



HIPEC with oxaliplatin for colorectal peritoneal metastasis: The end of the road?

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ABSTRACT

In patients with colorectal peritoneal metastases (PM), the use of cytoreductive surgery (CRS) and HIPEC with oxaliplatin (OX) is increasingly used. The results of the recently reported randomized Prodigy 7 trial failed to show a difference in overall survival between patients undergoing CRS alone versus CRS combined with HIPEC using high dose OX. The trial was not designed or powered, however, to detect a potentially clinically meaningful benefit in locoregional disease control. Here, I address some potential explanations for the lack of benefit in the Prodigy 7 trial, including OX efficacy issues, adverse effects of intraperitoneal high dose glucose, and potential drawbacks of the use of hyperthermia.

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Introduction

Colorectal cancer (CRC) is one of the commonest causes of cancer related death in developed countries. Peritoneal carcinomatosis is present in 25–30% of patients with recurrent or metastatic colorectal cancer; in approximately 3% isolated peritoneal disease without systemic spread is observed [1]. These patients are amenable for locoregional therapy consisting of surgical cytoreduction followed by intraoperative hyperthermic intraperitoneal chemoperfusion (HIPEC). The combined approach results in a median survival of more than 30 months [2,3]. Historically, several chemotherapeutic drugs including the platinum compounds, mitomycin C, fluorouracil, and irinotecan have been used in HIPEC, either as a single agent or as a combination regimen. Since its introduction by Elias et al., in 2002, many centers have adopted oxaliplatin (OX), the standard of care in the adjuvant and palliative chemotherapy treatment of CRC, as the drug of choice in HIPEC treatment [4]. The available evidence from retrospective and non-randomized studies in CRC suggests that the survival benefit from CRS and HIPEC is independent from the type of intraperitoneal (IP) drug regimen used [5].

The recently presented Prodigy 7 trial, which compared cytoreductive surgery (CRS) alone with CRS combined with HIPEC using OX, failed to demonstrate an overall survival advantage in the HIPEC arm, while the 60 day complication rate was significantly higher [6]. Here, I suggest possible explanations for this lack of benefit and propose an approach to further evaluate the use of intraperitoneal drug delivery in CRC.

What did the prodigy 7 trial show?

Patients with histology proven peritoneal metastases (PM) from CRC, with a peritoneal cancer index (PCI) < 25 and who underwent optimal surgery (residual tumor ≤ 1 mm), were randomized to either no HIPEC or HIPEC with OX. The primary endpoint was overall survival (OS). Over a six-year period (2008–2014), 265 patients were randomized. The HIPEC arm patients developed more abdominal and extra-abdominal complications. Overall survival was unexpectedly high in both groups, but did not differ significantly (41.7 versus 41.2 months in the HIPEC and control arm respectively, hazard ratio (HR) 1.00 (95% confidence interval (CI): 0.73–1.37). Similarly, no statistically significant difference was observed in disease free survival (DFS, 13.1 versus 11.1 months, HR 0.91, 95% CI 0.69–1.19). The Kaplan Meier curves did show, however, a trend towards a better DFS in the first 18 months after surgery. In a subgroup analysis according to PCI, median OS was significantly better in patients with a PCI between 11 and 15.

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Possible explanations for the Prodiges 7 findings

Limitations of the study design

The most important explanation of the lack of benefit is, without doubt, the overestimation of the effect size in the design of the trial. Several studies have shown that HIPEC is ineffective when gross residual disease is present, and complete CRS is the major determinant of the efficacy of the combined treatment. The Prodiges 7 investigators hypothesized a huge treatment effect of HIPEC alone: the estimated improvement in median OS was 18 months (from 30 to 48 months). To put this number in perspective, the desired improvement in median OS in systemic therapy trials is usually around 5 months [7]. Obviously, the magnitude of the estimated treatment effect was based on the limited sample size.

A second issue is the choice of the primary endpoint. It is well known that only a minority of CRC patients is cured after CRS and HIPEC, and most of them will receive multiple and diverse systemic treatments. The overall survival effect of HIPEC will therefore be obscured by the differences in systemic treatment and the associated response and toxicity. Since CRS/HIPEC is a locoregional treatment aimed at PM, peritoneal recurrence free survival or an equivalent endpoint may have been more appropriate.

Uncertain efficacy of oxaliplatin

The *in vitro* cytotoxicity of oxaliplatin on colorectal cancer cell lines is concentration and time dependent. The IC₅₀ varies between 3 and 10 µg/mL in published studies [8]. The Prodiges 7 investigators used a Dextrose 5% (D5%) perfusate volume of 2 L/m² and a dose of 360–460 mg/m², resulting in a peritoneal oxaliplatin concentration of 210–230 µg/mL, which far exceeds the *in vitro* IC₅₀ threshold. In comparison, the standard *iv* dose of 85 mg/m² results in a blood concentration of approximately 35 µg/mL. However, although OX is considered as one of the standard drugs in the treatment of locally advanced and metastatic CRC, not all metastatic CRC patients respond to OX. In fact, in patients with a mucinous histology, which is more common in PM, response rates after first line therapy as low as 18% were observed [9,10]. In addition, previous chemotherapy regimens may induce alterations in the cancer cell genome and *in vitro* OX sensitivity [11]. Whether the high peritoneal drug concentrations during HIPEC can overcome genetically determined resistance mechanisms in colorectal cancer cells is unclear.

A second important treatment parameter is drug exposure time. Although OX is a non cell cycle dependent agent, results from *in vitro* experiments and mathematical modeling suggest that, in addition to drug concentration, exposure time is a major determinant of the cytotoxicity of the platinum compounds. Levasseur and coworkers showed that the *in vitro* cytotoxicity of cisplatin can be modeled as a single Hill model, and that exposure time and drug concentration are equally important for the cytotoxic effect (in detail: $IC_{50} = (k/T) \exp^{(1/n)}$ with T = exposure duration, k = exposure constant and n = concentration coefficient) [12]. A recent study using ovarian cancer cell lines showed that prolonging exposure time to cisplatin from 1 h to 2 h was at least as effective as the addition of hyperthermia (42 °C) [13]. Exposure-response relationships and the effect of hyperthermia on oxaliplatin cytotoxicity in colon cancer cell lines were reported by Kirstein et al. [14]. They found that incubation of SW620 colon cancer cells during 2 h at 37 °C or 42 °C resulted in similar dose-response curves. On the other hand, the mean IC₅₀ for cells treated during 30 min was significantly higher compared to a treatment duration of 2 h (10.6 versus 2.8 µg/mL, P = 0.02). The authors concluded that cell kill effects are depending on treatment time, and that treatment for 2 h is superior to treatment for 30 min.

Possible adverse effects of the Carrier solution (Dextrose 5%)

Oxaliplatin is degraded rapidly in the presence of chloride ions to a monochloro–monooxalato complex [15]. As a consequence, oxaliplatin should be dissolved, according to the manufacturer's instructions, in chloride free solutions such as D5%. Theoretically, D5% has the advantage of being moderately hypo-osmolar (252 mOsm/L), resulting in an osmotic force that is directed from the peritoneal cavity towards the peritoneal (and tumor) tissue, and this may enhance drug diffusion. However, the use of D% is associated with clinical and theoretical drawbacks. First, D5% causes metabolic and electrolyte shifts including hyperglycemia and hyponatremia, which pose a challenge to the anesthesiologist and may exacerbate surgical morbidity [16,17]. Second, glucose and glucose degradation products (GDP) have adverse effects on the ultrastructure and function of the peritoneum. Exposure of the peritoneum to high glucose concentrations leads to production of transforming growth factor-beta1 (TGF-β1), which is implicated in the pathogenesis of peritoneal carcinomatosis [18]. Heat sterilization of commercial glucose solutions leads to the formation of significant amounts of glucose degradation products (GDP) [19]. These GDP's, in turn, are precursors of Advanced Glycation End products (AGEs), which result from a chemical reaction when reduced carbohydrates (such as glucose) react with amino acids or nucleotides. High levels of GDPs and AGEs are involved in oxidative stress, induce apoptosis in human leukocytes and cause degradation of mesothelial cells and the peritoneal membrane. Third, the acidic pH of D5% solution (3.2–6.5) adversely affects mesothelial cell production of interleukin-6 and prostaglandin, and may therefore interfere with peritoneal host defense [20,21]. Of note, recent findings suggest that chloride solutions can be used as carrier solution for OX. Mehta and coworkers measured OX and OX degradation products *in vitro* at 42 °C, after dilution in different carrier solutions [22]. They found that the fractions of intact oxaliplatin after 30 min and 2 h were 91.7% and 85.3% with PD 1.36% solution, and 89.3% and 79.2% with NaCl 0.9% respectively. Moreover, the relatively limited degradation resulted in the formation of [Pt(DACH)Cl₂], which is in fact the active cytotoxic form of the drug.

Possible adverse effects of hyperthermia

Hyperthermic administration is based on *in vitro* and animal data that suggest synergism with the anticancer activity of OX. Atallah and coworkers found that the oxaliplatin IC₅₀ in the Caco-2 cell line decreased from 35 µg/mL at 37 °C to 6.5 µg/mL at 42 °C after a 1 h exposure [23]. Possibly, this thermal enhancement is based on increased DNA cross-linking efficiency [24]. Others were unable to confirm thermally enhanced cytotoxicity of oxaliplatin *in vitro* [14]. There are at present no clinical studies in patients comparing normothermic with hyperthermic chemoperfusion. However, hyperthermia elicits the expression of heat shock proteins (HSP's), which were shown to exert antiapoptotic and proliferative effects, and induce resistance to chemotherapy [25,26]. Also, temperatures above 41 °C may cause scald injury to the peritoneum, which is already extensively damaged by the CRS [27]. Finally, the effects of hyperthermia on the patient's peritoneal immune environment is uncertain. Some have suggested that locoregional hyperthermia acts as a form of immunotherapy in metastatic cancer [28]. Others have shown, however, adverse effects of local heating on overall tumor immunity [29]. In general, hardly anything is known on the postoperative peritoneal immune environment, and how it is affected by the surgery itself and by the HIPEC treatment components.

Conclusions and implications for further research

- Given its limited power to detect a clinically meaningful benefit, the results of the Prodigé 7 trial cannot exclude a potential benefit in locoregional disease control in the first 18 months after surgery. Even without any difference in OS, a decrease in peritoneal recurrence would be a sufficient ground for the continued use of HIPEC in CRC. This is comparable with the use of chemoradiation in locally advanced rectal cancer, which lowers local recurrence rate and is accepted as the standard of care even though an effect on OS has never been demonstrated.
- All patients undergoing CRS and HIPEC for CRC should, ideally, be included in prospective translational and clinical trials. These trials should study peritoneal recurrence rate as the primary endpoint, and include patient reported outcomes. The existing national and international collaborative groups such as the Peritoneal Surface Oncology Group International (PSOGI) should undertake prospective, multicenter, multi-arm, multi-stage pragmatic clinical trials with the support of trial methodologists [30]. These trials should, as much as possible, include translational endpoints that address the most important uncertainties: which drug for which patient? How do the treatment components and variables affect the peritoneal environment and natural immune defense? How can biomarkers predict and detect peritoneal recurrence?
- One of the major limitations of HIPEC is the short treatment duration. Preclinical data suggest that IP prolonged release formulations administered as *thermosensitive hydrogels* allow prolonged (up to 5 days) exposure of residual peritoneal cancer, and at the same time act as postoperative adhesion barriers [31–33]. Collaborative efforts should, by priority, aim to bring these new platforms to early clinical testing.

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