

# $\alpha 7$ nicotinic receptor full agonist reverse basolateral amygdala hyperactivity and attenuation of dopaminergic neuron activity in rats exposed to chronic mild stress

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Received 2 May 2019; received in revised form 6 September 2019; accepted 19 September 2019

## KEYWORDS

$\alpha 7$  nicotinic receptor;  
Electrophysiology;  
Chronic mild stress;  
Basolateral amygdala;  
Ventral tegmental area;  
Dopamine

## Abstract

Neuroimaging and preclinical studies showing that nicotinic receptors (nAChR) may play a role in mood control has increased interest in targeting the cholinergic system for treatment of major depressive disorder. Indeed, modulation of nAChRs in the basolateral amygdala (BLA) are sufficient to produce an anti-immobility effect in the mouse tail suspension test. However, how  $\alpha 7$  nAChR modulation impacts BLA neuronal activity *in vivo* as well as the downstream mechanisms involved in its mood-related effects are not understood. In this work, we used the unpredictable chronic mild stress (CMS) model to investigate the mechanisms underlying the antidepressant-like effect of an  $\alpha 7$  nAChR full agonist on BLA-induced changes in dopaminergic neurotransmission. Male adult Sprague-Dawley rats were exposed to four weeks of CMS. Behavioral and electrophysiological experiments were performed within one week following stress. CMS exposure increased rats' immobility time in the forced swimming test, decreased the number of spontaneously active dopamine neurons in the ventral tegmental area and increased the firing rate of putative projection neurons in the BLA. Stress-induced behavioral and electrophysiological changes were reversed by a single systemic administration of PNU282987. In summary, our findings corroborate previous descriptions of a potential rapid antidepressant effect for the  $\alpha 7$  nAChR full agonist. This effect appears to involve a mechanism distinct from those of classic antidepressants: normalization of BLA hyperactivity and, consequently, of DA

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hypofunction. These observations corroborate the role of  $\alpha 7$  nAChR as a potential target for novel antidepressant drug development.

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## 1. Introduction

Since the serendipitous discovery of psychotherapeutic drugs, the monoaminergic hypothesis of major depressive disorder (MDD) has dominated drug development. Despite the substantial number of antidepressants available, only 30% of MDD patients remitted with the first drug tested, with a final total rate of remission of 67% (Rush et al., 2006). A major advance in MDD treatment was achieved only recently with the description of the rapid antidepressant effects of scopolamine (Furey and Drevets, 2006) and ketamine (Zarate et al., 2006), highlighting the importance to look beyond monoamines for searching new fast-acting drugs targeting treatment-resistant patients.

Cholinergic system involvement in the pathophysiology of MDD was first postulated based on the induction of depression symptoms by acetylcholinesterase inhibitor insecticides (Janowsky et al., 1972). Although post-mortem studies failed to demonstrate consistent changes in the cholinergic system in depressed patients (Dagytė et al., 2011), neuroimaging data showed increased choline levels in acutely depressed patients and its reversal after symptom recovery (Steingard et al., 2000) as well as increased acetylcholine levels (Hannestad et al., 2013) and decreased availability of  $\beta 2$ -containing nicotinic receptors (nAChR) (Saricicek et al., 2012). Furthermore, there is a high prevalence of nicotine use among depressed patients (50–60%), smoking cessation has been related to anxiety and depression symptoms (Glassman et al., 1990) and nicotine transdermal patches were shown to improve symptoms in MDD patients without smoking history (Salin-Pascual et al., 1996). Therefore, changes in cholinergic neurotransmission and, more specifically, nAChRs may underlie mood imbalances and be an effective target for treatment.

Animal studies provided further support to this hypothesis. Systemic administration of the acetylcholinesterase inhibitor physostigmine increased the immobility time in both forced swim and tail suspension tests, and this effect was blocked by the non-selective nicotinic receptor antagonist mecamylamine (Mineur et al., 2013, 2018). Moreover, nicotine administration induced an antidepressant-like effect in rodents (Andreasen and Redrobe, 2009; Andreasen et al., 2011; Biala et al., 2017; Tizabi et al., 1999; Xiao et al., 2018) that was reproduced by mecamylamine (Andreasen and Redrobe, 2009). Mecamylamine was inactive in mice lacking  $\alpha 7$  or  $\beta 2$  subunits of nAChRs (Rabenstein et al., 2006) and selective antagonists of both  $\alpha 7$  or  $\alpha 4\beta 2$  nAChR also induced anti-immobility effects (Andreasen et al., 2009; Mineur et al., 2018) implying both subtypes of nAChR in mood control. Moreover, nonselective blockade of nAChRs in the basolateral amygdala (BLA) appears to be sufficient to induce an anti-immobility effect and knocking down  $\alpha 7$  or  $\beta 2$  subunits in the BLA also reduced the immobility time in the tail suspension test (Mineur et al., 2016), confirming a role for this brain area in

the antidepressant-like effect of nicotinic drugs. However, the consequences of BLA nAChR blockade/desensitization as well as the brain regions involved downstream in the observed effects are unknown.

The BLA is a main component of the limbic system involved in the control of affective behaviors, having a pivotal role in fear and stress related responses (Prager et al., 2016). Amygdala hyperactivity was detected in depressed patients (Fales et al., 2008) and chronic stress models of depression (Zhang and Rosenkranz, 2012, 2016). This hyperactivity disturbs the function of several brain regions involved in motivation, anxiety, fear and cognition, such as the nucleus accumbens, prefrontal cortex and ventral pallidum (VP) (Sharp et al., 2017). Previous data from our group showed that rats chronically exposed to unpredictable stress or to the learned helplessness model develop a hypodopaminergic state characterized by a decrease in the number of spontaneously active dopamine (DA) neurons in the reward-related ventral tegmental area (VTA) (Belujon and Grace, 2014; Chang and Grace, 2014; Moreines et al., 2017; Rincón-Cortés and Grace, 2017). This reduction is abolished by BLA inactivation, implicating a putative hyperactivity of this brain region in stress-induced inhibition of DA neurons (Chang and Grace, 2014). Moreover, local infusion of an  $\alpha 7$  nAChR full agonist into the BLA increases the number of spontaneously active DA neurons in the reward-related VTA in normal rats (Neves and Grace, 2018), pointing to the involvement of this brain circuit in the mood-regulating function of  $\alpha 7$  nAChRs.

In this work, we aimed to investigate the potential involvement of the BLA-VTA interplay in the mechanism of the antidepressant-like effect of an  $\alpha 7$  nAChR full agonist. Most studies conducted with nAChR selective ligands used naïve mice or animals exposed to stress for a short period of time (Andreasen et al., 2009; Mineur et al., 2016, 2018); however, chronic stress is one of the major contributing factors for MDD development (Hammen, 2005). Considering the different outcomes induced by chronic and acute stress in brain and behavior (Gill and Grace, 2013; Valenti et al., 2012; Zhang and Rosenkranz, 2012), we demonstrated initially the  $\alpha 7$  nAChR full agonist anti-immobility effect following chronic unpredictable mild stress, a model of anhedonia and depression-related phenotypes (Willner, 2016). The potential of the  $\alpha 7$  nAChR agonist to counteract the stress-induced electrophysiological changes in both VTA DA and BLA neurons was then investigated.

## 2. Experimental procedures

### 2.1. Animals

Male adult Sprague-Dawley rats ( $n = 128$ , 300–315 g, PND65) were pair-housed for 5 days at constant room temperature (22 °C)

and humidity (47%) under a 12 h light-dark cycle (lights off at 7:00 p.m.), with food and water available ad libitum. Protocols were performed according to National Institute of Health Guide for the Care and Use of Laboratory Animals and were approved by the Institutional Animal Care and Use Committee of the University of Pittsburgh.

## 2.2. Unpredictable chronic mild stress (CMS)

The unpredictable chronic mild stress protocol was conducted as previously described (Stedenfeld et al., 2011) and adapted by us (Chang and Grace, 2014). Briefly, rats were single housed and exposed randomly to 3-4 stressors/week for 4 weeks, including: food deprivation overnight, water deprivation overnight, reversal dark-light cycle over the weekend, damp bedding, cage tilting, white noise (~90 dB), strobe light, foreign intruder and predator odor. Control rats matched by age and weight were kept double housed in standard conditions essentially undisturbed for the same amount of time. Weight gain was monitored weekly. All behavioral and electrophysiological experiments were conducted at most 7 days after stress interruption (Fig. 1(A)). Three cohorts of animals were used: one for behavioral assays, a second for VTA recordings and the third for BLA recordings.

## 2.3. Behavioral tasks

### 2.3.1. Forced swimming test

The protocol originally described by Porsolt et al. (1978) was employed with minor modifications (Rincón-Cortés and Grace, 2017). Rats were exposed to the forced swim test (FST) in transparent plexiglas cylinders (50 cm height, 20 cm diameter, water at 30 cm, 23-25 °C) twice. Swimming sessions lasted 15 min and 5 min, with 24 h interval. The room was kept at 23±2 °C under artificial lighting. Immobility time was measured by a trained observer blind to treatment condition. A rat was considered immobile when it remained floating making only the movements necessary to keep its head above the water. All swimming sessions occurred between 8 a.m. and 1 p.m. Drug treatment was performed 35 min before the second swimming session (Fig. 1(B)).

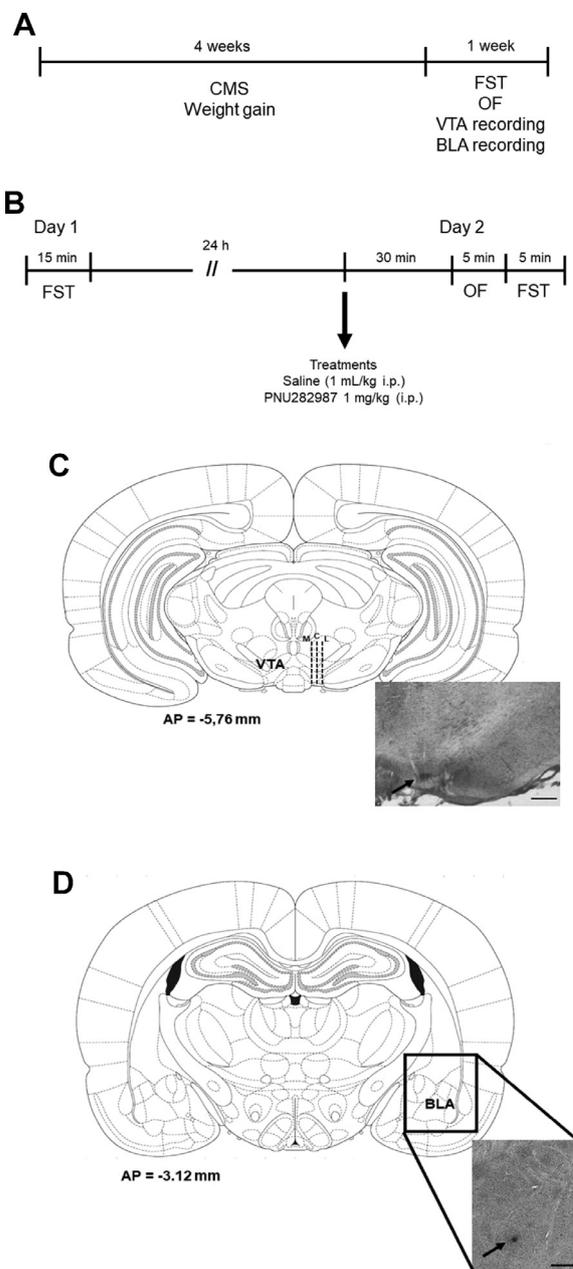
### 2.3.2. Locomotor activity

Rat locomotion was assessed in an automated rectangular (70 × 30 × 40 cm) chamber by the total distance traveled in a 5 min period. The number of horizontal beam breaks were detected and transformed by TrueScan software (Coulbourn Instruments). Locomotor activity was evaluated immediately before the second swimming session (30 min after drug) (Fig. 1(B)).

## 2.4. Electrophysiology

Animals were anesthetized with chloral hydrate (400 mg/kg i.p. supplemented when necessary), placed in a stereotaxic apparatus and their body temperature was maintained at 37 °C using a thermostatically-controlled heating pad. Single-unit extracellular recordings were performed with single glass microelectrodes (6-8 M $\Omega$ ) filled with 2% Chicago sky blue dye dissolved in 2 M NaCl. Spontaneously active neurons were recorded with open filter settings (high pass = 10 Hz; low pass = 10 kHz). Drug was administered through the lateral tail vein 15 min before recordings.

Dopaminergic neurons were recorded by lowering electrodes into the VTA (AP = -5.3 to -5.7 mm, ML = -0.6 to -1.0 mm, VD = +6.5 to +9.0 mm). Population activity was determined by performing 6-9 consecutive vertical electrode tracks through the VTA separated by 200  $\mu$ m in a preset pattern (Fig. 1(C)). DA neurons were identified



**Fig. 1** Experimental design and histological placement of the electrodes. (A) Timeline showing the duration of CMS exposure and the period at which the behavioral and electrophysiological assays were conducted. Three different groups of rats were used: behavior (forced swimming test - FST and open-field - OF), VTA and BLA recordings. (B) Timeline of the FST and open field (OF) assays. Histological representation of the electrode placement in the (C) VTA and (D) BLA. Dashed lines represent the electrode tracks. Arrows show the blue dot resulting from Chicago sky blue electrophoretic ejection. M = medial, C = central, L = lateral. Scale bars = 0.5 mm.

based on location, firing rate and pattern and waveform as previously described (Grace and Bunney, 1983; Ungless and Grace, 2012). Activity of each DA neuron was recorded for at least 1 min. Three properties were analyzed: population activity (number of active DA neurons per electrode track), firing rate and percentage of action potentials occurring in bursts. Burst initiation was defined as the

occurrence of two spikes with an interspike interval  $\leq 80$  ms, and the termination was set as the occurrence of a subsequent interspike interval  $> 160$  ms (Grace and Bunney, 1984). To determine the medial-lateral distribution of the VTA DA neurons recorded, data were analyzed according to electrode placement as previously described (Lodge and Grace, 2012; Neves and Grace, 2018) (Fig. 1(C)).

For BLA neuron recordings, electrodes were lowered (AP =  $-2.8$  to  $-3.2$  mm, ML =  $-4.5$  to  $-4.9$  mm, VD =  $+6.0$  to  $+8.5$  mm) and six vertical electrode tracks separated by  $200 \mu\text{m}$  were performed per rat. Each isolated neuron was recorded for at least 3 min. Location of the recorded neurons was confirmed by coordinates reconstructed based on histological confirmation of electrode placement (Fig. 1(D)). Putative projection neurons and interneurons were separated based on firing rate and action potential half-width criteria. For projection neurons, only those with firing rate  $< 1$  Hz were included in the analysis (Du and Grace, 2016; Likhnik et al., 2006; Rosenkranz and Grace, 1999). Since action potential characteristics can change according to filter settings and electrode type, a half-width criteria was established by fitting the frequency distribution of half-width values from our control group into two Gaussian non-linear regressions as previously described (Zhang and Rosenkranz, 2016). Two properties were analyzed: proportion of neurons active (number of a specific type of neuron/total number of neurons recorded) and firing rate (Hz).

After recording, the electrode site was marked via electrophoretic ejection of Chicago sky blue dye ( $-20 \mu\text{A}$  for 20–30 min). Animals were euthanized by anesthetic overdose and decapitated. Brains were removed, fixed in 8% paraformaldehyde in 0.2 M PBS and cryoprotected in 25% sucrose in 0.2 M PBS. Sixty  $\mu\text{m}$  sections through the extent of the VTA and BLA were stained with a mix of 95% Neutral Red and 5% Cresyl Violet for verification of electrode placements (Fig. 1(C) and (D)).

## 2.5. Drug

PNU 282987 is a high affinity ( $K_d=220$  nM) full agonist of  $\alpha 7$  nAChR, showing an  $EC_{50}$  for receptor activation of 110 nM (Arunrunchvichian et al., 2015). It has negligible affinity for  $\beta 2$ -containing nAChR since at  $1 \mu\text{M}$  it can only displace 14% of cytosine binding from rat brain (Bodnar et al., 2005). PNU282987 hydrate (Sigma-Aldrich) was dissolved in saline (0.9% NaCl). Controls received saline at 1 mL/kg body weight. All solutions were made immediately before treatment. PNU282987 dose (1 mg/kg) was selected based on previous data (Neves and Grace, 2018). Drug and saline were administered by the intraperitoneal route before behavioral experiments and intravenously (using the lateral tail vein) before electrophysiological recordings.

## 2.6. Data analysis

Electrophysiological data of neuron activity states and action potential properties were collected and analyzed using Powerlab LabChart (ADInstruments) and NeuroExplorer (NexTech Systems) softwares. Frequency distribution of the BLA neuron action potential half-width was analyzed using non-linear regression to fit a one or sum of two Gaussian curves model. Best data fit was evaluated by the extra sum-of-squares  $F$ -test. Weight gain and forced swim immobility of the same animals across time were analyzed using a two-way repeated measures ANOVA, with stress (control and CMS) as the independent factor and time point as the repeated factor. According to the number of experimental groups, total forced swim immobility time was analyzed by Student's  $t$ -test or two-way ANOVA, with stress and acute treatment (vehicle and PNU282987) as independent factors, as well as for VTA and BLA electrophysiological data (number of DA neurons per track, firing rate, percent-

age of spikes in bursts, proportion of neurons). All post-hoc analysis were performed using the Bonferroni test. Firing rate distributions were compared using the Kolmogorov-Smirnov test. Statistics were calculated using SigmaStat (Jandel Scientific Corporation). Data are expressed as mean  $\pm$  S.E.M. and differences were considered statistically significant at  $p < 0.05$ .

## 3. Results

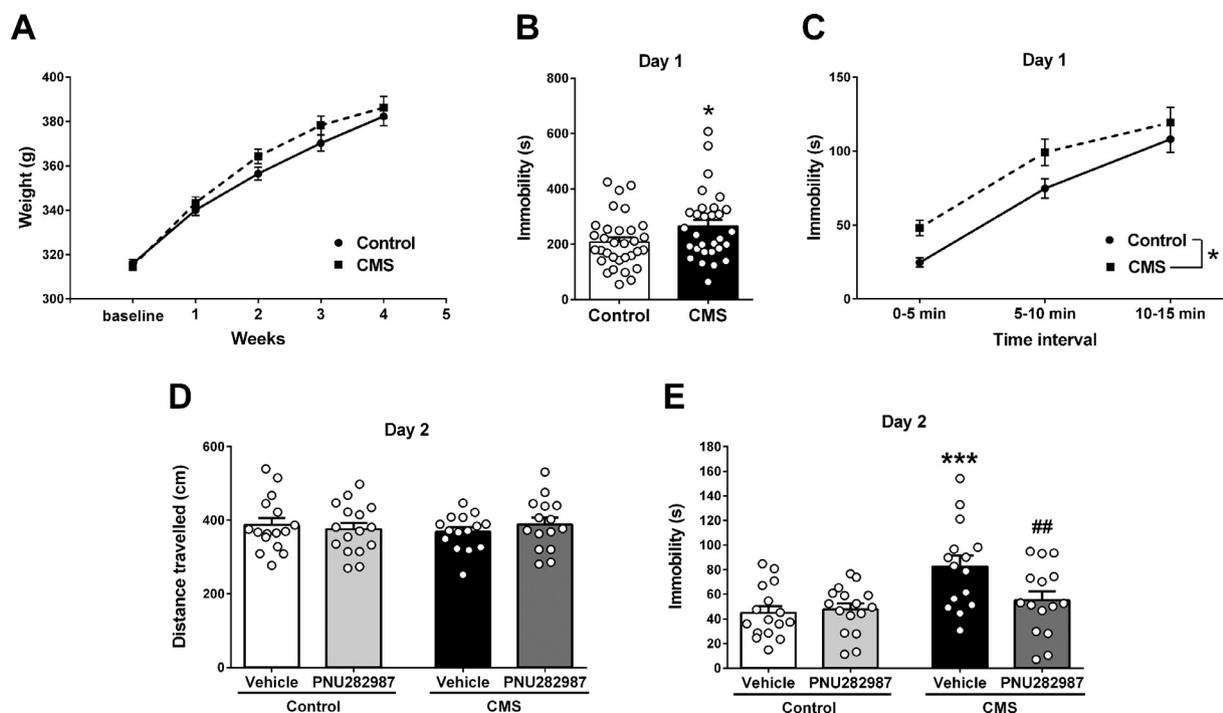
### 3.1. PNU282987 has anti-immobility effect in CMS rats

Both CMS and control groups gained weight equally during the experimental procedure (Fig. 2(A), two-way RM ANOVA,  $F_{\text{interaction}(4,240)} = 3.597$ ,  $p = 0.007$ , basal vs. subsequent weeks: Bonferroni test,  $p < 0.001$ ; control vs. CMS:  $p > 0.091$ ). Increase in immobility time of stressed animals was detected in the first swim session (Fig. 2(B), control:  $207 \pm 17$  s, CMS:  $266 \pm 23$  s. Student's  $t$ -test,  $t = 2.078$ ,  $df = 60$ ,  $p = 0.042$ ). Data showed a gradual increase in immobility over time for both groups (Fig. 2(C), two-way RM ANOVA,  $F_{\text{time}(2,120)} = 152.2$ ,  $p < 0.001$ ) and CMS rats show higher immobility at all time points (two-way RM ANOVA,  $F_{\text{stress}(1,120)} = 4.492$ ,  $p = 0.038$ ).

On the second day, the effect of a single injection of PNU282987 was evaluated. There was no difference in locomotion between groups (Fig. 2(D), two-way ANOVA,  $F_{\text{stress}(1,58)} = 0.032$ ,  $p = 0.859$ ;  $F_{\text{treatment}(1,58)} = 0.071$ ,  $p = 0.791$ ;  $F_{\text{interaction}(1,58)} = 0.881$ ,  $p = 0.352$ ). Significant differences were detected in the forced swimming test (Fig. 2(E), two-way ANOVA,  $F_{\text{interaction}(1,58)} = 4.990$ ,  $p = 0.029$ ). Raised immobility time was still detected in CMS rats that received vehicle (control+vehicle  $45 \pm 5$  s vs. CMS+vehicle  $82 \pm 9$  s, Bonferroni test,  $p < 0.001$ ); however the  $\alpha 7$  nAChR agonist induced an anti-immobility effect in CMS-exposed rats (CMS+PNU282987  $55 \pm 7$  s, Bonferroni test,  $p = 0.006$ ) without interfering with the behavior of controls (control+PNU282987  $48 \pm 5$  s, Bonferroni test,  $p = 0.433$ ).

### 3.2. PNU282987 reversed the decrease in VTA DA population activity induced by CMS

CMS exposure decreased the number of spontaneously active DA neurons in the VTA (Fig. 3(A) and (B), two-way ANOVA,  $F_{\text{interaction}(1,26)} = 9.724$ ,  $p = 0.004$ ) from  $1.3 \pm 0.1$  cells/track in controls to  $0.6 \pm 0.1$  cells/track in CMS rats (Bonferroni test,  $p < 0.001$ ). This decrease was detected across the VTA, being more prominent in central tracks (Fig. 3(C), central region, two-way ANOVA,  $F_{\text{stress}(1,26)} = 4.568$ ,  $p = 0.042$ ). PNU282987 did not change the number of spontaneously active DA neurons in controls ( $1.2 \pm 0.1$  cells/track, Fig. 3(B), Bonferroni test  $p = 0.534$ ), as previously reported (Neves and Grace, 2018). However, the drug increased the number of VTA DA neurons recorded in CMS-exposed rats ( $1.1 \pm 0.2$  cells/track, Bonferroni test  $p < 0.001$ ) to control levels. Although not statistically significant with respect to region, this reversal appeared to occur across the medial-lateral extent of the VTA (Fig. 3(C), two-way ANOVA, medial VTA:  $F_{\text{interaction}(1,22)} = 3.541$ ,  $p = 0.073$ ;



**Fig. 2**  $\alpha 7$  nAChR full agonist PNU282987 (1 mg/kg i.p.) produced an anti-immobility effect in the FST only in those rats exposed to chronic mild stress. (A) Body weight of CMS and control rats over the five weeks of experiment ( $n = 30-32$  rats/group). (B) Total immobility time in the first session of forced swim ( $n = 30-32$  rats/group). Students  $t$ -test:  $*p = 0.042$ . (C) Immobility development across time in the first session of forced swim ( $n = 30-32$  rats/group). Bonferroni post-hoc test:  $*p = 0.038$ . (D) Total distance travelled (cm) during a 5 min open field task ( $n = 15-16$  rats/group). (E) Immobility time in the second forced swim session ( $n = 15-16$  rats/group). Bonferroni post-hoc test:  $***p < 0.001$  comparing with control+vehicle,  $##p < 0.01$  comparing with CMS+vehicle. Data are expressed as mean  $\pm$  S.E.M.

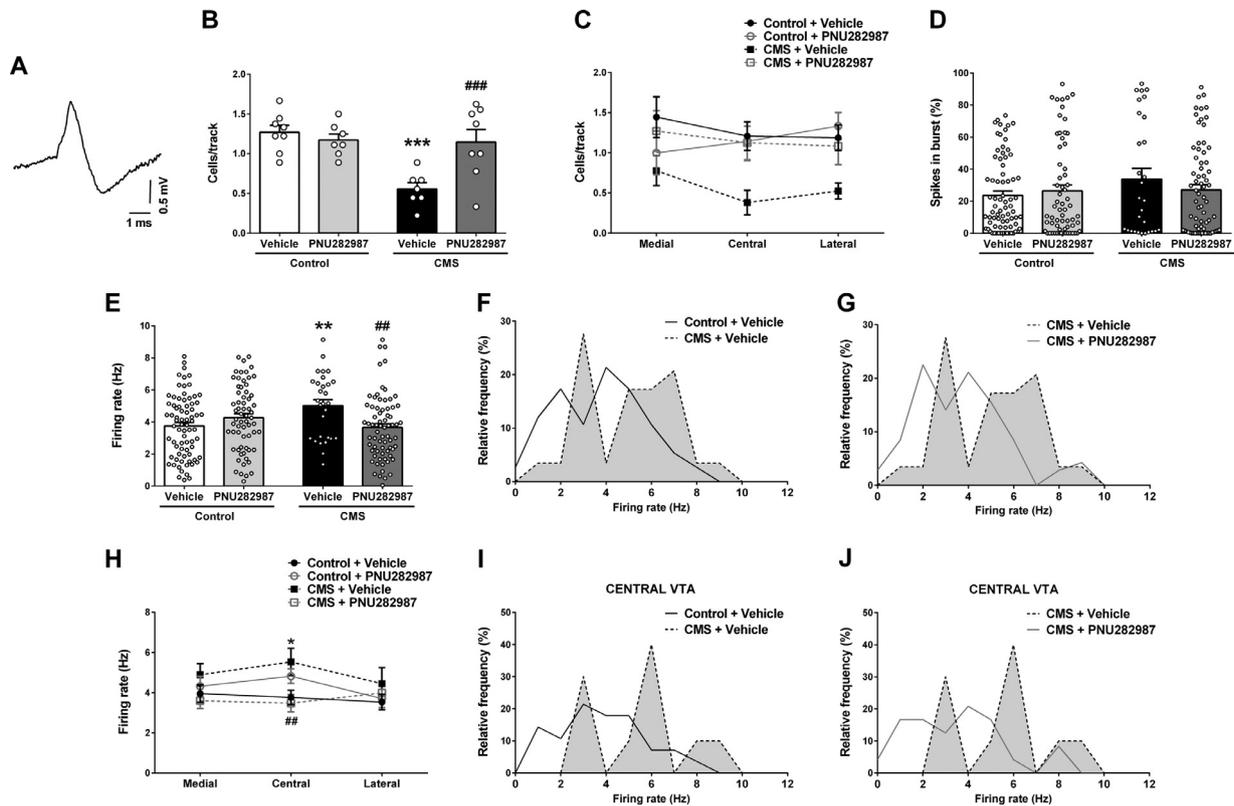
central VTA:  $F_{interaction(1,26)} = 4.190, p = 0.051$ ; lateral VTA:  $F_{interaction(1,26)} = 1.386, p = 0.250$ ).

No significant differences were found in the burst pattern of DA neurons (Fig. 3(D), two-way ANOVA,  $F_{stress(1,235)} = 1.915, p = 0.168$ ;  $F_{treatment(1,235)} = 0.267, p = 0.606$ ,  $F_{interaction(1,235)} = 1.515, p = 0.220$ ); however, CMS rats showed an increase in the average firing rate (Fig. 3(E), control+vehicle:  $3.7 \pm 0.2$  Hz, CMS+vehicle:  $5.0 \pm 0.4$  Hz, two-way ANOVA,  $F_{interaction(1,235)} = 11.428, p < 0.001$ , Bonferroni test,  $p = 0.004$ ) and a shift in the frequency distribution curve to higher values (Fig. 3(F), Kolmogorov-Smirnov,  $D = 0.328, p = 0.022$ ). This alteration was also reversed by PNU282987 treatment (Fig. 3(E), CMS+PNU:  $2.3 \pm 0.2$  Hz, Bonferroni test,  $p = 0.002$ ) leading to a shift in the distribution curve to lower frequency values (Fig. 3(G), Kolmogorov-Smirnov,  $D = 0.389, p = 0.004$ ). The  $\alpha 7$  nAChR agonist did not change the firing rate of DA neurons in control rats (Fig. 3(E), control+PNU:  $4.4 \pm 0.2$  Hz, Bonferroni test,  $p = 0.132$ ). The stress-induced increase in DA neuron firing rate was observed across the medial-lateral extent of the VTA (Fig. 3(H)) and again the most substantial effect was detected in the central tracks (two-way ANOVA,  $F_{interaction(1,80)} = 11.52, p = 0.001$ , control+vehicle:  $3.8 \pm 0.4$  Hz, CMS+vehicle:  $5.5 \pm 0.7$  Hz, Bonferroni test,  $p = 0.016$ ). However, the regional specificity in the frequency distribution between CMS and control groups only reached trend levels likely due to the comparatively smaller number of neurons analyzed (Fig. 3(I), Kolmogorov-Smirnov,

$D = 0.457, p = 0.092$ ). Once more the PNU282987 response could be seen in all regions of the VTA, reaching statistical significance in the central tracks (Fig. 3(H), CMS+PNU:  $3.5 \pm 0.4$  Hz, Bonferroni test,  $p = 0.006$ ). No significant difference was observed in the frequency distribution curves (Fig. 3(J), Kolmogorov-Smirnov,  $D = 0.491, p = 0.066$ ).

### 3.3. PNU282987 counteracted stress-induced BLA hyperactivity

The distribution of spike half-widths of BLA neurons was fitted to a sum of two Gaussian curves, which fit better than a single Gaussian (extra sum of squares  $F$ -test,  $F_{(3,123)} = 3.643, p = 0.015$ ), allowing identification of two populations of neurons (Fig. 4(A)) as previously described (Zhang and Rosenkranz, 2016). The first population had a half-width of  $0.29 \pm 0.06$  ms, while the second one exhibited  $0.63 \pm 0.13$  ms. The curves intercept was at 0.38 ms. Limits for neuron classification were calculated as average  $\pm 2$  SD for each curve (95.4% of the sample) to decrease uncertainty. This approach led to the inclusion of neurons with half-width  $< 0.37$  ms as putative interneurons and  $> 0.40$  ms as projection neurons (Fig. 4(B) and (C)). Neurons with action potential half-width between these values were excluded from the final analysis. Therefore, these two populations of neurons were analyzed separately.



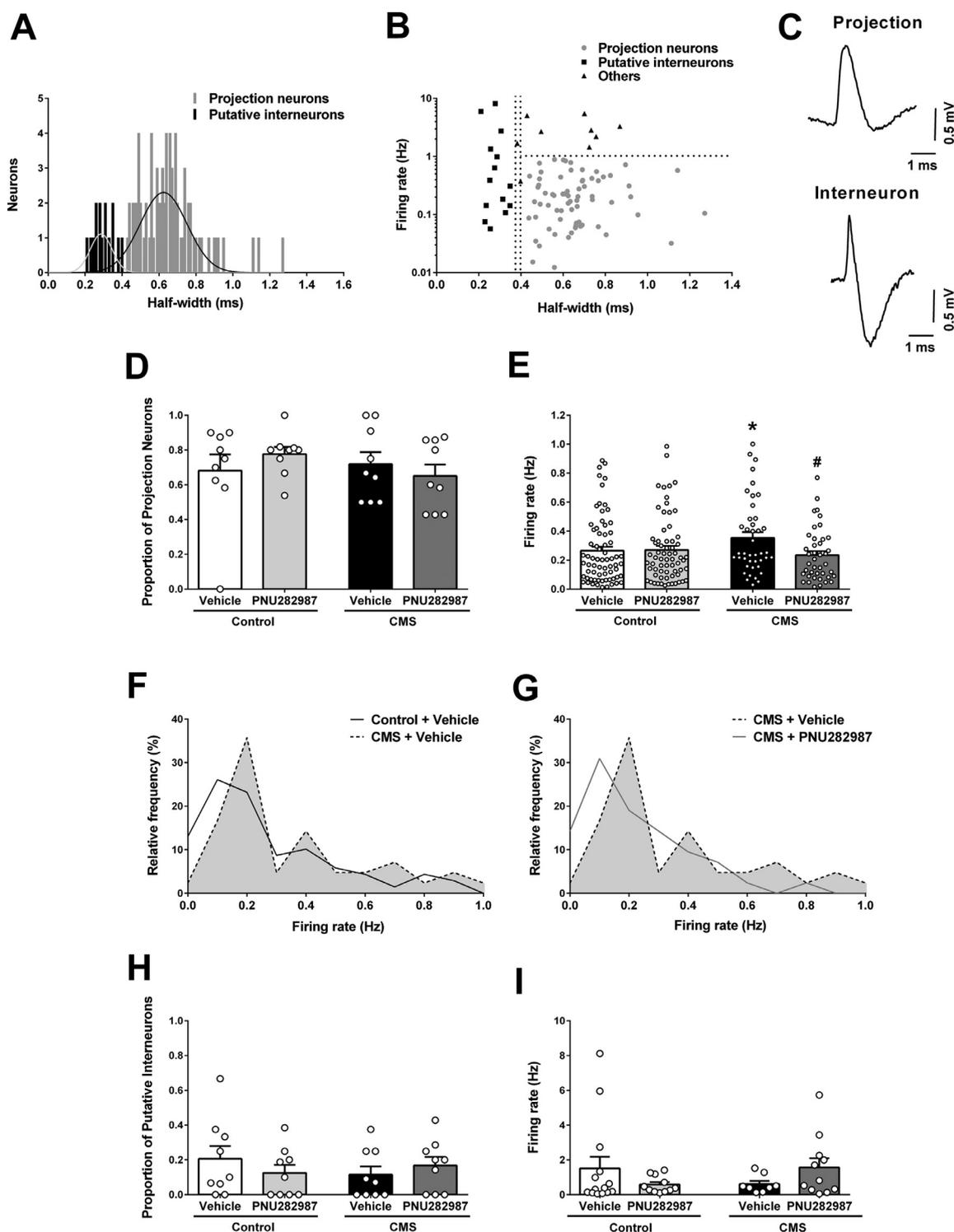
**Fig. 3**  $\alpha 7$  nAChR full agonist PNU282987 (1 mg/kg i.v.) reversed the stress-induced decrease in dopaminergic neurotransmission. (A) Representative trace of *in vivo* recorded DA neuron in the VTA. (B) Number of spontaneously active DA neurons recorded per electrode track in VTA ( $n = 7-8$  rats/group). (C) Regional distribution across the VTA of the number of active DA neurons per electrode track ( $n = 7-8$  rats/group). (D) Mean percentage of spikes in bursts and (E) firing rate (Hz) of the recorded DA neurons ( $n = 29-75$  cells/group). Firing rate distribution curves showing (F) the effect of stress and (G) its reversal by PNU282987. Kolmogorov-Smirnov test, control+vehicle vs. CMS+vehicle:  $p = 0.022$ ; CMS+vehicle vs. CMS+PNU282987:  $p = 0.004$ . (H) Regional distribution across the VTA of average DA neurons firing rate (medial:  $n = 13-29$  cells/group; central:  $n = 10-28$  cells/group; lateral:  $n = 06-24$  cells/group). Firing rate distribution curves showing (I) the effect of stress and (J) its reversal by PNU282987. Kolmogorov-Smirnov test, control+vehicle vs. CMS+vehicle:  $p = 0.092$ ; CMS+vehicle vs. CMS+PNU282987:  $p = 0.066$ . Data are expressed as mean  $\pm$  S.E.M. Bonferroni post-hoc test: \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$  comparing with control+vehicle, ## $p < 0.01$ , ### $p < 0.001$  comparing with CMS+vehicle.

Most of the BLA neurons recorded were presumptive projection neurons. Control+vehicle group showed  $68 \pm 9\%$  of the neurons recorded per rat classified as projection neurons, and this proportion was not affected by stress or drug treatment (Fig. 4(D), two-way ANOVA,  $F_{\text{stress}(1,32)} = 0.395$ ,  $p = 0.534$ ;  $F_{\text{treatment}(1,32)} = 0.037$ ,  $p = 0.849$ ;  $F_{\text{interaction}(1,32)} = 1.345$ ,  $p = 0.255$ ). However, projection neurons of the stress-exposed group were firing significantly faster than controls (Fig. 4(E), control+vehicle:  $0.26 \pm 0.03$  Hz, CMS+vehicle:  $0.35 \pm 0.04$  Hz, two-way ANOVA,  $F_{\text{interaction}(1217)} = 4.306$ ,  $p = 0.039$ , Bonferroni test,  $p = 0.033$ ). The firing rate distribution showed a shift in the curve peak to higher values in stress-exposed rats (Fig. 4(F), Kolmogorov-Smirnov,  $D = 0.273$ ,  $p = 0.043$ ). PNU282987 did not change the firing rate of neurons in control rats (Fig. 4(E),  $0.27 \pm 0.03$  Hz, Bonferroni test,  $p = 0.904$ ) but significantly decreased their frequency in stress-exposed animals ( $0.23 \pm 0.3$  Hz, Bonferroni test,  $p = 0.012$ ). The frequency distribution curves were not significantly different (Fig. 4(G), Kolmogorov-Smirnov,  $D = 0.282$ ,  $p = 0.073$ ) but showed a trend toward smaller peak values in the PNU282987-treated group.

Control+vehicle group was found to have  $21 \pm 7\%$  of neurons classified as interneurons and this proportion was similar in all groups (Fig. 4(H), two-way ANOVA,  $F_{\text{stress}(1,32)} = 0.187$ ,  $p = 0.668$ ;  $F_{\text{treatment}(1,32)} = 0.069$ ,  $p = 0.794$ ;  $F_{\text{interaction}(1,32)} = 1.449$ ,  $p = 0.237$ ). There was no significant differences in putative interneuron firing rate between groups (Fig. 4(I), two-way ANOVA,  $F_{\text{stress}(1,40)} = 0.007$ ,  $p = 0.936$ ;  $F_{\text{treatment}(1,40)} = 0.0008$ ,  $p = 0.977$ ;  $F_{\text{interaction}(1,40)} = 3.271$ ,  $p = 0.078$ ), but a trend for a stress-induced decrease in firing rate could be seen (control+vehicle:  $1.51 \pm 0.66$  Hz, CMS+vehicle:  $0.61 \pm 0.18$  Hz). The stressed group treated with PNU282987 was nearly identical to control animals ( $1.57 \pm 0.53$  Hz); however, there is a trend for a reduction in the firing rate of the putative interneurons induced by the  $\alpha 7$  nAChR agonist in controls ( $0.58 \pm 0.14$  Hz).

#### 4. Discussion

In this work, we showed that a single administration of the  $\alpha 7$  nAChR full agonist PNU282987 induced an anti-



**Fig. 4**  $\alpha 7$  nAChR full agonist PNU282987 (1 mg/kg i.v.) counteracts stress-induced BLA hyperactivity. (A) Distribution of the spike half-width of neurons recorded in the BLA of control+vehicle group ( $n = 92$  neurons). Data were best fitted to a sum of two Gaussian curves. Extra sum of squares  $F$ -test,  $F_{(3,123)} = 3.643$ ,  $p = 0.015$ . (B) Firing rate (Hz) and spike half-width correlation from control+vehicle group neurons showing their distribution between projection neurons, putative interneurons and non-classified (others). (C) Representative traces of putative projection neuron and interneuron recorded *in vivo* in the BLA. (D) Proportion ( $n = 9$  rats/group) and (E) firing rate ( $n = 42-69$  neurons/group) of presumptive projection neurons recorded in the BLA. Firing rate distribution curves of the putative projection neurons showing (F) the effect of stress and (G) its reversal by PNU282987. Kolmogorov-Smirnov test, control+vehicle vs. CMS+vehicle:  $p = 0.043$ ; CMS+vehicle vs. CMS+PNU282987:  $p = 0.073$ . (H) Proportion ( $n = 9$  rats/group) and (I) firing rate ( $n = 08-14$  cells/group) of putative interneurons recorded in the BLA. Data are expressed as mean  $\pm$  S.E.M. Bonferroni post-hoc test: \* $p < 0.05$  comparing with control+vehicle, # $p < 0.05$  comparing with CMS+vehicle.

immobility effect in animals previously exposed to CMS but not in normal rats. Previous work investigating the effects of  $\alpha 7$  nAChR ligands on FST or tail suspension tasks yielded conflicting results. While a lack of effect was reported in mice (Andreasen et al., 2009, 2012; Mineur et al., 2018), studies in rats showed PNU282987 anti-immobility effect only after repeated administration (Marcus et al., 2016) or at higher doses (Melis et al., 2013). However, these studies were conducted in non-stressed normal animals. Only a few studies investigated the effects of  $\alpha 7$  nAChR selective ligands in depression-associated phenotypes in repeatedly stressed animals. GTS-21 (an  $\alpha 7$  nAChR partial agonist and  $\beta 2$ -containing nAChR antagonist also known as DMXBA) reversed the behavioral changes induced by repeated restraint stress in mice after repeated treatment, and its effects were blocked by the  $\alpha 7$  nAChR selective antagonist  $\alpha$ -bungarotoxin (Zhao et al., 2017). Also, repeated administration of the selective  $\alpha 7$  nAChR partial agonist SSR180711 improved CMS-exposed mouse physical care (Pichat et al., 2007). In contrast, both GTS-21 and the selective  $\alpha 7$  nAChR antagonist metyllycaconitine failed to reverse social interaction deficits after three days of social defeat (Mineur et al., 2018). Neither of these studies utilized a single administration and most of them did not present data on a non-stressed drug-treated group. Thus, our work is the first to show that CMS animals are more sensitive to the anti-immobility effect of PNU282987 and corroborates a potential antidepressant effect of  $\alpha 7$  nAChR full agonists after a single dose.

Accompanying the increase in FST immobility, rats exposed to CMS developed a hypodopaminergic status characterized by a decrease in the number of spontaneously active DA neurons in the VTA. This phenomenon was previously shown by our group in CMS rats (Chang and Grace, 2014; Moreines et al., 2017; Rincón-Cortés and Grace, 2017) and also in the learned helplessness model (Belujon and Grace, 2014). Dysregulation of dopamine neurotransmission has been implicated in the genesis of several MDD symptoms, including anhedonia, avolition, apathy and blunted response to rewarding stimulus (Belujon and Grace, 2017). In fact, the decrease in VTA DA neuron population activity in animal models is correlated with behavioral changes analogous to these symptoms, such as impaired sucrose preference and higher immobility (Belujon and Grace, 2017; Tye et al., 2013). When presented with a rewarding stimulus or in anticipation of a reward, DA neurons are activated and fire bursts of action potentials (Schultz, 1997). However, only DA neurons that are spontaneously active at baseline can be driven to burst fire (Floresco et al., 2003). Thus, the decrease in VTA DA population activity can be correlated to a blunted response to a motivationally salient stimulus. Accordingly, Tye et al. (2013) showed that selective optogenetic activation of VTA DA neurons was able to reverse the CMS-induced increase in immobility time in the tail suspension and forced swim tasks. In our study, a single injection of PNU282987 was able to normalize VTA DA population activity in CMS-exposed rats without affecting control animals. This pattern is similar to the one induced by PNU282987 in the FST, suggesting that the increase in the number of spontaneously active DA neurons may contribute to its anti-immobility effect. CMS exposure also increased the firing rate of DA neurons, as previously reported

(Bambico et al., 2019; Chang and Grace, 2014). Interestingly, PNU282987 was also able to normalize this change, confirming its potential to counteract stress-induced effects on dopaminergic transmission.

#### 4.1. CMS exposure increases the firing rate of BLA projection neurons: reversal by PNU282987

The BLA is involved in controlling affective behaviors, having a pivotal role in fear and stress-related responses. Our work showed that CMS-exposed rats developed a persistent BLA hyperactivity characterized by an increase in the firing rate of projection neurons associated with a trend-level decrease in the firing rate of putative interneurons. Similar changes were described in animals submitted to repeated restraint stress (Zhang and Rosenkranz, 2012, 2016); however, to the best of our knowledge, this is the first description of this phenomenon in the CMS model. Chronic stress increases the number of spines and length of dendrites in BLA principal neurons (Vyas et al., 2006), their sensitivity to glutamate and the frequency of sEPSPs (Zhang and Rosenkranz, 2016); changes consistent with an enhanced excitatory drive. BLA local inhibitory circuits have a prominent role in controlling principal neuron excitability (Prager et al., 2016). Whereas parvalbumin (PV) positive neurons interact directly with the cell bodies and appear to be involved in feedback inhibition (Muller et al., 2006), calbindin-expressing interneurons appear to be involved in feedforward inhibitory mechanisms including those mediated by neurons projecting from the prefrontal cortex (Unal et al., 2015). Evidence indicates that downregulation of BLA inhibitory circuits is involved in the persistent hyperactivity of principal neurons after chronic stress. Different repeated stress paradigms reduce the levels of the GABA synthesizing enzyme glutamic acid decarboxylase (GAD) in the BLA (Ortiz et al., 2015). Moreover, chronic stress reduces the frequency of sIPSPs in principal neurons resulting in a long-lasting loss of tonic inhibitory control (Liu et al., 2014; Zhang and Rosenkranz, 2016). These observations are consistent with our results and show that complex mechanisms are involved in the inhibitory/excitatory balance leading to BLA hyperactivity after repeated stress.

A single systemic administration of PNU282987 had beneficial effects on CMS-exposed rats: it significantly reversed the hyperactivity of BLA projection neurons and appeared to attenuate the changes in putative interneuron firing rate.  $\alpha 7$  nAChR are highly expressed in the BLA (Tribollet et al., 2004), being found at somatodendritic regions of both principal neurons and interneurons (Klein and Yakel, 2006; Pidoplichko et al., 2013) and on glutamatergic terminals (Jiang and Role, 2008). The only study describing the effects of a selective  $\alpha 7$  nAChR agonist in BLA slices showed a higher frequency of both IPSCs and EPSCs on principal neurons and a direct depolarization and increased EPSC frequency of interneurons, leading to an overall reduction in BLA excitability (Pidoplichko et al., 2013). Thus, it is possible that PNU282987 effects on CMS-exposed rats may involve one or more of those mechanisms and, therefore, be a result of the modulation of  $\alpha 7$  nAChR locally expressed in the BLA. However, the Pidoplichko et al. (2013) study was conducted in slices of non-stressed rats. While acute

stress modestly increases acetylcholine release in the BLA (Mark et al., 1996), the consequences of chronic stress on cholinergic neurotransmission in this brain region require further study. Thus, changes in the BLA circuit induced by chronic stress might change the mechanisms involved in  $\alpha$ 7 nAChR mediated control of its excitability. Moreover,  $\alpha$ 7 nAChRs also impact brain regions that control BLA activity and are also affected by chronic stress, such as the hippocampus or infralimbic prefrontal cortex, and actions in these regions may also play a role in these responses.

PNU282987 tended to reduce putative interneuron firing rate in non-stressed rats without changing the activity of projection neurons. This result apparently contradicts data from slice recordings. However, the local BLA inhibitory circuit is formed by a heterogeneous population of interneurons and specific neurons can be involved in feedforward or feedback inhibitory circuits (Prager et al., 2016). Furthermore, different populations of interneurons show dissimilar responses to nicotinic agonists. Agonist application elicited a fast-inward response classically related to homomeric  $\alpha$ 7 nAChR in 12 of 20 interneurons recorded in BLA slices (Pidoplichko et al., 2013). Also, after optogenetic stimulation of basal forebrain projections into the BLA only a specific subpopulation of slow firing interneurons exhibited fast nAChR-dependent EPSPs (Unal et al., 2015). Thus, it is possible that the firing rate reduction observed in our work might be a consequence of the effect on a specific subpopulation of interneurons with a minor role in the control of projection neuron excitability.

#### 4.2. BLA control of VTA DA population activity: role of $\alpha$ 7 nAChR

Several brain regions were shown to affect DA neuron population activity in the VTA. Whereas the ventral hippocampus (vHipp)-nucleus accumbens (NAc)-ventral pallidum (VP) circuit exhibits a facilitatory role (Floresco et al., 2003; Lodge and Grace, 2006), the BLA-VP connection has an opposite impact. Pharmacological activation of the BLA reduced the number of spontaneously active VTA DA neurons, an effect dependent on VP activation (Chang and Grace, 2014). Moreover, BLA inactivation reversed the attenuation of DA neuron activity in CMS-exposed rats (Chang and Grace, 2014). In the present study we demonstrated that CMS in fact increases the firing rate of BLA projection neurons, corroborating the proposal that BLA hyperactivity causes impairment in DA neurotransmission after repeated stress. We also showed that an  $\alpha$ 7 nAChR full agonist reversed both stress-induced alterations. Thus, it is likely that, in stressed animals,  $\alpha$ 7 nAChR-induced attenuation of BLA projection neuron firing rate is the leading drive of the normalization of VTA DA tone produced by PNU282987 and may involve the BLA-VP pathway. In contrast, local BLA infusion of PNU282987 increased VTA DA population activity in normal rats (Neves and Grace, 2018). Since BLA inactivation had no effect on VTA DA neurons in non-stressed rats (Chang and Grace, 2014), and in CMS rats there is an inactivation of the vHipp-NAc DA excitatory pathway (Belujon and Grace, 2014), it is possible that BLA activation in the normal rat may activate DA neurons via the BLA-vHipp projection.

Taken together, our findings indicate a potential antidepressant effect for  $\alpha$ 7 nAChR agonists that is mediated by normalization of BLA hyperactivity and, consequently, of DA hypofunction. Such a mechanism is distinct from those presented by classic antidepressants and points to  $\alpha$ 7 nAChR as an important target for drug development.

#### Role of the funding source

This work was supported by National Institute of Health grant (MH191180 to AAG). NIH had no further role in study design; in the collection, analysis and interpretation of data; in the writing of the report and in the decision to submit the paper for publication.

#### Contributors

GAN and AAG designed the study. GAN collected and analyzed the data and wrote the first manuscript draft. Both authors interpreted the data, contributed and have approved the final manuscript.

#### Conflict of interest

AAG has received funds from Lundbeck, Pfizer, Otsuka, Lilly, Roche, Asubio, Abbott, Autofony, Janssen, Alkermes, Newron, Takeda. GAN declares no conflict of interest.

#### Acknowledgments

The authors thank Nicole MacMurdo and Christy Smolak for technical assistance.

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