bud count per field was used.

2.4. Immunohistochemistry

Immunohistochemical expression of ph-FAK Y³⁹⁷, ph-FAK Y⁸⁶¹ and ph-FAK Y⁹²⁵ was carried out using previously constructed tissue microarrays as previously reported [23]. TMAs contained 0.6-mm cores with three cores per patient and were sectioned at 2.5 μ m thickness. TMA sections were dewaxed in histoclear before being rehydrated using graded alcohols. Antigen retrieval for ph-FAK Y³⁹⁷, ph-FAK Y⁸⁶¹ and ph-FAK Y⁹²⁵ was performed using Tris-EDTA buffer (pH 9) at 96 °C for 20 min before cooling. Endogenous peroxidase activity was blocked using 3% hydrogen peroxide for 15 min for ph-FAK Y³⁹⁷; 20 min for ph-FAK Y⁸⁶¹ and 10 min for ph-FAK Y⁹²⁵. Normal horse serum was applied for 60 min at 25 °C as a blocking solution. TMA sections were then incubated for 60 min at 25 °C with primary antibody for ph-FAK Y³⁹⁷ (ab39967, Abcam, UK) and ph-FAK Y⁸⁶¹ (44-626G, Invitrogen, UK) at a concentration of 1:200. For ph-FAK Y⁹²⁵, TMA sections were incubated with primary antibody (PA5-21148, SAB, UK) overnight at 4 °C at a 1:6000 dilution. Envision (Dako) secondary antibody was added for 30 min at room temperature. Proteins were visualized using DAB substrate then counterstained in haematoxylin and blued with Scotts' tap water before being dehydrated through a series of graded alcohols.

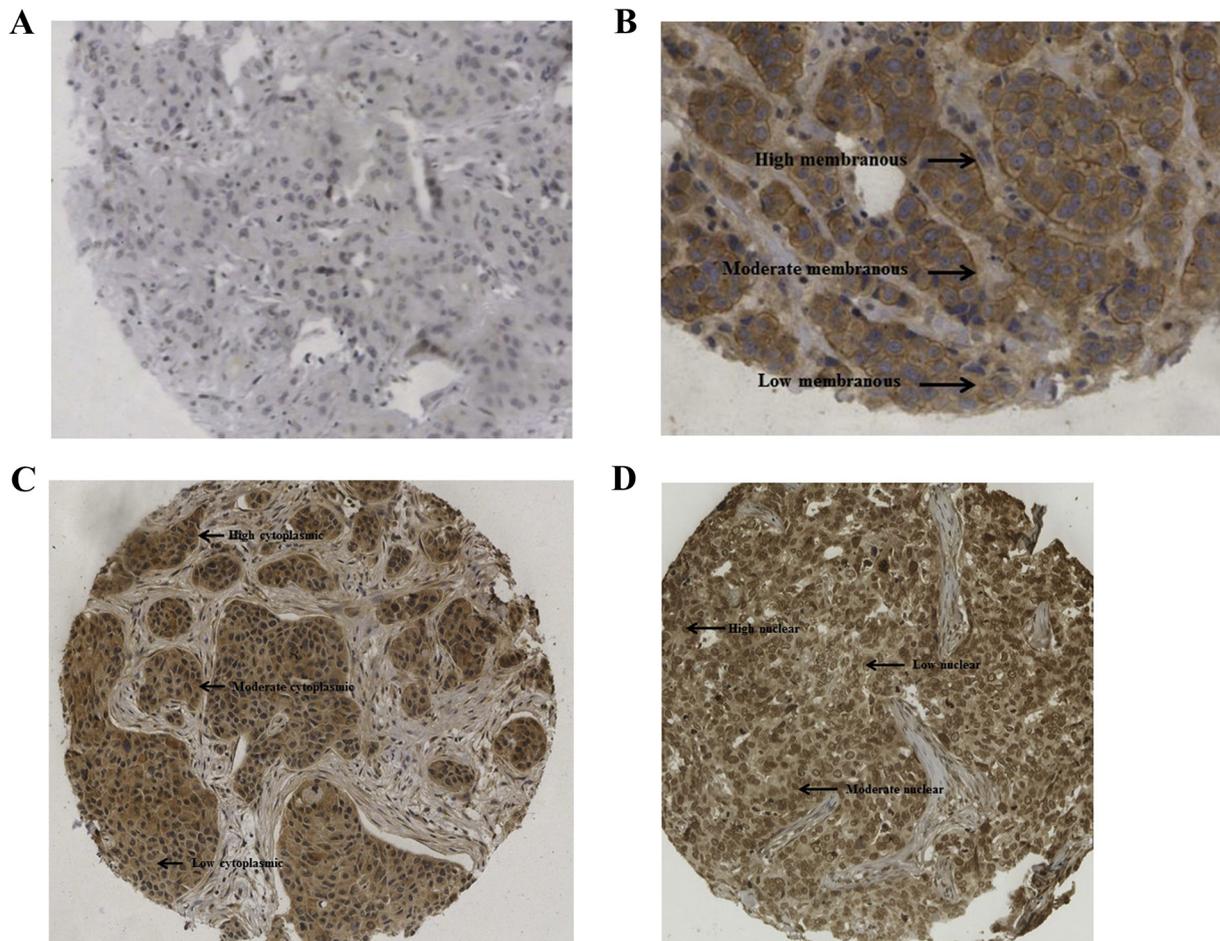


Fig. 1. (A) No expression. (B) High, moderate and low membranous expression. (C) High, moderate and low cytoplasmic expression. (D) High, moderate and low nuclear expression.

Cover slips were applied using distrene, plasticizer, xylene (DPX).

2.5. Scoring method

Stained TMA sections were scanned using a Hamamatsu NanoZoomer (Welwyn Garden City, Hertfordshire, UK) at x20 magnification and visualized using Slidepath Digital Image Hub, version 4.0.1 (Leica Biosystems, UK). Assessment of tumour-specific membrane, cytoplasmic and nuclear ph-FAK Y³⁹⁷, ph-FAK Y⁸⁶¹ and ph-FAK Y⁹²⁵ expression was performed by two examiners (NMA and FJG) blinded to clinical data at x20 magnification (total magnification x400) using the weighted histoscore. The weighted histoscore provides an assessment of the percentage and density of staining and is calculated as follows: 0 × % not stained + 1 × % weakly stained + 2 × % moderately stained + 3 × % strongly stained as shown in Fig. 1A–D. This gives a range of scores from 0 to 300. To ensure reproducibility, 20% of tumours were co-scored by a second investigator; the intra-class correlation coefficient for all markers was > 0.70.

2.6. Statistical analysis

Patients were split into low and high expression using the median for all phosphorylation sites. Survival analysis was examined using Kaplan-Meier curves and the log-rank test. The relationships between FAK phosphorylation, molecular subtypes, and the tumour micro-environment were examined using the Chi-square test for linear trend. Univariate survival analysis was performed using Cox regression survival analysis to assess hazard ratios and 95% confidence intervals.

Multivariate survival analysis was performed using cox regression survival analysis using a backwards conditional method assessed independent prognostic factors along with common clinical and pathological factors. A *P*-value < .05 was considered statistically significant and the study conformed to the remark criteria. All analysis was performed using SPSS version 24.0 (IBM SPSS IL, USA).

3. Results

The majority of patients were older than 50 years (71%), had tumours size ≤ 2 cm (58%), had grade II carcinoma (41%), no axillary lymph node involvement (53%), and had low Klintrup–Mäkinen grade (73%).

The correlation between tumour-specific ph-FAK Y³⁹⁷, ph-FAK Y⁸⁶¹, and ph-FAK Y⁹²⁵ expression in patients with primary operable ductal breast cancer is presented in Table 1. Membranous ph-FAK Y³⁹⁷ expression correlated with cytoplasmic ph-FAK Y³⁹⁷ expression (*P* < .001), nuclear ph-FAK Y³⁹⁷ expression (*P* = .001), membranous ph-FAK Y⁸⁶¹ expression (*P* < .001), cytoplasmic ph-FAK Y⁸⁶¹ expression (*P* < .001), nuclear ph-FAK Y⁸⁶¹ expression (*P* = .002), and membranous ph-FAK Y⁹²⁵ expression (*P* < .001). Cytoplasmic ph-FAK Y³⁹⁷ expression correlated with nuclear ph-FAK Y³⁹⁷ expression (*P* < .001), membranous ph-FAK Y⁸⁶¹ expression (*P* = .002), cytoplasmic ph-FAK Y⁸⁶¹ expression (*P* < .001), and nuclear ph-FAK Y⁸⁶¹ expression (*P* < .001). Nuclear ph-FAK Y³⁹⁷ expression correlated with cytoplasmic ph-FAK Y⁸⁶¹ expression (*P* = .002), nuclear ph-FAK Y⁸⁶¹ expression (*P* < .001), membranous ph-FAK Y⁹²⁵ expression (*P* = .003), and nuclear ph-FAK Y⁹²⁵ expression (*P* = .019).

Table 1
The relationship between tumour ph-FAK Y³⁹⁷, ph-FAK Y⁸⁶¹, and ph-FAK Y⁹²⁵ expression in patients with primary operable ductal breast cancer.

	Ph-FAK Y ³⁹⁷ cytoplasmic expression	Ph-FAK Y ³⁹⁷ nuclear expression	Ph-FAK Y ⁸⁶¹ cytoplasmic expression	Ph-FAK Y ⁸⁶¹ membranous expression	Ph-FAK Y ⁸⁶¹ nuclear expression	Ph-FAK Y ⁹²⁵ cytoplasmic expression	Ph-FAK Y ⁹²⁵ membranous expression	Ph-FAK Y ⁹²⁵ nuclear expression
Ph-FAK Y ³⁹⁷ membranous expression	0.513**	0.156**	0.308**	0.337**	0.152**	0.232**	0.126	-0.007
Ph-FAK Y ³⁹⁷ cytoplasmic expression	< 0.001	0.001	< 0.001	< 0.001	0.002	< 0.001	0.126	0.883
Ph-FAK Y ³⁹⁷ nuclear expression	0.249**	0.157**	0.404**	0.157**	0.215**	0.098	-0.014	0.037
Ph-FAK Y ⁸⁶¹ cytoplasmic expression	< 0.001	< 0.001	< 0.001	0.002	< 0.001	0.051	0.781	0.459
Ph-FAK Y ⁸⁶¹ membranous expression	0.344	0.047	0.151**	0.047	0.439**	0.149**	0.005	0.118*
Ph-FAK Y ⁸⁶¹ nuclear expression		0.344	0.002	0.002	< 0.001	0.003	0.914	0.019
Ph-FAK Y ⁹²⁵ cytoplasmic expression			0.392**		0.163**	0.249**	0.055	-0.043
Ph-FAK Y ⁹²⁵ membranous expression			< 0.001		0.001	< 0.001	0.271	0.386
Ph-FAK Y ⁹²⁵ nuclear expression					0.380**	0.076	0.051	-0.080
Ph-FAK Y ⁸⁶¹ nuclear expression					< 0.001	0.127	0.305	0.108
Ph-FAK Y ⁸⁶¹ membranous expression						0.092	0.075	0.075
Ph-FAK Y ⁹²⁵ cytoplasmic expression						0.069	0.136	0.136
Ph-FAK Y ⁹²⁵ membranous expression						-0.036	0.827	0.010
Ph-FAK Y ⁹²⁵ nuclear expression						0.448	0.827	0.158**
								0.001

** Correlation is significant at the 0.01 level (2-tailed).

* Correlation is significant at the 0.05 level (2-tailed).

Membranous ph-FAK Y⁸⁶¹ expression correlated with cytoplasmic ph-FAK Y⁸⁶¹ expression ($P < .001$), nuclear ph-FAK Y⁸⁶¹ expression ($P = .001$), and membranous ph-FAK Y⁹²⁵ expression ($P < .001$). Cytoplasmic ph-FAK Y⁸⁶¹ expression correlated with nuclear ph-FAK Y⁸⁶¹ expression ($P < .001$). Nuclear ph-FAK Y⁸⁶¹ expression correlated with membranous ph-FAK Y⁹²⁵ expression ($P = .001$). Cytoplasmic ph-FAK Y⁹²⁵ expression correlated with nuclear ph-FAK Y⁹²⁵ expression ($P = .001$). When assessing the correlation coefficients (CC), correlations between cytoplasmic ph-FAK Y³⁹⁷ and both membranous ph-FAK Y³⁹⁷ expression (CC = 0.513) and cytoplasmic ph-FAK Y⁸⁶¹ (CC = 0.404), and between nuclear ph-FAK Y³⁹⁷ and nuclear ph-FAK Y⁸⁶¹ (CC = 0.439) were considered as significant (CC > 0.4).

The median follow-up for alive patients ($n = 275$) was 150 months with 96 cancer-specific deaths and 90 non-cancer deaths. The relationship between tumour-specific ph-FAK Y³⁹⁷, ph-FAK Y⁸⁶¹, ph-FAK Y⁹²⁵ expression and cancer specific survival (CSS) in the full cohort was examined as shown in Table 2 ($n = 474$). High expression of membranous ph-FAK Y³⁹⁷ was associated with reduced CSS ($P = .040$) (Fig. 2A) with 10-year survival reduced from 80% (low expression) to 71% (high expression). Similarly, high expression of nuclear ph-FAK Y³⁹⁷ was associated with reduced CSS ($P = .003$) (Fig. 2B) with 10-year survival reduced from 84% (low expression) to 72% (high expression). However, high expression of cytoplasmic ph-FAK Y⁹²⁵ was associated with increased CSS ($P = .044$) (Fig. 2C) with 10-year survival increased from 75% (low expression) to 83% (high expression). No other phosphorylation sites at any cellular location were associated with CSS (Fig. 3).

The relationship between tumour-specific phosphorylation, molecular subtypes, and the tumour microenvironment was assessed. High expression of membranous ph-FAK Y³⁹⁷ ($n = 419$; Table 3) was significantly associated with increased tumour grade ($P < .001$), molecular subtypes ($P < .001$), increased tumour necrosis ($P < .001$), high Klintrup-Mäkinen grade ($P < .001$), increased CD138+ plasma cells ($P = .031$), and endocrine therapy ($P = .001$). Whereas, high expression of nuclear ph-FAK Y³⁹⁷ ($n = 419$, Table 4) was associated with decreased age ($P = .042$), and increased CD138+ plasma cells ($P = .001$). However, high expression of cytoplasmic ph-FAK Y⁹²⁵ ($n = 443$; Table 5) was significantly associated with decreased tumour grade ($P < .001$), no involved lymph node ($P = .020$), molecular subtypes ($P < .001$), decreased tumour necrosis ($P < .001$), low Klintrup-Mäkinen grade ($P < .001$), decreased CD4+ T-cells, decreased CD138+ plasma cells ($P = .034$), endocrine therapy ($P < .001$), and chemotherapy ($P = .048$).

The relationship between FAK phosphorylation, clinicopathological characteristics and CSS is presented in Table 6 ($n = 474$). In univariate analysis, tumour size ($P < .001$), tumour grade ($P < .001$), involved lymph node ($P < .001$), molecular subtypes ($P < .001$), tumour necrosis ($P < .001$), tumour budding ($P < .001$), tumour stroma percentage ($P < .001$), Klintrup-Mäkinen grade ($P = .095$), CD8+ T-cells ($P = .004$), CD138+ plasma cells ($P = .003$), blood vessel invasion ($P < .001$), lymph vessel invasion ($P < .001$), membranous ph-FAK Y³⁹⁷ ($P = .042$), nuclear ph-FAK Y³⁹⁷ ($P = .003$), and cytoplasmic ph-FAK Y⁹²⁵ ($P = .046$) were associated with CSS. On multivariate analysis, involved lymph node ($P = .019$), molecular subtypes ($P = .009$), tumour necrosis ($P < .001$), tumour budding ($P = .005$), tumour stroma percentage ($P = .007$), CD8+ T-cells ($P \leq .001$), CD138+ plasma cells ($P = .019$), blood vessel invasion ($P = .002$), lymph vessel invasion ($P = .023$), and nuclear ph-FAK Y³⁹⁷ ($P = .017$) were independent prognostic factors.

4. Discussion

The present study examined the level of phosphorylation of FAK at (tyrosine residue) Y³⁹⁷, Y⁸⁶¹, and Y⁹²⁵ and assessed their relationship with clinicopathological characteristics, the tumour microenvironment and survival in patients with primary operable ductal breast cancer.

Table 2
The relationship between FAKs phosphorylation and survival in patients with primary operable ductal breast cancer (n = 474).

	Membrane			Cytoplasmic			Nuclear		
	No	10 years. CCS	P-value	No	10 years. CCS	P-value	No	10 years. CCS	P-value
ph-FAK Y ³⁹⁷									
Low expression	322	80%	.040	213	77%	.448	206	84%	.003
High expression	97	71%		206	79%		12	72%	
ph-FAK Y ⁸⁶¹									
Low expression	323	80%	.151	214	80%	.623	208	81%	.255
High expression	103	71%		212	76%		208	74%	
ph-FAK Y ⁹²⁵									
Low expression	359	81%	.139	239	75%	.044	415	78%	.109
High expression	85	70%		204	83%		29	93%	

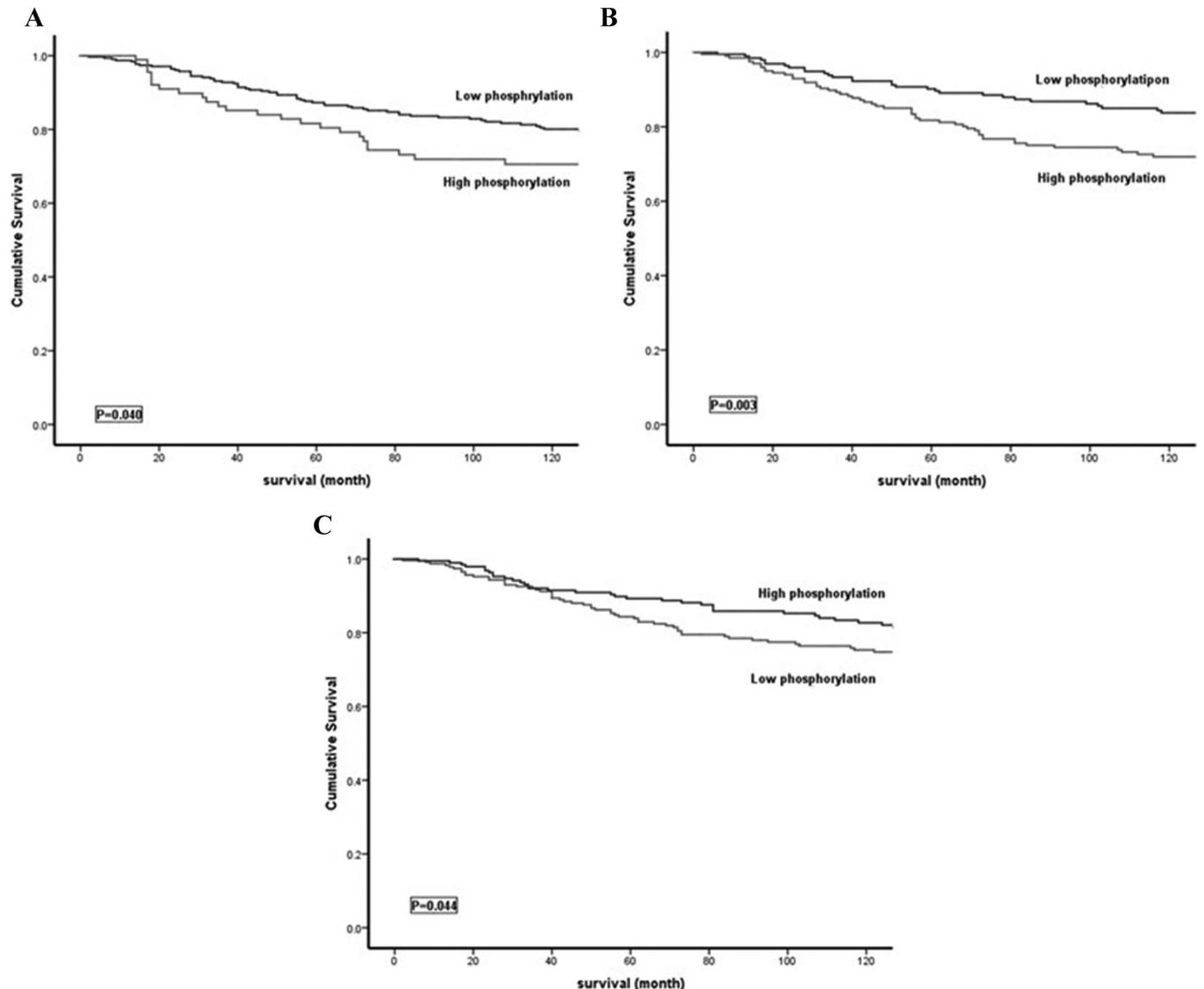


Fig. 2. The association between FAK phosphorylation and cancer specific survival in patients with primary operable ductal breast cancer. (A) Kaplan-Meier survival curve showing association between cancer specific survival and membranous ph-FAK Y³⁹⁷. (B) Kaplan-Meier survival curve showing association between cancer specific survival and nuclear ph-FAK Y³⁹⁷. (C) Kaplan-Meier survival curve showing association between cancer specific survival and cytoplasmic ph-FAK Y⁹²⁵.

The study showed a significant correlation between membranous, cytoplasmic and nuclear ph-FAK Y³⁹⁷, membranous, cytoplasmic and nuclear ph-FAK Y⁸⁶¹, and membranous ph-FAK Y⁹²⁵, suggesting these sites may work together to regulated downstream pathways in these

patients. Furthermore, membrane and nuclear ph-FAK Y³⁹⁷ associated with decreased survival whereas nuclear ph-FAK Y⁹²⁵ associated with improved survival. This suggests that FAK can differentially effect survival depending on the site of phosphorylation and highlights that

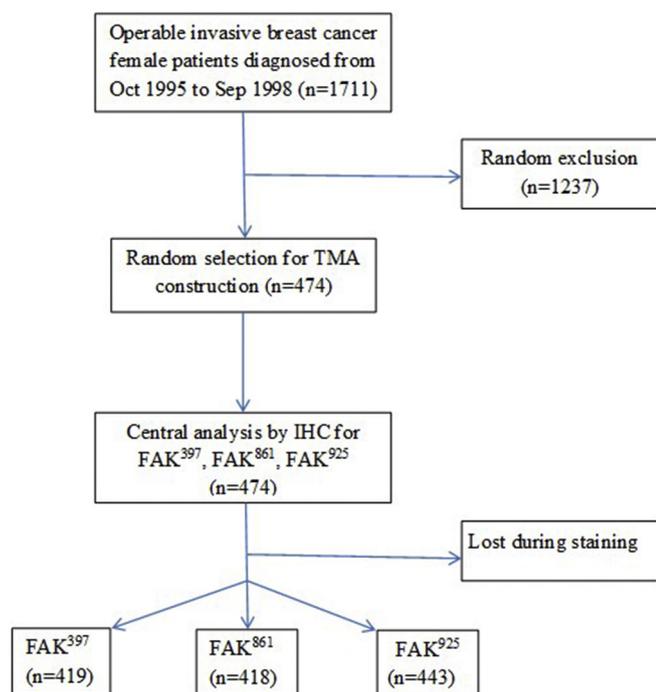


Fig. 3. Flow chart showing patient inclusion and exclusion criteria for study.

each site needs to be investigated individually in patients with primary operable ductal breast cancer.

It has previously been shown that phosphorylation of FAK at tyrosine residue 861 (Y⁸⁶¹) is reliant on the auto phosphorylation of FAK at Y³⁹⁷. Indeed, it has been reported that the auto-phosphorylation of FAK at Y 397 allows a conformational change that unmask the binding site for Src kinase which, in turn, facilitates further phosphorylation of FAK at Y 861 [9]. Similarly, this auto phosphorylation may also lead to the unmasking of the Y⁹²⁵ site allowing phosphorylation at this site by ERK. To confirm this, it would be of interest to examine the association between ph-FAK Y³⁹⁷, ph-FAK Y⁸⁶¹, and FAK Y⁹²⁵.

In the present study, a correlation was observed from the scatter plots between cytoplasmic ph-FAK Y397 and both membranous ph-FAK Y397 expression (CC = 0.513) and cytoplasmic ph-FAK Y861 (CC = 0.404), and between nuclear ph-FAK Y397 and nuclear ph-FAK

Y861 (CC = 0.439). A correlation coefficient (CC) between (0.40–0.59) may be considered to have a moderate correlation as reported in the bmj (Correlation and regression) [28].

In the current study, it was observed that high expression of membrane and nuclear ph-FAK Y³⁹⁷ were associated with outcome in early stage breast cancer. In addition, high expression of membrane ph-FAK Y³⁹⁷ was associated with increased tumour grade, molecular subtypes, increased tumour necrosis, low Klintrup–Mäkinen grade, increased CD138+ plasma cells, and endocrine therapy, suggesting it is associated with aggressive disease. In addition, high expression of nuclear ph-FAK Y³⁹⁷ was associated with decreased age and increased CD138+ plasma cells. Recently, Dwyer and co-workers have confirmed a role for FAK in NF-κB signalling and is associated with severe drop in the immune response, suggesting that auto-phosphorylation of FAK at Y397 can potentially modulate inflammation within the tumour micro-environment in patients with primary operable ductal breast cancer [29,30]. Based on the previous information, this may suggest that both canonical and non-canonical pathways are activated and this activation was significantly associated with inflammatory characteristics. However further work is required to substantiate this hypothesis.

In the present study, there was a significant association between membranous and nuclear ph-FAKY397 which may suggest that FAK moves to the nucleus once activated at this site to modulate the pro-tumorigenic inflammatory response. Interestingly, Lachowski and co-workers suggested that Yes-associated protein (YAP) translocates to the nucleus and become active under control of FAK [31]. Therefore, inhibiting phosphorylation at this site may improve survival in patients with primary operable ductal breast cancer. However further work is required to substantiate this hypothesis.

Compared with low, high phosphorylation of nuclear ph-FAK Y³⁹⁷ was significantly associated with poorer cancer specific survival. That may be due to nuclear ph-FAK Y³⁹⁷ promoting P53 degradation in the nucleus [32]. Therefore, overexpression of FAK in human tumour cells might contribute to malignancy by promoting survival under conditions that would normally lead to cell death. Indeed, Golubovskaya and co-workers have reported in a cell line study that inhibition FAK phosphorylation at Y³⁹⁷ and Y⁵⁷⁷ has been shown to have synergistic effects with inhibition of EGF-receptor signalling, leading to apoptosis in breast cancer cells [33,34]. More recently, it was reported that in 600 patients with breast cancer, the FAK promoter region contains p53 binding sites, and that p53 inhibits FAK transcription and regulates its expression in tumour samples. Furthermore, it was shown that FAK

Table 3

The relationship between membranous ph-FAK Y³⁹⁷ expression, molecular subtypes, tumour microenvironment and survival in patients with primary operable ductal breast cancer (n = 419).

All patients (n = 419)	Patients	Low phosphorylation (n = 322)	High phosphorylation (n = 97)	P-value
Age ≤ 50/ > 50 years/ missed	128/291/0	99/223	29/68	.874
Tumour size (≤2, 2.1–5, > 5) / missed	240/168/11/0	196/115/11	44/53/0	.057
Tumour grade (I/II/III)/missed	75/164/180/0	67/133/122	8/31/58	< .001
Involved lymph node (negative/positive)/ missed	223/191/5	170/147	53/44	.861
Molecular subtypes (A, B, TN, HER-2)/ missed	194/102/73/30/12	161/80/49/13	33/22/24/17	< .001
Tumour necrosis (low/high)/missed	188/231/0	164/158	24/73	< .001
Tumour budding (low/high)/missed	268/151/0	205/117	63/34	.818
Tumour stroma percentage (low/high)/ missed	277/142/0	211/111	66/31	.647
Klintrup–Mäkinen grade (low/high)/ missed	298/121/0	244/78	54/43	< .001
CD4+ (low/moderate/high)/missed	177/86/148/8	136/67/112	41/19/36	.816
CD8+ (low/moderate/high)/missed	126/141/144/8	96/114/105	30/27/39	.490
CD68+ (low/moderate/high)/missed	118/145/147/9	85/114/116	33/31/31	.203
CD138+ (low/moderate/high)/missed	220/55/135/9	175/47/93	45/8/42	.031
Blood vessel invasion (yes/no)/ missed	368/51/0	284/38	84/13	.673
Lymph vessel invasion (no/yes)/ missed	280/139/0	217/105	63/34	.655
Endocrine therapy (no/yes)/missed	119/294/6	78/238	41/56	.001
Chemotherapy (no/yes)/missed	230/186/3	184/135	46/51	.076
Alive/cancer death/non cancer death/ missed	233/89/85/12	182/62/68	51/27/17	.866
Cancer specific survival (months) ^a / missed	419/0	152 (146–158)	138 (125–151)	.040

^a Means 95% CI.

Table 4

The relationship between nuclear ph-FAK Y³⁹⁷ expression, molecular subtypes, tumour microenvironment and survival in patients with primary operable ductal breast cancer (n = 419).

All patients (n = 419)	Patients	Low phosphorylation (n = 206)	High phosphorylation (n = 213)	P-value
Age (≤50/ > 50 years)/ missed	127/291/1	53/153	74/138	.042
Tumour size (≤2, 2.1–5, > 5)/missed	231/164/11/13	112\86\8	127\82\3	.136
Tumour grade (I/ II/ III)/ missed	75/164/179/1	33/89/84	42/75/95	.973
Involved lymph node (negative/positive)/ missed	223/190/6	111/93	112/97	.867
Molecular subtypes (A, B, TN, HER-2)/ missed	194/102/72/30/21	98/51/31/15	96/51/41/15	.495
Tumour necrosis (low/high)/missed	188/230/1	98/108	90/122	.293
Tumour budding (low/high)/missed	267/151/1	136/70	131/81	.369
Tumour stroma percentage (low/high)/ missed	277/141/1	143/63	134/78	.180
Klintrup–Mäkinen grade (low/high)/ missed	298/120/1	154/52	144/68	.123
CD4+ (low/moderate/high)/missed	176/86/148/9	85/52/64	91/34/84	.418
CD8+ (low/moderate/high)/missed	125/141/144/9	57/70/74	68/71/70	.348
CD68+ (low/moderate/high)/missed	117/145/147/10	58/67/76	59/78/71	.688
CD138+ (low/moderate/high)/missed	219/55/135/10	119/34/48	100/21/87	.001
Blood vessel invasion (yes/no)/missed	367/51/1	181/25	186/26	.968
Lymph vessel invasion (no/yes)/missed	279/139/1	135/71	144/68	.604
Endocrine therapy (no/yes)/missed	118/294/7	55/147	63/147	.534
Chemotherapy (no/yes)/ missed	230/185/4	113/90	117/95	.922
Alive/cancer death/non cancer death/ missed	232/89/85/13	126/32/39	106/57/46	.356
Cancer specific survival (months) ^a /missed	419/0	157 (150–164)	141 (133–149)	.003

^a Means 95% CI.

overexpression and p53 mutations are directly associated [35]. Therefore, from the present work, it is suggested that ph-FAK Y³⁹⁷ would present a rational therapeutic target in patients with primary operable ductal breast cancer, which is of particular interest now with many inhibitors entering clinical trials [36].

The results of the present study also show that high expression of cytoplasmic ph-FAK Y⁹²⁵ was significantly associated with decreased tumour grade, molecular subtypes, no involved lymph node, decreased tumour necrosis, low Klintrup–Mäkinen grade, decreased CD138 + plasma cells, endocrine therapy, chemotherapy, and better cancer specific survival. This suggests that cytoplasmic ph-FAK Y⁹²⁵ is not regulating the same downstream pathways as ph-FAK Y³⁹⁷. This is not surprising as this site resides in the C terminal domain and provides binding sites for SH2 (Src homology-2) domain-containing proteins, whereas Y³⁹⁷ resides in the kinase domain [37–39]. Furthermore, this site is activated by ERK signalling pathway, which has previously been shown to be associated with improved survival and decreased inflammation suggesting that FAK can differentially regulate the inflammatory response depending on the sites phosphorylated [40–42].

The main limitation of the present study was that although there was extensive characterization of tumour and host characteristics these observations were cross-sectional in nature. Therefore, the associations observed may not be causal in effect. In addition, although there was 10-year follow-up of the patients, contemporary management of ductal breast cancer has changed over this period. Nevertheless, the present results provide important information pertaining to the importance of the FAK signal transduction pathways in the development of aggressive phenotypic characteristics in patients with primary operable ductal breast cancer.

In summary, the results of the present study showed that membranous and nuclear ph-FAK Y³⁹⁷, and cytoplasmic ph-FAK Y⁹²⁵ were differentially associated with clinicopathological characteristic and survival but only nuclear ph-FAK Y³⁹⁷ was an independently prognostic factor in patients with primary operable ductal breast cancer. Therefore, nuclear ph-FAK Y³⁹⁷ could be a potential target in treatment of patients with primary operable ductal breast cancer. Furthermore, the results suggest it is important to know which site is phosphorylated and not just to look at a surrogate of FAK activation when assessing

Table 5

The relationship between cytoplasmic FAK Y⁹²⁵ expression, molecular subtypes, tumour microenvironment and survival in patients with primary operable ductal breast cancer (n = 443).

All patients (n = 443)	Patients	Low phosphorylation (n = 239)	High phosphorylation (n = 204)	P-value
Age (≤50/ > 50 years)/ missed	126/317/0	68/171	58\146	.996
Tumour size (≤2, 2.1–5, > 5)/ missed	258/172/13/0	134/98/7	124/74/6	.373
Tumour grade (I/ II/ III)/ missed	85/180/178/0	37/83/119	48/97/59	< .001
Involved lymph node (negative/positive)/ missed	235/203/5	114/121	121/82	.020
Molecular subtypes (A,B, TN, HER-2)/ missed	213/109/71/28/22	101/48/57/20	112/61/14/8	< .001
Tumour necrosis (low/high)/ missed	209/234/0	91/148	118/86	< .001
Tumour budding (low/high)/ missed	287/156/0	160/79	127/77	.303
Tumour stroma percentage (low/high)/ missed	295/148/0	161/78	134/70	.709
Klintrup–Mäkinen grade (low/high)/ missed	322/121/0	155/84	176/37	< .001
CD4+ (low/moderate/high)/ missed	195/89/151/8	93/44/95	102/45/56	.006
CD8+ (low/moderate/high)/ missed	139/144/152/8	71/72/89	68/72/63	.194
CD68+ (low/moderate/high)/ missed	131/150/152/10	72/76/82	59/74/70	.891
CD138+ (low/moderate/high)/ missed	237/55/141/10	115/31/84	122/24/57	.034
Blood vessel invasion (yes/no)/ missed	390/53/0	208/31	182/22	.824
Lymph vessel invasion (no/yes)/ missed	302/141/0	163/76	139/65	.989
Endocrine therapy (no/yes)/ missed	117/318/8	85/150	32/168	< .001
Chemotherapy (no/yes)/ missed	250/190/3	125/113	125/77	.048
Alive/cancer death/non cancer death/ missed	251/93/86/13	128/59/46	123/34/40	.590
Cancer specific survival (months) ^a /missed	443/0	145(138–153)	156 (149–163)	.044

^a Means 95% CI.

Table 6

Multivariate analysis of FAK phosphorylation, clinicopathological characteristics and cancer specific survival in patients with primary operable ductal breast cancer (*n* = 474).

All patients (<i>n</i> = 474)	Univariate analysis		Multivariate analysis	
	Hazard ratio (95% CI)	P-value	Hazard ratio (95% CI)	P-value
Age (≤50/ > 50 years)	1.22 (0.77–1.91)	.397		
Tumour size (≤2, 2.1–5, > 5)	2.11 (1.49–2.97)	< .001		.108
Tumour grade (I/ II/ III)	1.87 (1.38–2.53)	< .001		.458
Involved lymph node (negative/positive)	2.76 (1.80–4.23)	< .001	1.85 (1.11–3.10)	.019
Molecular subtypes (A, B, TN, HER-2)	1.49 (1.23–1.80)	< .001	1.35 (1.08–1.69)	.009
Tumour necrosis (low/high)	4.38 (2.65–8.24)	< .001	3.34 (1.77–2.30)	< .001
Tumour budding (low/high)	2.53 (1.69–3.79)	< .001	2.00 (1.23–3.24)	.005
Tumour-stroma percentage (low/high)	2.19 (1.46–3.27)	< .001	1.86 (1.18–2.92)	.007
Klintrup–Mäkinen grade (low/high)	1.44 (0.94–2.19)	.095		.789
CD4+ (low/moderate/high)	0.96 (0.80–1.25)	.983		
CD8+ (low/moderate/high)	0.70 (0.54–0.89)	.004	0.55 (0.40–0.74)	< .001
CD68+ (low/moderate/high)	0.86 (0.67–1.10)	.222		
CD138+ (low/moderate/high)	1.38 (1.11–1.72)	.003	1.37 (1.05–1.79)	.019
Blood vessel invasion (no/yes)	3.39 (2.14–5.39)	< .001	2.28 (1.35–3.86)	.002
Lymph vessel invasion (no/yes)	4.14 (2.75–6.25)	< .001	1.85 (1.09–3.15)	.023
Membranous Ph-FAK Y ³⁹⁷	1.60 (1.02–2.51)	.042		.495
Nuclear Ph-FAK Y ³⁹⁷	1.92 (1.25–2.97)	.003	1.76 (1.11–2.81)	.017
Cytoplasmic Ph-FAK Y ⁹²⁵	0.65 (0.43–0.99)	.046		.281

Different IHC staining for FAK expression at different localisations.

patient survival.

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