



CAL2 monoclonal antibody is a rapid and sensitive assay for the detection of calreticulin mutations in essential thrombocythemia patients

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Abstract

Calreticulin (*CALR*) mutations are detected in the majority of *JAK2 wild type* patients with essential thrombocythemia (ET). Unlike *JAK2^{V617F}* and *MPL* point mutations, *CALR* mutations are highly heterogeneous, with several types of indels being reported so far. CAL2 is a monoclonal antibody specifically recognizing the C-neoterminal peptide derived from all the frame-shift mutations of *CALR*. We retrospectively analysed 172 ET patients diagnosed at our Institution from 1980 to 2015. In *JAK2^{V617F}*- and *MPL^{W515K/L}*-*wild type* patients *CALR* mutations were searched on peripheral blood and CAL2 immunostaining was performed on bone marrow. In addition, bone marrow biopsies were histologically reviewed for megakaryocytic features. Thirty-one patients (18%) were *CALR*-mutated. Concordance between molecular and immunohistological detection of *CALR* mutations was near complete, albeit a single patient was found to be positive by molecular tests only. Two patterns were defined in CAL2-positive bone marrow samples, characterized by staining of almost only megakaryocytes (pattern A: 41%) or staining of megakaryocytes and $\geq 2\%$ small non megakaryocytic elements (pattern B: 59%), at least partially being myeloid precursors. Pattern B biopsies had higher cellularity and number of megakaryocytes compared to pattern A samples. In this series, CAL2 allowed rapid and cost-efficient identification of *CALR*-mutated ET patients. The biological significance of different staining pattern should be confirmed in wider and independent series.

Keywords Essential thrombocythemia · Calreticulin · CAL2 · Driver mutations · Diagnostic tools

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Introduction

Essential thrombocythemia (ET) is a myeloproliferative neoplasm (MPN) caused by deregulation of megakaryocytic proliferation. It is typically characterized by long-lasting, indolent course, although vascular events and evolution to more aggressive myeloid neoplasms (myelofibrosis and acute leukaemia) may severely affect the prognosis [1]. Constitutive activation of Janus kinase 2 (*JAK2*) by a point mutation in exon 14 (*V617F*) represents the most common driver of ET, being detectable in approximately 60% of patients. Point mutations in the myeloproliferative leukaemia virus (*MPL*) gene are present in about 3–5% of ET patients. It has been recently shown that the majority of *JAK2* and *MPL wild type* (wt) ET patients carry a mutation in the calreticulin (*CALR*) gene, encoding for an endoplasmic reticulum protein involved in the calcium metabolism and intracellular protein folding [2, 3]. The significance of this finding has been highlighted in the

2016 revision of World Health Organization (WHO) criteria, which recognize the presence of one of the three driver mutations as a major criterion for diagnosing ET [4]. Strict pathological criteria are also defined for accurate histological differentiation of MPN, particularly for distinguishing ET from early myelofibrosis (MF). A correct molecular and pathological diagnosis of ET has several clinical implications, since ET is associated with significant differences in vascular events according to the driver mutation [5] and different rates of evolution to overt MF according to histological classification [6].

Over 60 different mutations in *CALR* gene have been identified so far, the most common being a deletion of 52 bp (L367 fs*46, or type-1 mutation) and an insertion of 5 bp (K385 fs*47, or type-2 mutation) which altogether represent about 85% of the cases. Unlike the *JAK2*^{V617F} and *MPL*^{W515L/K} point mutations, commonly detected by allele-specific polymerase chain reaction (PCR), a full analysis of *CALR* mutations could encompass expensive and time-consuming sequencing techniques. However, all mutations described so far are associated with the synthesis of a C-terminal neopeptide, in which negatively charged amino acids are replaced by positively charged or neutral residuals, which can be detected with immunohistochemistry (IHC) [7, 8]. Although both polyclonal and monoclonal antibodies have been proven effective in detecting *CALR* mutated protein, experience is still limited, and all available studies include both ET and MF cases [7–10]. Moreover, albeit *CALR* mutations are preferentially expressed in megakaryocytes, scant information is available on bone marrow (BM) morphological aspects of *CALR*-mutated MPN.

Therefore, we retrospectively analysed ET patients diagnosed at our Institution from 1980 to 2015, with the aim to compare molecular biology and IHC in detecting *CALR* mutations and investigating specific histomorphological characteristics of BM in *CALR*-mutated patients.

Methods

Patients

All patients consecutively diagnosed with ET at our Institution between 1980 and June 2015 were considered for this study. We identified a total of 180 patients, 124 carrying *JAK2*^{V617F} or *MPL*^{W515K/L} mutations and 56 with *JAK2*^{wt}/*MPL*^{wt}. Five patients were excluded from further analysis because clinical and laboratory data at diagnosis were unavailable. Three other patients ought to be excluded because neither BM specimen nor peripheral blood (PB) DNA collected at diagnosis were available. Overall, 172 patients were hence included in this study. The age at diagnosis was defined in individual patients as the age of the first documented and confirmed detection of

thrombocytosis in the absence of other causes [4]. Post-ET MF and acute leukaemia were defined according to the International Working Group for MPN Research and Treatment (IGW-MRT) and WHO criteria, respectively [11]. All patients provided written informed consent for participation in this retrospective study, which was reviewed and approved by the local Institutional Review Board.

Molecular biology

Molecular testing for *JAK2*^{V617F} mutation became available in 2008. Therefore, 114 patients diagnosed between 1980 and 2007 were tested at the first follow-up visit after introduction of the assay, and 58 patients diagnosed from 2008 onwards were tested at the time of diagnosis. Genomic DNA was extracted from granulocytes isolated from PB after centrifugation with Ficoll gradient (Amersham Biosciences – GE Healthcare, USA) using commercially available extraction reagents (Puregene Blood Kit – Qiagen, Hilden, Germany), and *JAK2*^{V617F} mutation status was evaluated at the time of PB collection using allele-specific qualitative PCR as previously described [12]. *JAK2*^{wt} patients were tested for *MPL*^{W515K/L} exon 10 mutations by qualitative ARMS PCR (home made primers). *CALR* exon 9 mutations were subsequently searched by PCR in *JAK2*^{wt}/*MPL*^{wt} patients, using primers described by Klampfl et al. [2] and analysing PCR products by agarose gel electrophoresis. To improve detection sensitivity, samples with discordant results between molecular biology and IHC were re-analysed by capillary electrophoresis sequencer on the ABI Prism 3500DX Genetic Analyser (Applied Biosystems, Foster City, CA, USA) using the same set of primers marked with fluorescent dye (fragment analysis). The same samples were then characterized by Sanger Sequencing. *CALR* exon 9 was bi-directionally sequenced by using the Big Dye Terminator v1.1 Cycle Sequencing Kit on the ABI Prism 3730 Genetic Analyser (Applied Biosystems, Foster City, CA, USA). Sequences were aligned to the *CALR* refseq NM_004343.3 and assigned using HGVS nomenclature.

Bone marrow morphology and IHC

IHC staining was performed on all available BM samples of *JAK2*^{wt}/*MPL*^{wt} patients, regardless of stage of disease at the time of collection. IHC analysis was carried out on 4- μ m histological sections of paraffin blocks from formalin-fixed decalcified BM biopsies. Sections underwent antigen retrieval at pH 8.9, 95 °C for 30 min, followed by a 1-h incubation with 1:50 diluted antibody. We used the commercially available monoclonal mouse IgG2a type antibody (CAL2, Optistain, Bioreal) [7], which identifies the neo C-terminal peptide of *CALR*-mutated protein. A polymer system was used as detection system (Bond Polymer Refine Detection, Leica). In

CAL2-positive samples, two patterns of positivity were defined:

- pattern A: positivity in megakaryocytes and in < 2% other (non-MK) cells (out of at least 2500 BM cells);
- pattern B: positivity in megakaryocytes and in $\geq 2\%$ other (non-MK) cells (out of at least 2500 BM cells).

Two samples were also double stained with CAL2 and MNDA (myeloid cell nuclear differentiation antigen, 235A clone, sections underwent antigen retrieval at pH 8.9, 95 °C for 15 min, followed by a 1-h incubation with 1:500 diluted antibody) [13], for assessing cell lineage of small elements. A blind review of all *JAK2^{wt}/MPL^{wt}* samples was carried out to reclassify patients as ET or prePMF according to the 2016 WHO classification [4], especially evaluating the following parameters: cellularity, myeloid and erythroid hyperplasia, number of megakaryocytes, megakaryocyte morphology (giant, hypersegmented), presence of loose clusters, percentage of CD34+ cells, presence of vessels and degree of fibrosis, considering the highest score of reticulin fibrosis present in at least 30% of BM biopsy as recently suggested by Kvasnicka et al. [14].

Statistical analysis

The Cohen's kappa test was used to assess concordance between *CALR* exon 9 mutations and IHC detection. Difference in distribution of continuous variables between categories was analysed by either Mann-Whitney or Kruskal-Wallis test, when appropriate. Frequency for categorical variables was compared by χ^2 test. Survival curves were constructed using the Kaplan-Meier approach. Statistical analysis was performed using the software "R" [15].

Results

Concordance between molecular biology and IHC in detecting *CALR* mutations

Fifty-one *JAK2^{wt}/MPL^{wt}* patients were evaluated for *CALR* exon 9 mutations. For 42 of these patients, both frozen DNA and BM biopsy samples were available. PCR and IHC were concordantly positive or negative in 23 and 15 cases, respectively (Cohen's kappa index > 0.80); however, three patients were found to be positive for CAL2 immunostaining only, and one patient by PCR and gel electrophoresis only. To improve the sensitivity, these patients were studied with fragment analysis and Sanger sequencing, and they were all found harbouring a mutation in the *CALR* gene: specifically, of the three patients positive only by CAL2, one had a type-1 mutation at low level and two had atypical indels

(c.1153_1154delinsTGTC; p.K385 fs*46 and c.1111_1150delinsTCA; p.E371fs*47, respectively), while the single patient who resulted positive by fragment analysis and negative by CAL2 had a 22 bp type-1-like deletion (c.1121_1142del; p.K374 fs*49).

Characteristics of patients

Median age at diagnosis was 54 years (range 14–87). Seventy-one patients (41%) were aged 60 or older and ten had a history of previous thrombotic events. Median time from the first evidence of thrombocytosis to BM biopsy was 8.4 months (range 0–175 months).

According to driver mutations, patients were classified as *JAK2^{V617F}*-mutated ($n = 119$: 69%), *MPL^{W515K/L}*-mutated ($n = 2$: 1%), *CALR*-mutated ($n = 31$: 18%) or triple-negative ($n = 20$: 12%).

The median follow-up time for the entire cohort was 9.8 years (range 0.1–35.5 years). The estimated myelofibrosis-free, leukaemia-free and overall survival at 10 years were 95%, 98% and 95%, respectively.

Comparison of type I and type II *CALR*-mutated patients

CALR mutations were detected by exon 9 PCR and/or fragment analysis followed by Sanger sequencing in 27 patients. No differences were found in clinical presentation and outcome among patients with type-1 ($n = 15$: 56%), type-2 ($n = 7$: 26%) or other, uncommon indels ($n = 5$: 18%) (Table 1).

CAL2 positivity patterns in BM samples

We retrieved a BM sample collected at ET diagnosis in 27 *CALR*-mutated patients (the other having performed BM only at myelofibrotic evolution). All samples fulfilled the 2016 WHO criteria for ET and displayed normal age-adjusted BM cellularity (Supplemental Table 1). In all samples > 90% of megakaryocytes were stained by CAL2, with a range of positivity from strong to weak. In 11 samples, we found that almost only megakaryocytes were stained by CAL2 monoclonal antibody (pattern A), whereas in 16 samples, also small non-megakaryocytic elements were CAL2-positive (pattern B) (Fig. 1). According to our definition, these small elements were $\geq 2\%$ of the total BM cells (median 2%, range 2–5%). Notably, all biopsies performed at fibrotic evolution displayed pattern B. To better understand the nature of these small-sized, non-megakaryocytic elements, a double immunostaining with CAL2 and MNDA in two pattern B BM samples was performed. Some of these cells, morphologically similar to myeloblasts or monoblasts, were stained by both antibodies, thus allowing their recognition as myeloid precursors (Fig. 2), whilst the majority of myeloid cells were stained by MNDA

Table 1 Characteristics of patients according to the type of *CALR* mutation

	Type-1 mutations	Type-2 mutations	Other mutations	<i>p</i>
No. of patients	15	7	5	
Median age, years (range)	49 (28–71)	52 (42–78)	55 (33–62)	0.69
Gender, F (%)	7 (47)	5 (71)	2 (40)	0.58
Median Hb, g/dl (range)	13.9 (11.4–16.3)	12.8 (10.9–15.8)	12.6 (11.2–15.3)	0.42
Median Plts, $\times 10^9/\text{mmc}$ (range)	626 (461–1583)	860 (686–1544)	863 (548–914)	0.16
Median WBC, $\times 10^9/\text{mmc}$ (range)	7.5 (4.4–15.2)	7.9 (5.6–9.5)	7.5 (7.3–9.2)	0.44
Splenomegaly (%)	3 (20)	1 (14)	1 (20)	1
Thrombosis (%)	1 (7)	0	0	1
Myelofibrotic evolution (%)	3 (20)	2 (29)	0	0.67
Leukemic evolution (%)	0	1 (14)	0	0.44

but not by *CAL2* (negative internal control). To ensure that these elements were not micromegakaryoblasts, we performed in random samples a double staining with CD61 and MNDA, without finding any double positivity (data not shown).

The histological features of BM samples according to the *CAL2* pattern were then compared. Pattern B biopsies had higher median cellularity (50% vs 35%, $p = 0.019$), higher median number of megakaryocytes per high power field (15 vs 9, $p = 0.016$) and a trend towards a higher frequency of loose aggregates (87% vs 64%, $p = 0.19$) compared to pattern A samples (Table 2). Pattern B patients also displayed a non-significant trend towards having higher median platelet count at diagnosis ($753 \times 10^9/\text{L}$ vs $578 \times 10^9/\text{L}$, $p = 0.07$) and were associated to a more heterogeneous spectrum of *CALR* mutations, since type-1, type-2 and atypical indels were present in

3, 6 and 4 pattern B patients, respectively, while all pattern A patients harboured a type-1 *CALR* mutation (Table 3). One patient with pattern A and 2 patients with pattern B progressed to post-ET MF or acute leukaemia at 6, 7.8 and 8.1 years after ET diagnosis, respectively.

Discussion

The 2016 revision of WHO criteria emphasized the crucial role of *JAK2*, *MPL* and *CALR* mutations as drivers of all “classic” MPN. An integrated approach between clinical, histological and molecular data is hence necessary for accurate diagnosis, classification and prognostication of these diseases.

Fig. 1 Patterns of expression of the *CAL2* monoclonal antibody in bone marrow samples at ET diagnosis. In cases defined as pattern A, almost only megakaryocytes were positive for *CAL2* staining, and no or less than 10 per HPF small non-MK cells were stained (left panel, H&E $\times 10$, *CAL2* $\times 40$), while in pattern B samples, both megakaryocytes and small non-MK cells were stained (right panel, H&E $\times 10$, *CAL2* $\times 40$). HPF, high power field, $\times 40$

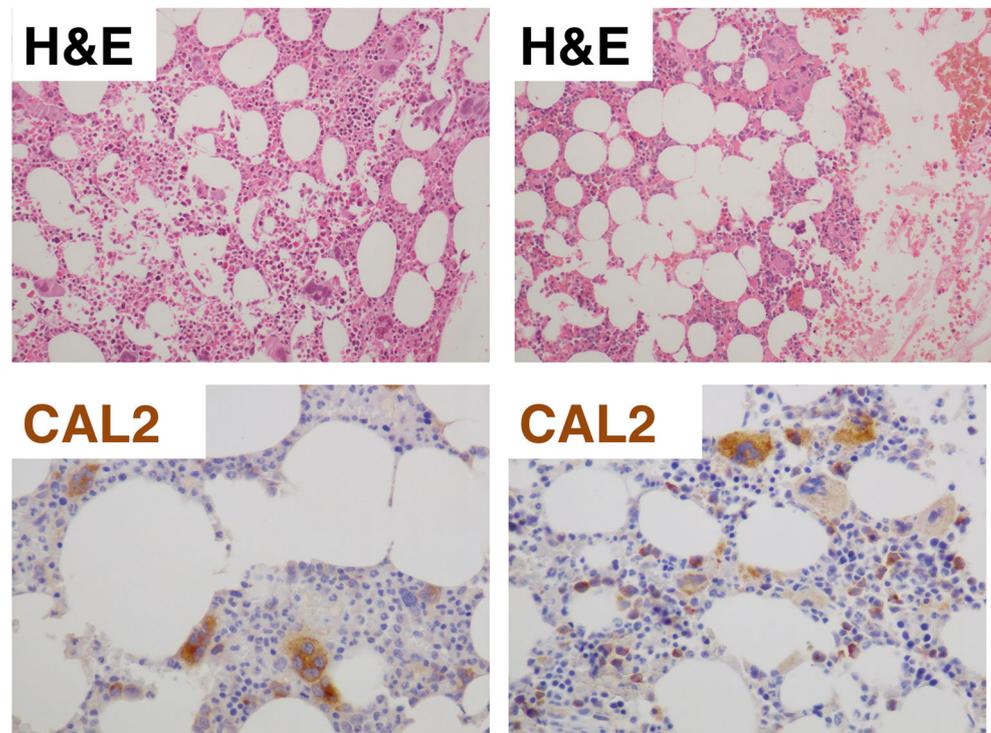
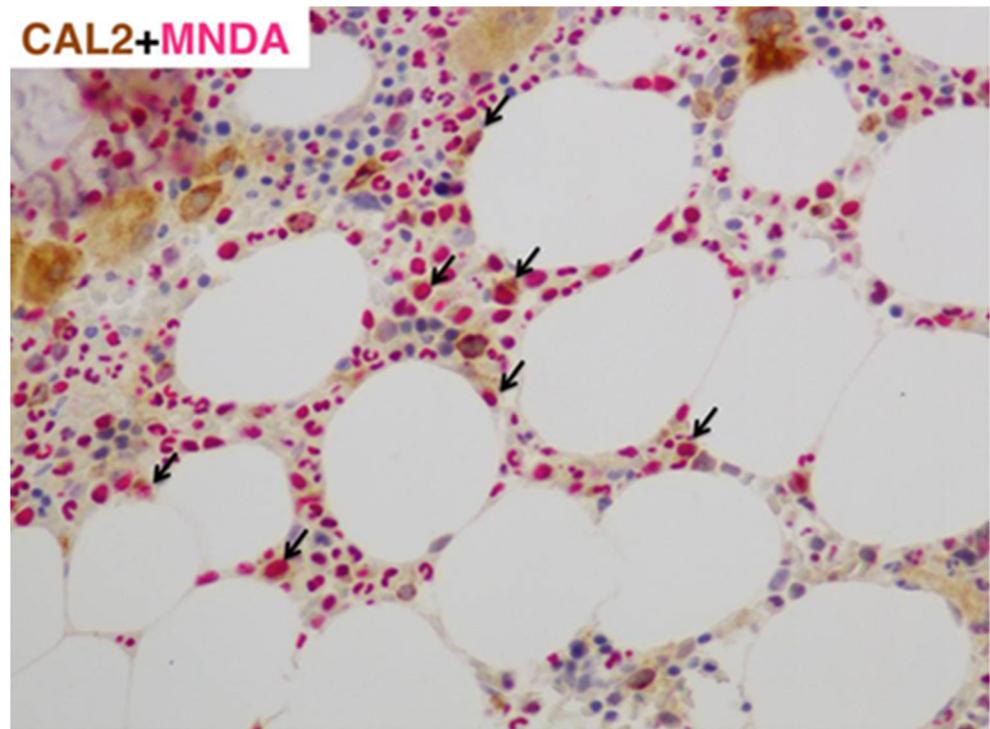


Fig. 2 Double immunostaining of a pattern B BM sample with CAL2 (brown, cytoplasmic staining) and MNDA (pink, nuclear staining) monoclonal antibodies. MK are stained with CAL2 only as brown cytoplasmic positivity, while at least some smaller elements are stained by both antibodies (black arrows), thus supporting their myeloid origin



JAK2^{V617F} and *MPL* exon 10 mutations are single nucleotide substitutions, easily identified using specific and reproducible molecular biology techniques. On the contrary, the spectrum of *CALR* mutations is very broad, including more than 60 reported variants [16], so that identification of all possible *CALR* mutations requires expensive, cumbersome and not always available molecular techniques. All *CALR* frameshift mutations result in a novel C-terminal peptide with identical antigenic characteristics regardless of the type of mutation, thus being identifiable with monoclonal antibodies [7, 8]. After the pivotal demonstration by Stein et al. in a research laboratory setting, two recent works showed that IHC staining with the commercially available CAL2 antibody is a feasible, sensitive and highly specific approach for detecting *CALR*-mutated protein in MPNs [9, 10]. Unlike these series, we focused on ET patients, due to preferential association of *CALR* mutations with megakaryocytic lineage and

lack of histomorphologic information about megakaryocytes in *CALR*-mutated ET patients.

Although the concordance between molecular biology and IHC was found to be optimal in our study (Cohen's kappa index > 0.80), it did not reach 100% as earlier reported by Stein et al. [7]. In our series, three patients were positive at IHC and negative at *CALR* exon 9 PCR and electrophoresis: to solve this discrepancy, it was necessary to perform exon 9 fragment analysis followed by gene sequencing since it is well known that different genetic screening methods may help in detecting different *CALR* mutations, with targeted NGS being the most sensitive technique [17]. In many large series of MPN patients, gene sequencing always identified new *CALR* mutations not previously described at that time, and the percentage of patients with unclassifiable mutations (neither type-1 nor type-2) was approximately 10–15% of *CALR*-mutated patients [18–20], as in the present work. The significance of the single false negative case at IHC is uncertain, but a similar case was earlier reported by Andrici et al. [9]. Our patient harboured a 22-bp deletion *CALR* mutation which might have led to a defective transcript and therefore to a mutated protein not recognizable by CAL2 staining. Notably, Butylin et al. identified a complex *CALR* mutation variant in one ET case, leading to an alternative C-end of the protein [21].

One of the advantages of molecular biology over IHC is the possibility to differentiate patients with type-1 and type-2 *CALR* mutations. However, the clinical and prognostic significance of this separation is still debated and the published

Table 2 Characteristics of BM samples according to the CAL2 pattern

	Pattern A	Pattern B	<i>p</i>
No. of patients	11	16	
Median cellularity, % (range)	35 (25–55)	50 (30–70)	0.019
Median MK number per HPF (range)	9 (5–20)	15 (5–20)	0.016
Loose aggregates (%)	7 (64)	14 (87)	0.187
Hypersegmented and giants MK (%)	8 (73)	13 (81)	0.662
Grade I fibrosis (%)	4/10 (40)	8/13 (62)	0.414

Table 3 Clinical characteristics of patients according to the CAL2 pattern on BM samples

	Pattern A (n = 11)	Pattern B (n = 16)	p
Gender (M/F)	6 / 5	8 / 8	1
Median age, years (range)	62 (28–72)	52 (38–78)	0.399
Median haemoglobin, g/dL (range)	13.9 (12.8–15.3)	13.5 (10.9–16.3)	0.528
Median platelets, $\times 10^9/\text{mmc}$ (range)	578 (461–1074)	753 (477–1544)	0.069
Median WBC, $\times 10^9/\text{mmc}$ (range)	7.3 (4.4–11.7)	7.7 (6.0–15.2)	0.561
CALR mutation (type-1/type-2)	10/0	3/6	0.003

information is still controversial. In a clinical study including 216 *CALR*-mutated ET patients, type-2 mutations were associated with higher platelet count, lower risk of thrombosis and clinically indolent course [22]. Conversely, type-2 mutations were found to be associated with more abnormal megakaryocytic features and greater risk of myelofibrotic evolution in a recent histopathological study [23]. These heterogeneous results could be probably explained by the random distribution of patients with prefibrotic MPN in different series. However, the molecular definition of the *CALR* mutation type does not seem currently necessary in clinical practice [24]. Notably, the 2018 revised management recommendations from European LeukemiaNet do not consider the type of *CALR* mutation as a prognostic factor in ET, whilst recognizing the usefulness of this distinction only in myelofibrosis [25].

A second advantage of molecular analysis may be the possibility of quantitative analysis of *CALR*-mutated allele burden. The impact of mutated *JAK2*^{V617F} allele burden on prognosis of PV and MF patients (and in those with ET, to a lesser extent) has been clearly demonstrated [26]. Although there is still no clear evidence about the usefulness of quantitative determination of *CALR* mutational load, preliminary data showed clonal reduction in patients treated with interferon-alpha [27] and a potential role in monitoring patients after allogeneic stem cell transplantation [28].

Simplicity, rapidity and lower costs compared to molecular techniques are the leading advantages of IHC staining. It also appears reasonable, wherever possible, to evaluate both morphological and IHC features of BM samples in patients with suspected MPN. We identified and defined two patterns of CAL2 antibody expression, the former characterized by almost only megakaryocytic staining (pattern A: 41% of cases) and the latter by staining of megakaryocytes and small non-megakaryocytic elements (pattern B: 59% of cases). The presence of small cells stained by CAL2 antibody has been previously noted by others [7–9]. Unfortunately, their origin remained uncertain, and they have been hypothesised to be small immature megakaryocytes or sporadic myelo-erythroid precursors, with very weak intensity of antibody expression. We showed that the small elements are at least in part of genuine myeloid origin, as demonstrated by staining with

MNDA. This is consistent with the work of Nangalia et al., suggesting that *CALR* mutations, although preferentially associated to the expansion of the megakaryocytic lineage, occur in a multipotent progenitor capable of generating also erythroid and myeloid progeny [3].

Our small sample size precluded us to observe striking differences between the two groups. However, pattern B cases had higher median cellularity (anyway always in the range of normal age-adjusted cellularity) and higher number of megakaryocytes. Two patients with pattern B and one patient with pattern A developed leukemic or myelofibrotic evolution. In addition, all three patients whose bone marrow biopsy was only available at the time of fibrotic evolution showed a pattern B. The possibility that the CAL2 antibody pattern of expression could have prognostic significance is intriguing, as well as the possible association of pattern B to a more heterogeneous spectrum of *CALR* mutations (type-1, type-2 and atypical indels). Although our data do not allow making any definitive conclusion, the distinction between the two patterns should allow easy reproducibility of this classification on independent series, which may ultimately validate our hypothesis. Furthermore, evaluation of paired samples of biopsies at the time of ET diagnosis and post-ET MF could be useful in defining if CAL2 pattern of expression could be considered a novel biomarker.

In conclusion, we confirmed that CAL2 staining is a sensitive, specific, rapid and economic technique for detecting *CALR* mutations in patients with suspected ET. Along with histological evaluation of BM characteristics, IHC staining allows to make a definite diagnosis according to the updated 2016 criteria. As proposed originally by Vannucchi [8], our data support the hypothesis of a two-step diagnostic approach to suspected ET, initially based on IHC evaluation of BM and then followed, in CAL2-negative patients showing histological criteria of MPN, by molecular biology search for *JAK2*^{V617F} and finally for *MPL* mutations.

Authorship M.B. and M.C. designed the study; M.B., R.M. and L.S. treated patients, analysed the data and wrote the manuscript; A.P. and A.Z. performed histopathological tests and analysed the data; G.D.M., R.B. and C.C. performed molecular biology tests; G.L., G.P., A.S. and M.K. critically revised the manuscript. All authors have read and approved the final version.

Compliance with ethical standards

Conflict of interest M. Bonifacio declares research funding from Novartis and received speaker bureau and advisory board honorarium from Amgen, Bristol-Myers Squibb, Incyte, Novartis and Pfizer, outside the present study. All the other authors declare no competing financial interests.

Ethical approval All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

Informed consent Informed consent was obtained from all individual participants included in the study.

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