



# Hematologic Markers of Lung Metastasis in Stage IV Colorectal Cancer

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Published online: 28 March 2018

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## Abstract

**Background** Many studies showed an association between absolute neutrophil count (ANC), absolute monocyte count (AMC), neutrophil-lymphocyte ratio (NLR), monocyte-lymphocyte ratio (MLR), and platelet-lymphocyte ratio (PLR) with poor overall survival (OS) in patients with cancer. However, only a few studies were conducted to further investigate this association in colorectal cancer (CRC).

**Methods** Clinical data from 299 stage IV CRC patients treated at King Hussein Cancer Center from 2004 to 2012 have been retrospectively reviewed. We examined the association between ANC, AMC, MLR, PLR, and NLR with lung metastasis in stage IV CRC. Receiver Operating Characteristic (ROC) curve analysis was operated to determine the optimal NLR cutoff value. Univariate and multivariate analysis were performed.

**Results** The ROC value of 3.4 was determined as the cutoff value of NLR to study the association. Univariate and multivariate analysis showed that patients with high baseline NLR ( $\geq 3.4$ ) had more baseline lung metastasis than patients with low NLR ( $< 3.4$ ) ( $p = 0.0001$ ,  $p = 0.0151$ , respectively). Also, baseline NLR correlated significantly with the presence of lymphovascular invasion ( $p = 0.001$ ). In patients with no baseline lung metastasis, high post-treatment NLR was associated with consequent development of lung metastasis ( $p = 0.0227$ ). Other markers including ANC, AMC, MLR, and PLR were significantly associated with lung metastasis at time of diagnosis ( $p = 0.0006$ ,  $p = 0.0006$ ,  $p = 0.0187$ , and  $p = 0.001$ , respectively).

**Conclusion** Results are suggesting that different hematologic markers obtained from a cheap test (CBC) could potentially be used to predict the likelihood of lung metastasis in stage IV CRC. Prospective studies are needed to further assess the immune cells' role in tumor metastasis promotion.

**Keywords** Colorectal cancer · Neutrophil-lymphocyte ratio · Lung metastasis · Stage IV

## Introduction

Colorectal cancer (CRC) is one of the most common gastrointestinal tumors and the fourth most common cause of cancer-related death universally. [1, 2] Recently, the linkage between

inflammation and the carcinogenesis has been better outlined. Different studies have revealed that cancer-related inflammation influences various stages of carcinogenesis. [3, 4]

Many studies have shown that several blood markers including absolute neutrophil count (ANC), absolute monocyte count (AMC), neutrophil-lymphocyte ratio (NLR), monocyte-lymphocyte ratio (MLR), and platelet-lymphocyte ratio (PLR) are associated significantly with worse prognosis of different types of solid tumors including CRC. [5–7] A high NLR is detected when the absolute neutrophil count is high and the absolute lymphocyte count is low. Though it remains poorly understood why a high NLR is associated with dismal prognosis in different types of solid tumors [6–15], it may be explained by the promotion of seeding of distant sites via certain growth factors such as VEGF and specific proteases secreted by abundant neutrophils. [9] Also, a low lymphocyte count may influence their essential role in fighting tumor cells by

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inducing cytotoxic cell death and impeding tumor cell proliferation and migration, thus diminishing the immune response to malignancy. [4] Interestingly, a recent article suggested that a high NLR is an independent predictive factor for the baseline presence and subsequent development of brain metastases in advanced non-small cell lung cancer (NSCLC). [16] The value of NLR as a predictive factor for the identification of metastasis may apply also to other solid tumors like CRC.

Metastasis is considered the leading cause of the majority of cancer-related deaths among patients with various types of malignancy. Nearly half of patients diagnosed with CRC die due to malignancy-related etiologies [17] Around 20% of patients with CRC already have distant metastases at the time of diagnosis, and this proportion has been constant over the last several years. [18] However, the overall survival (OS) of all patients with metastatic CRC regardless of their histologic subtypes is grim and the main aim of treatment is to lengthen survival while maintaining an appropriate quality of life. [2, 19] Actually, early detection of metastasis is important to identify patients with limited disease who potentially could benefit from a more aggressive approach aiming to stop micro-metastasis lesions from progressing into an uncontrollable macro-metastasis. Therefore, looking for new biomarkers for detecting the commencement of metastatic process can decrease mortality among CRC patients by encouraging a more intensive imaging surveillance and other prophylactic strategies.

Although the biologic behavior of the different hematologic parameters was tremendously researched, no study has been conducted to explore the association between these markers and the distant metastases of CRC. This retrospective study focuses on the potential predictive value of ANC, ALC, AMC, NLR, MLR, and PLR by examining their association with the baseline presence and subsequent development of lung metastases in patients with stage IV CRC.

## Materials and Methods

This is a retrospective chart review study that was approved by the Institutional Review Board at King Hussein Cancer Center (KHCC). Two hundred ninety-nine patients diagnosed with stage IV colorectal adenocarcinoma, as confirmed by histopathology and/or radiology reports, received their treatment for CRC at KHCC (Amman, Jordan) between 2004 and 2012 were included. Patients using glucocorticoids before starting definitive CRC treatment were excluded. Chest computed tomography (CT) scans have been used to detect CRC lung metastasis. Clinical data, age and gender, location of the tumor, and TNM stage were assessed for possible correlation with the baseline presence and subsequent development of lung metastasis.

The results of the routinely obtained complete blood count (CBC) at the time of diagnosis before initiation of any treatment were reviewed. Total white blood cells (WBC) count and the individual count of each component including neutrophil, lymphocyte, and monocyte were also obtained. The pre-treatment baseline NLR, MLR, and PLR have been calculated using these formulas;  $NLR = ANC/ALC$ ,  $MLR = AMC/ALC$ , and  $PLR = \text{Platelet Count}/ALC$ , respectively.

The time period to the development of CRC lung metastases in patients with no baseline CRC lung metastasis was calculated from the day of confirming the diagnosis of CRC to the day of confirming the presence of lung metastasis. The mean was determined as 15 months. So, all patients who died within the first 12 months after the date of stage IV CRC diagnosis were excluded to diminish the competing effect. Post-treatment NLR was obtained from the CBC results at the date of, or the last CBC results before, the confirmed lung metastases. In patients without lung metastases, post-treatment NLR has been obtained at a cutoff point of 15 months after the date of stage IV CRC diagnosis. For patients who died between 12 and 15 months, the last available CBC result was used to calculate the post-treatment NLR.

The Receiver Operating Characteristic (ROC) curve was used to determine the optimal cut-off value of NLR, matching the most extreme joint sensitivity and specificity. The association between NLR and the clinical factors including the age, gender, location, and TNM staging with the presence of CRC lung metastasis was examined. Univariate and multivariate logistic regression analysis were used to test this association. A  $P$  value of  $\leq 0.05$  was determined as the cut off for significant association.

Our analysis proceeded stepwise. In phase-1, we examined the association between baseline NLR with the presence of CRC lung metastasis and lymphovascular invasion. In the second phase, we examined the association between other hematologic parameters like ANC, ALC, AMC, MLR, and PLR and the baseline presence of CRC lung metastasis. In the third phase, we examined the association between baseline ANC, ALC, AMC, NLR, MLR, and PLR with the subsequent development of CRC lung metastasis in patients with no baseline CRC lung metastasis. In the last phase, we examined the association between post-treatment NLR with subsequent development of CRC lung metastasis in patients with no baseline CRC lung metastasis.

## Results

The clinical features of 299 stage IV CRC patients were summarized in Table 1. The age ranged from 14 to 83 years (median 56 years), 161 (53.8%) were male and 138 (46.2%) were female. One hundred and one patients (33.8%) had lung metastases at the time of diagnosis, 68 (22.7%) developed lung metastasis during the treatment period, and 130 (43.5%) did not develop

**Table 1** Characteristics of stage IV CRC patients

| Patients features                        | No. of patients (%) |
|--|---------------------|
| Age, median (range)                      | 56 (14–83%)         |
| Gender:                                  |                     |
| Male                                     | 161 (53.8%)         |
| Female                                   | 138 (46.2%)         |
| cT stage:                                |                     |
| T1–2                                     | 6 (3.3%)            |
| T3–4                                     | 177 (96.7%)         |
| cN stage:                                |                     |
| N0–1                                     | 101 (34%)           |
| N2                                       | 81 (27%)            |
| NX                                       | 117 (39%)           |
| Location                                 |                     |
| Colon                                    | 227 (77%)           |
| Rectum                                   | 68 (23%)            |
| Sites of metastasis at time of diagnosis |                     |
| Lung                                     | 101 (34%)           |
| Liver                                    | 235 (78%)           |
| Peritoneum                               | 70 (23%)            |
| Bone                                     | 15 (5%)             |
| Brain                                    | 7 (2%)              |

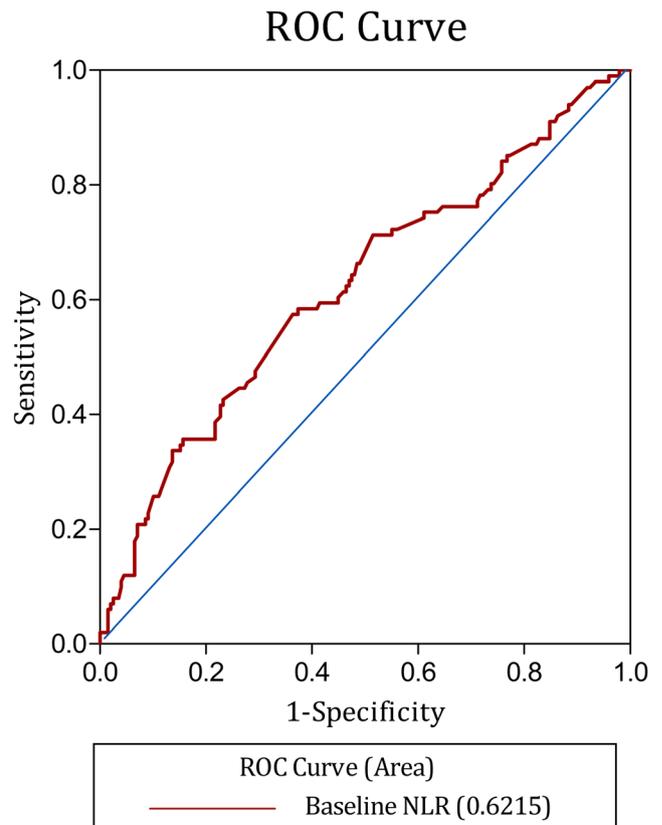
lung metastasis. The mean of baseline NLR was 4 (median 3 and range 1–45), while the mean of post-treatment NLR was 5 (median 3 and range 1–99). The optimal NLR cutoff value was determined as 3.4, an area under the curve AUC recorded as 0.6215 (95% confidence interval (CI) 0.552–0.700) (Fig. 1).

In univariate analysis, tumor location (colon versus rectal), cN stage as well as high baseline NLR were significantly associated with baseline lung metastasis. Patients with high baseline NLR ( $\geq 3.4$ ) had more lung metastasis in comparison to patients with low baseline NLR, (Table 2). In multivariate analysis, it remained an independent prognostic factor of baseline lung metastasis (OR 1.24, 95% CI 1.042–1.47,  $p = 0.015$ ) (Table 2).

When we performed univariate and multivariate analysis, using NLR as a continuous variable, NLR remained a significant predictor of lung metastasis at diagnosis ( $P = 0.001$  and  $P = 0.015$ , respectively).

Additionally, baseline ANC, AMC, MLR, and PLR were significantly associated with baseline presence of lung metastasis ( $P = 0.0006$ ,  $P = 0.0007$ ,  $P = 0.0187$ , and  $P = 0.0001$ , respectively) (Table 3).

We then examined the association between baseline NLR, ANC, AMC, MLR, and PLR with consequent development of lung metastases in patients with no lung metastasis at diagnosis. The results showed that these baseline parameters, NLR, ANC, AMC, MLR, and PLR, were not associated with post-treatment development of lung metastasis in patients with no baseline lung metastasis.

**Fig. 1** Receiver-operating-characteristic (ROC) and area under the curve (AUC) for the baseline NLR

We then assessed the association between post-treatment NLR with subsequent development of lung metastases in patients with no baseline lung metastasis. The incidence of subsequent development of lung metastasis was much higher among patients with post-treatment NLR ( $\geq 3.4$ ) than patients with post-treatment NLR ( $< 3.4$ ) ( $P = 0.0227$ ) (Table 4).

## Discussion

In this study, we assessed new hematological indices for predicting the baseline presence and the subsequent development of lung metastases in patients with stage IV CRC. An elevated baseline NLR ( $\geq 3.4$ ) was an independent factor of the baseline presence of CRC lung metastases. Patients with elevated baseline ANC, AMC, MLR, and PLR had more lung metastasis in comparison to patients with low baseline indices.

Furthermore, an elevation of NLR during therapy was associated with a high rate of subsequent CRC lung metastasis among patients with no baseline lung metastasis. These findings suggest that post-treatment elevation of NLR might be used as an indicator of lung metastasis development in stage IV CRC.

To the best of our knowledge, this is the first description of the potential predictive value of these hematologic parameters for detecting lung metastasis. These markers are easily

**Table 2** Univariate and multivariate analysis for the association of different variables with the presence of baseline lung metastasis

| Variables    | Baseline lung metastasis |             | Univariate |                     | Multivariate |                     |
|--------------|--------------------------|-------------|------------|---------------------|--------------|---------------------|
|              | Present                  | Absent      | P value    | OR (95% CI)         | P value      | OR (95% CI)         |
| Age          |                          |             | 0.414      |                     | 0.0210       |                     |
| Age > 56     | 53 (52.5%)               | 94 (47.5%)  |            | 0.819 (0.507–1.323) |              | 0.406 (0.189–0.873) |
| Age ≤ 56     | 48 (47.5%)               | 104 (52.5%) |            |                     |              |                     |
| Gender       |                          |             | 0.962      |                     | 0.705        |                     |
| Male         | 56 (34.8%)               | 105 (65.2%) |            | 0.907 (0.561–1.468) |              | 0.705 (0.334–1.489) |
| Female       | 45 (32.6%)               | 93 (67.4%)  |            |                     |              |                     |
| cT stage     |                          |             | 0.98       |                     | 0.9811       |                     |
| T1–2         | 0                        | 6 (100%)    |            | NA                  |              | NA                  |
| T3–4         | 44 (25.3%)               | 130 (74.7%) |            |                     |              |                     |
| cN stage     |                          |             | 0.0458     |                     | 0.0268       |                     |
| N0–1         | 33 (33%)                 | 67 (67%)    |            | 2.041 (1.013–4.109) |              | 2.305 (1.101–4.826) |
| N2           | 15 (19%)                 | 66 (81%)    |            |                     |              |                     |
| Location     |                          |             | 0.002      |                     | 0.054        |                     |
| Colon        | 65 (29%)                 | 162 (71%)   |            | NA                  |              | 0.421 (0.175–1.015) |
| Rectum       | 33 (49%)                 | 35 (51%)    |            |                     |              |                     |
| Baseline NLR |                          |             | 0.001      |                     | 0.015        |                     |
| NLR > 3.4    | 58 (44%)                 | 74 (56%)    |            | 0.442 (0.27–0.72)   |              | 0.448 (0.219–0.916) |
| NLR ≤ 3.4    | 43 (26%)                 | 124 (74%)   |            |                     |              |                     |

obtained from an affordable test, the CBC, which is done routinely during the management and follow-up of all patients with malignancy.

The association between systemic inflammatory response and carcinogenesis is well documented in literature. [20–22] Though it remains poorly understood which mechanisms are responsible for this relationship, several theories offer some acceptable explanations. [23] Previous studies suggested that malignant tumors might be responsible for significant increments of cytokines, precipitation of angiogenesis process, and manipulation of the molecular components of apoptosis. [20, 22] Neoplastic cells may also stimulate the release of granulocyte colony-stimulating factor (GCSF) that can precipitate neutrophilia. Neutrophils may play a vital role in angiogenesis process by releasing pro-angiogenic factors like matrix-metalloproteinase, IL-8, VEGF, and elastase

enzymes. [13, 24] All of these different inflammatory mediators may promote neoplastic cells proliferation and metastasis. Several studies reported that monocyte cell lineage stimulates neoplastic cells migration, enhance tumor microenvironment angiogenesis process, and inhibit anti-tumor immunity. [25–27] In contrast, lymphocytes have a key role in supplying antitumor immunity. [23, 28] Elevated lymphocyte count has also been reported as a good prognostic marker in patients with different types of solid tumors such as breast, colorectal, and skin cancer. [29–31]

Several retrospective studies delineated the significance of NLR changes as prognostic tools. [32, 33] One reported that post-treatment NLR changes can be used to predict the recurrence in patients with renal tumors. [33] Another study reported that early drop of NLR correlated with an excellent outcome in patients with metastatic stage IV renal cell carcinoma [32].

The lung is the second most common site of metastasis from CRC after liver, with 34–44% of patients developing

**Table 3** The association of baseline ANC, AMC, MLR, and PLR with the baseline presence of lung metastasis

|                      | Baseline lung metastasis |        | P value |
|----------------------|--------------------------|--------|---------|
|                      | Present                  | Absent |         |
| Mean of baseline ANC | 7300                     | 6000   | 0.0006  |
| Mean of baseline ALC | 1900                     | 1950   | 0.3741  |
| Mean of baseline MLR | 0.46                     | 0.37   | 0.0187  |
| Mean of baseline PLR | 25.5                     | 18.4   | 0.0001  |

**Table 4** Post-treatment NLR associated with the subsequent development of lung metastasis

| Post-treatment NLR | Subsequent lung metastasis |          | P value |
|--------------------|----------------------------|----------|---------|
|                    | Present                    | Absent   |         |
| NLR > 3.4          | 32 (45%)                   | 39 (55%) | 0.0227  |
| NLR < 3.4          | 33 (26%)                   | 91 (74%) |         |

lung metastasis throughout the disease course. [34] Thoracic radiation therapy, adjuvant chemotherapy, and surgical resection of lung metastasis (metastectomy) are the prevailing treatment options for CRC lung metastases.

The NLR may assist identifying CRC patients more prone to develop lung metastases. Frequent thoracic imaging investigation and prophylactic treatment approaches in this special group could improve the final outcome and quality of life.

Limitations of this study include the retrospective nature with all cases collected from a single center. Further prospective studies are needed to confirm the predictive role of NLR and its association with CRC lung metastases. Though there have been several previous studies assessing the prognostic aspect of NLR in CRC patients, a well-established NLR cutoff value was not recognized due to various study populations and diverse selection methods. Our identified NLR cutoff value needs to be confirmed by other studies. Large lung metastases (greater than 1–2 cm in size) could be detected by the optimum quality chest CT techniques with a high level of accuracy. Microscopic metastases (smaller than 1–2 mm in size) are rarely detected by anatomic imaging methods; therefore, patients who developed metastasis after treatment might have had small, tiny, and non-measurable metastases not being detected by the initial CT scan.

Using glucocorticoids prior to the date of measuring baseline NLR may be a confounding factor. Actually, extreme and persistent leukocytosis can be induced by administration small doses of glucocorticoids. [35] Glucocorticoids can cause neutrophilia by pushing neutrophil cells from the marginated pool into the main blood stream pool. [36] Therefore, we excluded all patients taking glucocorticoids to avoid the confounding effect. However, there are other factors that may affect and alter the CBC results, like systemic inflammatory conditions and infection, which could not have been controlled in this retrospective study.

In conclusion, our results suggest that an elevated baseline NLR is an independent predictive factor for the presence of baseline CRC lung metastasis. Also, other hematologic indices including ANC, AMC, MLR, and PLR can be used to recognize the presence of lung metastasis in stage IV CRC patients at the time of diagnosis. Our study results also showed that subsequent CRC lung metastasis occur more frequently in patients with elevated post-treatment NLR ( $\geq 3.4$ ) than patients with low post-treatment NLR ( $< 3.4$ ). Therefore, post-treatment elevation of NLR can be used to recognize patients prone to develop lung metastasis shortly, who may benefit from a more aggressive management plan. However, multicenter studies are needed to confirm the predictive value of these blood markers in predicting the presence of lung metastases in stage IV CRC.

**Acknowledgements** We would like to thank Mrs. Dalia Al-Rimawi and Ayat Taqash from the Biostatistics Unit at the Office of Scientific Affairs and Research for their help with the statistical analysis.

## Compliance with Ethical Standards

**Conflict of Interest** The authors declare that they have no conflict of interest.

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