



Left atrial enlargement as a maker of significant high-risk patent foramen ovale

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Abstract

Left atrial (LA) enlargement is a marker of LA cardiopathy and, in patients with patent foramen ovale (PFO), is associated with an increased risk of ischemic stroke. The primary study outcome was the comparison of LA diameter between patients undergoing percutaneous PFO closure versus those treated conservatively. The secondary endpoints were the association of LA diameter with the Risk of Paradoxical Emboli (ROPE) score and the presence of Atrial septal aneurysm (ASA) and Right-To-Left Shunt (RLS). Retrospective analysis of clinical and instrumental data of 1040 subjects referred to a single tertiary center for PFO evaluation and treatment. Seven hundred and nineteen patients were enrolled: 495 patients (closure group, mean RoPE score 7.6 ± 0.8) underwent PFO closure while 224 patients (control group, mean RoPE score 4.1 ± 0.9 , $p < 0.001$) were left to medical therapy. Preoperative LA diameter was significantly larger in closure group and reduced from 44.3 ± 9.1 to 37.3 ± 4.1 mm ($p = 0.01$) 1 year after the procedure to the size of controls. A larger LA diameter was associated with permanent RLS, RLS curtain pattern, ASA presence and multiple ischemic brain lesions pattern at neuroimaging. A LA diameter ≥ 43 mm was a predictor a RoPEscore > 7 . In our patients' cohort, LA diameter was associated with the clinic severity of PFO and RLS. The reversal of LA enlargement after PFO closure suggests a role for RLS to induce LA cardiopathy. LA enlargement has the potential to be considered per se as an indication to transcatheter PFO repair.

Keywords PFO · ASA · left atrium · Echocardiography

Introduction

Paradoxical embolism through patent foramen ovale (PFO) represents the foremost proposed pathophysiological mechanism for cryptogenic stroke. Some previous investigation [1–3] have evidenced that in PFO patients, the presence of left atrial (LA) electrical or structural dysfunctions simulate some features observed in subjects with atrial fibrillation (AF) [4]. Moreover, it has been already demonstrated that a LA enlargement is associated with cortical infarction in PFO subjects [5] while LA enlargement, per se, is correlated with higher risk of adverse cardiovascular events, as stroke [6].

Thus, LA size evaluation could improve the individual risk stratification and the management of PFO patients. The severity of Right-To-Left Shunt (RLS) might be involved in the development of a so-called PFO-associated LA cardiopathy. In such a case, PFO closure might lead to the reversal of LA enlargement. To investigate this issue, we compared LA size before and after PFO closure in a large dataset of consecutive patients. We hypothesized the existence of an

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association between LA size and the clinical severity of PFO as well as a subsequent reduction of LA size after the interatrial defect closure.

Methods

Hospital setting, population and protocols

We retrospectively analysed the clinical and instrumental records of 1040 consecutive patients referred to our tertiary center between March 2003 and March 2018, for PFO management and transcatheter closure. To avoid potential bias in the assessment and real LA size, patients with a previous history of untreated arterial hypertension (defined as systolic blood pressure greater than or equal to 140 mmHg and diastolic blood pressure greater than or equal to 90 mmHg in non diabetic patients while as $> 135/85$ mmHg [7]), and mild or severe mitral valve regurgitation or having a mitral transvalvular mean gradient > 5 mmHg were excluded from the analysis. The Hospital Editorial Board approved the study and all the procedure were performed accordingly the current international guidelines. Per our Institutional protocol, all PFO patients were assessed by a multidisciplinary team composed by skilled cardiologists and neurologists. In all cases, the following instrumental and laboratory investigations were performed: brain Magnetic Resonance Imaging (MRI), prothrombotic defects screening, 7-day electrocardiographic Holter monitoring, Transthoracic (TTE) and contrast Transoesophageal Echocardiography (cTEE) and Trans-Cranial Doppler (TCD) skilled cardiologists and neurologists.

Criteria for PFO transcatheter closure

The following criteria for transcatheter closure [8] were used:

- previous neurologically confirmed Stroke/Transient Ischemic Attack (TIA) in the absence of alternative causes rather than the PFO;

or

- positive brain MRI, defined as single or multiple cortical ischemic lesions; periventricular and deep white matter hyperintensities were not considered as an infarction;
- permanent or shower or curtain RLS shunt pattern on TCD with Valsalva manoeuvre

and

- medium or large PFO on cTEE.

All patients not fulfilling these criteria or with extracranial arteries disease stenosis $\geq 50\%$ or history of paroxysmal AF and need for long-term anticoagulant therapy were treated medically.

Echocardiographic protocols

TTE and cTEE were performed using a GE Vivid 7 (General Electric Corp., Norfolk, VA, USA). Both LA function and diameter, as well as RLS degree, assessed by contrast injection during Valsalva manoeuvre, were recorded [9, 10]. ASA was graduated as previously proposed by Olivares et al. [11]. LA antero-posterior diameter was measured in parasternal long-axis view at the level of the aortic valve according to a leading edge-to-leading edge convention (Fig. 1a, b) accordingly to Lang et al. [9]. Baseline and follow-up echocardiographic images and measures were evaluated by two independent cardiologists with and inter-observer agreement of 99.4%.

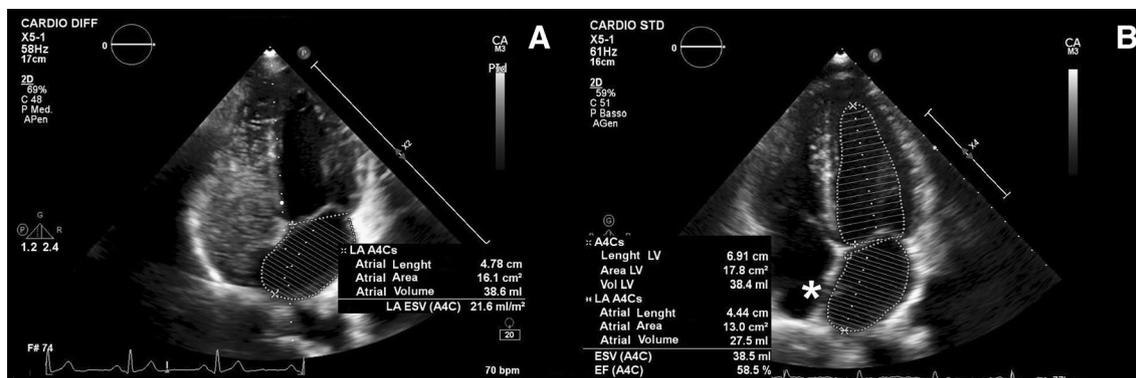


Fig. 1 Left atrial anteroposterior diameter echocardiographic measurement in parasternal long-axis view before and 1 year after transcatheter closure of patent foramen ovale using a Gore Cardioform 25 mm

Transcranial Doppler protocol

TCD was performed using intravenous bubble venous injection according to current recommendations [12] using a TCD monitoring device (DWL MultidopX, ScanMed Medical, UK). Middle cerebral arteries (MCA) were simultaneously monitored through the temporal bone window using 2-MHz probes. The contrast was obtained by mixing 100 cc of saline solution with 2–3 cc of Emagel. As we previously reported, RSL severity was evaluated counting the number of signals in MCA within 7 s from the injection [13].

Transcatheter closure and intracardiac echocardiography protocol

All patients fulfilling the inclusion criteria underwent intracardiac echocardiographic (ICE) guided transcatheter PFO closure using the mechanical 9F 9 MHz UltraICE catheter (EP Technologies, Boston Scientific Corporation, San Jose, CA, USA). The ICE study was conducted by performing a manual pull-back from the superior vena cava to the inferior vena cava through five sectional planes [14]. Conversely, during the implantation procedure, ICE monitoring was conducted using the four-chamber plane. Across the years the Amplatzer PFO Occluder or Cribiform Occluder (St Jude Medical, Plymouth, MN, US), the Premere Occlusion system (St Jude Medical, Plymouth, MN, US) or the Gore Cardioform (WL Gore & Associates, INC, Flagstaff, Arizona, US) were implanted depending on presence /absence of > 2 Olivares et al., ASA, tunnel length > 10 mm, hypertrophy of the rim as well as the mean diameter of the fossa ovalis [15]. Aspirin 100 mg daily or clopidogrel 75 mg daily were given for 6 months after PFO closure. To assess the effect of closure, the 12-month echocardiographic control was chosen and analysed.

Follow-up protocol

Our follow-up protocol included: cTEE after 1 month from PFO closure (in the presence of an even small residual shunt, cTEE was repeated at 6 months); TTE at 1, 6, and 12 months and every two years; TCD at 1 month; Holter monitoring at 1 month at any point if AF was suspected; clinical evaluation at 1, 6, 12 months and every two years after the first 12 months were also performed. Residual shunt was always assessed by contrast TEE and TCD.

Statistical analysis

Continuous variables were expressed as mean \pm standard deviation (SD) and were compared by Student's *T* test if the data had normal distribution, otherwise by Wilcoxon-Mann-Whitney *U* test. Categorical variables, presented as percentage, were

compared by the Pearson's χ^2 test. Pearson's correlation was used to compare left atrial diameter and ejection fraction in different sub-group analyses. To identify the optimal thresholds value of LA diameter as a predictor of a RoPE > 7, a ROC curve analysis was performed. The relationships between the RoPE score and both LA diameter were calculated for independent variables by multivariate logistic regression analysis. Statistical significance was defined as $p < 0.05$. Statistical analyses were performed using SPSS package version 20.0 (SPSS, Chicago, IL, USA).

Results

Population findings

Over the study period, 719 patients (mean age 43.7 ± 9.6 years) were included in the analysis (Fig. 2). As expected, the closure group patients were characterized by a more severe ROPE score (7.6 ± 0.8 vs. 4.1 ± 0.9 , $p < 0.001$) and had increased prevalence of male gender, stroke, TIA, brain lesions, migraine with aura and MTHFR mutations with hyperhomocysteinemia than controls, but similar BMI and body surface (Table 1). The severity of RLS was also greater in the same group.

Left atrium diameter

Preoperative LA diameter was larger in the closure group than controls (44.3 ± 9 vs. 38.2 ± 6.6 mm < 0.0001 , Table 1). However, at 1-year follow-up after closure LA diameter reduced to 37.3 ± 4.1 mm ($p = 0.01$) without difference with controls (38.1 ± 5.3 mm $p = 0.28$, Fig. 3).

A receiver operating characteristics (ROC) curve was used to find the optimal cut-off value of LA diameter as a predictor of RoPE score > 7. Specifically, a LA diameter ≥ 43 mm appeared to be highly specific for the proposed outcome (AUC 0.91, 95% CI 0.81–0.96, $p < 0.0001$, Fig. 4), with a sensitivity, specificity, positive (PPV) and negative (NPV) predictive values of 96.5, 77.8%, 84% and 94%, respectively.

LA diameter was associated with RLS, ASA presence and with the multiple ischemic brain lesions pattern (Table 2): the multivariate analysis identified LA diameter > 43 mm as a predictor of certain pattern of RLS and of a RoPE score > 7 (Table 3).

Discussion

In a large cohort of PFO patients, we observed an association between an enlarged LA and the clinical severity of PFO and, foremost, the reversal of this enlargement after PFO closure. LA size was associated to RLS magnitude and

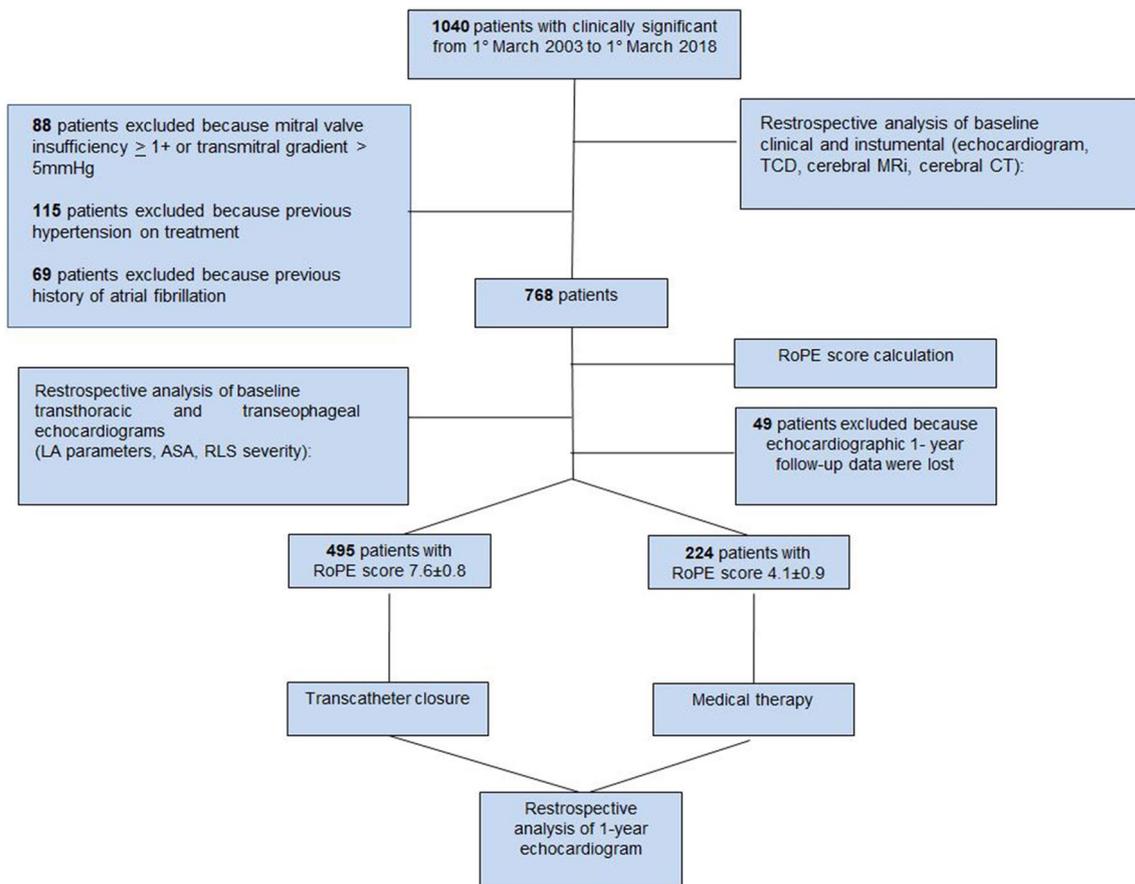


Fig. 2 Study flow chart

pattern and the presence of ASA. A LA diameter ≥ 43 mm was predictive of a RoPE score > 7 independently from RLS and ASA.

Recently, attention has been posed in LA abnormalities associated to PFO as their potential role in contributing to PFO related ischemic stroke risk. In fact, LA enlargement has been extensively correlated with chronically increased left ventricular filling pressure and left ventricular diastolic dysfunction and found to be associated to unfavorable cardiovascular events including stroke [16–18]. Mahfouz et al. [18] found that LA desynchrony and stiffness are risk markers for PFO-related stroke. Our group and others shown that all the functional parameters of the LA including conduit, reservoir, active and passive emptying are altered in PFO patients with permanent RLS [2, 19].

Lee et al. [4] first demonstrated that in PFO patient an increased LA size was associated with ischemic stroke. Although our patients were characterized by a more severe RoPE score and a larger LA size, our results confirm their findings. Opposite to the study of Lee et al., we investigated the LA diameter than the more complex LA volume index. LA diameter has been demonstrated to correlate

well with LA volume in previous analyses [20], its use is more suitable for clinical practice and it has been already used in large cohorts of patients for determining the risk of first clinical cardiovascular events [18] and stroke [21]. The reversal of LA enlargement at 12-month after PFO closure seems to confirm that a significant RLS per se induces LA cardiopathy. In this subject, we recently demonstrated that permanent RLS is associated to a lower blood flow vorticity magnitude in LA and speculated this might contribute to both LA enlargement and potential in situ thrombosis [4]. In the study of Lee, authors failed to find a correlation between LA size and RLS but, differently from our study, they investigated with TCD less than 20% of patients to determine RLS and in the study group the mean LA diameter was less than 37 mm, this not enabling any correlation between RLS and LA size. A complete analysis of LA function has been already provided by our group elsewhere [1]. However, in daily clinical practice, a simpler maker, able to identify as a first step-line those PFO patients at higher risk, as the LA diameter, may be helpful in daily clinical practice.

Table 1 Demographics, clinical and echocardiographic characteristics of the study and control population

Demographics and anthropometrics	Closure group N = 495	Controls N = 224	p
Age (years)	44.3 ± 15.8	42.0 ± 11.4	0.50
RoPE score	7.6 ± 0.8	4.1 ± 0.9	< 0.001
Male, n (%)	274 (55.3)	106 (47.3)	0.04
Body surface	1.79 ± 0.04	1.78 ± 0.12	0.77
BMI (Kg/m ²)	25.2 ± 12.8	24.6 ± 10.8	0.78
Clinical variables			
SBP (mmHg)	127.1 ± 15.4	125.1 ± 16.1	0.54
DBP (mmHg)	78.5 ± 11.4	74.9 ± 12.6	< 0.0001
HR (beats per minute)	73.0 ± 12.2	70.1 ± 11.4	0.03
PP (mmHg)	48.6 ± 16.8	50.3 ± 10.9	0.16
HT, n (%)	108 (21.8)	59 (26.3)	0.18
Diabetes mellitus, n (%)	115 (23.2)	51 (22.7)	0.88
Dyslipidaemia, n (%)	75 (15.2)	39 (17.4)	0.44
Active smokers, n (%)	90 (18.2)	41 (18.3)	0.97
Previous smokers, n (%)	72 (14.5)	15 (6.6)	0.02
TIA, N (%)	146 (29.4)	8 (3.5)	< 0.0001
Stroke, n (%)	126 (25.5)	3 (1.4)	< 0.0001
Migraine with aura, n (%)	171 (34.5)	21 (9.3)	< 0.0001
Migraine without aura, n (%)	90 (18.2)	36 (16.0)	0.46
Thrombophilia			
ATIII, n (%)	24 (4.8)	10 (4.4)	0.81
PRS, n (%)	45 (9.1)	19 (8.4)	0.76
PRC, n (%)	72 (14.5)	30 (13.2)	0.66
FV Leiden Homozigosis, n (%)	0 (0)	7 (3.1)	0.001
Hyperhomocysteinemia, n (%)	153 (30.9)	22 (9.8)	< 0.0001
Mutation MTHFR 677 T, n (%)	120 (24.2)	16 (7.1)	< 0.0001
Mutation MTHFR 1298 AC, n (%)	99 (20.0)	13 (5.8)	< 0.0001
Auto-antibodies			
Antiphospholipid, n (%)	0 (0)	9 (4.0)	0.001
Anticardiolipin, n (%)	54 (10.9)	15 (5.3)	0.01
Shunt, TDC and cerebral lesions			
Continues shunt without Valsalva, n (%)	188 (37.9)	18 (8.0)	< 0.0001
TDC curtain pattern, n (%)	315 (63.6)	16 (7.1)	< 0.0001
TDC shower pattern, n (%) 9	180 (36.3)	208 (92.8)	< 0.0001
Number of brain lesion at MRI	1.6 ± 1.5	0.3 ± 0.5	< 0.0001
At least 1 brain lesion at MRI/CT	297 (60.0)	188 (84.0)	< 0.0001
> 1 brain lesion at cerebral CT scan, n (%)	198 (40.0)	36 (16.0)	< 0.0001
Echocardiography parameters			
LA AP diameter (mm)	44.3 ± 9.1 [21.1–69.4]	38.2 ± 6.6 [18.2–46.1]	< 0.0001
LA 4C area (cm ²)	35.7 ± 5.8	16.7 ± 3.8	< 0.0001
LA 2C area (cm ²)	34.2 ± 5.1	15.1 ± 4.0	< 0.0001
LA Ejection fraction (%)	0.53 ± 0.8 [0.31–0.77]	0.59 ± 0.3 [0.48–0.61]	0.27
LA maximal volume (ml)	98.5 ± 16.3	99.4 ± 21.6	0.29
LA minimal volume (ml)	45.8 ± 20.1	44.7 ± 19.8	0.31
LAA peak flow velocity (cm/s)	48.1 ± 21.0	49.8 ± 23.2	0.18
ASA (number of patients/%)	315 (63.6%)	46 (20.5%)	< 0.0001
Left ventricle VTD (ml)	68.9 ± 15.4 [38.7–110.4]	66.6 ± 12.8 [42.1–90.6]	0.05
Left ventricle VTS (ml)	22.8 ± 10.3 [11.8–61.9]	20.1 ± 0.8 [9.27–51.4]	0.001
Left ventricle EF (%)	0.67 ± 0.8 [0.43–0.80]	0.71 ± 0.9 [0.46–0.81]	0.55

ASA atrial septal aneurysm, BMI Body mass index, DBP Diastolic blood pressure, HR Heart rate, HT Arterial hypertension, LA left atrium, LAA left atrium appendage, LVEF left ventricular ejection fraction, PP Pulse pressure, RoPE Risk of paradoxical embolism score, SBP Systolic blood pressure, TIA Transient ischemic attack, VTDi tele-diastolic volume, VTS tele-systolic volume

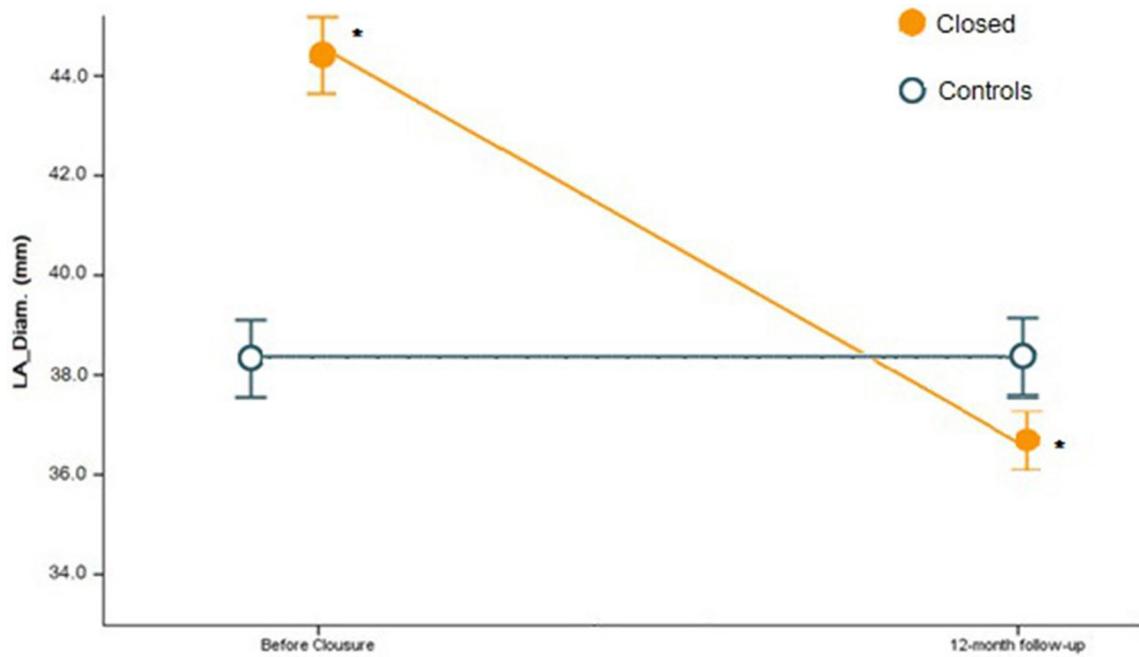


Fig. 3 Graphic representation of decrease and normalization of the Left atrium diameter after closure at 12-months follow up. 1-year follow up window has been selected to avoid potential interferences by other factors than closure procedure. * $p=0.01$

Fig. 4 ROC curve analysis: 43 mm resulted the best cut off value

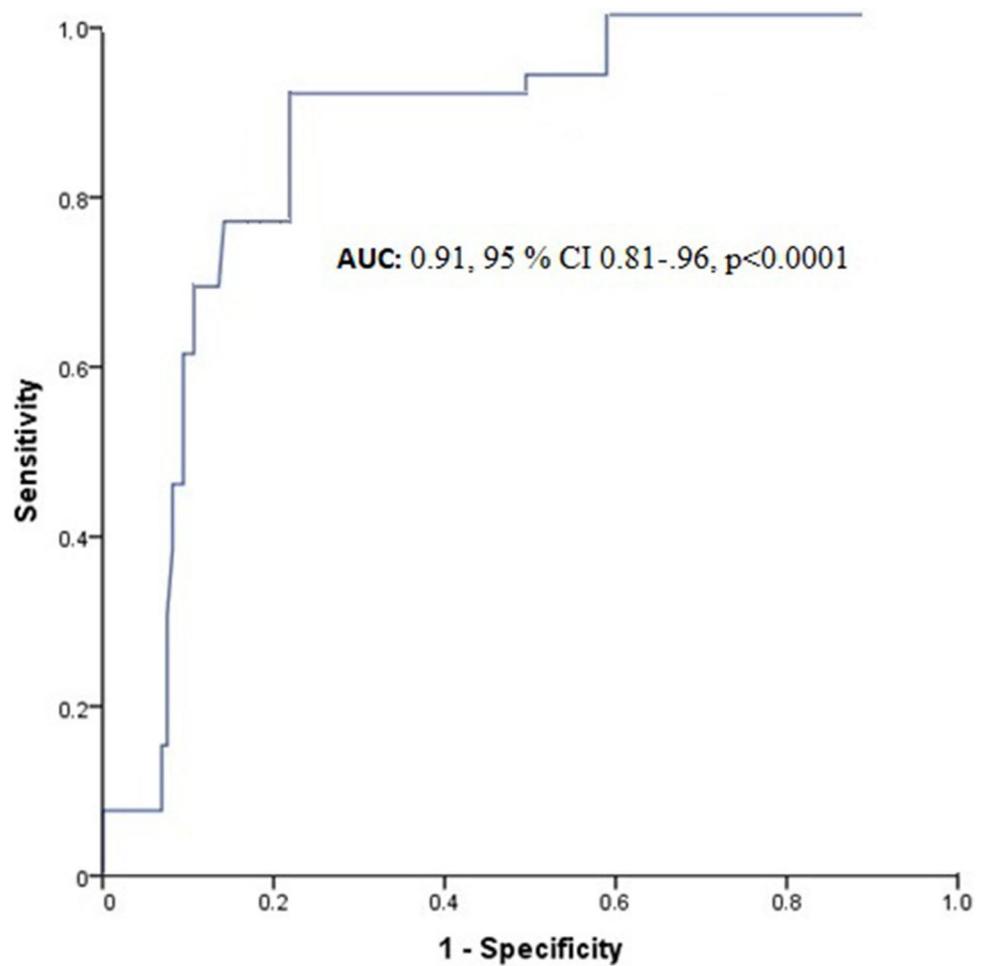


Table 2 Correlations between left atrial diameter ASA, RLS and MRI pattern

Clinical variables	LA diameter (mean ± SD)	p
RLS		
Curtain	46.2 ± 3.4	0.001
Shower	44.3 ± 3.6	
Valsalva	41.8 ± 3.3	< 0.0001
Permanent	47.5 ± 4.9	
ASA		
Yes	47.3 ± 2.6	< 0.0001
No	42.2 ± 3.3	
Brain RMi		
Multiple lesion	49.4 ± 2.2	< 0.0001
No lesion	41.3 ± 2.9	

ASA atrial septal aneurysm, LA left atrium, MRI magnetic resonance imaging, RLS right-to-left shunting, SD standard deviation

Table 3 Multivariate analysis for RoPE score > 7 and Curtain versus shower pattern of RLS

	β	Wald	Sig	OR	95% CI
Outcome: RoPE score > 7					
Valsalva RLS	1.80	12.7	< 0.0001	3.02	2.84–3.51
Permanent RLS	1.77	40.10	< 0.0001	3.26	3.02–4.34
ASA ^a	1.69	27.33	0.001	5.04	2.64–6.28
LA diameter > 43 ^b	0.71	6.69	< 0.0001	2.78	2.46–3.06
Outcome: curtain versus Shower RL					
RoPE > 7	1.94	24.3	< 0.0001	4.21	3.54–4.92
ASA ^a	1.77	10.1	0.001	2.16	1.92–3.26
LA diameter > 43	1.96	25.9	< 0.0001	4.52	4.11–4.98

^aAtrial septal aneurysm more than 2RL or 2LR following Olivares et al. [11]

^bLA diameter < 43 mm versus > 43 mm. Adding gender did not modify both models

Limitations

Our study suffers from a number of limitations including firstly its retrospective nature and the non-randomized fashion: nevertheless, the size of the sample and amount of data are significant, and we believe that these two factors may mitigate the aforementioned limits. The use of LA diameter as the only parameter to investigate LA cardiopathy may limit the study conclusions. On the other side, this parameter has been widely used in literature to analyse the risk of stroke in different cardiac conditions and it is easier to be obtained in common clinical practice.

Conclusions

A LA diameter ≥ 43 mm is associated with permanent/curtain pattern of RLS, ASA and brain damage severity in patients with symptomatic PFO. LA Size reverses after PFO closure, this suggesting a severe RLS may induce LA cardiopathy. LA enlargement has the potential to be considered per se an indication to transcatheter PFO repair.

Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

Ethical approval The study was approved by the local board.

Informed consent Informed consent was obtained from all individual participants included in the study.

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